



STATE OF WASHINGTON
UTILITIES AND TRANSPORTATION COMMISSION

1300 S. Evergreen Park Dr. S.W., P.O. Box 47250 • Olympia, Washington 98504-7250

(360) 664-1160 • TTY (360) 586-8203

July 19, 2016

Steven V. King, Executive Director and Secretary
Utilities and Transportation Commission
1300 S. Evergreen Park Dr. SW
P.O. Box 47250
Olympia, WA 98504-7250

RE: *Washington Utilities and Transportation Commission v. Dream Ride Charters, LLC*

Commission Staff's Response to Application for Mitigation of Penalties TE-160694

Dear Mr. King:

On June 21, 2016, the Utilities and Transportation Commission issued a \$1,000 Penalty Assessment in Docket TE-160694 against Dream Ride Charters, LLC for ten violations of Washington Administrative Code (WAC 480-30-071 and 480-30-076), which requires charter and excursion carrier companies to furnish annual reports, supporting documentation and the regulatory fee to the commission no later than May 1 each year, or in this case, May 2 as May 1 was a Sunday.

On July 1, 2016, Dream Ride Charters, LLC wrote the commission requesting mitigation of penalties. In its mitigation request, Dream Ride Charters, LLC does not dispute the violation occurred. The company states, "... We did not receive the annual report notice, therefore we were unaware of when the payment was due. We always respond to renewals as soon as we receive them. We feel \$1,000 is a lot to pay for something out of our control."

It is the company's responsibility to ensure that the regulatory fee is paid and the annual report is filed by the May 1 deadline. On February 29, 2016, Annual Report packets were mailed to all regulated charter and excursion carrier companies. The instruction page informs the regulated company that it must complete the annual report form and pay the regulatory fees, and return the materials by May 2, 2016, to avoid enforcement action.

Dream Ride Charters, LLC submitted the complete annual report and regulatory fee on June 30, 2016.

UTC Annual Reports

July 19, 2016

Page 2

Dream Ride Charters, LLC has been active since 2007 and has filed all of their previous annual reports on time. In consideration of their long running compliance, staff recommends that the penalty be reduced to \$25 per day for a total penalty assessment of \$250.

If you have any questions regarding this recommendation, please contact Sean Bennett, Regulatory Analyst, at (360) 664-1157, or sbennett@utc.wa.gov.

Sincerely,



Sondra Walsh, Director
Administrative Services