

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

NOTICE OF PENALTIES INCURRED AND DUE  
FOR VIOLATIONS OF LAWS AND RULES

SERVICE DATE

JUN 01 2015

PENALTY ASSESSMENT: TV-150926

PENALTY AMOUNT: \$900

NEW WORLD VAN LINES OF WASHINGTON, INC.  
2920 142ND AVE E., SUITE 105  
SUMNER, WA 98390

*(Blad)*  
*Katie*  
*6/01/15*

According to Washington Utilities and Transportation Commission (Commission) records, you have violated Washington Administrative Code (WAC) 480-15-480, which requires household goods carriers companies to file your annual report and pay regulatory fees each year by May 1. You did not file an annual report or pay regulatory fees by May 1, 2015.

Revised Code of Washington (RCW) 81.04.080 authorizes the Commission to assess a penalty of \$100 for each violation of a Commission rule. In the case of a continuing violation, every day's continuance is a separate and distinct violation. The Commission interprets noncompliance with WAC 480-15-480 beyond May 1 as a continuing violation, and assesses penalties of \$100 for each day a company fails to file its report or pay its regulatory fees after that date.

As a result, the Commission has assessed penalties against you in the amount of \$900 on the following basis:

On February 27, 2015, the Commission mailed the 2014 annual report forms and the 2015 regulatory fee packets to all household goods carriers companies registered in Washington. The instructions page for the annual report form instructed these companies to file annual reports and pay regulatory fees by May 1, 2015. The instructions page also stated that failure to file the annual report by May 1 would result in penalties. The deadline for requesting an extension to file your annual report was April 15. You did not request an extension.

On May 14, 2015, New World Van Lines of Washington, Inc. filed its 2014 annual report and paid its 2015 regulatory fee. May 14 is 9 business days from May 1, resulting in a total penalty of \$900.

Your penalty is due and payable now. If you believe the violation did not occur, you may request a hearing to contest the penalty assessment. The Commission will grant that request only if material issues of law or fact require consideration of evidence and resolution in a hearing. A request for a hearing must include a written statement of the reasons supporting that request. Failure to provide such a statement will result in denial of the request.

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WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

PENALTY ASSESSMENT TV-150926

PLEASE NOTE: You must complete and sign this document, and send it to the Commission within 15 days after you receive the penalty assessment. Use additional paper if needed.

I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under oath, the following statements.

[ ] 1. Payment of penalty. I admit that the violations occurred. I have:

[ ] Enclosed \$ \_\_\_\_\_ in payment of the penalty

[ ] Submitted my payment of \$ \_\_\_\_\_ online at www.utc.wa.gov. My confirmation number is \_\_\_\_\_.

[ ] 2. Request for a hearing. I believe that one or more of the alleged violations did not occur, for the reasons I describe below, and I request a hearing based on those reasons for a decision by an administrative law judge:

3. Application for mitigation. I admit the violations, but I believe that the penalty should be reduced for the reasons set out below:

*Please see the attached email, providing needed info to Katie Hancock. We respectfully request a waiver of these penalties.*

[ ] a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision

OR  b) I ask for a Commission decision based solely on the information I provide above.

*We have always filed in time.*

I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct.

*Thank you.*

Dated: JUNE 3, 2015 [month/day/year], at CHICAGO, IL [city, state]

New World Van Lines of Washington, Inc Name of Respondent (company) - please print  
[Signature] Signature of Applicant

RCW 9A.72.020:

"Perjury in the first degree. (1) A person is guilty of perjury in the first degree if in any official proceeding he makes a materially false statement which he knows to be false under an oath required or authorized by law. (2) Knowledge of the materiality of the statement is not an element of this crime, and the actor's mistaken belief that his statement was not material is not a defense to a prosecution under this section. (3) Perjury in the first degree is a class B felony."

## **Maria Middleton**

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**From:** Maria Middleton  
**Sent:** Friday, May 15, 2015 9:52 AM  
**To:** 'Hancock, Katie (UTC)'  
**Cc:** Andrews, Amy (UTC)  
**Subject:** RE: Incomplete Status Notification - 2014 Annual Report for New World Van Lines of Washington, Inc.

Perfect, thank you.....

### **Maria C. Middleton**

Corporate Controller  
New World Van Lines, Inc  
5875 N. Rogers Ave  
Chicago, IL 60646  
Direct - 773-509-5939  
Fax - 773-289-1681  
[mmiddleton@nwvl.com](mailto:mmiddleton@nwvl.com)

*"The Professional Mover... With the Personal Touch"*

 Please consider the environment before printing this message

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**From:** Hancock, Katie (UTC) [<mailto:khancock@utc.wa.gov>]  
**Sent:** Friday, May 15, 2015 9:37 AM  
**To:** Maria Middleton  
**Cc:** Andrews, Amy (UTC); Hancock, Katie (UTC)  
**Subject:** RE: Incomplete Status Notification - 2014 Annual Report for New World Van Lines of Washington, Inc.

Good morning,

That completes your 2014 annual report submission. If we need further information, we will get in touch with you.

Thank you,  
Katie Hancock

Fiscal Analyst  
Financial Services  
(360) 664-1349  
(360) 664-1289 (F)  
[khancock@utc.wa.gov](mailto:khancock@utc.wa.gov)

### **Utilities and Transportation Commission**

Respect. Professionalism. Integrity. Accountability.  
[www.utc.wa.gov](http://www.utc.wa.gov)

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**From:** Maria Middleton [<mailto:mmiddleton@nwvl.com>]  
**Sent:** Thursday, May 14, 2015 8:04 AM  
**To:** Hancock, Katie (UTC)

Cc: Andrews, Amy (UTC)

Subject: RE: Incomplete Status Notification - 2014 Annual Report for New World Van Lines of Washington, Inc.

Importance: High

Hello Katie,

I apologize for not getting this information back to you, but below are the numbers we should have entered on the report. Please let me know if this will suffice, or I need to resend the report....


1. Total # of moves completed by NWVL WA
  - a. Total within state of WA 26
  - b. Total all other states 454
  
2. Total # of Written Estimates
  - a. Total within state of WA 26
  - b. Total all other states 454
  
3. Total # of claims received
  - a. Total within state of WA 5
  - b. Total all other states 114

Thank you.

**Maria C. Middleton**

Corporate Controller  
New World Van Lines, Inc  
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Chicago, IL 60646  
Direct - 773-509-5939  
Fax - 773-289-1681  
[mmiddleton@nwvl.com](mailto:mmiddleton@nwvl.com)

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**From:** Hancock, Katie (UTC) [<mailto:khancock@utc.wa.gov>]

**Sent:** Thursday, April 30, 2015 12:07 PM

**To:** Maria Middleton

**Cc:** Andrews, Amy (UTC); Hancock, Katie (UTC)

**Subject:** Incomplete Status Notification - 2014 Annual Report for New World Van Lines of Washington, Inc.

Dear Regulated Company,

On February 27, 2015 the Utilities and Transportation Commission (commission) mailed you an Annual Report. The report and fees are due by May 1, 2015.

A review of your annual report received on April 29, 2015 indicates it is incomplete.

- **Schedule 1 – Household Goods Moves section (pg. 4)**  
**Number of household goods moves completed during the year - not provided (please provide Total Company and Intrastate (WA only) number separately)**

**Number of written estimates issued during the year - not provided (please provide Total Company and Intrastate (WA only) number separately)**

**Number of Loss and/or Damage Claims received during the year - not provided (please provide Total Company and Intrastate (WA only) number separately)**

(Please note: if any of the above responses are zero, please note as such)

The information may be emailed, faxed, mailed or filed online at [utc.wa.gov](http://utc.wa.gov) but must be received by May 1, 2015.

Commission staff may recommend enforcement action, which includes penalties of up to \$100 per day, against any company that does not file a completed annual report. In order to avoid enforcement action, the commission must receive your completed report no later than May 1, 2015.

If you have any questions about your annual report please call me at (360) 664-1349 or e-mail at [khancock@utc.wa.gov](mailto:khancock@utc.wa.gov).

Thank you  
Katie Hancock

Fiscal Analyst  
Financial Services  
(360) 664-1349  
(360) 664-1289 (F)  
[khancock@utc.wa.gov](mailto:khancock@utc.wa.gov)

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