Exh. RA-1CT Docket UT-181051 Witness: Dr. Robert Akl

BEFORE THE WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION,

DOCKET UT-181051

Complainant,

v.

CENTURYLINK COMMUNICATIONS, LLC.,

Respondent.

REPLY TESTIMONY OF

ROBERT AKL, D.Sc.

ON BEHALF OF

STAFF OF WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

August 31, 2022

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LIST OF EXHIBITS

- RA-2 Dr. Robert Akl CV
- RA-3 Broadcom Storage Area Networking Design and Best Practices Design Guide

1		I. INTRODUCTION
2		
3	Q.	Please state your name, and address.
4	A.	My name is Robert Akl. My address is 3030 McKinney Ave, #2301, Dallas, TX 75204.
5		
6	Q.	By whom are you employed and in what capacity?
7	A.	I am a tenured associate professor in the computer science and engineering department at
8		the University of North Texas (UNT).
9		
10	Q.	How long have you been employed by UNT?
11	A.	For 20 years.
12		
13	Q.	Have you testified previously before the Commission?
14	A.	No, I have not.
15		
16	Q.	What is the purpose of your testimony in this proceeding?
17	A.	I testify on behalf of the Washington Utilities and Transportation Commission staff
18		(Staff). My testimony provides an independent evaluation of: (1) the causes of the
19		outages on CenturyLink Communications, LLC's (CenturyLink) Red network in
20		February 2018 and Green network in December 2018, as well as the relationship between
21		those two events; (2) the foreseeability of the Green network outage after the occurrence
22		of the Red network outage; and (3) CenturyLink's responsibility for failing to take the
23		necessary action, following the February 2018 Red network outage, that it knew or

1		should have known would have prevented the Green network outage, and therefore the
2		resulting Washington E911 service outage, in December of 2018.
3		
4	Q.	Have you prepared any exhibits in support of your testimony?
5	A.	Yes. I offer two exhibits:
6		1. Exh. RA-2 is a copy of my CV; and
7		2. Exh. RA-3 is a copy of the Broadcom Storage Area Networking Design
8		and Best Practices Design Guide.
9		
10		II. SUMMARY OF CONCLUSIONS
11		
12	Q.	Do you agree with the general conclusions that Staff Witness James Webber reaches
13		on those issues, referenced above, in his testimony?
14	A.	Yes. I agree with the general conclusions that Witness Webber reaches on each of those
15		issues in his testimony. I also provide additional insights into why those conclusions are
16		correct.
17		
18		III. DISCUSSION
19		
20	Q.	Did you review the response testimony and exhibits submitted in this proceeding by
21		CenturyLink Witnesses Stephen Turner, Martin Valence, and Thomas McNealy?
22	A.	Yes, I have. My review focused on their testimony and supporting exhibits concerning
23		the causes of the December 2018 failure of CenturyLink's Green transport network and

its relationship to the February 2018 Red network failure, as well as how the Green network's failure impacted the delivery of 911 calls in Washington State during December 27-29 of 2018. In addition, I reviewed Witness Webber's direct testimony and exhibits offered on behalf of Staff, as well as his cross-answering testimony and exhibits filed simultaneously with this testimony.

Q. Please summarize your findings from that review.

- A. While I reserve the right to modify or augment my findings should new relevant evidence be introduced in this case, at this time I summarize my findings as follows:
 - 1. CenturyLink's two digital long-haul transport networks at issue, the Green and Red networks, had architectures that relied upon switching nodes and related hardware and software supplied by the equipment vendor Infinera Corporation (Infinera). Infinera's practice had been to provision its nodes with certain communications paths between their associated line modules, known as Infinera General Communications Channels (IGCCs), enabled ("unlocked") by default.¹ CenturyLink knew that the IGCCs on both its Red and Green networks were enabled, but neither configured nor used them, and left them in their enabled/unlocked state. By neglecting to disable/close those unused IGCCs, CenturyLink left both networks vulnerable to the debilitating effects of a packet storm. An engineer can easily disable unused IGCCs with very minimal software provisioning, just a few lines of code.²

¹ Webber, Exh. JDW-4 at 6, 14. See also Webber, Exh. JDW-37C at 1.

² Webber, Exh. JDW-37C at 1; Webber, Exh. JDW-42C at 3-4.

1	2.	And both networks did, in fact, suffer severe disruptions from a packet storm
2		event due to these unlocked IGCCs, the Red network in February 2018 and the
3		Green network on December 27-29, 2018—the latter of which caused the
4		statewide Washington E911 service outage at issue in this proceeding.
5		Importantly, I note that Infinera's Senior Director, Witness McNealy,
6		admits that both network outages had
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8		.3 In both events,
9		
10		
11		
12	3.	CenturyLink's managers of the Green network during 2018 bear responsibility for
13		that network's outage; it was CenturyLink's decision, and not Infinera's, as to
14		whether the enabled, yet unused IGCCs should be turned off or disabled on that
15		network following the Red network outage. Furthermore, as revealed by February
16		2018 emails between CenturyLink network operations managers during the
17		aftermath of the Red network packet storm and outage,
18		
19		
20		.4
21		

 $^{^3}$ See McNealy, Exh. TJM-1TC at 9:14-20; Webber Exh. JDW-5C at 24. 4 Webber, Exh. JDW-1CT at 30:11 - 31:2; Webber, Exh. JDW-37C at 1-2.

1	Q.	Witness Webber concludes that "the primary and avoidable cause of the
2		Washington E911 network outage in December 2018 was CenturyLink's failure to
3		disable certain unused communications paths, known as, between the
4		nodes on its Do you agree with Witness
5		Webber on that point?
6	A.	Yes, I agree. CenturyLink
7		in its February 2019 Root Cause Analysis of that
8		incident, stating:
9 10 11		.6
12		The Federal Communications Commission (FCC) report on the Green network failure
13		expanded on this in more depth:
14 15 16		There are several best practices that could have prevented the outage, or at least mitigated its effects:
17 18 19		System features that are not in use should be turned off or disabled. In this case, the proprietary management channel [IGCC] was enabled by default so that it could be used if needed. While CenturyLink did not intend to use
20 21		the feature, CenturyLink left it unconfigured and enabled. Leaving the channel enabled created a vulnerability in the network that, in this case,
22 23		contributed to the outage by allowing malformed packets to be continually rebroadcast across the network. ⁷
2425		And elsewhere in that report, the FCC made clear that the severity of the outage was
26		caused by the packet storm phenomenon, and not the creation of the relatively small
27		number of malformed packets that triggered the storm:

⁵ Webber, Exh. JDW-1CT at 6:20 – 7:1.

⁶ Webber, Exh. JDW-14C at 2.

⁷ Webber, Exh. JDW-4 at 15 (citing Communications Security, Reliability and Interoperability Council, Best Practices 11-6-5170, 11-8-8000 (2011)).

1 2 3		This outage was caused by an equipment failure catastrophically exacerbated by a network configuration error. ⁸
4	Q.	Do you agree with Witness Webber's conclusion that "[t]hose 'unlocked' (i.e.,
5		enabled) were the primary reason that just four malformed packets could
6		propagate and escalate into a debilitating packet storm"?9
7	A.	Yes, I agree. In both the Red and Green network outages, if the IGCC channels were
8		disabled instead of being left open while being neither used nor configured for use, those
9		malformed packets could not have propagated across those networks and replicated in
10		exponential fashion, and instead would have had no discernible impact on the networks'
11		performance.
12		
13	Q.	Do you agree with Witness Valence ¹⁰ and Witness McNealy ¹¹ that the December
14		2018 outage on the Green Network was not foreseeable?
15	A.	No, I do not. Witness McNealy's foreseeability analysis minimizes the IGCC links and
16		their role in the outage –
17		
18		.12 Witness Valence's
19		testimony simply echoes Witness McNealy in asserting that the two outages "were
20		extremely different, and had different root causes"—and that "the December 2018 outage
21		was not foreseeable."13 Witness Valence fails to supply relevant new documents or other

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⁸ Webber, Exh. JDW-4 at 3.

Webber, Exh. JDW-1CT at 7:1-3.
 Webber, Exh. JDW-1CT at 7:1-3.
 See, e.g., Valence, Exh. MDV-1TC at 11:2-3 ("...the December 2018 outage was not foreseeable.").
 See McNealy, Exh. TJM-1TC at 2:14-15 (stating that "the Green Outage was not foreseeable or predictable,"); id.

¹² McNealy, Exh. TJM-1TC at 4:8 – 5:2; *id.* at 9:14-20; Webber, Exh. JDW-5C at 24.

¹³ Valence, Exh. MDV-1TC at 10:20 – 11:3.

1		evidence to support these claims and instead relies almost entirely upon excerpts from
2		Witness McNealy's testimony.
3		
4	Q.	Is it your opinion that if CenturyLink disabled (locked) the IGCCs on the Green
5		Network that the outage could not have occurred?
6	A.	Yes. It was the packet storms, and not simply the creation of a few malformed packets,
7		that drove the Red network, and later the Green network, to fail. And in both networks'
8		failures, their vulnerability to a packet storm was directly caused by the fact that
9		CenturyLink left the IGCCs on those networks enabled (i.e., open to transmit packets),
10		even though it was <u>not using them</u> . As mentioned above,
1		
12		in its February 2019 Root Cause Analysis of that incident,
13		
14		
15	Q.	Do you agree with CenturyLink's assertion
16		?15
17	A.	No, I do not. CenturyLink erred
18		rather than taking the simplest and most direct
19		preventative measure of disabling the unused IGCCs to block all types of packets.
	14	bber, Exh. JDW-14C at 2.

); id. at 8:3-6 ("

1		In fact, the FCC reached the same conclusion in its report on the Green network
2		outage, finding that "leaving the channel [IGCCs] enabled created a vulnerability in the
3		network" ¹⁶ and that:
4 5 6 7 8 9 10		In this case, filters were designed to only mitigate specific risks. Thus, catch-all filters should be designed to only allow for expected traffic. In this event, the filter prevented transmission of packets 64 bytes or fewer over the proprietary management channels [IGCCs], regardless of packet content. Because other characteristics of the packet were not considered, the malformed packets were able to propagate. ¹⁷
11	Q.	In your opinion, did CenturyLink's
12		, render manual disablement of the
13		IGCCs on the Green Network unnecessary?
14	A.	No. This conclusion follows from the explanation provided above regarding the
15		circumstances in which software-based packet filtering is and is not appropriate. In the
16		circumstances of the Green network in 2018 (i.e., having enabled but unused IGCCs on
17		that network), it was neither reasonable nor was it appropriate—due to industry standards
18		at the time and the relative ease of the fix (i.e., disabling the unused IGCCs)—
19		
20		. Instead, disabling the unused
21		IGCCs was the most reasonable, appropriate, and necessary course:
22 23 24 25 26 27		The first and simplest line of defense is to persistently disable all unused ports, preventing someone without management privileges from connecting to the fabric. It is vital to use the persistent disable option to ensure that disabled ports remain disabled after a reboot or power cycle. Otherwise, an attacker could unplug the switch to re-enable unused ports. ¹⁸

Webber, Exh. JDW-4 at 15.Webber, Exh. JDW-4 at 15.

¹⁸ Akl, Exh. RA-3 at 75-76.

1	Q.	Was the December 2018 Green network outage sufficiently similar to the February
2		2018 Red Network outage, such that it would have been prudent for CenturyLink to
3		disable the Green network's IGCCs following CenturyLink's analysis of the cause
4		of the Red network outage (which involved a packet storm in which malformed
5		packets propagated exponentially over the unused but yet unlocked IGCCs on the
6		Red network)?
7	A.	Yes, it was.
8		
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11		
12		
13		
14		. ²⁰ However, CenturyLink failed to take
15		the same preventative course of action on its Green network prior to its December 2018
16		catastrophic failure—despite its awareness of the problem from earlier that year.
17		
18	Q.	Do the specific characteristics of the malformed packets involved in each outage
19		change your conclusion in any way? ²¹

). See, e.g., McNealy, Exh. TJM-1TC at 8:14 – 10:6; Valence,

Exh. MDV-1TC at 10:20 – 11:2; *id.* at 17:16-18.

¹⁹ Webber, Exh. JDW-4 at 3 (stating that the Green network equipment failure was "catastrophically exacerbated by a network configuration error"); Webber, Exh. JDW-5C at 5 (Red Network).

²⁰ Webber, Exh. JDW-5C at 3; Webber, Exh. JDW-37C at 1-2.

²¹ I note that McNealy and Valence emphasize that

No, they do not. CenturyLink's witnesses seek to downplay the role of the unused, yet
enabled, IGCCs in the two network failures, and instead emphasize that the root cause of
the two network outages was the generation of malformed packets. ²²
Based on the evidence that I reviewed in this proceeding, I also conclude that the initial
triggering event for both network outages was that malformed packets were generated in
error. But, as I explained earlier in my testimony, the presence of the enabled/unlocked
IGCCs was critical and directly caused the escalation of that initial error into a network-
wide outage. Only by virtue of the fact that the initial set of malformed packets were able
to enter the unused yet enabled IGCCs, propagate throughout all connected
nodes, and do so in exponential fashion with no packet expiration, could those packets
escalate into a packet storm and disrupt the network. And most central to the inquiry in
this proceeding, if CenturyLink network management took the reasonable and
appropriate action of disabling the IGCCs on its Green network, based on its experience
with and knowledge of the Red network outage, the malformed packets identified as the
initial step in that network's December 2018 outage event—said to be just four
malformed packets in total— <u>could not have</u> had any noticeable impact on the Green
network's performance. In other words, but-for CenturyLink's failure to take the
reasonable, prudent, simple, and cost-free step of disabling the unused IGCCs on its
Green network, the Washington E911 service outage at issue in this proceeding would
not have occurred. CenturyLink's failure to take reasonable steps in this manner was the
direct and foreseeable cause of the Washington E911 service outage.

A.

²² See, e.g., Valence, Exh. MDV-1TC at 17:14-21.

- Q. Public Counsel's Witness Rosen has opined that the Green network failure was
 caused by a software defect.²³ Do you agree with that assessment?
- A. No, I do not. While Witness Rosen characterizes the Green network outage as the result
 of a software problem, it was in fact driven by human negligence. CenturyLink's network
 managers failed to act to lock down the IGCCs on the Green network, even ten months
 after the February 2018 Red network outage had revealed to them the vulnerability of
 Infinera-equipped networks like the Red and Green networks to packet

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8

10 Q. Does this conclude your testimony?

storms propagated through those unlocked IGCCs.

11 A. Yes.

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²³ Rosen, Exh. BR-1CTr at 19:12-14.