BEFORE THE WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

In the Matter of the Investigation of

David Lu d/b/a Grandmas Boy Moving Co

For Compliance with WAC 480-15-560 and WAC 480-15-570

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NOTICE OF INTENT TO CANCEL PERMIT AS A HOUSEHOLD GOODS CARRIER

NOTICE OF BRIEF ADJUDICATIVE PROCEEDING; SETTING TIME FOR ORAL STATEMENTS (Set for May 11, 2023, at 1:30 p.m.)

- I Pursuant to RCW 81.80.130, the Washington Utilities and Transportation Commission (Commission) has authority to regulate the safety operations of common carriers, including household goods carriers. The Commission may cancel a household goods carrier's permit and operating authority for good cause under WAC 480-15-450, including when the carrier fails to comply with safety requirements. Commission staff (Staff) recommends that the Commission cancel the permit of David Lu d/b/a Grandmas Boy Moving Co (David Lu or Company) for good cause due to the Company's ongoing failure to comply with applicable laws and Commission rules pertaining to the operation of household goods carriers, including safety requirements set in law or rule.
- WAC 480-15-999 adopts by reference Title 49 of the Code of Federal Regulations (C.F.R.) pertaining to safety requirements for household goods carriers. WAC 480-15-560 specifically requires carriers to comply with 49 C.F.R. Part 385, and 49 C.F.R. § 385.13 prohibits motor carriers from operating beginning on the 61st day after the date of a notice of proposed unsatisfactory rating. Pursuant to 49 C.F.R. § 385.17, a carrier that receives a proposed unsatisfactory or conditional safety rating may request a change in its safety rating based on evidence that the company has taken corrective actions to address the identified violations and that the company's operations currently meet the safety fitness standards.
- 3 On June 17, 2021, the Commission granted the Company temporary operating authority. On March 20, 2023, Staff completed an investigation of David Lu's compliance with the safety requirements in WAC 480-15. As a result of the compliance investigation, Staff documented 28 safety violations, resulting in a conditional safety rating for the Company. Staff notified the Company of the results of the investigation on March 20, 2023.
- 4 Under the circumstances presented in this case, the Commission determines that it will afford David Lu the same opportunity as companies that have received an unsatisfactory rating to provide evidence, in the form of an approved safety management plan, showing

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that the Company has taken corrective action to address the identified violations. David Lu must come into compliance with applicable laws by obtaining Commission approval of a safety management plan no later than May 19, 2023. If the Company fails to come into compliance by that date, the Commission will cancel its household goods carrier permit effective May 20, 2023. Thereafter, as an unregistered company, David Lu would be prohibited from providing household goods carrier services in the state of Washington.

- 5 THE COMMISSION GIVES NOTICE: That it intends to cancel the permit and authority of David Lu to provide services as a household goods carrier effective May 23, 2023, for cause, following a hearing on the factual allegations set out above, unless the Company obtains Commission approval of a safety management plan prior to that date.
- 6 Pursuant to WAC 480-15-450(2) the Commission will hold a hearing prior to cancelling a household goods permit for a company's failure to comply with applicable laws and commission rules pertaining to operations of household goods carriers, including safety requirements set in law or rule. Accordingly, the Commission determines that a brief adjudicative proceeding is appropriate to determine whether the Commission should cancel David Lu's household goods carrier permit.
- 7 THE COMMISSION GIVES FURTHER NOTICE: That it will hold a virtual brief adjudicative proceeding in this matter at 1:30 p.m. on May 11, 2023. To attend the hearing by telephone, please call (253) 372-2181 and enter the conference ID: 881 9820 4079# and Passcode 824341#. To attend via Zoom, please use the following link: <u>Click to</u> join meeting.
- 8 The record in this case will consist of any documents regarding the matter that are considered by or prepared for the presiding officer for the brief adjudicative proceeding and a transcript of any oral statements given at the hearing, which statements will be given under oath.
- 9 Written notice of any other stage in the proceeding or other formal proceedings that the Commission may deem appropriate will be given during the course of this case. The Commission will provide on its website, <u>www.utc.wa.gov</u>, copies of documents in the current record for use by the parties or others who may want to review them.
- 10 David Lu must submit its proposed safety management plan no later than 5 p.m. on April 27, 2023. Parties wishing to submit any other documents for consideration at the brief adjudicative proceeding must electronically file any such documents with the Commission a list enumerating and describing any such documents no later than 5 p.m. on May 4, 2023. Filing shall be in accordance with WAC 480-07-140.
- 11 THE COMMISSION GIVES NOTICE: That any party who fails to attend or participate in the brief adjudicative proceeding set by this Notice, or any other stage of this proceeding, may be held in default under RCW 34.05.440 and WAC 480-07-450.

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12 The names and mailing addresses of all parties and their known representatives are shown as follows:

Commission:	Washington Utilities and Transportation Commission P.O. Box 47250 Olympia, WA 98504-7250
Representative:	Jackie Neira Assistant Attorney General P.O. Box 40128 Olympia, WA 98504-0128 (360) 999-6348 jackie.neira@atg.wa.gov
Respondent:	David Lu d/b/a Grandmas Boy Moving Co 33420 38 th Avenue South Federal Way, WA 98001 (206) 355-5111 support@grandmasboymoving.org

13 Administrative Law Judge Andrew O'Connell, from the Commission'sAdministrative Law Division, will preside during this proceeding.¹

DATED at Lacey, Washington, and effective April 20, 2023.

WASHINGTON STATE UTILITIES AND TRANSPORTATION COMMISSION

AMANDA MAXWELL Executive Director and Secretary

¹ Judge O'Connell can be reached at <u>andrew.j.oconnell@utc.wa.gov</u> or (360) 664-1285.

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Inquiries may be addressed to: Executive Director and Secretary

Washington Utilities and Transportation Commission P.O. Box 47250 Olympia, WA 98504-7250 (360) 664-1160

<u>NOTICE</u>

Hearings are accessible to persons with disabilities and persons who do not speak English as a first language. If limited English-speaking or hearing-impaired parties or witnesses are involved in a hearing and need an interpreter, a qualified interpreter will be appointed at no cost to the party or witness.

If you need an interpreter, or have other special needs, please provide the information requested below via email to Paige Doyle, paralegal, at <u>paige.doyle@utc.wa.gov</u>.

(PLEASE SUPPLY ALL REQUESTED INFORMATION)

Docket:			
Case Name:			
Hearing Date:	Hearing Loca	tion:	
Primary Language: _			
Hearing Impaired:	(Yes)	(No)	
Do you need a certified sign language interpreter:			
Visual		Tactile	
Other type of assistance needed:			