



STATE OF WASHINGTON

UTILITIES AND TRANSPORTATION COMMISSION

1300 S. Evergreen Park Dr. S.W., P.O. Box 47250 • Olympia, Washington 98504-7250

(360) 664-1160 • TTY (360) 586-8203

June 30, 2015

Steven V. King, Executive Director and Secretary
Utilities and Transportation Commission
1300 S. Evergreen Park Dr. SW
P.O. Box 47250
Olympia, WA 98504-7250

RE: *Washington Utilities and Transportation Commission v. Stanley G. Alexander, Inc.*

Commission Staff's Response to Application for Mitigation of Penalties TV-150939

Dear Mr. King:

On June 1, 2015, the Utilities and Transportation Commission issued a \$1,000 Penalty Assessment in Docket TV-150939 against Stanley G. Alexander, Inc. for 10 violations of Washington Administrative Code (WAC) 480-15-480 which requires household goods carrier companies to furnish annual reports to the commission no later than May 1 each year.

On June 23, 2015 Stanley G. Alexander, Inc. wrote the commission requesting mitigation of penalties. In its mitigation request Stanley G. Alexander, Inc. does not dispute the violation occurred. The company's response states, "The party responsible for completing our Annual Report filings is no longer with the firm. Upon receipt of your letter we could not locate the 2014 annual report forms and the 2015 regulatory fee packets which you stated were mailed on February 27, 2015. However, we took immediate action to complete the enclosed 2014 annual report along with a check for the regulatory fees and penalties".

It is the company's responsibility to ensure that the regulatory fee is paid and the annual report is filed by the May 1 deadline. On February 27, 2015, Annual Report packets were mailed to all regulated household goods carriers. The instructions for annual report completion page of the annual report informed the regulated company that it must complete the annual report form, pay the regulatory fees, and return the materials by May 1, 2015, to avoid enforcement action.

On June 12, 2015 Stanley G. Alexander filed a complete annual report and paid the required regulatory fees and late payment penalty. The company has been active since 2008. Stanley G. Alexander, Inc. previously missed the deadline to file its annual report and pay regulatory fees for the 2008 reporting year. Despite the prior violation, staff supports the request for mitigation

UTC Annual Reports
June 30, 2015
Page 2

due to the recent history of compliance. Staff recommends a reduced penalty of \$50 per day for a total penalty assessment of \$500.

If you have any questions regarding this recommendation, please contact Amy Andrews, Regulatory Analyst, at (360) 664-1157, or aandrews@utc.wa.gov.

Sincerely,



Sondra Walsh, Director
Administrative Services