



STATE OF WASHINGTON

UTILITIES AND TRANSPORTATION COMMISSION

1300 S. Evergreen Park Dr. S.W., P.O. Box 47250 • Olympia, Washington 98504-7250

(360) 664-1160 • TTY (360) 586-8203

June 10, 2015

Steven V. King, Executive Director and Secretary
Utilities and Transportation Commission
1300 S. Evergreen Park Dr. SW
P.O. Box 47250
Olympia, WA 98504-7250

RE: *Washington Utilities and Transportation Commission v. Crown Moving Company, Inc.*

Commission Staff's Response to Application for Mitigation of Penalties TV-150900

Dear Mr. King:

On May 27, 2015, the Utilities and Transportation Commission issued a \$1,000 Penalty Assessment in Docket TV-150900 against Crown Moving Company, Inc. for 10 violations of Washington Administrative Code (WAC 480-15-480), which requires household goods carrier companies to furnish annual reports to the commission no later than May 1 each year.

On June 1, 2015, America's Elite, Inc. wrote the commission requesting mitigation of penalties. In its mitigation request, Crown Moving Company, Inc. does not dispute the violation occurred. The company states, "I have attached an updated annual report that includes all of the requested information. Historically, we have not provided this information. We have noted "statistics not available" and not been penalized. We will provide going forward".

It is the company's responsibility to ensure that the regulatory fee is paid and the annual report is filed by the May 1 deadline. On February 27, 2015, Annual Report packets were mailed to all regulated household goods companies. The instructions for annual report completion page of the annual report informed the regulated company that it must complete the annual report form, pay the regulatory fees, and return the materials by May 1, 2015, to avoid enforcement action.

On May 5, 2015, Crown Moving Company, Inc. filed an incomplete 2014 annual report and paid the required regulatory fees. On June 1, 2015, the company filed the required information and the report was considered complete.

¹See Attachment A for a copy of the 2013 Annual Report submitted by Crown Moving Co, Inc.

²See attachment B for a copy of email communications sent on May 7, 2014 and May 9, 2014

UTC Annual Reports
June 10, 2015
Page 2

The mileage and household goods moves information omitted from Schedule 1 of the 2014 report, which resulted in the report being incomplete, was also omitted from the 2013 report¹. However, commission staff contacted the company regarding the 2013 report requiring the information be submitted on May 7, 2014 via email. The company complied on May 9, 2014 via email². During the 2013 reporting year, no penalty was assessed as the commission had previously accepted Crown Moving Company's report without the mileage data.

Crown Moving Company, Inc. previously missed the deadline for filing its annual report and paying its regulatory fees for the 2012 annual report year. Due to the prior violation of WAC 480-15-480 and prior notification of required mileage and household goods moves data, staff does not support any mitigation.

If you have any questions regarding this recommendation, please contact Amy Andrews, Regulatory Analyst, at (360) 664-1157, or aandrews@utc.wa.gov.

Sincerely,

A handwritten signature in black ink that reads "Brian L. Dillinger (for Sondra Walsh)". The signature is written in a cursive style.

Sondra Walsh, Director
Administrative Services

HOUSEHOLD GOODS CARRIERS

**2013
ANNUAL REPORT**

RECEIVED
MAY 01 2014
WASH. UT. & TP. COMM

FOR

Crown Moving Co, Inc

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

PO Box 88320

(OFFICIAL MAILING ADDRESS)

Seattle

(CITY)

WA

(STATE)

98138

(ZIP)

Please check if address listed above is an updated address

Report Year Ended: December 31, 2013

Inquiries concerning this Annual Report should be addressed to:

Name/Title: Scott Robertson, President
Address: PO Box 88320
City: Seattle
State/Zip: WA 98138
Telephone: 253-336-2500
Email: srobertsa@crownmoving.com

SUBMIT TO:
WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION
PO Box 47250
Olympia, WA 98504-7250

File online: www.utc.wa.gov

WASHINGTON



UTILITIES AND TRANSPORTATION
COMMISSION

REPORT MUST BE RECEIVED NO LATER THAN MAY 1, 2014

Please refer to the Instructions for Completing the Annual Report on Page 2

INSTRUCTIONS FOR ANNUAL REPORT COMPLETION

Commission Authority

The purpose of this form is to collect financial and operational information from household goods companies regulated by the Washington Utilities and Transportation Commission (UTC). The commission's authority for requiring this report is found in RCW 81.04.080. This report is a non-confidential public use form.

Certification

The Annual Report Certification must be signed by an authorized officer, partner or owner.

Regulatory Fees

Regulatory fees are set by commission order A-140166.

Deadlines and Penalties

All household goods companies regulated by the UTC are required to complete this form, including all schedules. Failure to complete all schedules will result in the report being considered incomplete and subject to penalties. Completed forms and regulatory fee payments must be received by the UTC no later than **May 1, 2014**. Failure to file the annual report by the above deadline will result in a financial penalty of \$100 for each business day after May 1. Failure to pay the regulatory fees by the above deadline will result in a 2 percent penalty on the amount due and a 1 percent monthly interest charge on the unpaid balance.

Extension Requests

You may file a written request for an extension to file the completed annual report; however, the commission will not extend the deadline for paying regulatory fees. Any extension request must be filed with the commission by **April 17, 2014**, and must state a valid reason for why the extension is needed and identify a specific date which the report will be filed with the commission. The commission will notify you by **April 24** whether your request is approved or denied. Even if your request is approved, you will still be liable for penalties and interest payments if you fail to pay your regulatory fees by **May 1**. To file your extension request online visit:

<http://www.utc.wa.gov/docs/Pages/ElectronicFiling.aspx>

No longer operating in Washington

You may cancel your registration or permit by using the Voluntary Cancellation Form available at:

<http://www.utc.wa.gov/regulateIndustries/transportation/householdGoods/Pages/default.aspx>

However, if your company operated in Washington at any time during the 2013 calendar year, you must pay regulatory fees and file a closing annual report based on the months of operation during 2013.

Confidential Status

Household goods companies are not permitted to file annual report documents as confidential. If a company regulated under RCW Title 81 submits its annual report or fee sheet as confidential, the commission will reject that submission and will not consider the report to be filed until the date the company submits the report and all required documents and information without any designation of confidentiality. If that date is after **May 1**, the company will be subject to the penalties described above. The commission will not disclose credit card information, if included, on the Payment Information Page or via online filing.

Electronic Filing and Payment

To obtain an electronic format of the report, submit a report online or pay your regulatory fees online visit:

www.utc.wa.gov/regulateIndustries/pages/annualreports

Staff Contact

Rae Lynn Carnes at (360) 664-1152 or rcarnes@utc.wa.gov

TTY Toll-Free phone number 1-800-416-5289

ANNUAL REPORT CERTIFICATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned Scott Robertson
Responsible Account Officer (Please Print)
of Crown Moving Co., Inc.
Name of Company

have examined the foregoing report; that, to the best of my knowledge and belief, all statement of fact contained in said report are true and said report is a correct statement of the business and affairs of the above-names respondent in respect to each and every matter set forth therein during the period from January 1, 2013, to December 31, 2013, inclusive.

President

Title
(please print)

206-336-2500

Telephone Number

Signature

(please type if filing electronically)

4/28/14

Date

GENERAL INFORMATION

Washington Unified Business Identifier (UBI) No.: _____
(If you do not know your UBI No. please contact Business Licensing Service at 1-800-451-7985 or BLS@dor.wa.gov)

Business Structure (please check the appropriate designation):

Individual / Sole Proprietor Partnership Other (LP, LLP, LLC) Corporation Nonprofit Corporation

List the name, title, and percentage of partner's share or stock distribution for major stockholders. If LLC, list members and percentage of ownership.

Name	Title	Percent / Shares / Stock / Ownership
<u>Scott Creek</u>	<u>Chairman</u>	<u>67</u>
<u>Mark Creek</u>	<u>Vice President</u>	<u>25</u>
<u>Scott Robertson</u>	<u>President</u>	<u>8</u>

SCHEDULE 1

(complete all information for the year 2013)

Staff Contact Information

Safety Director Name: Michael W Cline Phone: 206-383-5594
 Claims Manager Name: Michael W. Cline Phone: 206-383-5594

Vehicle and Mileage Information

Driver and Vehicle Information		Mileage Information	
Drivers employed	61	Total Operating Miles	
Total vehicles operated	55	Intrastate	
Total vehicles owned		Interstate	
Total vehicles leased		<i>Intrastate: Trips that operate exclusive within WA</i>	
Total vehicles under 10,000 lbs. (gvw rating)	2	<i>Interstate: Trips that operate outside of WA</i>	

Recordable Intrastate and Interstate Accidents

Recordable Accidents	Intrastate	Interstate
A fatality	0	0
An injury to a person requiring immediate treatment away from the scene of the accident	1	0
Disabling damage to a vehicle, requiring it to be towed from the accident scene	2	0
Total number of recordable accidents	2	

General Operations

Terminal Facilities	Storage Facilities
Do you operate terminals at locations other than the primary address of record? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <small>If yes, list (or attach list) the address of each terminal located in Washington State:</small>	Do you operate your own storage facilities? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <small>If yes, list (or attach list) the address of each warehouse located in Washington State:</small>
<u>1071 Andover Park W, Tukwila, WA 98188</u>	<u>Same as Terminals</u>
<u>705 SE Victory Ave, Suite 100, Vancouver 98661</u>	
<u>5128 Randolph Road NE, Moses Lake 98837</u>	
<u>16712 E Maricopa, Spokane 99216</u>	

Interstate Operations	Agent Operations
Do you have interstate operating authority? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <small>If yes, list you MCI#:</small>	Do you operate as the agent of an interstate carrier? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <small>If yes, what is the name of the carrier:</small>
MCI#: <u>MC407222</u>	Carrier Name: <u>Mayflower/United Van Lines</u>

Household Goods Moves		
	Total Company	Intrastate (WA only)
Number of household goods moves completed during the year	<u>Statistics</u>	—
Number of written estimates issued during the year	<u>not</u>	—
Number of Loss and/or Damage Claims received during the year	<u>available</u>	—

Cargo Insurance

Cargo Insurance Company Name: Vanliner
 Cargo Insurance Policy Number: TRV321570108

SCHEDULE 2

(this schedule is MANDATORY unless reporting "\$0" revenue)

OPERATING REVENUES		
1	Gross Washington Intrastate Operating Revenue	\$ 1,694,351.00
2	Non-Fee Paying Revenue	
3	Balance-Adjusted Gross Intrastate Operating Revenue (Line 1 minus Line 2)	\$ 1,694,351.00
OPERATING EXPENSES		
4	Total Salaries and Wages	\$ 257,699.00
5	Total Payroll Taxes and Related Expenses	\$ 92,772.00
6	Total Payroll Fringe Benefits	\$ 37,787.00
7	Fuel, Including Fuel Tax	\$ 293,312.00
8	Oil and Lubricants; Repairs; Vehicle Parts and Outside Maintenance; Tires and Tubes; Other Operating Supplies and Expenses	\$ 84,675.00
9	Total General Supplies & Expenses	\$ 33,638.00
10	Total Operating Taxes & Licenses	\$ 35,931.00
11	Total Insurance & Safety	\$ 275,001.00
12	Total Communications & Utilities	\$ 13,419.00
13	Total Depreciation & Amortization	\$ 138,741.00
14	Total Operating Rents	\$ 132,074.00
15	Gain or Loss on Disposition of Operating Assets	\$ (5,438.00)
16	Legal Services	
17	Accounting Services	\$ 21,284.00
18	Uncollectible Revenue; Other Miscellaneous Expenses and Professional Fees	\$ 155,224.00
19	TOTAL OPERATING EXPENSES (Total of Lines 4 through 18)	\$ 1,566,119.00
20	NET CARRIER OPERATING INCOME (Line 1 minus Line 19)	\$ 128,232.00
OTHER INCOME AND DEDUCTIONS		
21	Other Income (Credit) <i>Describe:</i>	
22	Interest Expense	\$ 41,751.00
23	Corporate Income Tax	
24	Other Deductions <i>Describe:</i>	
25	INCOME DEDUCTIONS (Total of Lines 21 through 24)	\$ 41,751.00
26	NET INCOME (Line 20 minus Line 25)	\$ 86,481.00

REGULATORY FEE CALCULATION SCHEDULE

Due May 1, 2014

Company Name Crown Moving Co., Inc.

Annual Report Year
2013

In accordance with RCW 81.24.010 and 81.24.321 "Regulatory Fees", the Commission requires Household Goods companies to file reports of gross intrastate revenue and pay fees on that revenue. Every company subject to regulation shall file with the Commission a statement under oath showing its gross intrastate operating revenue from operations for the preceding year and pay to the Commission a fee as instructed below. There is no minimum fee.

Regulatory Fee Calculations

1 Total Gross Intrastate Operating Revenue** (From Schedule 2, Line 1)		\$1,694,351.00
2 Less Non Fee-Paying Revenue (from Schedule 2, Line 2)		
3 Balance-Adjusted Gross Intrastate Operating Revenue (Line 1 minus Line 2)		\$1,694,351.00
4 Adjusted Gross Intrastate Operating Revenue x .25%	\$1,694,351.00 x 0.0025	\$4,235.88

Agency Use Only 001-111-0268-207-01

Penalty & Interest Calculations

5 Penalties on Regulatory Fees being paid after May 1			
5a Total Penalties on Regulatory Fees owed (enter amount from Line 4 x 2%)	x 0.02		\$0.00
6 Interest on Regulatory Fees being paid after May 31			
6a Amount from Line 4 x Number of months past May 31 x 1%	x x 0.01		\$0.00
7 Total Penalties and Interest owed (Line 5a plus Line 6a)			\$0.00
8 Total Regulatory, Penalties and Interest Fees Due (Line 4 plus Line 7)			\$4,235.88

Agency Use Only 001-111-0268-207-11

****Note:** Gross Washington intrastate operating revenue is defined as all revenue collected for the year from rates under Washington Utilities and Transportation Commission Tariff 15C. The revenues subject to the Commission's regulatory fees are gross Washington intrastate operating revenues before deductions for uncollectibles, unbillables, or the payment of state and federal taxes.

COMMISSION USE ONLY

Reception #:	<u>050369</u>	001-111-0268-207-01	<u>4,235.88</u>
Reference:	<u>AR2013</u>	001-111-0268-207-11	
Payment ID:	<u>AM 129478</u>	001-111-0268-032-2C	
		Total Paid:	<u>4,235.88</u>

ATTACHMENT B

Andrews, Amy (UTC)

From: Stephanie Ostrom (Seattle Corp) <SOstrom@crownmoving.com>
Sent: Friday, May 09, 2014 11:42 AM
To: Andrews, Amy (UTC)
Subject: RE: Incomplete Annual Report Notification for Crown Moving Co, Inc.
Attachments: UTC Schedule 1 Revision.pdf

Hello Amy,

Here is an updated Schedule 1.

Thank you,

Stephanie Ostrom

From: Andrews, Amy (UTC) [mailto:aandrews@utc.wa.gov]
Sent: Wednesday, May 07, 2014 4:37 PM
To: Stephanie Ostrom (Seattle Corp)
Cc: Andrews, Amy (UTC)
Subject: RE: Incomplete Annual Report Notification for Crown Moving Co, Inc.

Stephanie,

The information is required for the report to be considered complete. I understand in past years the report was accepted with the verbiage "Statistics Not Available" but this information is used by the commission for a variety of purposes. My apologies for the delay in response but I wanted to verify with the Assistant Director of Transportation Services regarding the requirement to file this information. Due to this delay, we are extending a period for your response.

Please provide the requested information **no later than May 9, 2014** to avoid enforcement action.

Thank you,

Amy Andrews

Fiscal Analyst
Utilities & Transportation Commission
PO Box 47250
Olympia, WA 98504-7250
☎360.664.1157
☎360.664.1289
🌐www.utc.wa.gov

From: Stephanie Ostrom (Seattle Corp) [mailto:SOstrom@crownmoving.com]
Sent: Tuesday, May 06, 2014 12:40 PM
To: Andrews, Amy (UTC)
Subject: Incomplete Annual Report Notification for Crown Moving Co, Inc.

Amy,

Scott Robertson is out of the office today and asked that I respond. We entered "Statistics Not Available" in those two sections just as we had on the last two years reports.

Thank you,

Stephanie Ostrom
Accounting Manager
Crown Moving Co., Inc.

From: "Andrews, Amy (UTC)" <aandrews@utc.wa.gov>
Date: May 5, 2014 at 3:17:49 PM PDT
To: "srobertson@crownmoving.com" <srobertson@crownmoving.com>
Cc: "Andrews, Amy (UTC)" <aandrews@utc.wa.gov>
Subject: Incomplete Annual Report Notification for Crown Moving Co, Inc.

Dear Regulated Company,

On February 28, 2014 the Utilities and Transportation Commission (commission) mailed you an Annual Report. The report and fees are due by May 1, 2014.

A review of your annual report submitted May 1, 2014 indicates it is incomplete.

- <!--[if !supportLists]--><!--[endif]-->**Schedule 1 – Mileage Information not provided**
- <!--[if !supportLists]--><!--[endif]-->**Schedule 1 – Household Goods Moves information not provided**

Commission staff may recommend enforcement action, which includes penalties of up to \$100 per day, against any company that does not file a completed annual report. In order to avoid enforcement action, the commission must receive your completed report no later than May 1, 2014.

If you have any questions about your annual report please call me at (360) 664-1157 or e-mail at aandrews@utc.wa.gov.

Amy Andrews

Fiscal Analyst
Utilities & Transportation Commission
PO Box 47250
Olympia, WA 98504-7250
☎ 360.664.1157
☎ 360.664.1289
🌐 www.utc.wa.gov

Crown Moving

SCHEDULE 1

(Complete all information for the year 2013)

Staff Contact Information

Safety Director Name: Michael W. Cline Phone: 206-383-5594
 Claims Manager Name: Michael W. Cline Phone: 206-383-5594

Vehicle and Mileage Information

Driver and Vehicle Information		Mileage Information	
Drivers employed	61	Total Operating Miles	
Total vehicles operated	55	Intrastate	96,193
Total vehicles owned		Interstate	524,403
Total vehicles leased		Intrastate: Trips that operate exclusive within WA	
Total vehicles under 10,000 lbs. (gvw rating)	2	Interstate: Trips that operate outside of WA	

Recordable Intrastate and Interstate Accidents

Recordable Accidents	Intrastate	Interstate
A fatality	0	0
An injury to a person requiring immediate treatment away from the scene of the accident	1	0
Disabling damage to a vehicle, requiring it to be towed from the accident scene	2	0
Total number of recordable accidents	3	

General Operations

Terminal Facilities	Storage Facilities
Do you operate terminals at locations other than the primary address of record? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, list (or attach list) the address of each terminal located in Washington State: <u>1071 Andover Park W, Tukwila, WA 98188</u> <u>705 SE Victoria Ave, Suite 100, Vancouver 98661</u> <u>5128 Randolph Road NE, Moses Lake, 98837</u> <u>16212 E. Manetta, Spokane 99216</u>	Do you operate your own storage facilities? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, list (or attach list) the address of each warehouse located in Washington State: <u>Same as Terminals</u>

Interstate Operations	Agent Operations
Do you have Interstate operating authority? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, list your MCM: MCM: <u>MC407222</u>	Do you operate as the agent of an interstate carrier? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, what is the name of the carrier: Carrier Name: <u>Mayflower/Walton Van Lines</u>

Household Goods Moves

	Total Company	Intrastate (WA only)
Number of household goods moves completed during the year	3850	840
Number of written estimates issued during the year	505	460
Number of Loss and/or Damage Claims received during the year	159	11

Cargo Insurance

Cargo Insurance Company Name: Vanliner
 Cargo Insurance Policy Number: TRV321570108

