

**BEFORE THE WASHINGTON STATE  
UTILITIES AND TRANSPORTATION COMMISSION**

In re Penalty Assessment No.	)	DOCKET UT-090962
UT-090962	)	
	)	ORDER 01
UMPQUA INDIAN DEVELOPMENT	)	
CORP. D/B/A RIO	)	
COMMUNICATIONS	)	INITIAL ORDER RESCINDING
	)	\$200 PENALTY
.....	)	

1     ***Synopsis.** This is an Administrative Law Judge’s Initial Order that is not effective unless approved by the Commission or allowed to become effective pursuant to the notice at the end of this Order. This order proposes to rescind a penalty assessment due to the Commission’s failure to mail the annual report package to the proper address.*

2     On June 26, 2009, the Washington Utilities and Transportation Commission (Commission) served a penalty assessment against Umpqua Indian Development Corp. d/b/a Rio Communications (Umpqua) in the amount of \$200 for a repeat violation of Washington Administrative Code 480-120-382 which requires competitive telecommunications carriers to file annual reports with the Commission by May 1 each year. In the penalty assessment order, the Commission alleged Umpqua failed to file its 2008 annual report by the May 1 deadline.

3     Umpqua contacted the Commission on June 30, 2009, advising that the annual report packet may have been mailed to an incorrect address. Commission staff investigated the issue and determined that the Commission mailed the annual report packet to an incorrect address.

4     In its July 31, 2008, mitigation request relating to a penalty for the company’s 2007 annual report, Carla Dolan, Controller for Umpqua, filed with the Commission written notice of an address change for the company, stating that the address the Commission was using was for Umpqua’s Chairman, not the company itself. Ms. Dolan directed the Commission that all mail for Umpqua should be sent to 520 SE Spruce Street, Roseburg, Oregon 97470. Commission Staff failed to make the

necessary change in the Commission's records and subsequently sent Umpqua's 2008 annual report packet to Post Office Box 456, Canyonville, Oregon 97417.

- 5 There is good cause to rescind the penalty assessment issued against Umpqua in this matter, as the Commission failed to mail the annual report packet to the company's correct mailing address.

**ORDER**

- 6 The Commission rescinds the \$200 penalty issued in the original order in this docket.

DATED at Olympia, Washington, and effective July 2, 2009.

WASHINGTON STATE UTILITIES AND TRANSPORTATION COMMISSION

ANN E. RENDAHL  
Director, Administrative Law Division

**NOTICE TO THE PARTIES**

This is an Initial Order. The action proposed in this Initial Order is not yet effective. If you disagree with this Initial Order and want the Commission to consider your comments, you must take specific action within the time limits outlined below. If you agree with this Initial Order, and you would like the Order to become final before the time limits expire, you may send a letter to the Commission, waiving your right to petition for administrative review.

WAC 480-07-825(2) provides that any party to this proceeding has twenty (20) days after the entry of this Initial Order to file a *Petition for Administrative Review*. What must be included in any Petition and other requirements for a Petition are stated in WAC 480-07-825(3). WAC 480-07-825(4) states that any party may file an *Answer* to a Petition for review within (10) days after service of the Petition.

WAC 480-07-830 provides that before entry of a Final Order any party may file a Petition to Reopen a contested proceeding to permit receipt of evidence essential to a decision, but unavailable and not reasonably discoverable at the time of hearing, or for other good and sufficient cause. No Answer to a Petition to Reopen will be accepted for filing absent express notice by the Commission calling for such answer.

RCW 80.01.060(3) provides that an initial order will become final without further Commission action if no party seeks administrative review of the initial order and if the Commission fails to exercise administrative review on its own motion.

One copy of any Petition or Answer filed must be served on each party of record with proof of service as required by WAC 480-07-150(8) and (9). An Original and **3** copies of any Petition or Answer must be filed by mail delivery to:

Attn: David W. Danner, Executive Director and Secretary  
Washington Utilities and Transportation Commission  
P.O. Box 47250  
Olympia, Washington 98504-7250