



## COLUMBIA RIVER FLOW AND DROUGHT SINCE 1750

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\* JISAO Contribution Number 1072

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1    **ABSTRACT**

2    A network of 32 drought sensitive tree-ring chronologies is used to reconstruct mean  
3    water year flow on the Columbia River at The Dalles, Oregon, since 1750. The  
4    reconstruction explains 30 percent of the variability in mean water year (Oct. – Sept.)  
5    flow, with a large portion of unexplained variance caused by underestimates of the most  
6    severe low-flow events. Residual statistics from the tree-ring reconstruction, as well as  
7    an identically specified instrumental reconstruction, exhibit positive trends over time.  
8    This finding suggests that the relationship between drought and streamflow has changed  
9    over time, supporting results from hydrologic models, which suggest that changes in land  
10    cover over the 20th century have had measurable impacts on runoff production. Lowpass  
11    filtering the flow record suggests that persistent low flows during the 1840s were  
12    probably the most severe of the past 250 years, but that flows during the 1930s were  
13    nearly as extreme. The period from 1950 to 1987 is anomalous in the context of this  
14    record for having no notable multiyear drought events. A comparison of the flow  
15    reconstruction to paleorecords of the Pacific Decadal Oscillation (PDO) and El Niño /  
16    Southern Oscillation (ENSO) support a strong 20th century link between large-scale  
17    circulation and streamflow, but suggests that this link is very weak prior to 1900.

18

19    **KEY TERMS:**

20    Drought, climate change, dendrochronology, Columbia River, Pacific Decadal  
21    Oscillation, tree rings, paleohydrology.

1

2 **INTRODUCTION**

3 The Columbia River Basin is the second largest drainage basin in the United States, and  
4 supports a diverse range of human and natural interests, including hydroelectric  
5 production, agricultural irrigation, navigation, fish stocks (including endangered salmon  
6 runs), fisheries, recreation, and human habitation (Bonneville Power Administration *et al.*  
7 2001). Imposed on these interests is the need to minimize the risk of floods. In many  
8 years the demands imposed on the Columbia River system account for more water than  
9 flows through the system, leaving managers especially vulnerable to low-flow years  
10 (Cohen *et al.* 2000; Miles *et al.* 2000). The storage potential of the Columbia is already  
11 fully exploited, so adaptations to variability or changes in the supply of water need to be  
12 driven by reductions in demand rather than through infrastructure developments  
13 (Bonneville Power Administration *et al.* 2001). Additionally, numerical models suggest  
14 that future climate change could substantially reduce the capacity of the Columbia River  
15 to meet societal water demands (Hamlet and Lettenmaier 1999b). A longer record of  
16 streamflow variability in the Columbia River system would help water planners to  
17 develop contingency plans for extreme events by providing a longer context for drought  
18 assessment (Stockton 1990; Loaiciga *et al.* 1993; Meko *et al.* 1995). In particular, the  
19 gauged record on the Columbia probably does not contain all the relevant low-frequency  
20 fluctuations, abrupt shifts in flow that might be caused by changing climatic regimes, or

1 multiyear drought events that are relevant to water-resource planners (Stockton and  
2 Jacoby 1976).

3       Climate-sensitive tree-ring chronologies provide the opportunity to extend  
4 instrumental records of streamflow by exploiting the strong links between climate and  
5 runoff (Cayan 1989, 1996; Moore 1996; Nigam *et al.* 1999). In particular, the Columbia  
6 River system is sensitive to climatic forcing associated with the Pacific Decadal  
7 Oscillation (PDO) and El Niño / Southern Oscillation (ENSO) (Hamlet and Lettenmaier  
8 1999a). Well verified tree-ring based reconstructions have been undertaken for the  
9 Sacramento River Basin (Earle 1991; Meko *et al.* 2001), the Gila River (Meko and  
10 Graybill 1995), Crater Lake (Peterson *et al.* 1999), the Colorado River (Stockton and  
11 Jacoby 1976; Hidalgo *et al.* 2001), and many smaller basins (see reviews in Jones *et al.*  
12 1984; and Stockton 1990). Trees of the Pacific Northwest are very long-lived, often  
13 reaching ages that exceed 1000 years (Brubaker 1986; Peterson and Peterson 1994;  
14 Laroque and Smith 1999; Gedalof and Smith 2001a). Many of these species are sensitive  
15 to climatic variability, including Douglas-fir (*Pseudotsuga menziesii*) (Wiles *et al.* 1996;  
16 Zhang 1996; Biondi *et al.* 2001), subalpine fir (*Abies lasiocarpa*) (Peterson and Peterson  
17 1994; Ettl and Peterson 1995), ponderosa pine (*Pinus ponderosa*) (Graumlich 1987),  
18 subalpine larch (*Larix lyallii*) (Colenutt and Luckman 1991; Peterson and Peterson 1994;  
19 Colenutt and Luckman 1995), Engelmann spruce (*Picea engelmannii*), (Luckman and  
20 Colenutt 1992; Peterson and Peterson 1994), and others (Fritts 1991; Schweingruber  
21 1993). The primary objective of this research is to reconstruct streamflow in the

1 Columbia River Basin using dendrohydrological techniques, and to use this  
2 reconstruction to contextualize the instrumental record.

3

#### 4 DATA

5 Recent efforts to compile tree-ring chronologies into centralized data banks (e.g.  
6 Grissino-Mayer and Fritts 1997) provide the opportunity to compile networks of climate  
7 sensitive tree-ring chronologies for the analysis of large-scale climatic processes (Minobe  
8 1997; Kadosuga *et al.* 1999; Gedalof and Smith 2001b; McKenzie *et al.* 2001). Two  
9 such data banks were used in this analysis: the International Tree-Ring Data Bank  
10 (ITRDB), and the University of Arizona “Past PDO working group” data set  
11 (<https://pastpdo.ltrr.arizona.edu>). From these data banks all tree-ring chronologies lying  
12 within (or near to) the Columbia River Basin were compiled into a regional data set.  
13 Because the analysis of these data relies on eigenvector techniques, which are limited to  
14 the temporal interval common to all sites, all chronologies that were collected prior to  
15 1985 were excluded from further analysis. This screen resulted in a pool of 66 potential  
16 chronologies. The choice of a starting year for the analysis involved a tradeoff between a  
17 relatively short but data rich reconstruction and a much longer but data sparse  
18 reconstruction. By focusing on the post-1750 interval it was possible to work with the  
19 widest range of tree species and locations – thereby providing the best insight into the

1 underlying dynamics. Of the 66 potential chronologies 57 extend back to 1750 and  
2 were retained for further investigation.

3 Individual ring-width series were transformed into stationary, dimensionless,  
4 indices in order to remove trends in growth related to tree-age and stand dynamics (Cook  
5 1987). This transformation was undertaken in two steps using the computer program  
6 ARSTAN (Cook and Holmes 1986). First, a negative exponential curve or linear trend  
7 was fit to each series, and each observed ring width in the series was divided by this  
8 “expected” value. Next, each series was detrended a second time by fitting a cubic  
9 smoothing spline with a 50 percent frequency cutoff of 128 years to the residual series  
10 (Cook *et al.* 1990). This frequency cutoff was chosen in order to preserve low-frequency  
11 information potentially related to the PDO. This filter was sufficiently flexible to  
12 effectively remove most of the stand dynamics effects that were encountered, but still  
13 preserves 90 percent of the variance at periods shorter than 75 years (Cook and Peters  
14 1981; Cook *et al.* 1990). Each ring-width-index series was prewhitened using  
15 autoregressive moving average (ARMA) models, to remove any autocorrelation effects  
16 (Biondi and Swetnam 1987; Cook 1987), before being combined into a single  
17 representative site chronology using a robust mean (Mosteller and Tukey 1977).  
18 Prewhitening is necessary because tree-ring records often exhibit year-to-year persistence  
19 due to nutrient storage, foliage production, recovery from disturbance, and other  
20 biological processes (Fritts 1976).

1 Streamflow data for the Columbia River were provided by the Bonneville Power  
2 Administration. These data have been “naturalized” to remove the influences of water  
3 diversion and storage and changes in evaporation (A.G. Crook Company 1993; Hamlet *et*  
4 *al. in review*). This procedure uses an empirical flow model to incorporate records of  
5 reservoir volume, water withdrawal, and theoretical evaporative losses from reservoir  
6 surfaces, and derive a record of what flow at The Dalles would have been in the absence  
7 of regulatory structures. While it is impossible to verify the representativeness of this  
8 record, the naturalization procedure employed is physically defensible and the resulting  
9 record is consistent with several independent estimates of past flow (Hamlet *et al. in*  
10 *review*; Hamlet, pers. comm.). The analysis focused on reconstructing mean water year  
11 (October to September) flow at The Dalles, Oregon, for three principal reasons: (1) the  
12 flow record at The Dalles integrates variability over a large portion of the Columbia  
13 River drainage basin, and is therefore representative of the large-scale processes  
14 occurring within the system; (2) water resources managers use flow at The Dalles to  
15 develop a number of operational applications, so statistics for this location are likely to be  
16 of practical utility; and (3) flow at The Dalles has been the focus of other investigations,  
17 so the results of this study will provide a direct basis for comparison. Flow at The Dalles  
18 has been routinely gauged since 1878, but “naturalized” records extend back only to 1931  
19 due to quality assurance concerns in the early portion of the record. A log-10  
20 transformation was applied to induce normality in the water year average flow data.



1           The U.S. "Time Bias Corrected" state climatic divisional data set (Guttman and  
2 Quayle 1996) was used to investigate relationships between radial growth and climate,  
3 and between streamflow and climate. Because the divisional data are developed from  
4 area-weighted averages of temperature and precipitation over regions of relatively  
5 homogeneous climate they are often considered preferable for dendroclimatic analyses  
6 (Brubaker 1980; Blasing *et al.* 1981; Heikkinen 1985; Ettl and Peterson 1995). Monthly  
7 values of temperature, precipitation and Palmer drought severity index (PDSI) data are  
8 available for the interval 1895 to present.

9

## 10   **METHODS**

11   The pool of available chronologies was screened for sensitivity to drought in order to  
12 restrict the pool of predictors to candidates exhibiting a physically consistent relationship  
13 to hydrologic variability. Pearson correlation coefficients were calculated between  
14 annual radial growth index and monthly PDSI values within the pertinent climate division  
15 over the interval from December of the year preceding growth to August of the year of  
16 growth. Sites that did not exhibit a significant correlation to drought during at least one  
17 month were removed from the analysis. Because PDSI is not calculated in Canada an  
18 alternative screening process was required. For sites close to the United States border,  
19 nearby representative climate divisions were identified. For more distant sites the  
20 correlation to precipitation was used as a guide.

1 Principal components analysis (PCA) was applied to the subset of screened  
2 chronologies to derive a reduced set of independent predictors. From this analysis, only  
3 the eigenvectors that exhibited physically meaningful loadings and had eigenvalues that  
4 were statistically greater than 1.0 were retained for further investigation (North *et al.*  
5 1982). This approach is distinct from most other dendrohydrological reconstructions that  
6 typically include non-zero lags of radial growth increment and retain a large number of  
7 principal components as regressors (but see Hidalgo *et al.* 2001). Limiting the number of  
8 statistical predictors considered minimizes the probability of identifying spurious  
9 relationships, or of overfitting the regression model. Each principal component (PC) was  
10 tested for significant autocorrelation and, where needed, was prewhitened using the  
11 appropriate ARMA model. This second prewhitening may be required if the common  
12 between-site variability is characterized by a red-noise process, but is obscured due to  
13 site-specific noise. In this case, the principal component solution may capture the  
14 common, autocorrelated, variability in a high-order eigenvector and defer the site-specific  
15 “noise” to lower eigenvectors (Gauch 1980). In all cases an AR(1) or AR(0) model was  
16 sufficient to describe the autocorrelative structure of the series. The water year average  
17 streamflow record exhibited no serial autocorrelation.

18 Many regression models are possible given the large number of potential  
19 predictors. In order to identify the most appropriate model a bootstrapping technique was  
20 applied to assess the stability of regression coefficients. Bootstrapping is a method of  
21 estimating the standard errors of statistical estimators and related parameters in cases

1 where the data set is small, or where no theory exists for its underlying distribution  
2 (Efron and Tibshirani 1997). The method proceeds by developing many subsets of the  
3 data (termed pseudo-data sets) using random sampling with replacement. Each pseudo-  
4 data set is used to estimate a set of regression coefficients. This set of estimates can then  
5 be used to derive the frequency distribution of the actual regression parameters.  
6 Bootstrapped statistics have been shown to be robust even when residuals are non-  
7 normative, autocorrelated, or when the data set is too short for normal statistical  
8 estimators (Fritts *et al.* 1990). In this application, regression models were estimated from  
9 each pseudo-data set, with PCs entered stepwise in descending order of eigenvalue (i.e.  
10 PC1 then PC2, etc.). This process was replicated 10,000 times in order to derive  
11 summary statistics. Regression coefficients whose value exhibited the same sign more  
12 than 9,500 times were considered significantly different from zero at the 95 percent  
13 confidence level.

14 Cross-validation statistics were generated by iteratively removing every third year  
15 from the data input to the regression model and using the remaining two-thirds of the  
16 years to predict the withheld years. This process was repeated three times, incrementing  
17 the starting position by one year each time, to develop a reconstruction for the full  
18 calibration interval (1931 - 1987) that does not use data from any given year to predict  
19 that year's streamflow value. The Pearson correlation coefficient and the reduction of  
20 error (RE) statistic (Fritts *et al.* 1990) were generated from this cross-validated record as  
21 indicators of model performance. A second regression model, using the entire calibration

1 interval to estimate the regression coefficients, was developed in order to reconstruct  
2 flow since 1750. For comparative purposes the performance statistics for this model  
3 were also calculated.

4 Two additional tests of regression stability were also undertaken to assess the  
5 possible violation of regression assumptions. The gauged record of streamflow at The  
6 Dalles appears to be non-stationary in two respects: (1) the mean appears to be increasing  
7 over the calibration interval used in this analysis; and (2) the variance appears to be  
8 reduced over the interval from ca. 1950 to 1970. As it turns out, the apparent trend in the  
9 gauged flow record is not significant ( $p = 0.10$ ), and in fact is *negative* rather than  
10 positive if the recent (post-1987) portion of the gauged record is included – due to a  
11 sequence of particularly low-flow years in the 1990s. Furthermore, if this trend is  
12 linearly removed from the gauged record the results presented below are not changed in  
13 any meaningful way. In contrast, the interval of reduced variance is significant using *a*  
14 *priori* statistical tests. Because the variance does not change in proportion to the  
15 magnitude of the flow record, though, it is difficult to assess how this feature might bias  
16 the analysis. To ensure that this bias is not problematic a constant variance was imposed  
17 on each of the three segments, identified visually as 1931 – 1949, 1950 – 1970, and 1971  
18 – 1987, and reran the analysis using this alternative calibration data set. Again, the  
19 results presented below were not changed in any meaningful way; specifically the same  
20 set of predictors was chosen, and the regression coefficients were of the same sign and  
21 approximate magnitude. Lastly, in the results below the reconstructed streamflow also

1 exhibits reduced variance over this interval, suggesting that the feature is not an artifact  
2 of the naturalization, and is captured in the reconstruction. While intriguing, it is difficult  
3 to justify treating this period differently given current understanding of hydroclimatic  
4 variability (see e.g. Wunsch 1999).

5

## 6 RESULTS

### 7 *Model Development*

8 Of the 57 potential tree-ring chronologies 32 exhibited a significant correlation to at least  
9 one month's PDSI (Figure 1, Table1). Two general trends were evident in the calculation  
10 of the drought correlations. First, species that are characteristic of subalpine  
11 environments exhibited an inverse correlation to PDSI (or precipitation for the Canadian  
12 chronologies). This result indicates that lower moisture availability is associated with  
13 higher radial growth. In contrast to this result, all but two of the typically low-elevation  
14 species generally exhibited a positive correlation. (2) Second, subalpine sites exhibited  
15 the strongest association to PDSI (or precipitation) during winter and spring months, and  
16 low elevation sites typically exhibited the strongest correlation during summer months.  
17 These results corroborate previous studies that have found that radial growth at subalpine  
18 locations is often limited by the length of the growing season, which is in turn largely a  
19 function of the snow-free interval (Peterson and Peterson 1994; Ettl and Peterson 1995;  
20 Gedalof and Smith 2001a; Peterson and Peterson 2001; Peterson *et al.* 2002). In contrast,

1 growth at low elevation sites is often limited by moisture availability during the  
2 growing season (Graumlich 1987; Cook *et al.* 1999; Stahle *et al.* 2000).

3 Five eigenvectors were retained from the PCA. Bootstrapping of the regression  
4 coefficients suggested that PCs 1, 2 and 4 are stable predictors of mean flow at The  
5 Dalles. The cross-validated correlation between observed and reconstructed flow is 0.50,  
6 and the reduction of error statistic is 0.24. The statistics from the full calibration model,  
7 using all available years, are only marginally stronger: the Pearson correlation is 0.59 and  
8 the reduction of error is 0.29. These results suggest that the model is not being overfit,  
9 and that the reconstruction contains useful information (Figure 2).

10

#### 11 *Residuals Analysis*

12 An examination of the regression residuals revealed two factors that complicate simple  
13 interpretation of the results. First, the model underestimates the magnitude of extreme  
14 events. This result is typical of regression analyses in general (Meko and Graybill 1995),  
15 and tree-ring based reconstructions in particular (Fritts 1976; Fritts *et al.* 1990; Peterson  
16 *et al.* 1999). However this bias is not symmetric, with the magnitude of low-flow events  
17 more poorly captured by the reconstruction. Indeed, a scatter plot of the regression  
18 residuals against the predicted flow record suggests that underestimates of severe low-  
19 flow events account for a substantial fraction of the error in the regression model (Figure  
20 3). One interpretation of this finding is that the reconstructed record is probably

1 performing better than the verification statistics suggest – at least with respect to the  
2 occurrence of low-flow events if not the absolute magnitude of those events.

3       Secondly, the residuals exhibit an increasing trend over time. This trend is  
4 evident in a plot of the residuals as a function of time (Figure 4), though is significant  
5 only at the 90 percent confidence level ( $p = 0.08$ ). This finding can be explained as a  
6 consequence of increases in the gauged flow at The Dalles that are not matched by  
7 increases in radial growth at the sites considered in this study. The most likely  
8 explanation for this trend is that changes in land cover within the Columbia River basin  
9 are contributing to increased runoff relative to total precipitation or drought severity.  
10 Hessburg *et al.* (2000) document large changes in forest composition and structure within  
11 the Columbia River basin over the 20th century, which they attribute to forest  
12 management practices including timber harvest, fire exclusion, cropland expansion and  
13 introduced species. These changes have almost certainly had a measurable impact on the  
14 hydrology of the basin. Using the variable infiltration capacity (VIC) model Matheussen  
15 *et al.* (2000) estimated changes in runoff production that could be attributed to land cover  
16 changes between 1900 and 1990. They determined that runoff has increased within all  
17 but one of the sub-basins of the Columbia River system, typically by 2 to 7 percent.  
18 Because they used the same climatic parameters to force the model under both land cover  
19 scenarios this change in runoff can be attributed to changes in surface characteristics.  
20 Losses of mature forest stands to logging and agriculture have resulted in increased snow  
21 accumulation and reduced evapotranspiration, which in turn have increased runoff. This

1 trend has been partially offset by fire suppression efforts, which have increased the  
2 area of mature forest types in some regions, thereby increasing evapotranspirative losses.  
3 Although they do not report the total change in naturalized flow at The Dalles, summer  
4 high flows are ca. 10 percent higher and winter low flows are 2-3 percent lower under  
5 modern land cover than under 1900 conditions (see Figure 5 in Matheussen *et al.* 2000).

6       Because the tree-ring sites considered in this study have not been subjected to  
7 these land-use changes they should not exhibit this trend. As a consequence of this  
8 disparity, the trend in the regression residuals can be interpreted as supporting evidence  
9 for the modeled results. The magnitude of this trend amounts to 3.7 percent of the mean  
10 flow over the period of record, or about 5.7 percent extrapolating to the same interval  
11 used by Matheussen *et al.* (2000). Given that this trend is a consequence of two opposing  
12 processes operating within the basin, it seems likely that changes in runoff relative to  
13 precipitation have probably occurred in other basins as well. In particular, basins that are  
14 more homogenous with respect to the historical dominant forest type may exhibit more  
15 pronounced changes than were found here.

16

### 17 *Multiyear Drought Events*

18 In order to assess the persistence of drought over the period of the record the  
19 reconstruction was filtered using a range of multiyear center-moving averages. Window  
20 lengths of 5, 11 and 25 years were chosen to characterize interannual, decadal and  
21 interdecadal flow regimes. Years that fell into the lowest 15 percent (i.e. the 35 lowest



1 flow years) were then ranked and plotted as a function of time (see Woodhouse 2001)  
2 (Figure 5). Using this criterion the distribution of single-year low-flow events is fairly  
3 constant over time, although there is a conspicuous cluster of low-flow years during the  
4 1840s. Intervals of persistent drought become more evident as longer window lengths are  
5 considered. In particular, the interval from ca. 1840 to 1855 appears to be the most  
6 severe and most persistent drought on record. The 1930s and 1890s also emerge as  
7 periods of sustained low flows. Notable shorter intervals of low flow occurred at ca.  
8 1775, 1805, 1925. The period from 1950 to 1987 is notable for having no multiyear  
9 droughts in the bottom 15th percentile. These results are generally consistent with a  
10 regional precipitation reconstruction developed by Graumlich (1987) using a network of  
11 tree ring data independent of the network used here. In her reconstruction, prolonged dry  
12 intervals occurred in the 1790s, 1840s, around 1870, around 1890, and the 1930s (See her  
13 Figure 4). The Columbia River reconstruction described here places the drought of the  
14 1790s closer to 1800, but otherwise there is good consistency between the two records.

15         The period of low flows during the 1840s coincides with drought on the Great  
16 Plains as reconstructed from tree rings and historical reports of sand dune activity (Muhs  
17 and Holliday 1995; Woodhouse and Overpeck 1998; Woodhouse 2001). Similarly,  
18 streamflow in California as reconstructed by tree rings was also substantially below  
19 normal (Earle 1991; Meko *et al.* 2001). In contrast, lake levels at Crater Lake were likely  
20 among the highest they have been in the last 200 years (Peterson *et al.* 1999), and  
21 streamflow in the US Southwest was close to normal (Meko and Graybill 1995). Cook et

1 al. (1999) describe a pattern in drought that is fairly persistent throughout the 1840s  
2 east of the Cascade Mountains, but sporadic in coastal areas, possibly accounting for  
3 these discrepancies in the paleorecords. In contrast to these findings, the drought of the  
4 1890s is absent from the records of California streamflow (Earle 1991; Meko *et al.* 2001)  
5 and Crater Lake level (Peterson *et al.* 1999), but is present in the Colorado Front Range  
6 (Woodhouse 2001), the Great Plains, and the US Southwest (see Figure 3 in Woodhouse  
7 and Overpeck 1998).

8         The drought of the 1930s is well recognized, and corresponds to a period of  
9 widespread crop failures and mass migrations out of the Great Plains region. Analyses of  
10 tree-ring reconstructions suggest that in some regions this drought may have been the  
11 most severe of the last 300 years (Earle 1991; Cook *et al.* 1999; Meko *et al.* 2001). In  
12 northwestern New Mexico and parts of the Great Plains, however, the 1930s drought is  
13 relatively minor in the longer context (D'Arrigo and Jacoby 1991; Woodhouse and  
14 Overpeck 1998). The results presented here suggest that in the interior Columbia River  
15 Basin the 1930s drought was probably matched only once for length in the last 250 years;  
16 although the drought of the 1840s was probably more severe in terms of sustained low  
17 flows.

#### 18 19 *Comparison to the Instrumental Record*

20 The strength of this reconstruction, as measured by the correlation coefficient and RE, is  
21 comparable to other dendrohydrologic reconstructions from temperate regions (e.g. Cook

1 and Jacoby 1983; Jones *et al.* 1984), but is weaker than reconstructions from arid and  
2 semi-arid regions (e.g. Meko and Graybill 1995; Meko *et al.* 2001; Woodhouse 2001). In  
3 order to assess whether this difference can be attributed to limitations in the tree-ring  
4 record or to a low signal-to-noise ratio in the streamflow data, an identically specified  
5 reconstruction of streamflow was attempted using the divisional PDSI data in lieu of the  
6 tree-ring record. Four scenarios were considered: (1) mean water year PDSI at all  
7 climate divisions within the Columbia River basin; (2) mean winter and mean summer  
8 PDSI at all climate divisions; (3) mean water year PDSI for only those climate divisions  
9 represented by tree-ring chronologies; and (4) mean winter and mean summer PDSI for  
10 the same climate divisions. These data were treated in the same manner as the ring-width  
11 chronologies – that is, they were combined using PCA, autoregressive modeled,  
12 bootstrapped, and independently cross-validated (Table 2).

13         The instrumental record captures between 65 and 78 percent of the variability in  
14 the flow record. Additionally, the quality of the reconstruction increases when only those  
15 climate divisions from which this study uses tree-ring data are used. Using these results  
16 as a benchmark, the tree-ring chronologies used in this study are capturing between one-  
17 third and half of the recoverable signal in streamflow variability. There are several  
18 potential causes for this disparity: (1) the tree-ring chronologies are imperfect recorders  
19 of drought; (2) drought is spatially heterogeneous within a given climate division, and the  
20 tree-ring network is not extensive enough to capture this variability; and (3) trees may not  
21 be sensitive to drought at the time of year relevant to runoff production. Of these factors

1 (1) seems the most likely, and the results presented here are probably not limited by the  
2 relatively sparse network of tree-ring sites. While it is possible that a more restrictive  
3 screening process could produce a stronger reconstruction, even modest increases in the  
4 minimum correlation to PDSI resulted in very small data matrices. For example,  
5 increasing the correlation threshold to  $|r| > 0.35$  excluded all but 8 of the potential  
6 chronologies, including all of the sites in Canada and Washington. Bootstrapping the  
7 resulting PC regression coefficients did not yield any significant predictors of  
8 streamflow. An alternative interpretation of this result is that whereas the climate  
9 division records represent composites of dozens of measuring stations throughout the  
10 region, each tree-ring site represents a single point. The spatial heterogeneity of  
11 precipitation (in particular) may contribute to local noise being incorporated into the  
12 streamflow reconstruction because of the sparseness of the sampling network compared  
13 to the instrumental record. Similar to the analysis of the tree-ring data, the regression  
14 residuals from the instrumental record showed an increasing trend in streamflow relative  
15 to drought. The magnitude of this trend corresponds to an increase in flow of 5.8 percent  
16 over the interval 1900 to 1990.

17

#### 18 *Comparison to Proxy Records of Large-Scale Climatic Variability*

19 Streamflow on the Columbia River responds strongly to climatic forcing from ENSO, the  
20 PDO, and interactions between the two (Hamlet and Lettenmaier 1999a). Warm ENSO  
21 events (i.e. El Niños) are characterized by positive sea-surface temperature (SST)

1 anomalies in the far eastern tropical Pacific, coupled with weakened or reversed trade  
2 winds (Enfield 1989). The typical response in the North Pacific sector to ENSO forcing  
3 is a deepened wintertime Aleutian Low, cold SST anomalies in the Gulf of Alaska, warm  
4 SST anomalies in coastal regions, and associated downstream teleconnections  
5 (Ropelewski and Halpert 1986; Yarnal and Diaz 1986). ENSO events typically recur  
6 every three to seven years, although strong events are more rare (Enfield 1989). The  
7 PDO is similar to ENSO in terms of its effects on the North Pacific ocean-atmosphere  
8 system, except that it is expressed primarily in the extratropics, and individual events  
9 typically persist for two or more decades (Mantua *et al.* 1997; Zhang *et al.* 1997).

10 Interannual variability within individual phases of the PDO is substantial, and shifts  
11 between states may be abrupt (Mantua *et al.* 1997; Gedalof and Smith 2001b), making it  
12 difficult to identify the state of the system except in hindsight. Constructive (destructive)  
13 interference typically causes the effects of ENSO and the PDO to be additive  
14 (confounded) when the modes are acting in (out of) phase (Gershunov and Barnett 1998).

15 Hamlet and Lettenmaier (1999a) developed composite hydrographs for various  
16 combinations of warm, cool and neutral PDO and ENSO events, and showed that  
17 forecasting skill could be improved significantly by incorporating information on the  
18 state of these systems. Streamflow during El Niño events is on average 12 percent below  
19 normal, and during La Niña events it is on average 8 percent higher. The response to  
20 PDO is comparable, with flow typically 9 percent below normal during warm regimes  
21 and about 6 percent above normal during cool regimes. When ENSO and the PDO are in

1 phase flow is on average 17 percent below normal (El Niño coeval with warm phase  
2 PDO) and 14 percent above normal (La Niña coeval with cool phase PDO). When the  
3 two systems are in opposing states their effect on flow may be diminished due to  
4 interference in the teleconnections.

5         Several long records of PDO / ENSO activity offer the possibility of evaluating  
6 these relationships prior to the initiation of instrumental records (e.g. Quinn *et al.* 1987;  
7 Stahle *et al.* 2000; Biondi *et al.* 2001; D'Arrigo *et al.* 2001; Gedalof and Smith 2001b).  
8 Two such indices of ocean-atmosphere variability provide a context for the reconstructed  
9 flow record. Gedalof and Smith (2001b) developed a proxy record of the PDO index  
10 since 1600 using chronologies of mountain hemlock (*Tsuga mertensiana*) from the  
11 Pacific Northwest. Stahle *et al.* (1998) developed a record of the Southern Oscillation  
12 Index (SOI) from ENSO-sensitive regions of subtropical North America and Indonesia.  
13 The correlation between flow at The Dalles and these indices was calculated over  
14 selected time intervals in order to characterize the time stability of the associations (Table  
15 3). This analysis shows that over the 20th century the correlation between streamflow  
16 and ENSO, and streamflow and the PDO, is significant, whether instrumental or proxy  
17 records are considered. In contrast when the pre-instrumental interval is considered  
18 separately the correlations are substantially weaker: the correlation to the Gedalof and  
19 Smith (2001) PDO index is not significantly different from zero, and correlation to the  
20 Stahle *et al.* (1998) SOI reconstruction indicates that approximately half the variance is  
21 explained over the pre-instrumental portion as over the 20th century.

1           These results imply that the relationship between PDO, ENSO, and flow on the  
2 Columbia River has not been consistent over time. Gedalof et al. (2002) found evidence  
3 that a number of proxy records of Pacific Basin variability exhibited poor  
4 intercorrelations over much of the 19th century. Prior to ca. 1825, however, the  
5 intercorrelations are comparable to those seen in the 20th century. They concluded that  
6 the PDO might have been a less important organizing structure of the North Pacific  
7 ocean-atmosphere system over this interval. The tree-ring chronologies used to develop  
8 this reconstruction are independent of those reconstructions and support these inferences  
9 regarding the North Pacific ocean-atmosphere system during the 19th century.

10           One other relevant paleoproxy reconstruction is the gridded PDSI reconstruction  
11 of Cook *et al.* (1999). This reconstruction was developed using tree-ring chronologies  
12 largely independent to those used in this analysis, and therefore represents a reasonably  
13 independent verification of the reconstruction presented here. From the network of  
14 reconstructed PDSI gridpoints the points needed to represent variability within the  
15 Columbia River drainage basin were extracted (GPs 1-4, 8-11 and 16-18). Because these  
16 grid points are highly spatially autocorrelated a PCA was applied to reduce the  
17 dimensionality of the data set and derive orthogonal predictors. Two PCs were retained,  
18 explaining 65 and 16 percent of the variance respectively. The leading PC is well  
19 correlated with the reconstructed flow record ( $r = 0.502$ ), as well as the gauged flow  
20 records ( $r=0.479$ ). The intervals of persistent low flows identified in the streamflow  
21 reconstruction generally correspond to periods of prolonged drought (Figure 6). In

1 particular, low flows during the 1770s, 1840s, 1890s, and 1930s all correspond to  
2 periods of reconstructed drought.

3

#### 4 **CONCLUDING REMARKS**

5 Tree-ring chronologies offer the opportunity to extend instrumental records into the past  
6 for the purpose of assessing the representativeness of recent observations, especially with  
7 respect to low frequency changes and extreme events. This reconstruction of flow on the  
8 Columbia River has revealed four key findings:

9

- 10 1. Severe droughts have occurred in the past, probably more severe than what has been  
11 experienced in the 20th century. An interval of persistently lower flows than has  
12 occurred during the gauged record occurred around the 1840s. However, the drought  
13 of the 1930s is probably the second most severe of the last 250 years. This drought  
14 should not be regarded as an anomalous event, but is likely a typical fluctuation of the  
15 Columbia River system.
- 16 2. Land-use changes in the Columbia River Basin have probably contributed to increases  
17 in runoff relative to drought severity or precipitation. This trend is evident in the  
18 residual statistics of both instrumental and tree-ring based reconstructed flow, and  
19 corresponds to an increase in flow of ca. 3.7 percent over the interval 1931 to 1987.



- 1 Both the magnitude and phase of the increase are consistent with results from  
2 numerical models.
- 3 3. The tree-ring chronologies used in this study do not adequately capture the magnitude  
4 of severe low-flow events. This limitation is probably not caused by the sparse  
5 distribution of tree-ring sample sites within the basin, because a comparable network  
6 of climate divisions was able to reconstruct flow records more accurately. The model  
7 performance may be limited by the imperfect correspondence between PDSI and radial  
8 growth increment, or it may be a consequence of sparse within-division distribution of  
9 tree-ring sites failing to capture the spatial heterogeneity of precipitation that is  
10 reflected in divisional means.
- 11 4. The relationship between reconstructed flow and long records of ocean-atmosphere  
12 variability has not been constant over time. In particular, the correlation between the  
13 PDO and reconstructed flow is conspicuously stronger during the 20th century than  
14 during earlier centuries. This result is coeval with a period of poor correspondence  
15 between independent proxy records distributed throughout the Pacific Basin. Severe  
16 low-flow events occurred under 20th century and pre-20th century circulation regimes,  
17 suggesting that disparate forcing mechanisms can lead to comparable low-flow events.

18 The Columbia River Basin supports diverse natural resources, economic  
19 investment and social values. With a rapidly growing population this region is  
20 increasingly vulnerable to drought events (Miles *et al.* 2000). Recent droughts have led  
21 to conflicts among uses (e.g. hydroelectric production versus protecting salmon runs),

1 increased costs to end users (notably municipal power users), and in some cases the  
2 total loss of access to water (in particular junior water rights holders in the agricultural  
3 sector). These recent droughts were not exceptional in the context of the last 250 years  
4 and were of shorter duration than many past events. Furthermore, water management  
5 strategies have been developed over the last half-century, a period characterized by a  
6 unique lack of multiyear droughts. Additionally, impending climate change could cause  
7 the frequency of severe low flow years in the Columbia River system to at least double  
8 by 2045, and possibly quadruple (Hamlet and Lettenmaier 1999b). Interpreted together  
9 these findings pose substantial challenges to water managers in the Pacific Northwest: the  
10 Basin has been fully exploited in terms of storage capacity, the demands posed on the  
11 system continue to increase, availability is likely to diminish, and the potential for  
12 multiyear droughts has probably been underestimated.

13

#### 14 **ACKNOWLEDGEMENTS**

15 We are grateful to Alan Hamlet and Jim Agee for many helpful discussions, as well as for  
16 reviewing an early draft of this manuscript. Dennis Hartmann, Dave Meko, and three  
17 anonymous reviewers provided thorough and thoughtful comments on the manuscript.

18 This publication is funded by the Joint Institute for the Study of the Atmosphere and  
19 Ocean (JISAO) under NOAA Cooperative Agreement No. NA17RJ1232, Contribution  
20 #1072. Ze'ev Gedalof is supported by the Natural Sciences and Engineering Research

- 1 Council of Canada (NSERC). David L. Peterson is supported by the USDA Forest
- 2 Service.

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**Table 1** Characteristics of the sites used in this analysis.

Site Name	Original Source	Species <sup>1</sup>	Lat (N)	Long (W)	Elevation (m)	Start Year	End Year	R(PDSI) <sup>2</sup>	R(Flow) <sup>3</sup>
Sicamous Creek	Parish	PCEN	50.49	-119.54	1550	1665	1994	N/A	-0.536
Big White	Parish	PCEN	49.52	-118.51	1700	1669	1998	-0.248	-0.226
Big White	Parish	ABLA	49.52	-118.51	1700	1712	1998	-0.256	-0.306
Adams Lake	Parish	PCEN	51.02	-119.03	1900	1710	1996	N/A	-0.422
Adams Lake	Parish	ABLA	51.02	-119.03	1900	1710	1996	N/A	-0.422
Fredrick Butte	Meko	JUOC	43.58	-120.45	1494	936	1996	0.683	0.345
Gray Creek Pass	Colenutt	LALY	49.62	-116.67	2275	1216	1993	-0.323	-0.365
Larch Valley	Colenutt	LALY	51.35	-116.22	2250	1347	1994	-0.256	0.040
North Fork Ridge	King	PSME	45.18	-111.20	2500	819	2000	0.416	-0.097
North Fork Ridge	King	PIFL	45.18	-111.20	2500	500	2000	0.211	-0.043
Cross Canyon	Swetnam	PIPO	45.58	-117.41	1317	1485	1991	0.399	0.366
Grizzly Bear	Swetnam	PIPO	45.58	-117.43	1231	1502	1991	0.458	0.329
Drumhill Ridge	Wickman	PIPO	45.28	-118.12	N/A	1672	1990	0.202	-0.009
Indian Crossing	Wickman	PIPO	45.07	-117.01	N/A	1550	1990	0.316	0.010
Bally Mountain	Wickman	PIPO	45.17	-118.34	N/A	1469	1990	0.436	0.230
Big Sink	Wickman	PIPO	45.47	-117.55	1203	1665	1990	0.377	0.204
Fish Lake	Wickman	PIPO	45.00	-117.04	1600	1585	1991	0.238	-0.004
Lugar Springs	Wickman	PIPO	45.46	-117.58	1200	1675	1991	0.251	0.037
Pringle Falls		PIPO	43.42	-121.37	1460	1476	1993	0.350	0.226
RNA	Speer								
Experimental		PIPO	43.43	-121.36	1530	1334	1993	0.243	0.255
Forest	Speer								
Deschutes	Speer	PIPO	43.28	-121.24	1420	1574	1995	0.377	0.303
Jctn. HWYS 51		PIPO	43.19	-121.45	1420	1419	1995	-0.229	-0.023
& 97	Speer								
Diamond Lake	Speer	PIPO	43.05	-121.57	1510	1513	1995	-0.263	-0.012
Blue Jay Spring	Speer	PIPO	42.55	-121.32	1490	1423	1995	0.259	0.203
Telephone Draw		PIPO	42.45	-121.31	1550	1442	1995	0.335	0.008
South	Speer								
Crater Lake	Speer	PIPO	42.47	-122.04	1370	1572	1990	0.224	0.080
Hart's Pass N1	D.W. Peterson	LALY	48.00	-120.00	N/A	1685	1991	-0.203	-0.317
Annette Lake		PSME	47.22	-121.20	798	1515	1987	0.421	0.085
Trail	Earle								
Big Quilcene	Earle	PSME	47.50	-123.02	867	1288	1987	0.265	0.145
Olympic Road		PSME	48.00	-124.00	267	1394	1987	0.203	0.082
3116	Earle								
Silver Creek	Earle	PSME	46.38	-121.50	900	1539	1987	0.244	-0.012
Sheep Mountain,		PSME	41.08	-106.03	2375	1412	1990	0.526	0.115
Wyoming	Earle								

1. ABLA = *Abies lasiocarpa*, JUOC = *Juniperus occidentalis*, LALY = *Larix Lyallii*, PCEN = *Picea engelmannii*, PIFL = *Pinus flexilis*, PIPO = *Pinus ponderosa*, PSME = *Pseudotsuga menziesii*.

2. Maximum correlation between monthly PDSI and annual radial growth, calculated for the months December (of the preceding year) to August (of the year of growth) over the interval 1896 – 1987. The PDSI is not calculated for Canada, so correlations are not shown for Canadian sites that are distant from the U.S. border.

3. Correlation between annual radial growth and mean water year flow at The Dalles, Oregon.

**Table 2** Cross-validated summary statistics for the instrumental PDSI and tree-ring based reconstructions of streamflow at The Dalles, Oregon.

Subset <sup>1</sup>	PCs in model	Reduction of Error	Pearson R	R <sup>2</sup>
1	1,2	0.650	0.81	0.65
2	1,2,5	0.719	0.85	0.72
3	1,2	0.716	0.85	0.72
4	1,2,5	0.779	0.88	0.78
Cross-validated reconstruction	1,2,4	0.241	0.50	0.25
Full model reconstruction	1,2,4	0.290	0.59	0.35

1. See text for subset descriptions.

**Table 3** Cross correlations for the gauged and reconstructed flow at The Dalles, Oregon, with proxy records of PDO and ENSO variability for selected time intervals.

Proxy Record	Gauged Flow Record	Reconstructed Flow Record		
	1931 - 1987	1750 - 1987	1900 - 1987	1750 - 1899
Instrumental PDO index <sup>1</sup>	<b>-0.435</b>	-	<b>-0.334</b>	-
Instrumental SOI <sup>2</sup>	<b>0.377</b>	-	<b>0.202</b>	-
Gedalof and Smith (PDO)	<b>-0.246</b>	-0.101	<b>-0.241</b>	-0.044
Stahle <i>et al.</i> (SOI)	<b>0.518</b>	<b>0.195</b>	<b>0.240</b>	<b>0.177</b>

Correlations that are significant at 90 percent confidence are indicated by bold script.

1. PDO index averaged over October of the preceding year to March of the current year.

2. SOI averaged over June to November of the preceding year.



**Figure 1** Map of the Columbia River basin showing the location of The Dalles, Oregon, and tree-ring sites used in the analysis.

**Figure 2** (top panel) Observed (gray) and cross-validated reconstructed (black) flow at The Dalles, Oregon, for the calibration interval 1931 to 1987. (bottom panel) Flow at The Dalles, Oregon, since 1750 reconstructed using tree rings (black line). The gray overbar indicates the calibration interval.

**Figure 3** Scatter plot of the regression residuals against the cross-validated reconstructed flow record.

**Figure 4** Regression residuals plotted as a function of time. The trend line exhibits an increase in transformed flow of ca. 1.2 percent per century.

**Figure 5** The distribution of n-year moving average mean flow for the lowest 15th percentile over the period of reconstruction. Low rankings are indicated by longer bars, and represent lower flow events.

**Figure 6** The leading principal component of reconstructed PDSI (Cook *et al.* 1999) for gridpoints representing the Columbia River Basin (gray), and the reconstructed Columbia River flow for The Dalles, Oregon (black). The low frequency variability has been emphasized in both records using a 5-year running average filter.

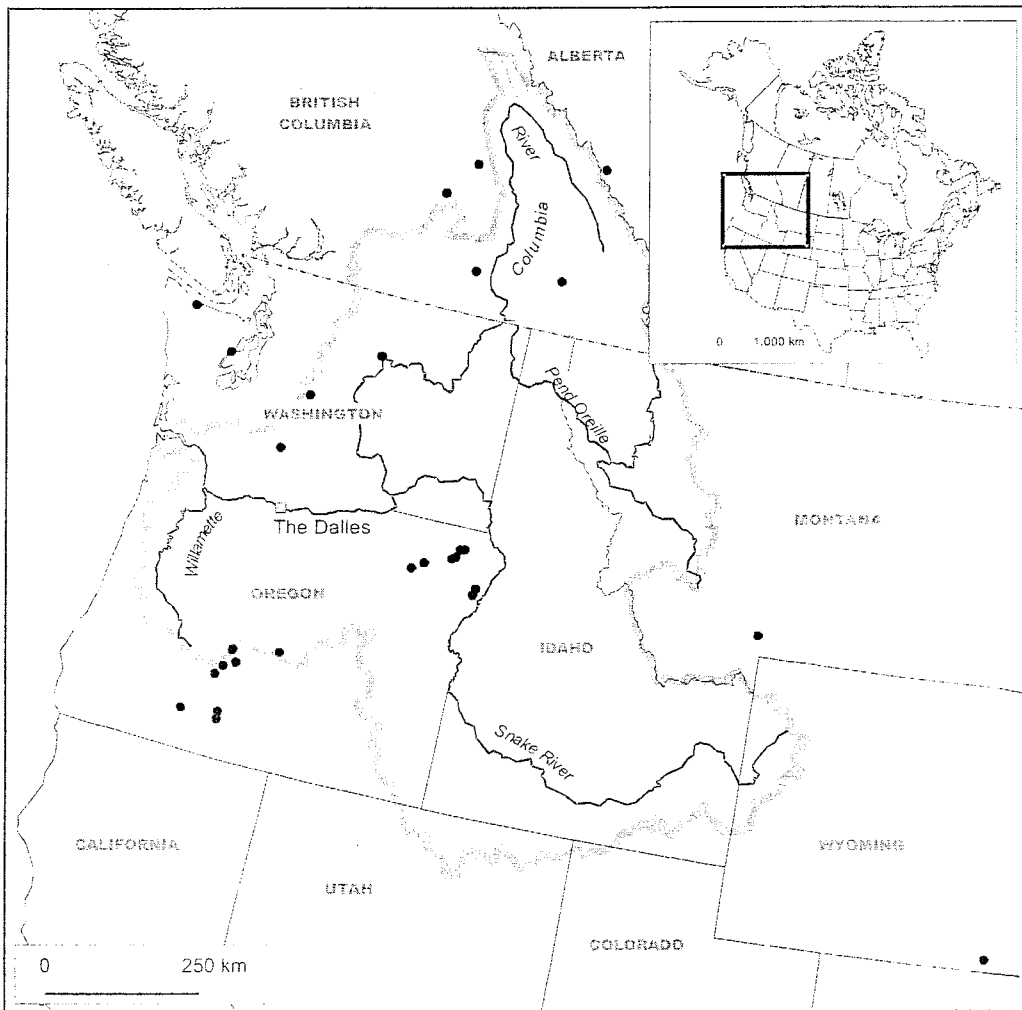


Figure 1

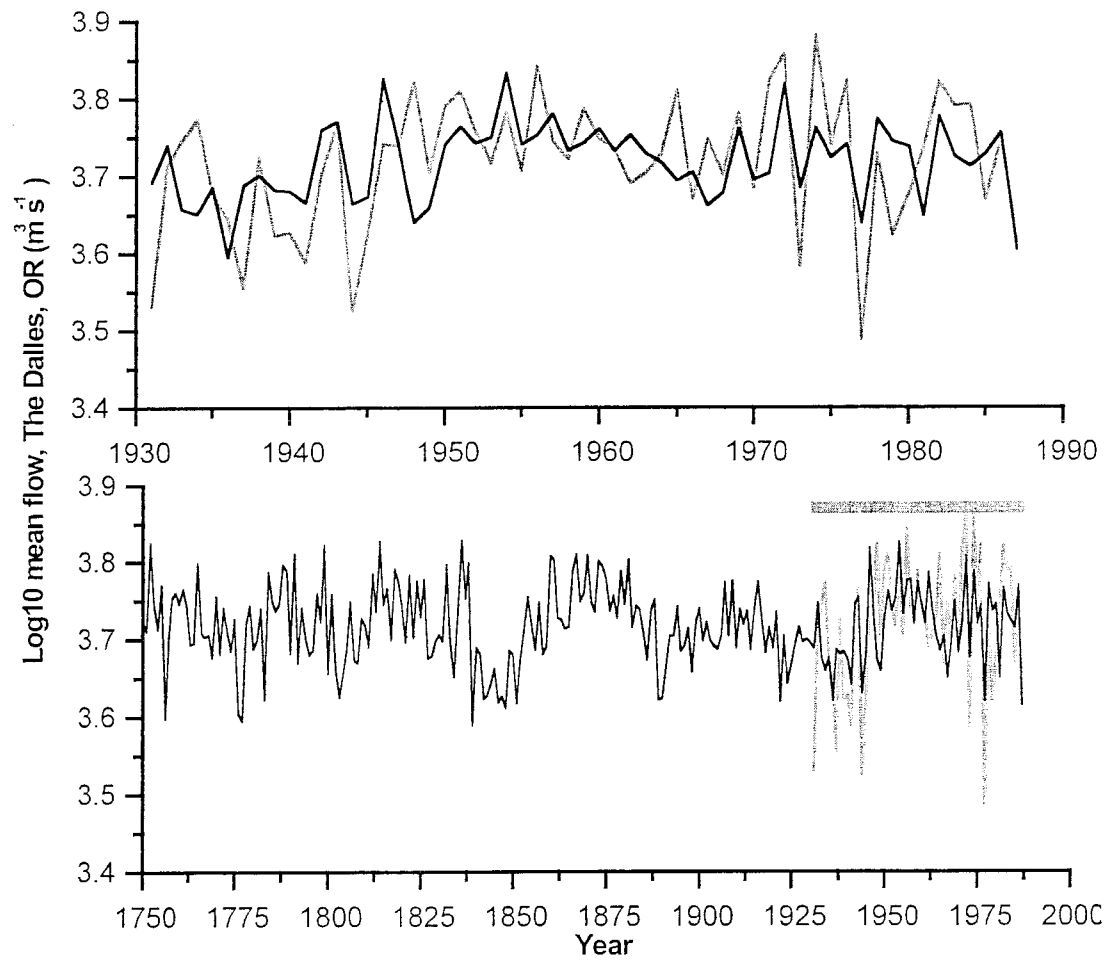


Figure 2

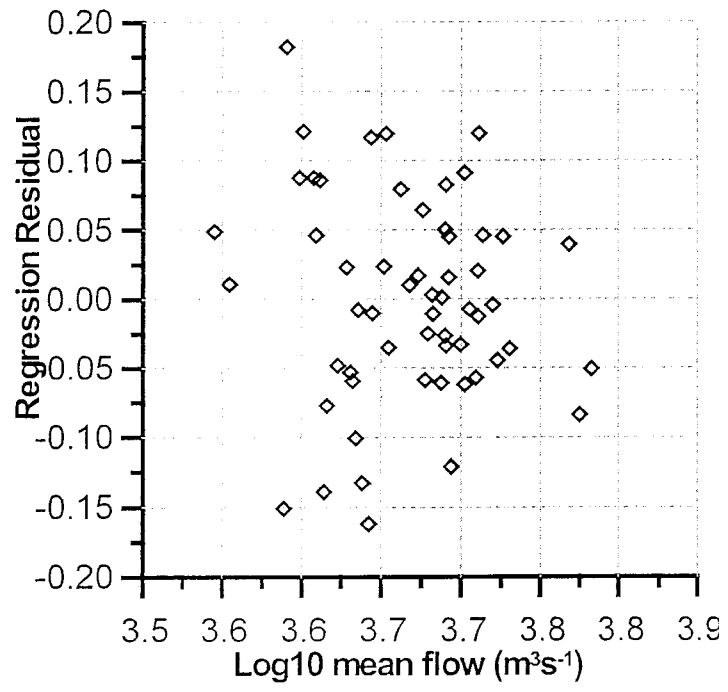


Figure 3

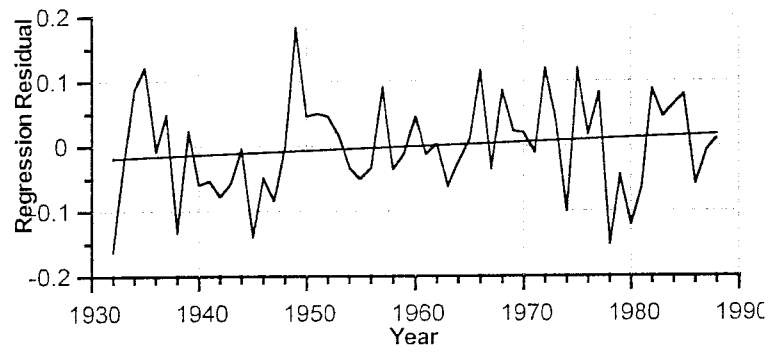


Figure 4

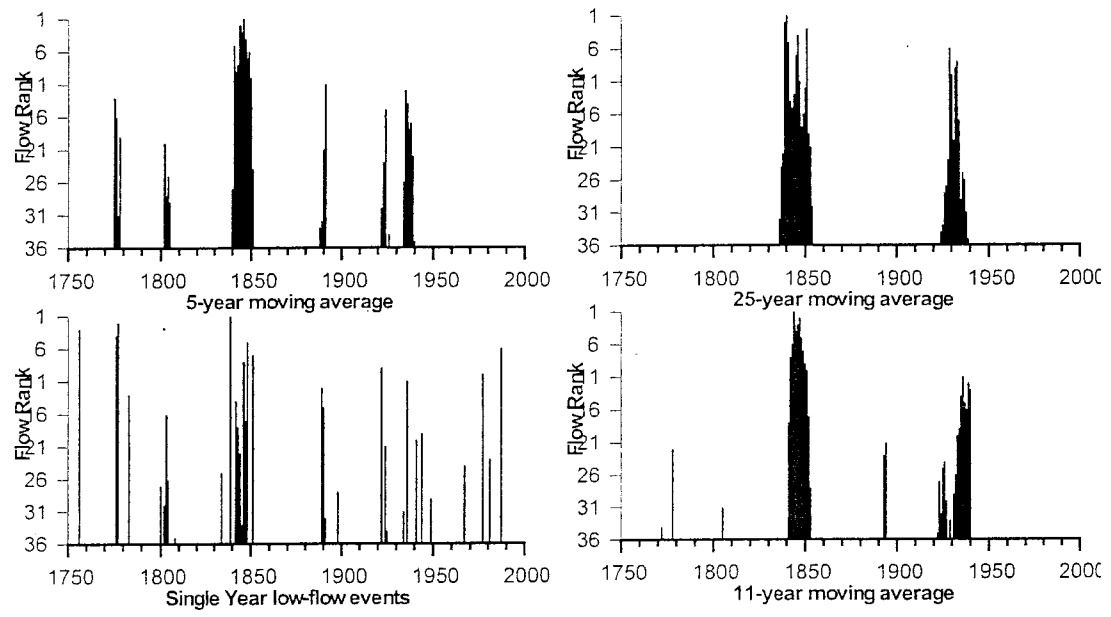


Figure 5

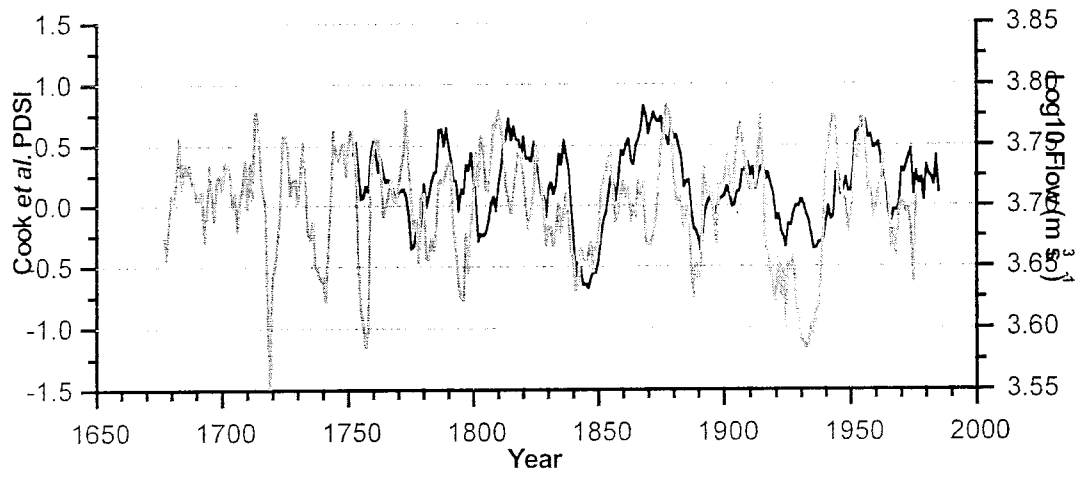


Figure 6