NORTHWEST CONNECTIONS

2220 South Tacoma Way Tacoma, WA 98409 Hutch@around-the-sound.net

6/29/2016

Fax

TO: Sean Bennett	FROM: Steve Hutchins	
WUTC		
FAX: 360 664 1289	FAX: 253 858 7088	
	PHONE: 253 585-7088	
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COMMENTS:		
Please review attached documents. T	hese were also uploaded electronically.	
Steve		
x Urgent		
Please review		
Please comment		

June 29, 2016

Subject: Penalty Assessment: TE-160665

Washington Utilities and Transportation Commission (WUTC) PO Box 47250 Olympia, WA 98504-7250

Dear Sir:

I am requesting a review and reconsideration of the \$1,000.00 fine titled Penalty Assessment Northwest Connections, received on June 20, 2016. I am submitting an application for Mitigation (attached). While I am not admitting violation of any rules, I am going to present the following information that will be a basis for not being penalized.

- Both the Annual Report and the Financial Report including payment were documented as received on May 2nd, 2016 and thus proving that the documents were received as required and contrary to what is described in the letter to Northwest Connections. During conversations with WUTC staff it was clearly documented that the WUTC records showed all documents were received as required.
- 2. The WUTC reported that staff tried to reach out or contact a representative of Northwest Connections on May 3rd, 2016; however we can find no record of that call, message or other attempt to request information from us to indicate an issue. It would be impossible for us to prove a call was received from the WUTC with our current technology without requesting a release of information and a court order to the phone company.
- 3. On May 27th, 2016 a copy of the annual report was once again submitted to the WUTC and received at the WUTC which is documented via receipt, and further a copy was faxed to ensure receipt of said document. Copies are included with this document. Further I was able to confirm that payment was received as evidenced by the attached transaction statement
- 4. In the length of time Northwest Connections has been a private nonprofit carrier under the WUTC only once were we late (and that was 2014 report) and the penalty was paid without a request for mitigation, as we recognized that it was our fault for not submitting as required.

Northwest Connections has been a regulated nonprofit carrier for numerous years and as such we maintained positive tenure as a carrier. We feel we have been a law abiding company providing high quality service and it is our intent to keep our record clean and to always be in compliance.

With the attached mitigation application and additional information I believe I am providing adequate information and evidence that the Annual Report was received on time and evidence the payment cleared the bank on May 2, 2016, moments after it was received by the WUTC. It is my belief we are in compliance and all penalties should be released.

Please feel free to contact me at 206 973-4492, my cell phone or contact Steve Hutchins on his cellphone at 253 470-2291, we will quickly respond to your request for additional clarification or for additional information, the best email to use is hutch@around-the-sound.net.

Sincerely:

Randy Grotem

President

Northwest Connections.

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May 2016 Statement 04/06/2016 - 05/04/2016 TRANSPRO INC (CPN 001215583)

Cardmember Service $\binom{\mathbf{I}}{\mathbf{I}}$ 1-866-552-8855

Page 3 of 4

Fransact	ions	SMITI	HTRACY L	Credit Lir	nit \$4000
Post Date 05/02	Trans Date 05/02	Ref # 5895	Transaction Description EXPEDIA*1134291543011 EXPEDIA,COM WA Total for Account 4798 5100 4946 8214	Amount \$61.59 × \$805.21	Notation
ransact	ions	HUTC	HINS,STEVEN	Credit Lim	t \$14000
Post Date	Trans Date	Ref#	Transaction Description	Amount	Notation
			Other Credits		
04/28	04/27	4967	Intuit *Payroll 800-446-8848 CA MERCHANDISE/SERVICE RETURN	\$269.96cn	K705
			Purchases and Other Debits		
04/11	04/07	6791	BIZIQ 888-277-4774 AZ	\$197.00	7600
04/22	04/21	9044	AMAZON MKTPLACE PMTS AMZN.COM/BILL WA	\$119.28	13/0
04/25	04/22	7479	COMMUNITY TRANSPORTATI 800-8910590 DC	\$450.00	6910
04/28	04/28	8890	AMAZON MKTPLACE PMTS AMZN.COM/BILL WA	\$66.96	Thates
04/29	04/28	5858	AMAZON MKTPLACE PMTS AMZN.COM/BILL WA	\$9.99	7600
05/03	05/02	7439	OPAY TUC FEE 925-855-5000 NE	\$3.95	TLASES
05/03	05/02	7891	OPAY TUC FEE 925-855-5000 NE	\$3.95	TLOD
05/03	05/02	7421	WA UTIL & TRANSP COMM 925-855-5000 WA	\$50.00	5050
05/03	05/02	7875	WA UTIL & TRANSP COMM 925-855-5000 WA	\$50.00	5050
05/03	05/02	4597	TIME IPS, INC. 316-204-5560 KS	\$499.99	L72:5
05/04	05/02	7345	THE HOME DEPOT 4720 TACOMA WA	\$115.01	1605
05/04	05/02	1974	THE HOME DEPOT 4720 TACOMA WA	\$25.42	6605
05/04	05/03	4597	TIME IPS, INC. 316-204-5560 KS	\$149.99	6725
			Total for Account 4798 5100 5551 3572	\$1,471.58	
ansactio	ons	BILLIN	G ACCOUNT ACTIVITY		
	Trans Date	Ref#	Transaction Description	Amount	Notation
			Payments and Other Credits		
05/03	05/03	0118	PAYMENT THANK YOU	\$188.11CR	
			Total for Account 4798 5100 4946 8198	\$188.11cr	

2016 Totals Year-to-Date					
Total Fees Charged in 2016	\$39.00				
Total Interest Charged in 2016	\$318.72				

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

PENALTY ASSESSMENT TN-160665

PLEASE NOTE: You must complete and sign this document, and send it to the Commission within 15 days after you receive the penalty assessment. Use additional paper if needed.

I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under oath, the following statements.

[] 1. Payment of penalty. I admit that the violations occurred. I have:
[] Enclosed \$ in payment of the penalty
[] Submitted my payment of \$ online at www.utc.wa.gov. My confirmation number is
[] 2. Request for a hearing. I believe that one or more of the alleged violations did not occur, for the reasons I describe below, and I request a hearing based on those reasons for a decision by an administrative law judge:
[] 3. Application for mitigation. I admit the violations, but I believe that the penalty should be reduced for the reasons set out below:
[] a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision OR
I ask for a Commission decision based solely on the information I provide above.
I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct.
Dated: 6/29/2016 [month/day/year], at TACOMA, WA [city, state]
Name of Respondent (company) – please print Signature of Applicant
RCW 9A.72.020:

"Perjury in the first degree. (1) A person is guilty of perjury in the first degree if in any official proceeding he makes a materially false statement which he knows to be false under an oath required or authorized by law. (2) Knowledge of the materiality of the statement is not an element of this crime, and the actor's mistaken belief that his statement was not material is not a defense to a prosecution under this section. (3) Perjury in the first degree is a class B felony."

Electronic Filing

Electronic Filing

E-file confirmation ID: 4406

Your electronic filing has been successfully submitted. You will receive an e-mail notification shortly to confirm that we have received your submission.

If you are using a public or shared computer, please close this browser window when you are done, to protect your privacy.

Steve Hutchins

From:

Records Service Center <Sharepointadmins@utc.wa.gov>

Sent:

Monday, June 27, 2016 16:55

To:

hutch@around-the-sound.net

Subject:

UTC E-Filing submission received, confirmation ID 4404

Your e-file submission has been received by the Washington Utilities and Transportation Commission. Please save this e-mail, and use the confirmation ID when contacting the Commission.

* Please do not reply to this message. This is an automated message, and the originating account cannot receive e-mails.

E-File submission details:

E-File ID: 4404

Docket No: TN 160665

Company: Northwest Connections

Filing description:

Documents filed:

Filename: 231 Nonprofit Special Needs Annual Report Form 2015 6 27 2016.xlsx

Description: Confidentiality: