

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

PENALTY ASSESSMENT TC-141037

PLEASE NOTE: You must complete and sign this document, and send it to the Commission within 15 days after you receive the penalty assessment. Use additional paper if needed.

I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under oath, the following statements.

[] 1. Payment of penalty. I admit that the violations occurred. I have:

[] Enclosed \$ _____ in payment of the penalty

[] Submitted my payment of \$ _____ online at www.utc.wa.gov
My confirmation number is _____

[] 2. Request for a hearing. I believe that one or more of the alleged violations did not occur, for the reasons I describe below, and I request a hearing based on those reasons for a decision by an administrative law judge:

X 3. Application for mitigation. I admit the violations, but I believe that the penalty should be reduced for the reasons set out below:

[] a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision

OR X b) I ask for a Commission decision based solely on the information I provide above.

I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct.

Dated: 6-10-14 [month/day/year], at Sequim, WA [city, state]

Rocket Transportation
Name of Respondent (company) – please print

Kathy M Roman
Signature of Applicant

RCW 9A.72.020:

“Perjury in the first degree. (1) A person is guilty of perjury in the first degree if in any official proceeding he makes a materially false statement which he knows to be false under an oath required or authorized by law. (2) Knowledge of the materiality of the statement is not an element of this crime, and the actor’s mistaken belief that his statement was not material is not a defense to a prosecution under this section. (3) Perjury in the first degree is a class B felony.”

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Rocket Transportation
PO BOX 3681
Sequim, WA 98382

Gregory J Kopta, Administrative Law Judge
Washington Utilities and Transportation Commission
PO BOX 47250
Olympia, WA 98504-7250

June 10, 2014

Honorable Judge Kopta;

Rocket Transportation is writing this appeal to eliminate or reduce penalty assessments:
TC-141037 and TE-141076.

Rocket fully admits that the 2013 Annual Report Forms were filed late and in violation of
WAC 480-30-071. We respectfully request that you please take into consideration that
we have a history of filing our annual reports on time.

Although it does not excuse the tardiness of the report, we ask that you take into
account that Rocket moved our office location the weekend prior to this report being
due, April 26 & 27. We had an appointment with our bookkeeper on April 28 to
complete the balance sheet portion of the report which was the last part needed prior to
filing. The report work became buried within the move and was thought to have been
completed and paid.

We are distressed and concerned that the report was filed late and assure you that this
was not as we intended nor reflects our history.

Thank you for your time and consideration in this matter.

Respectfully,

Rafael and Kathy Roman
Managing General Partners
Rocket Transportation

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REGISTRATION
SECTION

STATE OF WASHINGTON
OFFICE OF THE ADMINISTRATIVE LAW JUDGE