WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

PENALTY ASSESSMENT TV-140940

PLEASE NOTE: You must complete and sign this document, and send it to the Commission within 15 days after you receive the penalty assessment. Use additional paper if needed.

I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under

oath, the following statements. **Payment of penalty.** I admit that the violations occurred. I have: [] 1... [] Enclosed \$ in payment of the penalty [] Submitted my payment of \$_____ online at www.utc.wa.gov. [] 2. Request for a hearing. I believe that one or more of the alleged violations did not occur, for the reasons I describe below, and I request a hearing based on those reasons for a decision by an administrative law judge: Application for mitigation. I admit the violations, but I believe that the penalty should be reduced for the reasons set out below: See - attached [] a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a décision OR b) I ask for a Commission decision based solely on the information I provide above. I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct. Dated: 6/9/2014 [month/day/year], at Lacey, WA [city, state] Charle Naubert

Name of Respondent (company) - please print

Signature of Applicant RCW 9A.72.020:

"Perjury in the first degree. (1) A person is guilty of perjury in the first degree if in any official proceeding he makes a materially false statement which he knows to be false under an oath required or authorized by law. (2) Knowledge of the materiality of the statement is not an

element of this crime, and the actor's mistaken belief that his statement was not material is not a defense to a prosecution under this section. (3) Perjury in the first degree is a class B felony."

Charlie Naubert General Manager Allwest Transportation, Inc. 2640 Willamette Dr. NE Lacey, WA 98516 (360) 943-4990 ext. 212

Washington Utilities and Exchange Commission PO Box 47250 Olympia, WA 98504

To Whom It May Concern:

I am writing in regards to a letter of penalty assessment TV-140940 for \$1,000 dated June 4th, 2014. Please accept this letter as an explanation as to why the submission of the 2013 Household Good Carrier Annual Report was tardy.

On April 15th, I was forced to abruptly terminate our bookkeeper/office manager whose responsibility included completing this report. She was fighting a very serious drug and alcohol addiction as well as dealing with various legal issues. Contact was ceased leaving us with no transition period. I was under the impression that this report had been completed and mailed well before her termination date. Obviously, I was mistaken; the report was completed, but had not been mailed.

I understand that there are no excuses for this – a due date is a due date. It ultimately comes down as my responsibility and as myself a new manager, I have learned my lesson. Any kind of leniency on this penalty would be very much appreciated.

Thank you for your understanding.

Sincerely,

Charlie Naubert