

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

PENALTY ASSESSMENT UW-140841

PLEASE NOTE: You must complete and sign this document, and send it to the Commission within 15 days after you receive the penalty assessment. Use additional paper if needed.

I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under oath, the following statements.

2014 JUN -3 AM 8:22

[ ] 1. Payment of penalty. I admit that the violations occurred. I have:

[ ] Enclosed \$ \_\_\_\_\_ in payment of the penalty

[ ] Submitted my payment of \$ \_\_\_\_\_ online at www.utc.wa.gov  
My confirmation number is \_\_\_\_\_

[ ] 2. Request for a hearing. I believe that one or more of the alleged violations did not occur, for the reasons I describe below, and I request a hearing based on those reasons for a decision by an administrative law judge:

[ ] 3. Application for mitigation. I admit the violations, but I believe that the penalty should be reduced for the reasons set out below:

[ ] a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision

OR [X] b) I ask for a Commission decision based solely on the information I provide above.

I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct.

Dated: 6-2-14 [month/day/year], at Sequim, WA [city, state]

Estates Water System  
Name of Respondent (company) – please print

  
Signature of Applicant

RCW 9A.72.020:

“Perjury in the first degree. (1) A person is guilty of perjury in the first degree if in any official proceeding he makes a materially false statement which he knows to be false under an oath required or authorized by law. (2) Knowledge of the materiality of the statement is not an element of this crime, and the actor’s mistaken belief that his statement was not material is not a defense to a prosecution under this section. (3) Perjury in the first degree is a class B felony.”

Estates Water System Inc.  
Monterra Water System Inc.  
PO Box 3246  
Sequim, WA 98382

W.U.T.C.  
PO Box 47250  
Olympia, WA 98504-7250

Attn: Gregory J. Kopta

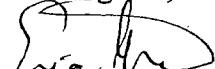
Dear Mr. Kopta,

Estates and Monterra Water Systems received your letter and penalty assessment on May 30 and I am applying for mitigation because of the following reasons.

We have always had our year end reports delivered to the W.U.T.C. in a timely manner and have never been late. We had our accountant of 16 years do all the correspondence with your agency. Recently, we changed our accountants and there was an over site on both our parts to get the documents in the mail. I had given them the completed documents and I failed to inform them that they were time sensitive. So I take full responsibility for getting them to the commission late.

I hope you see that this was an honest mistake and that we have always sent the year end reports in a timely manner.

Best regards,

  
Eric Thomas

RECEIVED

JUN 03 2014

WASH. UT. & TP. COMM