

SERVICE DATE

JUL 24 2012

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

RECEIVED NOTICE OF PENALTIES INCURRED AND DUE FOR VIOLATIONS OF LAWS AND RULES

AUG 17 2012

WASH. UT & TP COMM

PENALTY ASSESSMENT: TV-120943

PENALTY AMOUNT: \$450.

Sheridan, Jonathon
PO Box 135
Suquamish, WA 98392

We apologize this statement is late, as we were on vacation from July 18 - July 31st in California
JFS

According to the Commission's records, you have committed a violation of Commission rule: Washington Administrative Code (WAC) 480-15-480, which requires permitted household goods companies to file annual reports with the Commission by May 1 each year and pay regulatory fees annually on that date. You are classified as a household goods company. Commission records show that you did not file an annual report or pay annual regulatory fees by May 1, 2012.

Revised Code of Washington (RCW) 81.04.405 authorizes the Commission to assess penalties of \$100 for violations of Commission rules. Each and every such violation shall be a separate and distinct offense and, in the case of a continuing violation, every day's continuance shall be and be deemed to be a separate and distinct violation. The Commission interprets noncompliance with WAC 480-15-480 as continuing violations, giving rise to penalties of \$100 for each day a company fails to make each requisite filing after the date on which it was due.

As a result, the Commission hereby notifies you that it has assessed penalties against you in the amount \$450 on the following basis:

On February 29, 2012, the Commission mailed 2011 Annual Report forms and 2012 Regulatory Fee packets to all permitted household goods companies registered in Washington state. A letter from the commission's Executive Secretary David W. Danner, instructed these companies to file annual reports and pay regulatory fees by May 1, 2012. The letter stated failure to file the annual report by May 1 would result in a penalty and possible cancellation of the company's permit to operate in Washington. Those companies wishing to request an extension to file the annual report were asked to do so prior to April 24, providing a reason for the requested extension. You did not request an extension.

Sheridan, Jonathon filed its annual report on May 25, 2012, which is 18 business days past the deadline of May 1. The penalty was mitigated from \$100 per day to \$25 per day for a total of \$450.

STATE OF WASHINGTON
UTILITIES AND TRANSPORTATION COMMISSION

2012 AUG 17 AM 9:06

RECEIVED
RECORDS MANAGEMENT

Your penalty is due and payable now. If you believe the violation did not occur, you may request a hearing to contest the penalty assessment. If there is a reason for the violation that you think should excuse you from the penalty, you may ask for mitigation (reduction) of this penalty. See RCW 81.04.405.

You have the right to present your request for review or further mitigation at a hearing, but you are not required to do so. If you do, the Commission will review the evidence supporting your request in an informal hearing, called a Brief Adjudicative Proceeding, before an administrative law judge. The administrative law judge will consider your plea and notify you of his or her decision.


You must act within 15 days after receiving this notice to do one of the following:

- Pay the amount due.
- Request a hearing to contest the occurrence of the violations.
- Request mitigation to contest the amount of the penalty.

Please indicate your selection on the enclosed form and send it to the Washington Utilities and Transportation Commission, Post Office Box 47250, Olympia, Washington 98504-7250, **within FIFTEEN (15) days** after you receive this notice.

If you do not act within 15 days, the Commission may refer this matter to the Office of the Attorney General for collection. The Commission will then sue you to collect the penalty.

DATED at Olympia, Washington, and effective July 24, 2012.



GREGORY J. KOPTA
Administrative Law Judge

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION
PENALTY ASSESSMENT TV-120943

PLEASE NOTE: You must complete and sign this document, and send it to the Commission within 15 days after you receive the penalty assessment. Use additional paper if needed.

I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under oath, the following statements.

1. **Payment of penalty.** I admit that the violations occurred. I have:

Enclosed \$450 in payment of the penalty

Submitted my payment of \$450 online at www.utc.wa.gov. My confirmation number is _____.

2. **Request for a hearing.** I believe that one or more of the alleged violations did not occur, based on the following information, and request a hearing, which is a process that allows an affected person to present argument to an administrative law judge for a decision by an administrative law judge:

3. **Application for mitigation.** I admit the violations, but I believe that the penalty should be reduced for the reason(s) set out below.

I was on vacation & did not file on time. I was unaware we had to file until an email was sent by Lynn Carnes who then collected the report & we paid the fee of \$110.00. She did not advise me there would be any late fees at that time.

a) I ask for a hearing for a decision by an administrative law judge based on the information presented above.

OR b) I waive a hearing and ask for an administrative decision on the information I present directly above.

I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct.

Dated: August 10, 12 [month/day/year], at Pasco, WA [city, state]

JFS TRANSPORT
Name of Respondent (company) – please print

[Signature]
Signature of Applicant

RCW 9A.72.020:

“Perjury in the first degree. (1) A person is guilty of perjury in the first degree if in any official proceeding he makes a materially false statement which he knows to be false under an oath required or authorized by law. (2) Knowledge of the materiality of the statement is not an element of this crime, and the actor’s mistaken belief that his statement was not material is not a defense to a prosecution under this section. (3) Perjury in the first degree is a class B felony.”

We apologize for late report. We were unaware it had to be submitted. When we received email from Lynn, we immediately filed at report & turned in the same day, as we were unaware it was past due. In her responses back, she did not advise us there would be late fees. Attached is copy of e-mail.

RE: 2011 Household Goods Annual Report

Hide Details

FROM: Carnes, Rae Lynn (UTC)

Friday, May 25, 2012 4:02 PM

TO: jonathon sheridan

Good afternoon,

Your report is complete. I will let Mathew know it is here. How would you like to pay for your regulatory fee? We can invoice you if that would work for you?

Either way, have a great weekend.

Thanks,

Rae Lynn Carnes
Financial Services
Washington State Utilities and Transportation Commission
PO Box 47250
Olympia WA 98504
Phone(360) 664-1152 Fax (360) 664-1289

From: jonathon sheridan [mailto:jfsmoving@yahoo.com]
Sent: Friday, May 25, 2012 3:58 PM
To: Carnes, Rae Lynn (UTC)
Subject: Re: 2011 Household Goods Annual Report

Rae Lynn Carnes,

Thank you so much for your patience. We were on vacation and needed to catch up on our mail. Let me know if there is anything else you need or have any questions. Thanks again.

You have a great weekend as well 😊

Sincerely,
Jonathon Sheridan
JFS Transport
<http://jfstransport.info>
Office:(888)546-6820
Cell:(253) 961-4163
From: "Carnes, Rae Lynn (UTC)" <rcarnes@utc.wa.gov>
To: "jfsmoving@yahoo.com" <jfsmoving@yahoo.com>
Sent: Friday, May 25, 2012 9:46 AM
Subject: 2011 Household Goods Annual Report

Good morning,

Attached is the 2011 Household Goods Annual Report. Please let me know if you have any questions. Both the Annual Report and Regulatory fee sheet need to be filled out.

Thanks & have a good weekend.

Rae Lynn Carnes
Financial Services
Washington State Utilities and Transportation Commission
PO Box 47250
Olympia WA 98504
Phone(360) 664-1152 Fax (360) 664-1289

Reply to Carnes, Rae Lynn (UTC)

