

**Exhibit No. \_\_\_ (AW-3)**  
**Docket UW-101818**  
**Witness: Amy White**

**BEFORE THE WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION**

**WASHINGTON UTILITIES AND  
TRANSPORTATION COMMISSION,**

**Complainant,**

**v.**

**MARIA K. LINDBERG,**

**Respondent.**

**DOCKET UW-101818**

**EXHIBIT TO**

**DIRECT TESTIMONY OF**

**Amy White**

**STAFF OF  
WASHINGTON UTILITIES AND  
TRANSPORTATION COMMISSION**

*Email, Dated April 7, 2010, Regarding Compliance Action Notice  
for Docket UW-090839*

**June 23, 2011**

**White, Amy (UTC)**

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**From:** White, Amy (UTC)  
**Sent:** Wednesday, April 07, 2010 2:56 PM  
**To:** Maria Lindberg  
**Subject:** RE: FW: Compliance action notice for 090839

Maria—to keep me on track answering all your questions I will answer below in **bold**. Amy

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**From:** mariarealtor@gmail.com [mailto:mariarealtor@gmail.com] **On Behalf Of** Maria Lindberg  
**Sent:** Wednesday, April 07, 2010 2:48 PM  
**To:** White, Amy (UTC)  
**Subject:** Re: FW: Compliance action notice for 090839

Amy,

I have printed the compliance action sheet below. I will give you a summary about the construction..the project we went out to do is pretty much finished we are waiting for the completion report from DOH. So what exactly do you want me to put on the report that we are working on getting the Completion report from DOH? **[White, Amy (UTC)] I would summarize what was done (how many feet of pipe, how many new services, work in the pumphouse, etc.)**

In regards to what has been done and costs do you want the excel sheet we did for CTED? That included voucher payment breakdowns for the categories outlined in the loan? **[White, Amy (UTC)] Yes, this would be fine for what I need at the commission.**

I contacted Dick and asked him to send me the meter head readings ASAP to turn into you.**[White, Amy (UTC)]**

**Sounds good, I will be watching for it. You can send items as you get them, rather than sending a whole pile of stuff at once.**

The meters have been installed we have two months of data, BUT it seems some of the meters are not working. Augh! Can you believe it? I am just going to turn in what we have correct? You will see when I send it. **[White, Amy (UTC)] Yes, just send what you have. What's up with the meters? How many bad ones are you dealing with? And will the manufacturer make you whole on them?**

Thanks for the follow up. I appreciate the heads up. **[White, Amy (UTC)] Not a problem. If you need a bit more time, as long as I know you're working on these items, I've got a little latitude for a little longer.**

As soon as I get the information from Dick I will send all the spread sheets to you.

Hope you are having a great week!**[White, Amy (UTC)] You too—I'm so glad spring is here, it makes life simpler for us all.**

Maria

On Wed, Apr 7, 2010 at 2:31 PM, White, Amy (UTC) <[AWhite@utc.wa.gov](mailto:AWhite@utc.wa.gov)> wrote:

Hi Maria,

I hope you are well and that things are jelling for the water company.

I just wanted to touch base with you since there are a few compliance items coming due at the end of the week:

1. A summary about the construction (a narrative about what's been done and a listing of the costs including what was paid to whom) and
2. Wellhead readings (hopefully carried forward from the ones you gave the commission last fall.)

If you are in process in those but are running short of time please let me know where you are in terms of pulling items together.

I've attached the listing of items due from Cristalina, which I think you've seen previously, so we both have our dates in front of us.

<<Cristalina LLC Compliance Listing.doc>>

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Please be sure to call me with questions.

**Amy I. White** | Regulatory Analyst

Utilities & Transportation Commission, Regulatory Services Division

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This email states the informal opinions of commission staff, offered as technical assistance, and are not intended as legal advice. We reserve the right to amend these opinions should circumstances change or additional information be brought to our attention. Staff's opinions are not binding on the commission.

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**From:** White, Amy (UTC)  
**Sent:** Friday, March 26, 2010 10:05 AM  
**To:** 'Maria Lindberg'; Maria Lindberg  
**Subject:** FW: Compliance action notice for 090839

Hi Maria,

Another reminder for us both—the wellhead meter information will be due 4/10. Please see the bolded item below. Hopefully the wellhead meters are all working and you've been keeping track all along.

Thank you--

**Amy I. White** | Regulatory Analyst

Utilities & Transportation Commission, Regulatory Services Division

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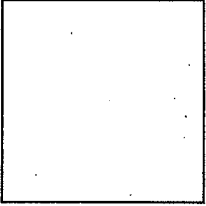
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**From:** Bob Klein/WUTC@WUTC **On Behalf Of** Notes Supervisor/WUTC@WUTC  
**Sent:** Thursday, March 25, 2010 6:02 AM  
**To:** White, Amy (UTC); White, Amy (UTC); Eckhardt, Gene (UTC)  
**Subject:** Compliance action notice for 090839

This is your notice for a compliance action due on 04/10/2010 for docket 090839 and company Cristalina, LLC.

Description of compliance action: **Cristalina will provide water usage data from the well-head meters monthly to the Commission.. These monthly usage reports shall be filed in this docket within 10 days of the end of each preceding month until 12 months of metered usage data exists.** This requirement is found in the settlement in Paragraph 13



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Double-click the orange link to see the docket sheet.

Click Yes when asked about trusting the object

Cristalina, LLC  
 UW-090839  
 Listing of Compliance Items Due from Settlement  
 Construction Completion Date: 3/15/2010

Compliance Action	Location in Settlement Agreement	Due When?	Due Date
Staff and Cristalina agree that the Company shall report to the Commission on a monthly basis regarding the construction progress of capital improvements made using the DWSRF loan. <sup>1</sup> The monthly construction progress reports shall be filed concurrently in this docket and in Docket UW-090516 .	Paragraph 13	Within 10 days of the end of each preceding month until construction is complete	4/10/2010
The parties also agree that Cristalina will provide water usage data from the well-head meters monthly to the Commission.	Paragraph 13	These monthly usage reports shall be filed in this docket within 10 days of the end of each preceding month until 12 months of metered usage data exists.	The 10 <sup>th</sup> of each month from 4/10/10 until 3/10/11
Once all customer meters have been installed and are in use, and two months of usage data exists, the parties agree that Cristalina will file for temporary metered rates. The parties further agree that the proposed temporary metered rates shall include a base rate and a single usage block and that the temporary rates will be subject to a "true-up" upon implementation of the permanent rates.	Paragraph 14	Within 120 days of the meters being installed and placed into use (the completion date)	7/15/10
Once the Company has collected 15 months of metered customer usage data, the parties agree that Cristalina will use the most recent twelve months of data to file for permanent metered rates. The Company agrees that it will request a "true-up" of the temporary rates. The parties assert that the "true-up" may be accomplished through refunds, a surcharge, or an amount embedded in rates, as appropriate. Cristalina agrees that its proposed permanent metered rates shall include a base rate and multiple usage blocks.	Paragraph 15	This rate filing, according to the parties, will be accomplished within 18 months of the construction completion date	9/15/11