

Received  
Records Management  
Apr 18, 2023

To whom this may concern,

My name is David Lu and i'm responding to my penalty that was issued on 4/4/2023. I take full responsibility for my wrongdoing and would like to ask for a reduce in payment regarding 23 violations of 49 C.F.R 395.8(a)(1). I was under the impression last year that i was filling my time logs correctly. After i spoke with the first inspector, i thought my way of filling them was correct which is the reason why i kept filling them that way. After my contact with Tracy we spoke multiple times about properly logging them and realized i made a critical mistake on they way i was calculating my work hours. Now that i fully understand how to fill them correctly i will make sure this won't happen again. I just ask for a reduction being that it's an honest mistake and money is tight at the moment. I'm trying my best and will make sure i'll fill them correctly going forward.

Thank you,

David LU

04/08/2023

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION  
PENALTY ASSESSMENT TV-230198

**PLEASE NOTE:** You must complete and sign this document and send it to the Commission within 15 days after you receive the Penalty Assessment. Use additional paper if needed. I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under oath, the following statements.

- 1. **Payment of penalty.** I admit that the violations occurred.
  - Enclose \$2,500 in payment of the penalty.
  - OR  Attest that I have paid the penalty in full through the Commission's payment portal.
  
- 2. **Contest the violation(s).** I believe that the alleged violation(s) did not occur for the reasons I describe below (**if you do not include reasons supporting your contest here, your request will be denied**):
  - a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision.
  - OR  b) I ask for a Commission decision based solely on the information I provide above.
  
- 3. **Application for mitigation.** I admit the violations, but I believe that the penalty should be reduced for the reasons set out below (**if you do not include reasons supporting your application here, your request will be denied**):
  - a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision.
  - OR  b) I ask for a Commission decision based solely on the information I provide above.

I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct.

Dated: 4-8-2023 [month/day/year], at Federal Way, wa [city, state]

David Lu Grandmas Bay making  
Name of Respondent (company) – please print

David Lu  
Signature of Applicant