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May 12, 2016

**VIA records@utc.wa.gov ONLY**

Executive Director and Secretary  
Washington Utilities and Transportation Commission  
1300 S. Evergreen Park Dr., SW  
Olympia, WA 98504

Re: NECC Telecom, Inc.  
UT-160475

RECEIVED  
2016 MAY 12 PM 2:34  
OFFICE OF THE  
CLERK OF THE  
UTILITY AND  
TRANSPORTATION  
COMMISSION

Dear Sir/Madam:

Attached please find for filing NECC Telecom, Inc.'s ("Applicant") CONFIDENTIAL and REDACTED financial statements to accompany its Initial Registration and Competitive Classification of Telecommunications Companies, originally filed on May 4, 2016.

**A COPY OF FINANCIAL STATEMENTS IS ATTACHED AS A SEPARATE DOCUMENT WITH EACH PAGE MARKED "Confidential per WAC 480-07-160". APPLICANT RESPECTFULLY REQUESTS CONFIDENTIAL TREATMENT OF THE FINANCIAL INFORMATION, UNDER WAC 480-07-160. APPLICANT EXPECTS THAT THIS INFORMATION WILL BE RESTRICTED TO COUNSEL, AGENTS AND EMPLOYEES WHO ARE SPECIFICALLY ASSIGNED TO THIS APPLICATION BY THE COMMISSION.**

As a privately-held corporation, Applicant's financial statements are not made publicly available, and Applicant takes reasonable precautions to maintain and protect the confidentiality of such information. Public disclosure of Applicant's financial information for which this request is made could place Applicant at a competitive disadvantage.

Respectfully submitted,



Lance J.M. Steinhart  
Lance J.M. Steinhart, P.C.  
Attorneys for NECC Telecom, Inc.

Attachments

UT-160475-P

WASHINGTON



UTILITIES AND TRANSPORTATION COMMISSION

REGISTRATION AND COMPETITIVE CLASSIFICATION OF TELECOMMUNICATIONS COMPANIES

1300 South Evergreen Park Drive SW PO Box 47250 Olympia, WA 98504-7250

Telephone 360-664-1160 / Fax 360-586-1150 TTY 360-586-8203 or 1-800-416-5289 Website: www.utc.wa.gov

The UTC has a policy of providing equal access to its services. To request this document in alternate formats, please call 360-664-1133.

Registering as a Telecommunications Company in Washington is as easy as 1-2-3:

- 1. Complete this application form
2. Submit this form via the Records Center Web portal or e-mail to records@utc.wa.gov
3. UTC will issue a registration certificate generally within 30 days

RECEIVED RECORDS MANAGEMENT 2016 MAY -4 PM 3:50 STATE OF WASHINGTON UTL AND T COMM

Include the following:

- Current Balance Sheet Latest Annual Report, if any

Competitive Classification

Applicant is subject to effective competition and requests waiver of regulatory requirements outlined in 480-121-063(1). Yes

Telecommunications Company Information

Company Name: NECC Telecom, Inc. d/b/a: Company Mailing Address: 4969 US Highway 42, Ste. 2700 City/State/Zip: Louisville, Kentucky 40222 Web Site Address: www.necc.us Unified Business Identification Number (UBI): 602205263 (If you do not know your UBI number or need to request one contact the Business Licensing Services at 360-664-1400 or 1-800-451-7985)

Questions regarding this application should be directed to:

Name: Lance J.M. Steinhart, Regulatory Counsel Phone Number: (770) 232-9200 Fax Number: (770) 232-9208 E-mail: info@telecomcounsel.com Mailing Address: 1725 Windward Concourse, Suite 150 City/State/Zip: Alpharetta, Georgia 30005

Posted Check & Email

**Registered Agent** (A Washington Agent is required if the company is located outside Washington State):

Name: Business Filings Incorporated  
Mailing Address: 505 Union Ave. SE, Ste. 120  
City/State/Zip: Olympia, Washington 98501  
Phone Number:

**Name, address and title of each officer or director (attach additional pages if needed)**

<u>Name</u>	<u>Address</u>	<u>Title</u>
Daniel Popa	4969 US Highway 42, Ste. 2700 Louisville, Kentucky 40222	President/CEO

**Regulatory Contact:**

Name: Susan Cockerham, Regulatory Manager FAS Tek Compliance Solutions, Inc.  
Mailing Address: 1725 Windward Concourse, Ste. 150, Alpharetta, GA 30005  
Phone Number: 678-672-2800 Fax Number: 678-672-2830  
E-mail: support@fastekteam.com

**Consumer Questions and/or Complaint Contact:**

Name: Daniel Popa  
Title: President/CEO  
Phone Number: 954-374-6286 Fax Number: 502-327-0053  
E-mail: customercare@necc.us

**Emergency Contact:**

Name: Daniel Popa  
Title: President/CEO  
Phone Number: 954-374-6286 Fax Number: 502-327-0053  
E-mail: customercare@necc.us

**Telecommunication services provided (check all that apply) :**

- |  |  |
|--|--|
| <input type="checkbox"/> Local Exchange Service (including resale) | <input type="checkbox"/> Data Services         |
| <input checked="" type="checkbox"/> Long Distance Service          | <input type="checkbox"/> Prepaid Calling Cards |
| <input type="checkbox"/> Operator Services                         | <input type="checkbox"/> VoIP                  |
| <input type="checkbox"/> Other, please specify in box below:       | <input type="checkbox"/> Wireless              |

Period to Date	% of Revenue	Year to Date	% of Revenue
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Revenue

4000-20-000	Sales - Long Distance:LD
4080-20-000	Bad Debt:LD
4085-20-000	Customer credits:LD
4090-00-000	Admin Fees
4090-20-000	Admin Fees:LD

Total Revenue:

Cost of Sales

5038-20-000	Telemarketing services:LD
5039-20-000	Customer Service:LD
5040-20-000	Commissions - Agent:LD
5041-10-000	Billing Services:CORP
5043-20-000	Cust Svc/TM UC Usage:LD
5045-20-000	Collection Fees:LD
5075-20-000	On-line Services:LD
5077-20-000	Mktg & Development:LD
5080-20-000	Send Invoices:LD
5085-10-000	Tax Processing:CORP
5095-20-000	Underlying Carrier:LD

Total Cost of Sales:

Gross Margin:

Expenses

Operating Expenses

6000-10-000	Advertising:CORP
6000-20-000	Advertising:LD
6010-10-000	Bank Charge:CORP
6025-10-000	Credit Card Fees:CORP
6040-10-000	Franchise Tax:CORP
6065-10-000	Licensing and Regulatory Fees:CORP
6093-10-000	Promo:CORP
6125-10-000	Postage & Delivery:CORP

Total Operating Expenses:

Payroll

6096-10-000	Salaries:CORP
6097-10-000	Payroll Tax:CORP
6098-00-000	Payroll Fees
6098-10-000	Payroll Fees:CORP

Total Payroll:

Phones

6111-10-000	Cellular:CORP
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Total Phones:

Professional Fees

6140-10-000	Accounting Contracted (2014 & Forward):CORP
6142-10-000	Support & Admin:CORP
6145-10-000	Legal:CORP
6150-10-000	Software Consulting:CORP

Total Professional Fees:

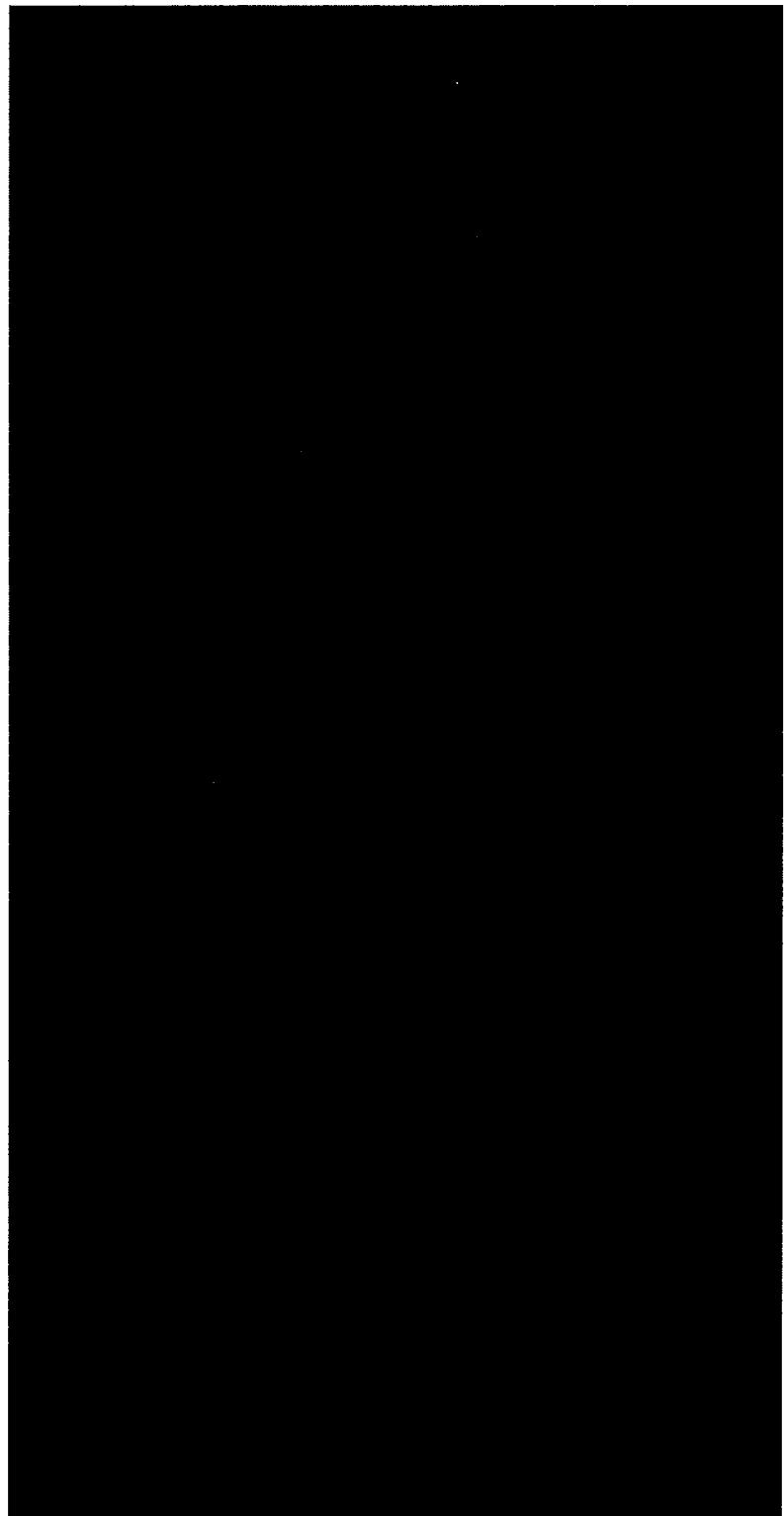
Travel & Entertainment

6192-10-000	Air Tickets:CORP
6200-10-000	Meals:CORP

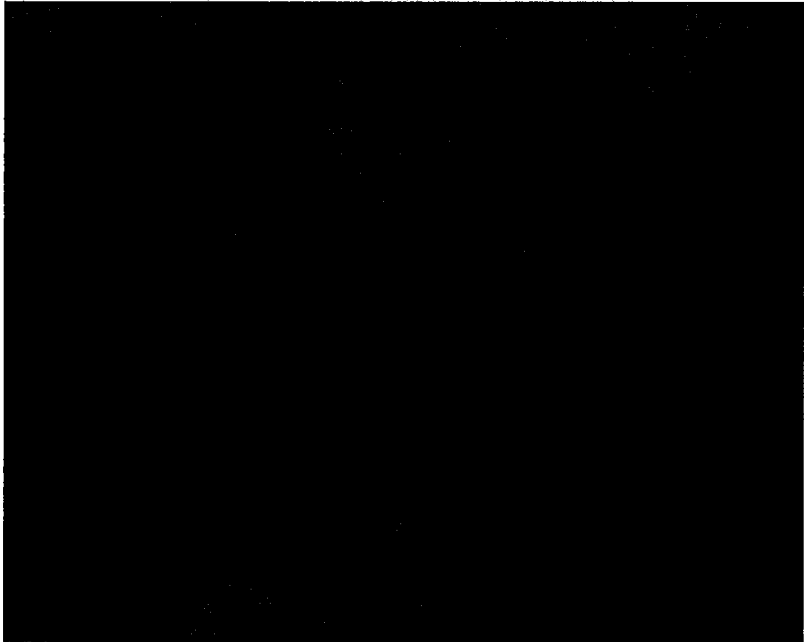
Total Travel & Entertainment:

Utilities

6220-10-000	Internet Services:CORP
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Total Utilities:  
Total Expenses:  
Net Income From Operations:  
Other Income and Expense  
8010-10-000 Interest Earned:CORP  
8015-00-000 Other income  
Total Other Income and Expense:  
Earnings Before Income Tax:  
Income Taxes  
8998-10-000 Income tax expense - State  
Total Income Taxes:  
Net Income (Loss):



Assets

Current Assets

1001-10-000 PNC Main Bank Account 6837  
1002-10-000 PNC- Tax Account 6765  
1004-10-000 PNC - Payroll 6781  
1006-10-000 CSI Escrow Acct #1918:CORP  
1027-10-000 Paypal

Total Current Assets:

Accounts Receivable

1200-20-000 Accounts Receivable: Long Distance  
1210-10-000 AR - Other

Total Accounts Receivable:

Other Current Assets

1320-10-000 Prepaid Expenses:CORP

Total Other Current Assets:

Fixed Assets

1510-10-000 Computers  
1520-10-000 Copier, Fax, etc.  
1540-10-000 Network Systems  
1560-10-000 Telephone  
1580-10-000 Leasehold Improvement  
1600-10-000 Accumulated Depreciation

Total Fixed Assets:

Other Assets

1840-10-LVG Loans Receivable:CORP-LVG  
1840-10-PUC Loans Receivable:CORP-Pulse CA  
1840-10-SPD Loans Receivable:CORP-SPD

Total Other Assets:

Total Assets:

Liabilities

Current Liabilities

2000-10-000 Accounts Payable:CORP  
2006-10-000 Accrued Expenses:CORP  
2007-10-000 Accrued Interest  
2100-10-000 Sales Taxes Payable:CORP

Total Current Liabilities:

Long-Term Liabilities

2556-10-000 Notes Payable-Cosmin Gheara:CORP  
2559-10-000 N/P - Treasury Share:CORP

Total Long-Term Liabilities:

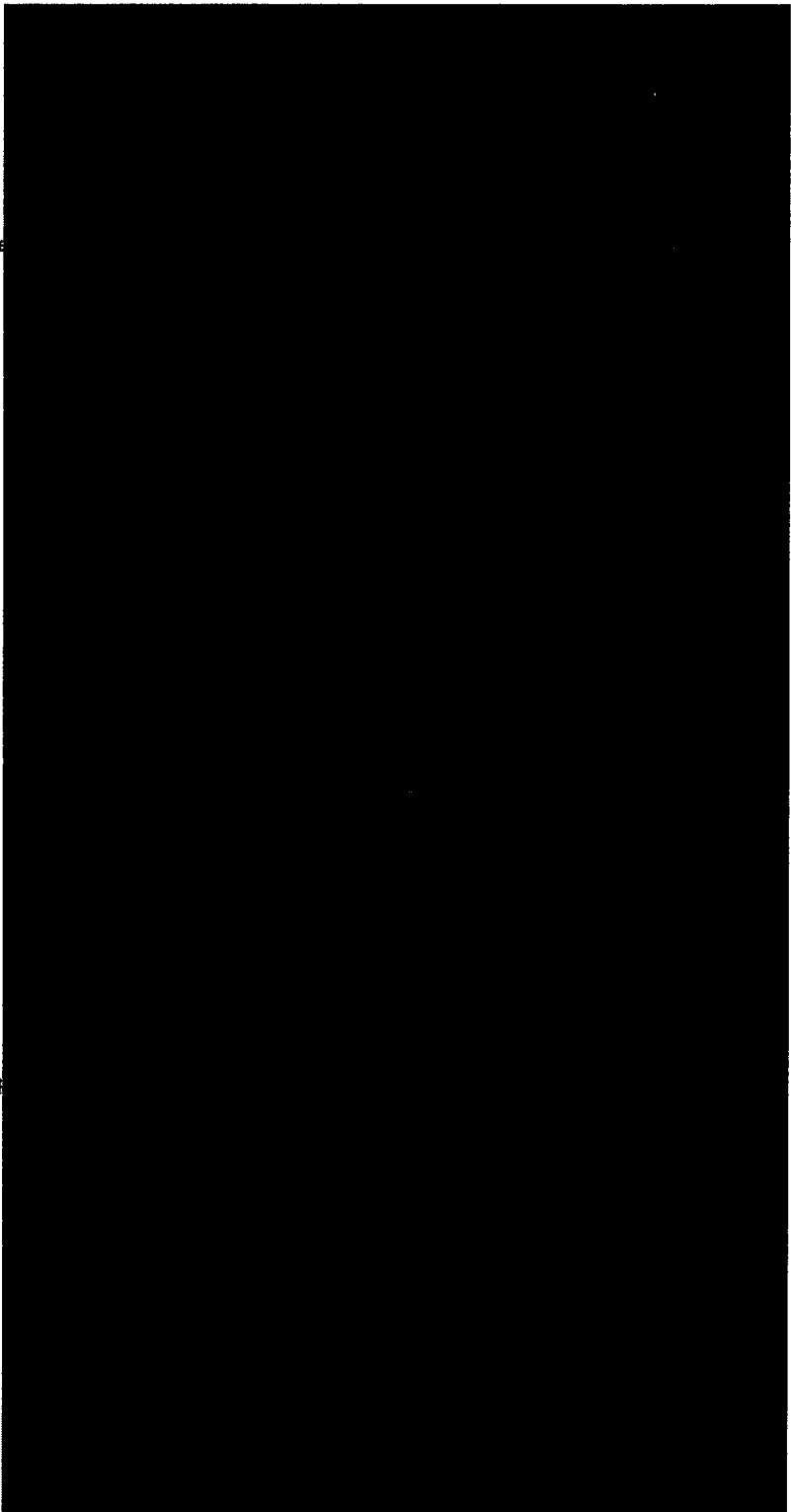
Total Liabilities:

Equity

3010-10-000 Capital Stock:CORP  
3040-10-000 Shareholder Distributions:CORP  
3150-10-000 Treasury Stock:CORP  
3200-10-000 Retained Earnings:CORP  
3200-10-000 Retained Earnings-Current Year

Total Equity:

Total Liabilities & Equity:



Operating Activities

Net Income (Loss)

Adjustments

1200-20-000	Accounts Receivable: Long Distance
1210-10-000	AR - Other
1320-10-000	Prepaid Expenses:CORP
2000-10-000	Accounts Payable:CORP
2100-10-000	Sales Taxes Payable:CORP
2300-10-000	Accrued Payroll:CORP
2310-10-000	Accrued Payroll ADP Clearing:CORP

Total Adjustments:

Net Cash Provided - Operating Expenses:

Investing Activities

Net Cash Used - Investing Activities:

Financing Activities

1840-10-CNE	Loans Receivable:CORP:NECC CA \$CAD
1840-10-PUC	Loans Receivable:CORP-Pulse CA
2555-10-000	Notes Payable-Lucia:CORP
2556-10-000	Notes Payable-Cosmin Gheara:CORP
2558-10-SRV	Notes Payable-SRVR
2559-10-000	N/P - Treasury Share:CORP
3040-10-000	Shareholder Distributions:CORP
3150-10-000	Treasury Stock:CORP
3200-10-000	Retained Earnings:CORP

Net Cash Used - Financing Activities:

Net Decrease in Cash:

Cash & Equivalent at Begin of Year:

Cash & Equivalent at End of Year:

