

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

PENALTY ASSESSMENT TV-150939

PLEASE NOTE: You must complete and sign this document, and send it to the Commission within 15 days after you receive the penalty assessment. Use additional paper if needed.

I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under oath, the following statements.

[ ] 1. Payment of penalty. I admit that the violations occurred. I have:

[ ] Enclosed \$ \_\_\_\_\_ in payment of the penalty

[ ] Submitted my payment of \$ \_\_\_\_\_ online at www.utc.wa.gov. My confirmation number is \_\_\_\_\_.

[ ] 2. Request for a hearing. I believe that one or more of the alleged violations did not occur, for the reasons I describe below, and I request a hearing based on those reasons for a decision by an administrative law judge:

[x] 3. Application for mitigation. I admit the violations, but I believe that the penalty should be reduced for the reasons set out below:

[ ] a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision

OR [x] b) I ask for a Commission decision based solely on the information I provide above.

I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct.

Dated: June 9th 2015 [month/day/year], at Tukwila, CA [city, state]

STANLEY G. ALEXANDER, INC. Name of Respondent (company) - please print

Signature of Applicant

RCW 9A.72.020:

"Perjury in the first degree. (1) A person is guilty of perjury in the first degree if in any official proceeding he makes a materially false statement which he knows to be false under an oath required or authorized by law. (2) Knowledge of the materiality of the statement is not an element of this crime, and the actor's mistaken belief that his statement was not material is not a defense to a prosecution under this section. (3) Perjury in the first degree is a class B felony."

2015 JUN 23 AM 8:08  
COMMUNICATIONS SECTION



June 9, 2015

Washington Utilities and Transportation Commission  
Post Office Box 47250  
Olympia, WA

Re: Penalty Assessment: TV-150939

Dear Members of the Washington Utilities and Transportation Commission:

We received your letter on June 5, 2015 notifying us that Stanley G. Alexander, Inc. had committed a violation by failing to submit our Annual Report by the specified due date of May 1, 2015.

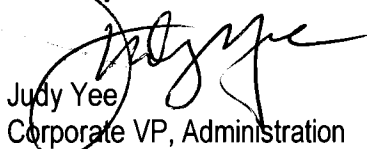
As a firm which has been operating in the State of Washington for 28 years, Stanley G. Alexander, Inc. has a long history of good standing within the state, the community and transportation industry. This unfortunate incident will be corrected immediately.

The party responsible for completing our Annual Report filings is no longer with the firm. Due to a recent audit following the departure of the staff member, it was discovered that other state filings had either not been filed or were incomplete and documentation could not be located. Upon receipt of your letter, we could not locate the 2014 annual report forms and the 2015 regulatory fee packets which you stated were mailed on February 27, 2015. However, we took immediate action to complete the enclosed 2014 annual report along with a check for the regulatory fees and penalties.

According to the letter received in our office on Friday, June 5, 2015, Stanley G. Alexander, Inc. has incurred a penalty charge of \$1000.00. With our long history within the state, we respectfully request your consideration to mitigate this penalty, and would welcome an opportunity to discuss this matter further.

Should you have any questions, please do not hesitate to contact me 714.731.1658 or [jyee@alexanders.net](mailto:jyee@alexanders.net).

Sincerely,



Judy Yee  
Corporate VP, Administration

JY/kh

Enclosure: 2014 Annual Report

Alexander's Mobility Services  
2942 Dow Ave  
Tustin, CA 92780-7220

T 800.722.6286  
P 714.731.1658  
F 714.272.7776

2015 JUN 23 AM 8:04  
UTL, Olympia, WA  
COMMUNICATIONS SECTION

# WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

## NOTICE OF PENALTIES INCURRED AND DUE FOR VIOLATIONS OF LAWS AND RULES

PENALTY ASSESSMENT TV-150939  
PENALTY AMOUNT: \$1,000

STANLEY G. ALEXANDER, INC.  
2942 DOW AVE  
TUSTIN, CA 92780

According to Washington Utilities and Transportation Commission (Commission) records, you have violated Washington Administrative Code (WAC) 480-15-480, which requires household goods carriers companies to file your annual report and pay regulatory fees each year by May 1. You did not file an annual report or pay regulatory fees by May 1, 2015.

Revised Code of Washington (RCW) 81.04.080 authorizes the Commission to assess a penalty of \$100 for each violation of a Commission rule. In the case of a continuing violation, every day's continuance is a separate and distinct violation. The Commission interprets noncompliance with WAC 480-15-480 beyond May 1 as a continuing violation, and assesses penalties of \$100 for each day a company fails to file its report or pay its regulatory fees after that date.

As a result, the Commission has assessed penalties against you in the amount of \$1,000 on the following basis:

On February 27, 2015, the Commission mailed the 2014 annual report forms and the 2015 regulatory fee packets to all household goods carriers companies registered in Washington. The instructions page for the annual report form instructed these companies to file annual reports and pay regulatory fees by May 1, 2015. The instructions page also stated that failure to file the annual report by May 1 would result in penalties. The deadline for requesting an extension to file your annual report was April 15. You did not request an extension.

As of May 15, 2015, Stanley G. Alexander, Inc. has not filed its 2014 annual report or paid its 2015 regulatory fee. May 15 is 10 business days from May 1, resulting in a total penalty of \$1,000.

Your penalty is due and payable now. If you believe the violation did not occur, you may request a hearing to contest the penalty assessment. The Commission will grant that request only if material issues of law or fact require consideration of evidence and resolution in a hearing. A request for a hearing must include a written statement of the reasons supporting that request. Failure to provide such a statement will result in denial of the request.

If there is a reason for the violation that you think should excuse or reduce the penalty, you may ask for mitigation (reduction) of this penalty through evidence presented at a hearing or in writing. The Commission will grant a request for a hearing only if material issues of law or fact require consideration of evidence and resolution in a hearing. Any request for mitigation must include a written statement of the reasons supporting that request. Failure to provide such a statement will result in denial of the request. See RCW 81.04.405.

If you properly present your request for a hearing and the Commission grants that request, the Commission will review the evidence supporting your dispute of the violation or application for mitigation in a Brief Adjudicative Proceeding, before an administrative law judge. The administrative law judge will consider the evidence and will notify you of his or her decision.

**You must act within 15 days after receiving this notice** to do one of the following:

- Pay the amount due.
- Request a hearing to contest the occurrence of the violations.
- Request mitigation to contest the amount of the penalty.

Please indicate your selection on the enclosed form and send it to the Washington Utilities and Transportation Commission, Post Office Box 47250, Olympia, Washington 98504-7250, **within FIFTEEN (15) days** after you receive this notice.

**If you do not act within 15 days**, the Commission may refer this matter to the Office of the Attorney General for collection, which may file suit in state court to collect the penalty. The Commission may also initiate proceedings under WAC 480-15-450 to cancel your permit to operate as a household goods carrier in Washington.

DATED at Olympia, Washington, and effective June 1, 2015.



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GREGORY J. KOPTA  
Administrative Law Judge