

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
BENCH EXHIBITS				
<u>BE-1</u>			11/28/2018	Public Comments Received Prior to 11/5/2018 (131 Pages)
<u>BE-2 Attachment</u>			2/6/2019	Joint Applicants' Response to Bench Request No. 1 and Attachment A (45 pages)
SETTLEMENT STIPULATION				
<u>Settlement Stipulation (HC)</u>	Settling Parties		1/15/2019	Multiparty Settlement Stipulation and Agreement (Highly Confidential) (188 pages)
<u>Settlement Stipulation</u>	Settling Parties		1/15/2019	Multiparty Settlement Stipulation and Agreement (Redacted) (188 pages)
JOINT APPLICANTS				
<u>Joint Application</u>	Joint Applicants		9/5/2018	Joint Application (115 Pages)
KIMBERLY J. HARRIS, President and Chief Executive Officer, PSE				
<u>KJH-1T</u>	Kimberly J. Harris		9/5/2018	Prefiled Direct Testimony (15 pages)
<u>KJH-2</u>	Kimberly J. Harris		9/5/2018	Professional Qualifications (3 Pages)
<u>KJH-3</u>	Kimberly J. Harris		9/5/2018	Letter of Support from Christopher Hind (CPPIB) to Mark L. Johnson (3 Pages)

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
CROSS-EXAMINATION EXHIBITS				
DANIEL A. DOYLE, Senior Vice President and Chief Financial Officer, Puget Sound Energy (PSE)				
<u>DAD-1T</u>	Daniel A. Doyle		9/5/2018	Prefiled Direct Testimony (7 pages)
<u>DAD-2</u>	Daniel A. Doyle		9/5/2018	Professional Qualifications (4 Pages)
CROSS-EXAMINATION EXHIBITS				
DAVID E. MILLS, Senior Vice President of Policy and Energy Supply, PSE				
<u>DEM-1T</u>	David E. Mills		9/5/2018	Prefiled Direct Testimony (13 Pages)
<u>DEM-2</u>	David E. Mills		9/5/2018	Professional Qualifications (4 Pages)
<u>DEM-3</u>	David E. Mills		9/5/2018	Proposed Commitments of the Joints Applicants in Support of the Proposed Transactions (17 Pages)
<u>DEM-4</u>	David E. Mills		9/5/2018	Proposed Commitments of the Joint Applicants in Support of the Proposed Transactions Compared Against Existing Commitments from the 2008 Acquisition Order, the LNG Order, and the 2017 GRC Order (59 Pages)

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
CROSS-EXAMINATION EXHIBITS				
JON A. PILIARIS, Director, Regulatory Affairs, PSE <i>jointly with Ahmed Mubashir, Martijn J. Verwoest, Lincoln Webb, Steven Zucchet</i>				
<u>JA-1JT</u>	Jon Piliaris, et al.		1/18/2019	Testimony Supporting Settlement Stipulation (14 pages)
<u>JA-2</u>	Jon Piliaris		1/18/2019	Professional Qualifications (4 Pages)
CROSS-EXAMINATION EXHIBITS				
<u>JAP-</u>	Jon Piliaris		2/6/2019	Attachment A to Joint Applicants' Bench Request No. 001 (43 Pages) BE-2
<u>JAP-</u>	D. Timothy Arnold		2/8/2019	PSE Headcount from 2010 to 2018 (7 Pages) DTA-3
<u>JAP-</u>	D. Timothy Arnold		2/8/19	Servicemen, Substation, and Metermen Hours Worked 2013-2018 (14 Pages) DTA-8
<u>JAP-</u>	D. Timothy Arnold		2/8/19	PSE OT Hours by Cost Center 2009-2018 (6 Pages) DTA-4
<u>JAP-</u>	D. Timothy Arnold		2/8/19	PSE Motor Vehicle Incidents 2013-2018 (3 Pages) DTA-9
<u>JAP-</u>	D. Timothy Arnold		2/8/19	Damage Assessor Information (10 Pages) DTA-6
<u>JAP-</u>	D. Timothy Arnold		2/8/19	Apprentices by Department (4 Pages) DTA-24

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
<u>JAP-</u>	Jon Piliaris		1/18/19	Joint Testimony of Jon Piliaris (14 Pages) JA-1JT
AHMED MUBASHIR, Portfolio Manager, Infrastructure and timber group, Alberta Investment Management Corporation (AIM Co)				
<u>AM-1T</u>	Ahmed Mubashir		9/5/2018	Prefiled Direct Testimony (14 pages)
<u>AM-2</u>	Ahmed Mubashir		9/5/2018	Professional Qualifications (3 Pages)
<u>AM-3</u>	Ahmed Mubashir		9/5/2018	Purchase and Sale Agreement between MIP Padua Holdings L.P. and PIP2PX (PAD) LTD. and PIP2GV (PAD) LTD. (8/8/2018) (106 Pages)
<u>JA-1JT</u>	Jon Piliaris, et al.		1/18/2019	Testimony Supporting Settlement Stipulation (14 pages)
CROSS-EXAMINATION EXHIBITS				
<u>AM-</u>	Ahmed Mubashir		2/6/2019	Attachment A to Joint Applicants' Bench Request No. 001 (43 Pages) BE-2
<u>AM-</u>	Jon Piliaris		1/18/19	Joint Testimony of Jon Piliaris (14 Pages) JA-1JT
MARTIJN J. VERWOEST, Senior Director, Infrastructure team, PGGM Vermogensbeheer B.V. (PGGM)				
<u>MJV-1T</u>	Martijn J. Verwoest		9/5/2018	Prefiled Direct Testimony (22 pages)
<u>MJV-2</u>	Martijn J. Verwoest		9/5/2018	Professional Qualifications (3 Pages)
<u>MJV-3</u>	Martijn J. Verwoest		9/5/2018	Purchase and Sale Agreement between MIP

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
				Padua Holdings, L.P. and Mount Rainier Utility Holdings, L.L.C. (8/8/2018) (150 Pages)
<u>MJV-4</u>	Martijn J. Verwoest		9/5/2018	Background Information Regarding the PGGM Fund for Joint Account Structure (6 Pages)
<u>MJV-5</u>	Martijn J. Verwoest		9/5/2018	Organizational Chart of the PGGM Entities and Mount Rainier Utility Holdings LLC (2 Pages)
<u>JA-1JT</u>	Jon Piliaris, et al.		1/18/2019	Testimony Supporting Settlement Stipulation (14 pages)
CROSS-EXAMINATION EXHIBITS				
<u>MJV-</u>	Martijn J. Verwoest		2/6/2019	Attachment A to Joint Applicants' Bench Request No. 001 (43 Pages) BE-2
<u>MJV-</u>	Jon Piliaris		1/18/19	Joint Testimony of Jon Piliaris (14 Pages) JA-1JT
LINCOLN WEBB, Senior Vice President, Infrastructure & Renewable Resources, British Columbia Investment Management Corporation (BCI)				
<u>LW-1T</u>	Lincoln Webb		9/5/2018	Prefiled Direct Testimony (10 pages)
<u>LW-2</u>	Lincoln Webb		9/5/2018	Professional Qualifications
<u>LW-3</u>	Lincoln Webb		9/5/2018	Purchase and Sale Agreement Between MIP Padua Holdings, L.P. and 6860141 Canada Inc., as Trustee for Padua Investment Trust (8/8/2018)

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
<u>JA-1JT</u>	Jon Piliaris, et al.		1/18/2019	Testimony Supporting Settlement Stipulation (14 pages)
CROSS-EXAMINATION EXHIBITS				
<u>LW-</u>	Lincoln Webb		2/6/2019	Attachment A to Joint Applicants' Bench Request No. 001 (43 Pages) BE-2
<u>LW-</u>	Jon Piliaris		1/18/19	Joint Testimony of Jon Piliaris (14 Pages) JA-1JT
STEVEN ZUCCHET, Managing Director, OMERS Infrastructure Management Inc. (OMERS)				
<u>SZ-1T</u>	Steven Zucchet		9/5/2018	Prefiled Direct Testimony (16 pages)
<u>SZ-2</u>	Steven Zucchet		9/5/2018	Professional Qualifications (3 Pages)
<u>SZ-3</u>	Steven Zucchet		9/5/2018	Purchase and Sale Agreement by and among MIP Padua Holdings, L.P. and Moby Canada Limited Partnership and Macquarie Infrastructure Partners Canada, L.P., Macquarie Infrastructure Partners International, L.P., Macquarie Infrastructure Partners A, L.P., and Macquarie Infrastructure Partners II AIV, L.P.(8/8/2018) (258 Pages)
<u>JA-1JT</u>	Jon Piliaris, et al.		1/18/2019	Testimony Supporting Settlement Stipulation (14 pages)

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
CROSS-EXAMINATION EXHIBITS				
<u>SZ-</u>	Steven Zucchet		2/6/2019	Attachment A to Joint Applicants' Bench Request No. 001 (43 Pages) BE-2
<u>SZ-</u>	Jon Piliaris		1/18/19	Joint Testimony of Jon Piliaris (14 Pages) JA-1JT
COMMISSION REGULATORY STAFF				
MELISSA CHEESMAN, Regulatory Analyst, Commission Staff				
<u>MCC-1T</u>	Melissa Cheesman		1/18/2019	Testimony Supporting Settlement Stipulation (18 Pages)
CROSS-EXAMINATION EXHIBITS				
<u>MC-</u>	Melissa Cheesman		1/18/2019	Testimony of Melissa Cheesman (16 Pages) MCC-1T
<u>MC-</u>	D. Timothy Arnold		2/8/2019	PSE Headcount from 2010 to 2018 (7 Pages) DTA-3
<u>MC-</u>	D. Timothy Arnold		2/8/19	PSE OT Hours by Cost Center 2009-2018 (6 Pages) DTA-4
<u>MC-</u>	Jon Piliaris		2/6/2019	Attachment A to Joint Applicants' Bench Request No. 001 (43 Pages) BE-2
PUBLIC COUNSEL				
SARAH E. LAYCOCK, Regulatory Analyst, Public Counsel				
<u>SEL-1T</u>	Sarah E. Laycock		1/22/2019	Testimony Supporting Settlement Stipulation (11 Pages)

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
CROSS-EXAMINATION EXHIBITS				
J. Randall Woolridge, Professor of Finance and the Goldman, Sachs & Co. and Frank P.Smeal Endowed Faculty Fellow in Business Administration, Pennsylvania State University				
<u>JRW-1T</u>	J. Randall Woolridge		1/22/2019	Testimony Supporting Settlement Stipulation (23 Pages)
<u>JRW-2</u>	J. Randall Woolridge		1/22/2019	Professional Qualifications (2 Pages)
<u>JRW-3</u>	J. Randall Woolridge		1/22/2019	Timeline of Proposed Transaction (2 Pages)
<u>JRW-4</u>	J. Randall Woolridge		1/22/2019	Joint Applicants' Response to Public Counsel Data Request No. 19 (8 Pages)
<u>JRW-5C</u>	J. Randall Woolridge		1/22/2019	Joint Applicants' Response to Public Counsel Data Request No. 3 (7 Pages) (Highly Confidential)
<u>JRW-5</u>	J. Randall Woolridge		1/22/2019	Joint Applicants' Response to Public Counsel Data Request No. 3 (7 Pages) (Redacted)
<u>JRW-6</u>	J. Randall Woolridge		1/22/2019	Joint Applicants' Response to Public Counsel Data Request No. 12 (4 Pages)
<u>JRW-7</u>	J. Randall Woolridge		1/22/2019	Joint Applicants' Response to AWEC Data Request No. 39 (8 Pages)
<u>JRW-8</u>	J. Randall Woolridge		1/22/2019	Joint Applicants' Repsonse to AWEC Data request No. 51 (2 Pages)

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
<u>JRW-9</u>	J. Randall Woolridge		1/22/2019	Joint Applicants' Response to AWEC Data request No. 33 (2 Pages)
CROSS-EXAMINATION EXHIBITS				
AWEC				
DR. MARC M. HELLMAN, MH Energy Economics LLC				
<u>MMH-1HCT</u>	Dr. Marc M. Hellman		1/18/2019	Testimony Supporting Settlement Stipulation (Highly Confidential) (34 Pages)
<u>MMH-1CT</u>	Dr. Marc M. Hellman		1/18/2019	Testimony Supporting Settlement Stipulation (Confidential) (34 Pages)
<u>MMH-1T</u>	Dr. Marc M. Hellman		1/18/2019	Testimony Supporting Settlement Stipulation (Redacted) (34 Pages)
<u>MMH-2</u>	Dr. Marc M. Hellman		1/18/2019	Professional Qualifications (7 Pages)
<u>MMH-3C</u>	Dr. Marc M. Hellman		1/18/2019	Joint Applicants' Response to AWEC Data Requests 13, 23, 43, 48, 50 (Confidential) (6 Pages)
<u>MMH-3</u>	Dr. Marc M. Hellman		1/18/2019	Joint Applicants' Response to AWEC Data Requests 13, 23, 43, 48, 50 (Redacted) (6 Pages)
<u>MMH-4HC</u>	Dr. Marc M. Hellman		1/18/2019	Attachment D to Joint Applicants' Highly Confidential First Supplemental response to AWEC Data Request No. 5

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
				(Highly Confidential) (6 Pages)
<u>MMH-4</u>	Dr. Marc M. Hellman		1/18/2019	Attachment D to Joint Applicants' Highly Confidential First Supplemental response to AWEC Data Request No. 5 (Redacted) (4 Pages)
<u>MMH-5HC</u>	Dr. Marc M. Hellman		1/18/2019	Attachments L and O to Joint Applicants' Highly Confidential First Supplemental response to AWEC Data Request No. 5 (Highly Confidential) (16 Pages)
<u>MMH-5</u>	Dr. Marc M. Hellman		1/18/2019	Attachments L and O to Joint Applicants' Highly Confidential First Supplemental response to AWEC Data Request No. 5 (Redacted) (6 Pages)
<u>MMH-6HC</u>	Dr. Marc M. Hellman		1/18/2019	Attachments G and K to Joint Applicants' Highly Confidential First Supplemental Response to AWEC Data Request No. 5 (Highly Confidential) (10 Pages)
<u>MMH-6</u>	Dr. Marc M. Hellman		1/18/2019	Attachments G and K to Joint Applicants' Highly Confidential First Supplemental Response to AWEC Data Request No. 5 (Redacted) (6 Pages)
<u>MMH-7</u>	Dr. Marc M. Hellman		1/18/2019	Moody's Credit Opinion (Aug. 31, 2018) (12 Pages)

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
<u>MMH-8C</u>	Dr. Marc M. Hellman		1/18/2019	Second Amended and Restated Senior Secured Loan Agreement Between Puget Intermediate Holdings LLC and MIP Padua Holdings, GP (Jan. 20, 2015) (Confidential) (58 Pages)
<u>MMH-8</u>	Dr. Marc M. Hellman		1/18/2019	Second Amended and Restated Senior Secured Loan Agreement Between Puget Intermediate Holdings LLC and MIP Padua Holdings, GP (Jan. 20, 2015) (Redacted) (2 Pages)
<u>MMH-9</u>	Dr. Marc M. Hellman		1/18/2019	Joint Applicants' Response to AWEC Data Request No. 22 (13 Pages)
CROSS-EXAMINATION EXHIBITS				
THE ENERGY PROJECT				
SHAWN M. COLLINS, Director, The Energy Project				
<u>SMC-1T</u>	Shawn M. Collins		1/18/2019	Testimony Supporting Settlement Stipulation (8 Pages)
<u>SMC-2</u>	Shawn M. Collins			Professional Qualifications (4 Pages)
CROSS-EXAMINATION EXHIBITS				

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
NWEC				
WENDY M. GERLITZ, Policy Director, NW Energy Coalition				
<u>WMG-1T</u>	Wendy M. Gerlitz		1/18/2019	Testimony Supporting Settlement Stipulation (7 Pages)
CROSS-EXAMINATION EXHIBITS				
FEDERAL EXECUTIVE AGENCIES				
Witness Name, Title				
CROSS-EXAMINATION EXHIBITS				
WNIDCL				
Witness Name, Title				
				Testimony Opposing Settlement Stipulation
CROSS-EXAMINATION EXHIBITS				

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
UA LOCAL 32 AND IBEW				
Witness Name, Title				
DTA-1T	D. Timothy Arnold		2/8/2019	Opposition Testimony (27 Pages)
DTA-2	D. Timothy Arnold		2/8/2019	IBEW and Puget Sound Energy Collective Bargaining Agreement (CBA) (171 Pages)
DTA-3	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 004, Attachment A (PSE Headcount from 2010 to 2018) (7 Pages)
DTA-4	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 001, Attachment A (PSE Overtime Hours by Cost Center 2009 to 2018) (5 Pages)
DTA-5	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 005, Attachment A (PSE Employee Terminations from 2010 to 2018) (6 Pages)
DTA-6	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 014 (10 Pages)
DTA-7	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 022 (2 Pages)
DTA-8	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 022,

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
				Attachment A (Servicemen, Substation, and Metermen Hours Worked 2013-2018) (14 Pages)
DTA-9	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 012, Attachment A (PSE Motor Vehicle Incidents 2013 to 2018) (3 Pages)
DTA-10	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 006, Attachment A (PSE Employee Terminations from 2010 to 2018) (16 Pages)
DTA-11	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 014 (Non-High Voltage Worker) (2 Pages)
DTA-12	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 014, Attachment A (Wire Guard and Damage Assessor List) (8 Pages)
DTA-13	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 014 (Damage Assessor Training) (1 Page)
DTA-14	D. Timothy Arnold		2/8/2019	Labor & Industries 2016 Inspection Citation (3 Pages)
DTA-15	D. Timothy Arnold		2/8/2019	Labor & Industries 2016 Enforcement File for Anderson Landing Incident (15 Pages)

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
DTA-16	D. Timothy Arnold		2/8/2019	Picture Diagram of Anderson Landing Incident (1 Page)
DTA-17	D. Timothy Arnold		2/8/2019	Picture of Damage Assessor Pink Tag at Anderson Landing (1 Page)
DTA-18	D. Timothy Arnold		2/8/2019	Picture of Wave Employee Signed Vest Post-Electrocution (1 Page)
DTA-19	D. Timothy Arnold		2/8/2019	Picture of Wave Employee Hat Post-Electrocution (1 Page)
DTA-20	D. Timothy Arnold		2/8/2019	Picture of Downed Line at Anderson Landing (1 Page)
DTA-21	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 023, Attachment A (PSE Worst Performing Circuits List) (8 Pages)
DTA-22	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 028 with attachment (Electrical Contact Injuries to Non-PSE Employees) (2 Pages)
DTA-23	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 29 (24-Hour Restoration Guarantee) (1 Page)
DTA-24	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 016, Attachment A (Apprentices by Department) (4 Pages)
DTA-25	D. Timothy Arnold		2/8/2019	PSE Response to IBEW Data Request No. 18,

EXHIBIT LIST
PSE Joint Application for Sale of Non-Controlling Interest
Docket U-180680

NUMBER	SPONSOR	A/R	DATE	DESCRIPTION
				Attachment A (Retired Utility Poles Since 2010) (5 Pages)
CROSS-EXAMINATION EXHIBITS				