

Exhibit 9

Last Updated: 2/27/2019

Requirement Compliance Checklist

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PSE 2018-2019 ENERGY EFFICIENCY DELIVERABLES---
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2010 Settlement Agreement Sections Still in Effect					
1	UE-100177 A(1)	The following parties reached agreement on the terms for approval of Puget Sound Energy Inc's Ten-Year Achievable Conservation Potential and Biennial Conservation Target, which Puget Sound Energy filed in Docket UE-100177 on June 18, 2010: Puget Sound Energy, Inc. ("PSE" or the "Company"); the Staff of the Washington Utilities and Transportation Commission; the Public Counsel Section of the Attorney General's Office; Intervenor (sp) Industrial Customers of Northwest Utilities; and Intervenor NW Energy Coalition ("NWECC ") (hereinafter referred to collectively as "Executing Parties"). This Settlement Agreement ("Agreement") is the agreement reached by the Executing Parties.	Not Applicable	Not Applicable	Not applicable-- No deliverable
2	UE-100177 A(2)	The Executing Parties intend that this Agreement shall supersede and replace the Settlement Terms for Conservation, Exhibit F to the Settlement Stipulation in Docket UE-011570 for electric conservation. This Agreement addresses conservation of electricity only. It does not address conservation of natural gas. The Northwest Industrial Gas Users and The Energy Project, signatories to the Settlement Terms for Conservation, Exhibit F to the Settlement Stipulation in Docket UG-011571 but not parties in Docket UE-100177, participated in discussions about the preparation of this Agreement. Nothing in this settlement shall affect the natural gas Settlement Terms for Conservation, Exhibit F to the Settlement Stipulation in Docket UG-011571 with respect to natural gas conservation, which remains in full force and effect with respect to natural gas conservation issues. (Emphasis added.)	Not Applicable	Not Applicable	Not applicable -- No deliverable
3	UE-100177 A(3)	The approval of Initiative 937 in 2006, codified in Chapter 19.285 of the Revised Code of Washington as the Energy Independence Act, and PSE's subsequent filing in Docket UE-100177, resulted in the need to update and amend the electric conservation provisions of the Settlement Terms for Conservation, Exhibit F to the Settlement Stipulation in Docket UE-011570. Those changes are included in this Agreement. RCW 19.285.040(1) and WAC 480-109-010 require utilities to identify achievable cost-effective conservation potential using methodologies consistent with those used by the Northwest Power and Conservation Council ("Council").	Not Applicable	Not Applicable	Not applicable -- No deliverable
4	UE-100177 B(4)	This Agreement establishes a conservation program with no sunset date. Any party may petition the Commission for modifications to the program, including in a general rate case proceeding. Nothing herein prevents any party from commenting on any filings under this or any other docket before the Commission.	Not Applicable	Not Applicable	Not applicable -- No deliverable
5	UE-100177 B(4)(a)	Except where expressly stated, the conditions in Section K and all other provisions of this Agreement are intended to remain in effect notwithstanding the biennial review conducted under the Energy Independence Act. Any party may petition to, or the Commission may on its own motion and notice to parties, modify the conservation program if required by the results of the review.	Not Applicable	Not Applicable	Not applicable -- No deliverable
6	UE-100177 B(4)(b)	In the event that PSE is not required to set or achieve specific conservation savings targets by the Energy Independence Act or other state law, PSE agrees to continue a conservation program that is consistent with the provisions of the 2002 Settlement, such that the programs funded through PSE's tariff rider will be designed to achieve all savings that are not independently captured by consumer acquisition, that are cost-effective to the Company, and economically feasible for consumers, taking into account incentives provided by PSE.	Not Applicable	Not Applicable	Not applicable -- No deliverable
7	UE-100177 C(5)	PSE shall set the ten-year conservation potential and the biennial conservation targets as required by the Energy Independence Act (RCW 19.285) and WAC 480-109 and consistent with this Agreement.	Not Applicable	Not Applicable	Not applicable -- No deliverable
8	UE-100177 C(6)	In general each individual energy efficiency program shall be designed to be cost-effective.	Not Applicable	Not Applicable	Not applicable -- No deliverable

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9	UE-100177 D(7)	PSE shall establish an external Advisory Committee. The Advisory Committee shall address, but not be limited to the issues identified in Section K.3 of this Agreement.	Not Applicable	Not Applicable	Not applicable -- No deliverable
10	UE-100177 D(8)	Advisory Committee membership shall be established as follows. The Company shall extend an invitation to serve as an Advisory Committee member to a representative from at least each of the following organizations: WUTC staff, Attorney General Office of Public Counsel, NW Energy Coalition, Energy Project, Natural Resources Defense Council, Pacific Northwest Electric Power and Conservation Council, Industrial Customers of Northwest Utilities, Northwest Industrial Gas Users, Washington State Department of Commerce, Northwest Energy Efficiency Council, and the Department Of Energy Weatherization Assistance Program provider network. Additionally, the Company shall seek customer representatives from the residential, commercial, industrial, and institutional sectors to serve on the Advisory Committee. Other interested parties may attend Advisory Committee meetings as well, but will not be considered Advisory Committee members. This ongoing committee is now called the Conservation Resources Advisory Group (Advisory Group).	Not Applicable	Not Applicable	Not applicable -- No deliverable
11	UE-100177 E(9)	To determine which energy efficiency programs and measures are cost-effective, PSE shall rely on a calculation of avoided cost consistent with the Council methodology and with the Energy Independence Act.	Not Applicable	Not Applicable	Not applicable -- No deliverable
12	UG-011571 (2002 Rate Case Stipulation Agreement) F.16	PSE shall develop, in conjunction with its August 2002 filing, avoided costs for natural gas efficiency programs, with review from the Advisory Committee, by analyzing similar components of system costs.	biennially	2017 Q4	
13	UE-100177 E(10)	PSE may modify, after consultation with the Advisory Group, the Company's calculation of avoided cost based upon the following: modification to one or more component values of the calculation, use of a forecasting tool or production cost model other than Aurora, establishment of load factors that are more specific to PSE's service territory, or other information relevant to the calculation of avoided cost.	biennially		
14	UE-100177 F(11)	The annual budget of the program will be built up from the bottom through the development of a mix of programs that deliver cost-effective savings in PSE's service territory. PSE's conservation targets developed under RCW 19.285.040(1) will direct development of the mix of cost effective programs that will establish the budgets for efficiency programs.	Annually		
15	UG-011571 (2002 Rate Case Stipulation) H.25	Tariff-rider funds shall only be used on programs and their associated administrative costs that result in energy savings through energy efficiency investments or fuel switching. This may include reasonable administration costs for PSE's net metering program. (Emphasis added.)	Annually		
16	UE-100177 F(12)	Schedule 449 customers are eligible for self-direction under existing Schedule 258 and participation in efficiency programs offered by PSE, except as stated in paragraph 13. Schedule 258 customers who are not on Schedule 449 will be eligible to participate in other programs offered directly by PSE. Non-449 Schedule 258 customers will share in paying NEEA/market transformation and administration costs consistent with all other non-449 customers.	Ongoing		

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17	UE-100177 F(13)	Each Schedule 449 customer can self-direct and/or participate in programs offered directly by PSE up to a total dollar cap equal to the annual efficiency funding level for that 449 customer minus 17.5% of that amount. The 17.5% represents payments for market transformation (10%) and for administration (7.5%).	Ongoing		
18	UE-100177 G(14)	PSE will continue to honor Commitments 22 and 23 from U-072375 with regard to future funding levels for low-income energy conservation programs based on the 2010-2011 planning levels. PSE will continue to work with agencies to provide additional funding above that established by Commitment 22 if additional production through the existing or newly developed cost-effective programs warrants it. In addition, PSE will continue to contribute a total of \$300,000 of shareholder funds annually for low-income weatherization regardless of fuel type.	Ongoing		
19	UE-100177 H(15)	The Company shall retain the existing rider mechanism going forward, subject to the Commission's Order in Docket No. UE-970686.	Ongoing	4	
20	UE-100177 H(16)	The Company shall continue to use the peak credit method of assigning the costs of its electric conservation programs to each rate schedule with one exception, the Schedule 449 customers. (The Advisory Group will review cost allocation methodology per Section K, Paragraph (11)(c)). Schedule 449 customers currently pay 0.0944 cents per kWh toward the cost of the current Schedule 258 four-year conservation program (4/1/10 – 4/1/14). The current practice is to hold the payment amount constant over the Schedule 258 period. This amount is based on a \$164 million biennial electric conservation-only budget for 2010-11, and is scalable in the next Schedule 258 budget cycle depending on whether the overall conservation budget increases or decreases. In 2002, the Schedule 449 customers paid 0.045 cents per kWh toward the cost of the conservation program. This amount was based on a \$20 million annual budget.	Ongoing		
21	UG-011571 (2002 Rate Case Stipulation) L.38	Gas conservation program costs will be allocated in a manner consistent with the gas program in effect in May 2002. No gas conservation program costs shall be allocated for recovery from natural gas transportation customers. Natural gas program cost recovery allocations made to natural gas sales customers shall be made according to the peak credit (i.e., bridge) methodology that underlies Puget's recovery for surcharges for its current conservation programs as approved in March 2002 in Docket No. UG-020264.	Annually		
22	UE-100177 I(17)	Achievement of the biennial targets for savings from cost-effective electricity conservation programs shall be subject to the penalty/incentive provisions of the Energy Independence Act. In the event that statutory penalties/incentives no longer apply under the Energy Independence Act or other state law or Commission order, PSE agrees to develop and propose a replacement penalty mechanism in consultation with the Advisory Group. At the same time, PSE may propose an incentive mechanism in consultation with the Advisory Group.	2020		

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23	UG-011571 (2002 Rate Case Stipulation Agreement) M.43	<p>The financial penalties for failure to achieve the annual conservation savings targets are as follows.</p> <ul style="list-style-type: none"> Achieve savings that are 90 to 99% of the goal: \$200,000 penalty applies Achieve savings that are 75% to 89% of the goal: \$500,000 penalty applies <p>Achieve savings that are less than 75% of the goal: \$750,000 penalty applies</p>	2020		
24	UG-011571 (2002 Rate Case Stipulation Agreement) M.44	<p>The Company shall provide biennial notification in a Conservation Report Card to its customers regarding the Company's performance related to its annual savings targets. The report shall:</p> <p>(All agree with I(18)(a), I(18)(b). M.44.c, though, indicates:</p> <p>Be distributed no later than 90 days after the filing of the Annual Conservation report (currently due February 15), beginning in 2006 and every two years thereafter.</p>	2020		
25	UE-100177 I(18)	<p>The Company shall provide biennial notification in a Conservation Report Card to its customers regarding the Company's performance related to its biennial savings targets under the Energy Independence Act. [The following is after I(18)(d)(3)] The report also may contain reference to PSE's ongoing energy efficiency programs, including encouragement for customers to participate in those programs. The report shall:</p>	2020		
26	UE-100177 I(18)(a)	Be distributed as a conspicuous stand-alone document accompanying a customer's bill or in a separate mailing and also posted to PSE's website.	Not Applicable	Not Applicable	Only the condition section heading will be checked.
27	UE-100177 I(18)(b)	Be distributed to customers only after adequate consultation with Staff and the Advisory Group.	Not Applicable	Not Applicable	Only the condition section heading will be checked.
28	UE-100177 I(18)(c)	Be distributed no later than 90 days after <i>the Commission determination on the two-year report on conservation program achievement required by the Energy Independence Act and Commission rules.</i>	Not Applicable	Not Applicable	Only the condition section heading will be checked.
29	UE-100177 I(18)(d)	<p>Contain the following information, at a minimum:</p> <ol style="list-style-type: none"> A brief description of the purpose of the report. A brief description of the benchmarks and an indication of whether the Company met the benchmarks in each biennial period. The total amount of penalties imposed (or incentive earned) for the current reporting period. 	Not Applicable	Not Applicable	Only the condition section heading will be checked.
30	UE-100177 J(19)	PSE may adopt line extension policies that are designed to encourage (and particularly not discourage) builders, developers, and end-use customers to select a heating fuel that is most resource efficient and adopt construction practices that exceed current energy codes.	2010	4	

Effective January, 2018: Attachment A of Order 01, Docket No. UE-171087 are the conditions in force.

Target Setting

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31	WAC 480-109-100 (2) Ten-year conservation potential	By January 1, 2010, and every two years thereafter, a utility must project its cumulative ten-year conservation potential.	2019	4	
32	WAC 480-109-100 (2) Ten-year conservation potential	(a) This projection must consider all available conservation resources that are cost-effective, reliable, and feasible.	Not applicable	Not Applicable	Only the condition section heading will be checked.
33	WAC 480-109-100 (2) Ten-year conservation potential	(b) This projection must be derived from the utility's most recent IRP, including any information learned in its subsequent resource acquisition process, or the utility must document the reasons for any differences. When developing this projection, utilities must use methodologies that are consistent with those used in the Northwest Conservation and Electric Power Plan.	Not applicable	Not Applicable	Only the condition section heading will be checked.
34	WAC 480-109-100 (2) Ten-year conservation potential	(c) The projection must include a list of each measure used in the potential, its unit energy savings value, and the source of that value.	Not applicable	Not Applicable	Only the condition section heading will be checked.
35	WAC 480-109-100 (3) Biennial conservation target	(3) Biennial conservation target. Beginning January 2010, and every two years thereafter, a utility must establish a biennial conservation target. (a) The biennial conservation target must identify, and quantify in megawatt-hours, all available conservation that is cost-effective, reliable, and feasible. (b) The biennial conservation target must be no lower than a pro rata share of the utility's ten-year conservation potential.	2019	4	
36	WAC 480-109-100(3)(c)	Excess conservation. No more than twenty-five percent of any biennial target may be met with excess conservation savings allowed by this subsection. Excess conservation may only be used to mitigate shortfalls in the immediately subsequent two biennia and may not be used to adjust a utility's ten-year conservation potential or biennial target. The presence of excess conservation does not relieve a utility of its obligation to pursue the level of conservation in its biennial target.	Not applicable	Not Applicable	Only the condition section heading will be checked.
37	WAC 480-109-100(3)(c)	(i) Cost-effective conservation achieved in excess of a biennial conservation target may be used to meet up to twenty percent of each of the immediately subsequent two biennial targets.	Not applicable	Not Applicable	Only the condition section heading will be checked.
38	WAC 480-109-100(3)(c)	(ii) A utility may use single large facility conservation savings achieved in excess of its biennial target to meet up to five percent of each of the immediately subsequent two biennial conservation targets.	Not applicable	Not Applicable	Only the condition section heading will be checked.
39	WAC 480-109-100(3)(c)	(iii) Until December 31, 2017, a utility with an industrial facility located in a county with a population between ninety-five thousand and one hundred fifteen thousand that is directly interconnected with electricity facilities that are capable of carrying electricity at transmission voltage, may use cost-effective excess conservation savings from that industrial facility to meet the subsequent two biennial conservation targets. For purposes of this subsection, transmission voltage is 100,000 volts or higher.	Not applicable	Not Applicable	Only the condition section heading will be checked.

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40	UE-171087 (1)(a) i and ii	The following conservation targets are approved for Puget Sound Energy with conditions pursuant to rCW 19.285.040(1)(e) and WAC 480-109-120(1). This approval is subject to the Conditions described in Paragraphs (2) through (10) below. (i) Biennial conservation target: 448,109 megawatt-hours (51.2 average megawatts) as measured at the customer meter. (ii) Decoupling commitment: 23,658 megawatt-hours, pursuant to Order 07 in Docket UE-121697.	Not Applicable	Not Applicable	Not applicable -- No deliverable
41	UE-1717087 (1)(b)	As part of Puget Sound Energy's biennial conservation acquisition efforts, Puget Sound Energy will continue to pursue regional electric market transformation, in collaboration with funding from other parties and with other strategic market partners in this biennium that complements Puget Sound Energy's energy efficiency programs, services, and measures, [sic]	Not Applicable	Not Applicable	Only the condition section heading will be checked.
42	UE-121697 UG-121705 (Decoupling)	Section D.2.c, ¶ 108: [...] PSE does, however, agree to participate in the Northwest Energy Efficiency Alliance study on gas conservation. [...]	ongoing	Mark as complete at end of biennium	
43	UE-171087 (2)	Puget Sound Energy Retains Responsibility. Nothing within this Agreement relieves Puget Sound Energy of the sole responsibility for complying with RCW 19.285 and WAC 480-109. Specifically, the conditions regarding the need for a high degree of transparency, and communication and consultation with external stakeholders, diminish neither Puget Sound Energy's operational authority nor its ultimate responsibility for meeting the biennial conservation target approved herein.	Not Applicable	Not Applicable	Not applicable -- No deliverable
Advisory Group					
44	UE-171087 (3)(a)	To meet the requirements of WAC 480-109-110, Puget Sound Energy shall continue to use its Conservation Resources Advisory Group (Advisory Group), initially created under Docket UE-011570 and UG-011571, and its Integrated Resource Planning Advisory Group created under WAC 480-100-238.	ongoing	Mark as complete at end of biennium	
45	UE-171087 (3)(b)	Puget Sound Energy will notify Advisory Group members of public meetings scheduled to address Puget Sound Energy's integrated resource plan. Puget Sound Energy will also provide Advisory Group members with an opportunity to meet with the entity conducting the conservation potential assessment regarding the scope and design of the study, as well as the assumptions and relevant information utilized in the development of Puget Sound Energy's integrated resource plan as they apply to development and/or modification of the ten-year conservation potential as requested through the integrated resource plan public process.	ongoing	Mark as complete at end of biennium	
46	UE-171087 (3)(c)	Puget Sound Energy must consult with the Advisory Groups starting no later than July 1, 2019, to begin to identify achievable conservation potential for 2018-2027 and to begin to set annual and biennial targets for the 2020-2021 biennium, including necessary revisions to program details. See RCW 19.285.040(1)(b); WAC 480-109-110.	July, 2019	Completed after second CRAG meeting of 2019	
47	UE-171087 (3)(d)	Puget Sound Energy shall inform the Advisory Group members when its projected expenditures indicate that Puget Sound Energy will spend more than 120 percent or less than 80 percent of its annual conservation budget.	ongoing	Mark as complete at end of biennium	

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48	UE-171087 (3)(e)	Prior to filing the Biennial Conservation Plan, Puget Sound Energy shall provide the following information to the Advisory Group: draft ten-year conservation potential and two-year target by August 1, 2019; draft program details, including budgets, by September 1, 2019; and draft program tariffs by October 1, 2019.	November, 2019	When BCP is provided to CRAG	
WAC 480-109-110 Conservation Advisory Group A utility must maintain and use an external conservation advisory group of stakeholders to ad					
49	WAC 480-109-110(1) Conservation Advisory Group	(a) Conservation programs and measures.	ongoing	Mark as complete at end of biennium	
50	WAC 480-109-110(1) Conservation Advisory Group	(b) Updates to the utility's evaluation, measurement, and verification framework.	ongoing	Mark as complete at end of biennium	
51	WAC 480-109-110(1) Conservation Advisory Group	(c) Modification of existing, or development of new evaluation, measurement, and verification methods.	ongoing	Mark as complete at end of biennium	
52	WAC 480-109-110(1) Conservation Advisory Group	(d) Independent third-party evaluation of portfolio-level biennial conservation achievement.	2020	Mark as complete in June following end of biennium	
53	WAC 480-109-110(1) Conservation Advisory Group	(e) Development of conservation potential assessments, as required by RCW 19.285.040 (1)(a) and WAC 480-109-100(2).	2019	Mark as complete at end of biennium	
54	WAC 480-109-110(1) Conservation Advisory Group	(f) The methodology, inputs, and calculations for cost-effectiveness.	2019	Mark as complete at end of biennium	
55	WAC 480-109-110(1) Conservation Advisory Group	(g) The data sources and values used to develop and update supply curves.	2019	Mark as complete at end of biennium	
56	WAC 480-109-110(1) Conservation Advisory Group	(h) The need for tariff modifications or mid-biennium program corrections.	2019	4	

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57	WAC 480-109-110(1) Conservation Advisory Group	(i) The appropriate level of and planning for: (1) Marketing conservation programs; (2) Incentives to customers for measures and services; and (3) Impact, Markiet, and process evaluations.	2019	4	
58	WAC 480-109-110(1) Conservation Advisory Group	(j) Programs for low-income residential customers.	2019	4	
59	WAC 480-109-110(1) Conservation Advisory Group	(k) Establishment of the biennial conservation target and program achievement results compared to the target.	2019	Mark as complete at end of biennium	
60	WAC 480-109-110(1) Conservation Advisory Group	(l) Conservation program budgets and actual expenditures compared to budgets.	2019	Mark as complete at end of biennium	
61	WAC 480-109-110(1) Conservation Advisory Group	(m) Development and implementation of new and pilot programs.	2019	Mark as complete at end of biennium	
62	WAC 480-109-110 Conservation Advisory Group	(2) Advisory group meetings. A utility must meet with its conservation advisory group at least four times per year. Conservation advisory group members may request additional meetings. A utility must provide reasonable advance notice of all conservation advisory group meetings.	2019	Mark as complete at end of biennium	
63	WAC 480-109-110 Conservation Advisory Group	(3) Advance notification of filings. Except for the conservation cost recovery adjustment filing required in WAC 480-109-130, a utility must provide its conservation advisory group an electronic copy of all conservation filings that the utility intends to submit to the commission at least thirty days in advance of the filing. The filing cover letter must document the amount of advance notice provided to the conservation advisory group.	2019	Mark as complete at end of biennium	
64	WAC 480-109-110(1) Conservation Advisory Group	(4) Advance notification of meetings. A utility must notify its conservation advisory group of company and commission public meetings scheduled to address its conservation programs, its conservation tariffs, or the development of its conservation potential assessment.	2019	Mark as complete at end of biennium	
Annual Budgets					
65	UE-171087 (4)(a)	Puget Sound Energy must provide its proposed budget in a detailed format with a summary page indicating the proposed budget and savings levels for each electric conservation program, and subsequent supporting spreadsheets providing further detail for each program and line item shown in the summary sheet.	2019	As part of conservation plans	
66	UG-011571 (2001 Rate Case Stipulation) E.14	Electric and gas conservation annual savings targets and budgets will be periodically adjusted as presented below in Tables A-1 and A-2.	2019	As part of conservation plans	

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67	U-072375 (Merger) Appendix A 22	PSE and Puget Holding commit to maintain existing low-income programs or as such program may be modified in any future proceeding. In addition, the Joint Applicants commit to increase the budgeted funding of low-income energy efficiency programs in future years at a level commensurate with increased in funding for energy efficiency programs for other residential customers through the Advisory Group process.	Ongoing	Provided in conservation plans	
68	UE-121697 UG-121705 (Decoupling)	Section F, ¶ 178: [...] The settlement included an additional \$500,000 for low-income energy efficiency. [...]	2019	Provided in conservation plans	
69	UE-121697 UG-121705 (Decoupling)	Section F, ¶ 178: [...] In addition, PSE's investors offered to provide \$100,000 per year for low-income energy efficiency funding. [...]	2019	Provided in conservation plans	
70	WAC 480-109-100(4)	Prudence. A utility retains the responsibility to demonstrate the prudence of all conservation expenditures, consistent with RCW 19.285.050(2).	2020	Mark as complete at end of biennium	
Program Details					
71	UE-171087 (5)	Puget Sound Energy must maintain its conservation tariffs, with program descriptions, on file with the Commission. Program details about specific measures, incentives, and eligibility requirements must be filed and updated in the Annual Conservation Plan in this Docket.	2019	Mark as complete at end of biennium	
Strategies for Selecting & Evaluating					
72	UE-171087 (6)(a)	Puget Sound Energy has identified a number of potential conservation measures described in the BCP. The Commission is not obligated to accept savings identified in the BCP for purposes of compliance with RCW 19.285.	2020		
73	UE-171087 (6)(b)	When Puget Sound Energy proposes a new tariff schedule, it must present it to the Advisory Group for comment with program details fully defined. After consultation with the Advisory Group in accordance with WAC 480-109-110(1)(h), Puget Sound Energy must file a revision to its currently-filed Conservation Plan in this Docket.	2019	Mark as complete at end of biennium	

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74	UE-171087 (6)(c)	Puget Sound Energy must spend a reasonable amount of its conservation budget on EM&V, including a reasonable proportion on independent, third-party EM&V. Puget Sound Energy must perform EM&V annually on a four-year schedule of selected programs such that, over the EM&V cycle, all major programs are covered. The EM&V function includes impact, process, market and cost test analyses. The results must verify the level at which claimed energy savings have occurred, evaluate the existing internal review processes, and suggest improvements to the program and ongoing EM&V processes. Evaluation reports involving analysis of both program impacts and process impacts of the programs evaluated in the prior year must be part of the Annual Report on Conservation Acquisition described in WAC 480-109-120(3)(v).	2019	Mark as complete at end of biennium	
75	UE-171087 (6)(d)	An independent third-party review of portfolio-level electric energy savings reported by Puget Sound Energy for the 2018-2019 biennial period, from existing conservation programs operated during that period, shall be conducted, per WAC 480-109-120(4)(b)(v). The independent third-party reviewer shall be selected through an RFP process, unless unanimously agreed by the Advisory Group. The review will be funded by the Puget Sound Energy Electric Conservation Service Rider. The review will be managed by UTC and Puget Sound Energy staff with input on the scope, cost, RFP development, reviewer selection and ongoing oversight by the Advisory Group.	2020	Included as part of 2018-2019 BCR	
76	UE-171087 (6)(e)	A final report for the entire 2018-2019 biennium may be implemented in phases and delivered as a final product at an earlier date, as needed by Puget Sound Energy.	2020	Included as part of 2018-2019 BCR	
77	WAC 480-109-100(10) Low Income Weatherization	(a) A utility may fully fund low-income conservation measures that are determined by the implementing agency to be cost-effective consistent with the <i>Weatherization Manual</i> maintained by the department. Measures identified through the priority list in the <i>Weatherization Manual</i> are considered cost-effective. In addition, a utility may fully fund repairs, administrative costs, and health and safety improvements associated with cost-effective low-income conservation measures.	2019	Mark as complete at end of biennium	
79	WAC 480-109-100(10) Low Income Weatherization	(c) A utility must count savings from low-income conservation toward meeting its biennial conservation target. Savings may be those calculated consistent with the procedures in the <i>Weatherization Manual</i> .	2019	Reflected in Exhibit 1 of Annual Reports	
80	¶ 48, Commission Policy Statement, UG-121207	We also ask (utilities) to standardize the unit energy savings (UES) values for natural gas measures, as the Council's Regional Technical Forum does for the electric sector.	2020	Included in Exhibit 5 of Annual Reports	
81	¶ 49, Commission Policy Statement, UG-121207	A reasonable first step towards this standardization is for each natural gas utility to file an annual or biennial conservation plan, and for each natural gas utility to publish its technical workbooks supporting the calculation of UES values on the Northwest Energy Efficiency Association's ConduitNW.org website.	2014	One-time request	
Program Design & Implementation					
82	WAC 480-109-100(1)	(a) Process. A utility's obligation to pursue all available conservation that is cost-effective, reliable, and feasible includes the following process:	Not Applicable	Not Applicable	Not applicable -- No deliverable
83	WAC 480-109-100(1)(a) Process for pursuing all conservation	(i) Identify potential. Identify the cost-effective, reliable, and feasible potential of possible technologies and conservation measures in the utility's service territory.	2019	4	

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84	WAC 480-109-100(1)(a) Process for pursuing all conservation	(ii) Develop portfolio. Develop a conservation portfolio that includes all available, cost-effective, reliable, and feasible conservation. A utility must develop programs to acquire available conservation from all of the types of conservation identified in subsection (b) of this section. If no cost-effective, reliable and feasible conservation is available from one of the types of conservation, a utility is not obligated to acquire such a resource.	2019	4	
85	WAC 480-109-100(1)(a) Process for pursuing all conservation	(iii) Implement programs. Implement conservation programs identified in the portfolio to the extent the portfolio remains cost-effective, reliable, and feasible. Implementation methods shall not unnecessarily limit the acquisition of all available conservation that is cost-effective, reliable and feasible.	2019	4	
86	U-072375 (Merger Agreement) Appendix A 23	PSE and Puget Holdings commit to continue to work with low-income agencies to address issues of low-income customers.	2019	Mark as completed at end of biennium	
87	UE-171087 (7)(a)	Modifications to the programs must be filed with the Commission as revisions to tariffs or as revisions to Puget Sound Energy's current Conservation Plan, as appropriate.	2019	Mark as completed at end of biennium	
88	UE-171087 (7)(b)	Incentives and Conservation Program Implementation —Programs, program services, and incentives may be directed to consumers, retailers, manufacturers, trade allies or other relevant market actors as appropriate for measures or activities that lead to electric energy savings. Puget Sound Energy shall work with the Advisory Group to establish appropriate penetration levels consistent with Council methodology and the Energy Independence Act.	2019	Mark as completed at end of biennium	
89	UE-171087 (7)(c)	Conservation Efforts without Approved EM&V Protocol — Puget Sound Energy may spend up to 10 percent of its conservation budget on programs whose savings impact has not yet been measured, as long as the overall portfolio of conservation passes the Total Resource Cost (TRC) test as modified by the Council. These programs may include information-only, behavior change, and pilot projects. Puget Sound Energy may ask the Commission to modify this spending limit following full Advisory Group consultation.	2019	Mark as completed at end of biennium	
90	UE-171087 (7)(c)(i)	Information-only services refers to those information services that are not associated with an active incentive program or that include no on-site technical assistance or on-site delivery of school education programs. Information-only services and behavior change services shall be assigned no quantifiable energy savings value without full support of the Advisory Group.	2019	Mark as completed at end of biennium	
91	UE-171087 (7)(c)(ii)	If quantifiable energy savings have been identified and Commission-approved for any aspect of such programs, the budget associated with that aspect of the program will no longer be subject to this ten percent spending restriction.	Not Applicable	Not Applicable	Not applicable -- No deliverable
92	WAC 480-109-100(1)(a) Process for pursuing all conservation	(iv) Adaptively manage. Continuously review and update as appropriate the conservation portfolio to adapt to changing market conditions and developing technologies. A utility must research emerging conservation technologies, and assess the potential of such technologies for implementation in its service territory.	2019	Indicated in annual reports	

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93	WAC 480-109-100(1) Process for pursuing all conservation	(b) Types. Types of conservation include, but are not limited to: (i) End-use efficiency; (ii) Behavioral programs; (iii) High-efficiency cogeneration; (iv) Production efficiency; (v) Distribution efficiency; and (vi) Market transformation.	2019	Indicated in annual reports	
94	WAC 480-109-100(1) Process for pursuing all conservation	(c) Pilots. A utility must implement pilot projects when appropriate and expected to produce cost-effective savings within the current or immediately subsequent biennium, as long as the overall portfolio remains cost-effective.	Annually	Indicated in annual reports	
95	WAC 480-109-100(7)	Applicable sectors. A utility must offer a mix of conservation programs to ensure it is serving each customer sector, including programs targeted to the low-income subset of residential customers.	Ongoing	Mark as completed at end of biennium	
Reporting & Filing					
96	WAC 480-109-120 (1) Biennial Conservation Plan	(a) On or before November 1st of every odd-numbered year, a utility must file with the commission a biennial conservation plan.	2019	BCP is filed	
97	WAC 480-109-120(1)	(b) The plan must include, but is not limited to: (i) A request that the commission approve its ten-year conservation potential and biennial conservation target. (ii) The extent of public participation in the development of the ten-year conservation potential and the biennial conservation target. (iii) The ten-year conservation potential, the biennial conservation target, biennial program details, biennial program budgets, and cost-effectiveness calculations. (iv) A description of the technologies, data collection, processes, procedures and assumptions the utility used to develop the figures in (b)(iii) of this subsection. (v) A description of and support for any changes from the assumptions or methodologies used in the utility's most recent conservation potential assessment. (vi) An evaluation, measurement, and verification plan for the biennium including, but not limited to: (A) The evaluation, measurement, and verification framework; (B) The evaluation, measurement, and verification budget; and (C) Identification of programs that will be evaluated during the biennium.	2019	BCP is filed	
98	WAC 480-109-120(1)	(c) For the purposes of this section, ten-year conservation potential is derived pursuant to WAC 480-109-100(2).	Not applicable	Not applicable	Not applicable -- No deliverable
99	WAC 480-109-120 (2) Annual Conservation Plan	On or before November 15th of each even-numbered year, a utility must file with the commission, in the same docket as its current biennial conservation plan, an annual conservation plan containing any changes to program details and annual budget.	2018	4	

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ID# (Internal PSE sorting reference)	Section or Condition Number	Detailed Condition	Deliverable Provided Year	Deliverable Provided Quarter	Status: Completed in process Not Started Not Applicable
100	WAC 480-109-120 (3) Annual Conservation Report	(a) On or before June 1st of each year, a utility must file with the commission, in the same docket as its current biennial conservation plan, an annual conservation report regarding its progress in meeting its conservation target during the preceding year.	2019	First Annual Report for biennium filed in March 2019	
101	WAC 480-109-120 (3)	(b) The annual conservation report must include, but is not limited to: (i) The biennial conservation target. (ii) Planned and claimed electricity savings from conservation, including a description of the key sources of variance between the planned and actual savings. (iii) Budgeted and actual expenditures made to acquire conservation through the conservation recovery adjustment clause described in WAC 480-109-130. (iv) The portfolio- and program-level cost-effectiveness of the actual electricity savings from conservation. (v) All program evaluations completed in the preceding year. (vi) A discussion of the steps taken to adaptively manage conservation programs throughout the preceding year.	2019	First Annual Report for biennium filed in March 2019	
102	WAC 480-109-120 (3)	(c) A utility must submit to the department a conservation report as described in WAC 194-37-060, and file a copy of that report with the commission in the same docket as its current biennial conservation plan.	2020	EIA report is provided	
103	¶ 48, Commission Policy Statement, UG-121207	We ask that Commission staff, utilities and stakeholders standardize the format of biennial and annual conservation plans, and the schedule of when the utilities file these plans with the Commission	2014	All Annual Reports and plans are consistently formatted	
104	WAC 480-109-120 (4) Biennial Conservation Report	(a) On or before June 1st of each even-numbered year, a utility must file with the commission, in the same docket as its current biennial conservation plan, a biennial conservation report regarding its progress in meeting its conservation target during the preceding two years.	2020	BCR is filed	
105	WAC 480-109-120(4)	(b) The biennial conservation report must include: (i) The biennial conservation target; (ii) Planned and claimed electricity savings from conservation; (iii) Budgeted and actual expenditures made to acquire conservation; (iv) The portfolio-level cost-effectiveness of the actual electricity savings from conservation; (v) An independent third-party evaluation of portfolio-level biennial conservation savings achievement; (vi) A summary of the steps taken to adaptively manage conservation programs throughout the preceding two years; and (vii) Any other information needed to justify the conservation savings achievement.	2020	BCR is filed	
106	WAC 480-109-120(4)	(c) A utility must provide a summary of the biennial conservation report to its customers by bill insert or other suitable method within ninety days of the commission's final action on the report.	2020	3	
107	WAC 480-109-120(4)	(d) A utility may file the annual conservation report and the biennial conservation report together as one report, provided that the report includes all of the information required in subsections (3) and (4) of this section and states that it serves as both the annual conservation report and the biennial conservation report.'	Not applicable	Not applicable	

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108	WAC 480-109-120 (5) Plan and report review	(a) Interested persons may file written comments regarding the biennial conservation plan and biennial conservation report within thirty days of the utility's filing.	Not applicable	Not applicable	Not applicable-- No deliverable
109	WAC 480-109-120 (5)	(b) Upon conclusion of the commission review of the utility's biennial report or plan, the commission will issue a decision accepting or rejecting the calculation of the utility's conservation target; or determining whether the utility has acquired enough conservation resources to comply with its conservation target. If the utility does not meet its biennial conservation target described in WAC 480-109-100, the commission will determine the amount in megawatt-hours by which the utility was deficient.	Not applicable	Not applicable	Not applicable-- No deliverable
110	WAC 480-109-120 (5)	(c) If a utility revises its annual or biennial conservation report as a result of the commission review, the utility must submit a revised copy of the report required in WAC 480-109-120(3)(c) to the department.	2020	3	
111	WAC 480-109-120 (5)	(d) Annual plans and reports may be reviewed through the commission's open meeting process, as described in chapter 480-07 WAC.	Not applicable	Not applicable	Not applicable-- No deliverable
112	WAC 480-109-120 (6) Publication of Reports	All conservation plans and reports required by RCW 19.285 and this section since January 1, 2010, as well as a summary of planned and actual savings and expenditures reflected in the plans and reports, must be posted and maintained on the utility's website. Plans and reports must be posted on the utility's website within 30 days of commission acknowledgement of the plan or order approving the report. A copy of any such plan, report, or summary must be provided to any person upon request.	2018	Mark as completed with each filing	
Cost-Effectiveness Tests					
113	WAC 480-109-100(5)	Energy savings. A utility must use unit energy savings values and standard protocols approved by the regional technical forum, unless a unit energy savings value or standard protocol is:	2019	Mark as completed at end of biennium	
114	WAC 480-109-100(5)	(a) Based on generally accepted methods, impact evaluation data, or other reliable and relevant data that includes verified savings levels; and	2019	Mark as completed at end of biennium	
115	WAC 480-109-100(5)	(b) Presented to its advisory group for review. The commission retains discretion to determine an appropriate value or protocol.	2019	Mark as completed at end of biennium	
116	WAC 480-109-100(8)	Cost-effectiveness. A utility's conservation portfolio must pass a cost-effectiveness test consistent with that used in the Northwest Conservation and Electric Power Plan. A utility must evaluate conservation using cost-effectiveness tests consistent with those used by the council, and as required by the commission, except as provided by subsection (10) of this section.	2019	Mark as completed at end of biennium	

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117	WAC 480-109-100(10) Low Income Weatherization	(b) A utility may exclude low-income conservation from portfolio-level cost-effectiveness calculations.	2019	Mark as completed at end of biennium	
118	UE-171087 (8)(a)	The Commission uses the Total Resource Cost Test (TRC), as modified by the Council, as its primary cost-effectiveness test. The Council-modified TRC test includes quantifiable non-energy benefits, a risk adder, and a 10 percent conservation benefit adder. Puget Sound Energy's portfolio must pass the TRC test. All cost-effectiveness calculations will assume a Net-to-Gross ratio of 1.0, consistent with the Council's methodology.	2019	Included in annual filings	
119	UE-171087 (8)(b)	Puget Sound Energy must also provide calculations of the Program Administrator Cost Test (also called the Utility Cost Test) as described in the National Action Plan for Energy Efficiency's study "Understanding Cost-Effectiveness of Energy Efficiency Programs."	2019	Included in annual filings	
120	UE-171087 (8)(c)	Conservation-related administrative costs must be included in portfolio level analysis.	2019	Mark as completed at end of biennium	
Recovery through Conservation Rider					
121	UE-171087 (9)(a)	Scope of Expenditures — Funds collected through the Electric Conservation Service Rider must be used on approved conservation programs and their administrative costs. Additionally, Rider funds may be used as approved by the Commission; for example, for net metering administration costs, small-scale renewable programs and demand response pilots.	2019	Mark as completed at end of biennium	
122	UE-171087 (9)(b)	Recovery for Each Customer Class — Puget Sound Energy shall retain existing Rider mechanisms, subject to the Commission's Order in Docket UE-970686. [1] <i>Commission's, "Final Order" on May 16, 1997 to Puget Sound Energy's Petition for an Order (1) Authorizing Deferrals of Electricity Conservation Expenditures and (2) Approving a Tariff Rider for Concurrent Recover in Electric Rates of such Deferred Electricity Conservation Expenditures. Docket No UE-970686. It is important to note that there were two subsequent Orders in this Docket; the Second Supplemental Order and Order 03: both of which pertained to semi-annual reporting of conservation program progress.</i>	Not Applicable	Not Applicable	Explanation only -- No deliverable
123	UE-171087 (9)(c)	Recovery of costs associated with distribution and production efficiency initiative are not funded through the Electric Conservation Service Rider because these programs are not customer conservation initiatives. These are company conservation programs. As such, these costs are recovered in the general rate making process over time and may be requested through a general rate case, a deferred accounting petition or other allowed mechanism.	Not Applicable	Not Applicable	Explanation only -- No deliverable
124	UE-171087 (9)(d)	Puget Sound Energy must file revisions to cost recovery tariff (Schedule 120) by March 1 each year, with requested effective date of May 1 of that same year.	2019	Schedule 120 filing	
125	WAC 480-109-130 Conservation Cost Recovery	(1) Utilities must file with the commission for recovery of all expected conservation cost changes and amortization of deferred balances. A utility must include its conservation cost recovery procedures in its tariff.	2019	Schedule 120 filing	

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126	WAC 480-109-130 Conservation Cost Recovery	(2) A utility must make a conservation cost recovery filing no later than June 1st of each year, with a requested effective date at least sixty days after the filing. If the utility believes that a filing is unnecessary, then it must file a request for exception and supporting documents no later than May 1st of each year demonstrating why a rate change is not necessary.	2019	Schedule 120 filing	
127	WAC 480-109-130 Conservation Cost Recovery	(3) A utility may not accrue interest or incur carrying charges on deferred conservation cost balances. Utilities must base conservation recovery rates on forward-looking budgeted conservation program costs for the future year with revisions to recover only actual program costs of the prior year. Utilities must also include the effects of variations in actual sales on the recovery of conservation costs in the prior year.	Not Applicable	Not Applicable	Explanation only -- No deliverable
Additional Commitments					
128	UE-171087 (10)(a)	Puget Sound Energy will continue to review the feasibility of pursuing cost-effective conservation in the form of reduction in electric power consumption resulting from increases in the efficiency of energy use at electric power production facilities it owns in whole or in part. The Company's Energy Efficiency Annual Report will include updates regarding production efficiency activities in power production facilities wholly or partially owned by Puget Sound Energy that are not operated by the Company.	2019	Mark as completed at end of biennium	
129	UE-171087 (10)(b)	To avoid double-counting of efficiency savings achieved at electric power production facilities owned in whole or in part by Puget Sound Energy, the Company has developed a protocol for how savings will be claimed. Puget Sound Energy will consult with the Advisory Group prior to modifying this protocol.	2019	Mark as completed at end of biennium	
130	U-072375 (Merger) Appendix A 47	Each of the Joint Applicants agrees that PSE will undertake a study, in collaboration with stakeholders, to identify potential energy efficiency improvements in its distribution, transmission and generation assets (consistent with any analysis required as part of the Integrated Resource Plan ("IRP) process) and present the results of such study to the Advisory Group for its consideration and potential inclusion as part of PSE's energy efficiency portfolio. These potential improvements in PSE's energy efficiency improvements in its distributio, transmission and generation assets are in addition to end-use energy efficiency improvements.	2010	4	
Sections Still in Effect from 2010 Settlement Agreement, UE-100177					
131	L(22)	The Executing Parties agree to support the terms and conditions of this Agreement, as described above. The Executing Parties understand that this Agreement is subject to Commission approval.	Not Applicable	Not Applicable	Explanation only -- No deliverable
132	L(23)	The Executing Parties have negotiated this Agreement as an integrated document. Accordingly, the Executing Parties agree to recommend that the Commission adopt this Agreement in its entirety.	Not Applicable	Not Applicable	Explanation only -- No deliverable
133	L(24)	This Agreement represents a fully negotiated agreement. Each Executing Party has been afforded the opportunity, which it has exercised, to review the terms of the Agreement. Each Party has been afforded the opportunity, which it has exercised, to consult with legal counsel of its choice concerning such terms and their implications. The Agreement shall not be construed for or against any Executing Party based on the principle that ambiguities are construed against the drafter.	Not Applicable	Not Applicable	Explanation only -- No deliverable
134	L(25)	This Agreement may be executed by the Executing Parties in several counterparts, through original and/or facsimile signature, and as executed shall constitute one agreement.	Not Applicable	Not Applicable	Explanation only -- No deliverable
Requirements not outlined in Orders, RCW or WAC					
135	???	Issue conservation RFP by June 30, 2019 for 2020-2021 programs	2019	2	

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- Unless specified, the above conditions are treated as equal requirements for both electric and gas conservation efforts.
- This table is intended to provide an overview of PSE's achievement of the conditions and the status of conservation deliverables. It is revised to reflect the conditions included in Attachment A of Order 01, Docket UE-171087, which does not address sections A-J and section L of the 2010 EIA Settlement. (UE-100177). Those deliverables are also still in force. Natural gas-specific requirements enumerated in Exhibit F of Docket UG-01157 are also included. Applicable Merger Agreement and Decoupling Agreement requirements are also included.
- Only current conservation-specific requirements are logged in this table.
- Unless provided in a specific condition, when documentation is provided to the Advisory Group for review or comment--that doesn't have a specified review period--PSE will provide 30 calendar days--that takes into account very full demands on Advisory Group members' time--before it classifies a deliverable as "completed". Draft filing documents will have a review period of 60 days prior to the proposed effective date, per the applicable condition(s).
- If the condition doesn't indicate a specific Advisory Group deliverable ("review", "comment", "advise", etc.) the condition is considered met when the document, calculation, report, etc., is filed or submitted.
- If the condition indicates that PSE must perform some task *if* a change is requested (for instance, condition (7)(d)(ii)), and there is no such change requested the compliance status will be checked "completed".
- As PSE has consistently demonstrated, we will respond to requests for details not already contained in provided filings, reports or analyses, regardless of elapsed time, while maintaining the completed status of a condition.
- PSE considers the Annual and Biennial Conservation Plans "compliance filings" for purposes of requirement compliance.
- The terms "Framework" and "Protocol" in applicable conditions in Attachment A are sometimes used interchangeably.
- RTF has updated the term "Deemed". Effective in 2011, measures with a standard savings value are now referred to as UES; Unit Energy Savings.
- **Red text** in "Condition is met when" field indicate an update since the last checklist publication.



= completed



Completed by current year filing



= in process



Not complete



= Not started