



621 Woodland Square Loop SE
 Lacey, WA 98503
 P.O. Box 47250
 Olympia, WA 98504-7250
 Phone: 360-664-1222
 Email: transportation@utc.wa.gov

PASSENGER CHARTER AND EXCURSION CARRIER SERVICES

This application packet contains the following information:

- Application Forms
- Checklist
- [WAC 480-30](#) Passenger Transportation Rules
- [“Your Guide to Achieving a Satisfactory Safety Record”](#)

You **may not begin** operations as a charter and excursion carrier service until you are granted authority and a certificate is issued to you by the Utilities & Transportation Commission (UTC). You must also obtain a USDOT number from the [Federal Motor Carrier Safety Administration](#) (FMCSA).

Insurance/Bond: Contact your insurance agent to provide verification of bodily injury and property damage insurance (Form E) or surety bond (Form G) covering each motor vehicle you operate in Washington. The insurance or a surety bond must be at the following minimum levels:

Motor vehicles that:	Must have insurance or a surety bond at the following minimum levels:
Have a passenger seating capacity of fifteen or less (including the driver)	\$1,500,000 combined single limit coverage
Have a passenger seating capacity of sixteen or more (including the driver)	\$5,000,000 combined single limit coverage

Regulatory Fees: Initial regulatory fees of \$25 per vehicle are due at the time application is submitted. Thereafter, annual regulatory fees of \$25 per vehicle are due by May 1 of each year.

Equipment List/Inspection: Describe the equipment that will be used. Once all application and insurance requirements are met, our compliance staff will contact you to make arrangements to have your vehicles inspected. Vehicles must be inspected and have a valid Commercial Vehicle Safety Alliance (CVSA) decal attached before a charter and excursion carrier service certificate will be issued.

CHECKLIST

Please complete and/or include the following items with your Passenger Charter and Excursion Carrier Services application:

Indicate the type of Application

- If applying for a new certificate, complete entire application and pay applicable fee along with the regulatory fee for each vehicle.
- If applying for a transfer, complete the entire application, pay applicable fees and include Attachment A.

Section 1 – Applicant Information

- Legal name must match your registered name with **Business Licensing Services**.
- Trade name(s) if any. If you plan on using other names than your legal name, include them on the application. They also must be registered with Business Licensing Services.
- Include mailing address and physical address, if different.
- Record your Unified Business Identifier (UBI# / business license number).
- If corporation or LLC, you must be registered with the **Secretary of State's Office**. Also list the names, titles, and percentages of ownership/members of business.
- Record your **USDOT number**. This is a requirement for intrastate passenger carriers. The legal name and trade name must match exactly.
- Describe the type of tours/excursions you plan on providing (attach additional sheets if needed).

Section 2 – Equipment

- List all vehicles that will be used to transport passengers. The equipment will be inspected once all requirements are met and we have insurance on file.

Section 3 – Safety and Operations

- Indicate the name and position of the person that will be responsible for these requirements.

Section 4 – Declaration of Applicant

- Sign and date.

Insurance

- Contact your insurance agent and request a **Form E filing** (combined single limit of public liability and property damage). The insurance must be in your **legal name**. We will accept a Binder or Certificate of Liability (COI) for up to 60 days or until the Form E is received. The Binder or COI must show the Washington Utilities & Transportation Commission as the certificate holder. Mail, or email to the above address or email address. **See insurance requirements**.

Once all requirements are met and insurance is received, we will set up an inspection of your vehicles.

APPLICATION FOR CHARTER AND EXCURSION CARRIER SERVICES CERTIFICATE

FOR OFFICAL USE ONLY

Date Filed:	Company:	Docket #:	
Receipt ID:	Payment ID:	App Fee:	Reg Fee: Total Paid:
111 0268	111 0268 232 01	111 0268 232 02	111 0268 232 03

Passenger Charter and Excursion Carrier Services WAC 480-30	Fee Required
<input checked="" type="checkbox"/> New Authority – Complete this application. <input type="checkbox"/> Transfer an existing certificate to a new owner or business structure. Complete this application in addition to Attachment A – Joint Application for Transfer of Charter/Excursion Authority . <input type="checkbox"/> Reinstate a previously cancelled certificate; WAC-480-30-121 . <p style="text-align: center;">Additional Fees</p> <p>Regulatory Fee - In accordance with RCW 81.70.350 "Regulatory Fees", the commission requires Charter and Excursion companies to file reports of the number of vehicles operated by the company and pay the sum of \$25 for each vehicle operated. There is a minimum fee of \$25.</p> <p>Total number of vehicles to be operated x \$25 per vehicle = \$ 0</p> <p>Total due (\$200, plus, \$25 per vehicle) = \$ 200</p>	\$200
<input type="checkbox"/> Name Change - WAC 480-30-146 Application to change a company's corporate name, change a trade name, add a new trade name or change the surname of an individual owner or partner. New Company Name:	\$35

FILING YOUR APPLICATION

Select **one** of the following:

- Scan/PDF your application to efileapp.utc.wa.gov and pay online at payments.utc.wa.gov or,
- Mail your application **with** your check or money order to the following address:
 UTC, PO Box 47250, Olympia, WA 98504-7250
 - PROCESSING MAILED APPLICATIONS WILL BE SIGNIFICANTLY DELAYED

ACH on-line (no service fee) or credit card on-line at payments.utc.wa.gov (2.5% or minimum of \$3.95 is charged by Official Payments for credit card processing).

DO NOT EMAIL YOUR CREDIT CARD INFORMATION



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Section 1 - APPLICATION

Legal Name: **Lux Bus America Co.**

Trade Name, if applicable:

Physical Address: **300 Deschutes Way SW, Ste 208 MC-CSC1, Tumwater, WA 98501**

Mailing Address: **851 E Cerritos Ave, Anaheim, CA 92805**

Telephone Number: **(714)939-9200** Email: **kenglish@luxbusamerica.com**

Contact Name: **Kayla English** Website: **www.luxbusamerica.com**

USDOT#: **1125439** If you do not have a USDOT number, go online at <https://cms8.fmcsa.dot.gov>

to apply or call 360-596-3812 for assistance.

Is your business registered with the Department of Revenue? No Yes

Business License/UBI#: **604 936 311**

Business Structure

Individual Partnership Corporation Other (LP, LLP, LLC)

State of Incorporation

California

List the name, title and percentage of all partner's share or stock distribution for major stockholders:

Name	Title	Stock Distribution/% of Shares
Matthew Brown	CEO	6
Matthew Austin	CFO	1
Doug Dossey	Director	84
William	Trimarco	2

Business Operations

1. Describe the type of tours/excursions you plan on providing:

Charter and shuttle services across the Seattle metro area.

2. Have you or your company ever been cited for business-related violations of state laws or commission rules by the UTC or any other federal or state agency? No Yes If yes, please explain:



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3. Will you be employing CDL drivers? Yes No If "yes" you must submit proof of enrollment in a drug and alcohol testing program.

Section 2 - EQUIPMENT LIST

Describe the equipment that will be used (attach additional sheets if necessary). All vehicles must pass a Commercial Vehicle Safety Alliance inspection before a permit is granted. All fields are required. Per [WAC 480-30-036 \(2\)](#), "Party Bus" means any motor vehicle whose interior enables passengers to stand and circulate throughout the vehicle because seating is placed around the perimeter of the bus or is nonexistent and in which food, beverages, or entertainment may be provided. A motor vehicle configured in the traditional manner of forward-facing seating with a center aisle is not a party bus.

Year	Make	License Number	Vehicle ID (VIN)	Seating Capacity	Party Bus
2017	Prevost	EP15621	2PCH33494HC713797	56	NO <input type="checkbox"/>

**attach additional pages if necessary*

Section 3 - SAFETY

Identify the person and position responsible for understanding and complying with the [Federal Motor Carrier Safety Regulations \(FMCSR\)](#) and Washington state laws and rules. Please refer to the WAC rules, fact sheets and the publication "[Your Guide to Achieving a Satisfactory Safety Rating](#)" for assistance with requirements.

Commercial Driver's License (CDL) Standards, Requirements and Penalties (Title 49, Code of Federal Regulations Part 383). If you operate commercial motor vehicles, your drivers must have a valid CDL.

Driver Qualification Requirements (Title 49, Code of Federal Regulations Part 391). Each of your drivers must meet minimum qualification requirements. You must maintain driver qualification files for each driver.

Drivers Hours of Service (Title 49, Code of Federal Regulations Part 395). Each of your drivers must maintain hours of service logs. You must maintain true and accurate hours of service records for each driver.

Controlled Substance and Alcohol Use and Testing (Title 49, Code of Federal Regulations Part 382 and Part 40). If you operate commercial motor vehicles, your drivers must be in a Controlled Substance and Alcohol Use and Testing program. **Please attach evidence of your enrollment in a drug and alcohol testing program if your company has commercial vehicles and employs CDL drivers.**

Inspection, Repair and Maintenance (Title 49, Code of Federal Regulations Part 396). You must systematically inspect, repair and maintain all motor vehicles.

Safety Regulations, General (Title 49, Code of Federal Regulations Part 390). You must follow safety regulations.

Driving Commercial Motor Vehicles (Title 49, Code of Federal Regulations Part 392). You must follow regulations for driving commercial motor vehicles.

Parts and Accessories Necessary for Safe Operation (Title 49, Code of Federal Regulations Part 393). You must maintain parts and accessories in safe condition.

Name: **Adan Valdez** Position: **Director of Safety**

This certificate may be revoked upon evidence of non-compliance.



Concentra Medical Compliance Administration (CMCA)
118 Portsmouth Ave. Stratham, NH 03885
Tel - 800-775-5447

Random Drug Testing Program Enrollment Certificate

Member Since: January 3, 2022

Certificate Created: June 20, 2022

Member: **Lux Bus America**
851 East Cerritos Ave
Anaheim, CA 92805

Random Pool: **Lux Bus LBA North FMCSA**
Regulatory Mode: FMCSA
Random Rates: 50% Drug; 10% Alcohol

Per DOT regulation, the above-named employer has enrolled in a random testing program for controlled substances and alcohol. As part of a random testing pool, selections are made based on the average number of safety-sensitive members in the pool using a scientifically valid computerized random selection process in which each covered individual has an equal probability of selection, in accordance with CFR 49 § 382.305.

The above-named employer has an independent pool, consisting of FMCSA covered drivers only.

Printing of this certificate is proof of membership with Concentra (CMCA) and is not to be construed as a guarantee of an operator's compliance with all regulations.

This certificate may be revoked upon evidence of non-compliance.



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Section 4- OPERATIONAL RESPONSIBILITIES

Identify the person and position responsible for understanding and complying with the requirements of each category shown below.

Annual Reports and Regulatory Fees. You must file an annual financial report with the commission and pay regulatory fees by May 1 of each year.

Name: **Kayla English**

Position: **Office Manager**

State of Washington General Laws, Rules and Regulations. You must comply with the regulations of local, state, and federal agencies such as, *but not limited to:* **Department of Labor and Industries, Department of Licensing, Secretary of State, Department of Revenue, Internal Revenue Service** and **Employment Security**.

Name: **Kayla English**

Position: **Office Manager**

Section 5 - DECLARATION OF APPLICANT

INITIAL

KE I understand that filing this application **does not** in itself constitute authority to operate as a passenger charter and excursion carrier.

KE As the applicant for a passenger charter and excursion certificate, I understand the responsibilities of a charter and excursion carrier, and I am in compliance with all local, state, and federal regulations governing business in the state of Washington.

KE I certify under penalty of perjury under the laws of the state of Washington that the information contained in this application is true and correct.

KE I certify that I am the applicant, or I am authorized to execute and file this document on behalf of the applicant.

Name: **Kayla English**

Date: **06/21/2022**

Section 6 – ADDITIONAL REQUIRED ATTACHMENTS

For Transfer an existing certificate to a new owner or business structure: include “attachment A – JOINT APPLICATION FOR TRANSFER OF CHARTER/EXCURSION AUTHORITY”.