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April 6, 2006

Carole J. Washburn, Executive Secretary
Washington Utilities and Transportation Commission
1300 S. Evergreen Park Drive S.W.
P.O. Box 47250
Olympia, Washington 98504-7250

Re: William Stuth and Aqua Test, Inc.

Petition for Declaratory Order, Docket No. A-050528
PETITIONERS' LIST OF ADDITIONAL FACTUAL ISSUES AND POSSIBLE
WITNESSES, INCLUDING DATA/DISCOVERY REQUEST

Dear Ms. Washburn:

Enclosed herewith is the original and five (5) copies of Stuth and Aqua Test's List Of Additional Factual Issues And Possible Witnesses For The Hearing AND Data/Discovery Request.

I am also e-mailing a \*.pdf copy and a MS Word version of this paper to the WUTC records center and to all participating parties.

Please contact me if you have any questions regarding this matter. Thank you for your consideration and continued cooperation.

Very truly yours,

RHYS A. STERLING, P.E., J.D.

Rhys A. Sterling Attorney at Law

Enclosures

cc: Jonathan C. Thompson, Assistant AAG, UTC Division Bill Stuth/Aqua Test, Inc.

Honorable C. Robert Wallis Administrative Law Judge

BEFORE THE WASHINGTON STATE UTILITIES AND TRANSPORTATION COMMISSION

Pursuant to Order 05 Denying Summary Determination Motions entered in this matter on March 27, 2006, and in particular Paragraph 10 thereof, Petitioners William Stuth and Aqua Test, Inc. hereinbelow set forth their preliminary list of additional factual issues and possible witnesses for presentment at the hearing.

#### I. ADDITIONAL FACTUAL ISSUES

At this time Stuth and Aqua Test have identified three additional issues of fact as to which testimony and/or documentary evidence should be produced at the hearing; namely:

WUTC staff has yet to produce any facts by way of testimony and/or documentary evidence that supports its opposition to the Petition filed in this action as a factual matter. What competent evidence in fact exists that supports and underlies WUTC staff's opposition in this case?

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- We are aware of at least one meeting between high ranking officials in the WUTC and the Puget Sound Action Team at which the Stuth and Aqua Test Petition and/or the generic issue of regulation of wastewater companies as set forth in the NRRI Briefing Paper on State Commission Regulation of Wastewater (October 2005) were discussed. Factual issues arising therefrom include how many conversations were had and who were the participants from WUTC and PSAT; the matters discussed; any decisions or policies made or developed by WUTC staff stemming from such discussions; and what affect such meeting(s), discussion(s), and/or conversation(s) have had on the development of WUTC staff's position regarding the Petition in this matter?
- 3. What is it that WUTC is being asked to regulate, what are the attributes of a wastewater company subject to regulation by WUTC, why is it being asked to regulate wastewater companies serving the public with LOSS systems as public service companies, and why is it in the public interest and the best interest of the public and the environment for WUTC to regulate wastewater companies regardless of the DOH "public entity"/"back-up" concerns?

#### II. POSSIBLE WITNESSES

Following is a preliminary list of the possible witnesses that Stuth and Aqua Test may call to present testimony at the hearing to address the factual issues raised by the Administrative Law Judge, the WUTC staff, or the additional issues raised above:

 William L. Stuth 31424 W. Lake Morton Dr SE Kent, Washington 98042

Mr. Stuth is an owner of and the President of Aqua Test, Inc. and is also an individual petitioner in the underlying Petition for Declaratory Order. Mr. Stuth may testify as to his personal and professional knowledge, background, and expertise in the area of wastewater treatment, LOSS systems, and the need for and manner in

STUTH AND AQUA TEST'S LIST OF ISSUES AND POSSIBLE WIT-NESSES -- PAGE 2 OF 14 which Aqua Test will provide essential utility services for hire as a public service company to the public served by LOSS systems in the State of Washington. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency.

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2. Matt Lee Aqua Test, Inc. P.O. Box 1116 Black Diamond, Washington 98010

Mr. Lee is an owner of and the General Manager of Aqua Test, Inc. -- a co-petitioner in the underlying Petition for Declaratory Order. Mr. Lee may testify as to his personal and professional knowledge, background, and expertise in the area of wastewater treatment, LOSS systems, and the need for and manner in which Aqua Test will provide essential utility services for hire as a public service company to the public served by LOSS systems in the State of Washington. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency.

3. Richard M. Benson, P.E.
Washington Department of Health
Large On-Site Program
1500 West 4th Avenue, Suite 403
Spokane, Washington 99204-1656

Mr. Benson is the Program Lead for the State Department of Health's Large On-Site Sewage System program. Mr. Benson may testify as to all relevant matters within his professional knowl-

STUTH AND AQUA TEST'S LIST OF ISSUES AND POSSIBLE WIT-NESSES -- PAGE 3 OF 14 edge, background, and expertise in the area of wastewater treatment, LOSS systems, and current problems with the DOH requirement for public entity back-up for privately owned, operated and maintained LOSS systems by municipal and quasi-municipal entities, and the urgent need for a viable and effective alternative thereto by a WUTC-regulated public service company that would function as the requisite public entity for ownership, operation and management of LOSS systems. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency, including addressing the matters presented in his March 9, 2005 letter to the WUTC and an update thereto.

 Tony R. Kastens, President Barclays North, Inc. 10515 - 20th Street S.E. Suite 100 Everett, Washington 98205

Mr. Kastens is the President of Barclays North, Inc., a large residential and commercial real estate development company serving the Puget Sound region of the State of Washington. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency, including addressing the matters presented in his September 30,, 2005 letter previously submitted to the WUTC and any other issues related thereto.

5. Terry Bounds, P.E.
Executive Vice President
Orenco Systems, Inc.
814 Airway Avenue
Sutherlin, Oregon 97479

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Mr. Bounds is the Executive Vice President of Orenco Systems, Inc., a company that has over 24 years of experience in LOSS systems and the operation and management thereof. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency, including addressing the matters presented in his October 5, 2005 letter previously submitted to the WUTC and any other issues related thereto.

6. A. Robert Rubin 192 Weatherband Pittsboro, North Carolina 27312

A. Robert Rubin is Professor Emeritus in the Biological and Agricultural Engineering Department at North Carolina State University in Raleigh, North Carolina. Professor Rubin's extensive background and expertise is set forth at least in part in his letter received November 14, 2005 and previously submitted to the WUTC in this matter. He has consulted with the US EPA in areas regarding effective management alternatives for on-site sewage systems. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency, including addressing the matters presented in his previous letter and any other issues related thereto.

7. Lawrence D. Fay, Jr.
Section Manager, Community Environmental Health
Seattle-King County Public Health Department
Eastgate Environmental Health
14350 SE Eastgate Way
Bellevue, Washington 98007

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Mr. Fay is the Section Manager for the Community Environmental Health division of the Seattle-King County Department of Public Health. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency, including addressing the matters presented in his November 15, 2005 letter previously submitted to the WUTC and any other issues related thereto.

8. Craig Goodwin NCS Wastewater Solutions 10412 John Bananola Drive P.O. Box 73399 Puyallup, Washington 98373

Mr. Goodwin is the General Manager of NCS Wastewater Solutions LLC, a company that designs, builds and operates LOSS systems. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency, including addressing the matters presented in his November 9, 2005 statement previously submitted to the WUTC and any other issues related thereto.

9. Robert J. Pinkney
Tennessee Wastewater Systems, Inc.
7638 River Road Pike
Nashville, Tennessee 37209-5733

Mr. Pinkney was the original petitioner before the Tennessee Regulatory Authority for the purpose of obtaining TRA approval of a certificate of public convenience and necessity for his company, On-Site Systems, Inc., to provide sewage collection, treatment and disposal for a proposed residential development in Maury County,

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Since that time the company has been granted numerous 1 additional CPCN's around the State of Tennessee, and has expanded 2 its operations as a public utility providing comparable services in 3 several other States. His testimony may cover all relevant factual 4 issues within his lay and/or professional competency, including ad-5 dressing the various issues he and his company have faced and met 6 over the years such as the effects and benefits from regulation by 7 various State public utility regulatory commissions. 8

> 10. David R. Jensen, P.E. D.R. Strong Consulting Engineers, Inc. 10604 N.E. 38th Place, Suite 101 Kirkland, Washington 98033-7903

Mr. Jensen is a Professional Engineer with D.R. Strong Consulting Engineers and has many years of direct experience in the design, operation, and management of LOSS systems. Mr. Jensen is very familiar with the current problems with depending solely on municipal entities as the primary management entity or back-up for LOSS systems in western Washington. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency.

11. Scott Jones, P.E.
 Jones Consultants
 P.O. Box 359
 Colbert, Washington 98007

Mr. Jones is a Professional Engineer and has his own business as to which he has many years of direct experience in the design, operation, and management of LOSS systems. Mr. Jones is very fam-

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iliar with the current problems with depending solely on municipal entities as the primary management entity or back-up for LOSS systems in eastern Washington. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency.

12. Steve Wecker
On-Site Consulting Services
P.O. Box 226
Wauna, Washington 98395

Mr. Wecker is a licensed designer of on-site sewage systems and has many years of direct experience in the design, operation, and management of LOSS systems. Mr. Wecker is very familiar with the current problems with depending solely on municipal entities as the primary management entity or back-up for LOSS systems. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency.

13. David A. Lenning
Office of Environmental Health and Safety
Washington Department of Health
P.O. Box 47825
7211 Cleanwater Lane
Olympia, Washington 98504-7825

Mr. Lenning has many years of experience in the on-site sewage program of the State of Washington, including that for the design, operation and management of LOSS systems. Mr. Lenning is very familiar with the current problems with depending solely on municipal entities as the primary management entity or back-up for LOSS systems. The scope of his direct testimony may cover all relevant

STUTH AND AQUA TEST'S LIST OF ISSUES AND POSSIBLE WIT-NESSES -- PAGE 8 OF 14 factual issues within his lay and/or professional competency.

14. Terry Hull
Puget Sound Action Team
Office of the Governor
P.O. Box 40900
Olympia, Washington 98504-0900

Mr. Hull is the Program Manager for Onsite Sewage Systems and Septics for the Puget Sound Action Team (PSAT). The PSAT has wide ranging program goals including the clean up and protection of Puget Sound waters impacted by on-site sewage systems. The scope of his direct testimony may cover all relevant factual issues within his lay and/or professional competency, including addressing the matters presented in his October 25, 2005 memorandum previously submitted to the WUTC and any other issues/updates related thereto.

David Danner, Executive Director Washington Utilities and Transportation Commission 1300 S. Evergreen Park Drive SW Olympia, Washington 98504-7250

Mr. Danner is the Executive Director of the WUTC and it is believed that he personally met with official(s) from the Puget Sound
Action Team regarding specifically or generically the regulation of
wastewater companies by the WUTC in a manner consistent with the
Stuth and Aqua Test Petition in this matter. Mr. Danner may testify as to his first hand knowledge regarding such meeting(s) and/or
conversations with PSAT personnel, and what if anything WUTC did or
considered to do as a result thereof, including the factual grounds
for WUTC staff's opposition to the Petition in this matter. Mr.

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Danner may also testify as to other issues raised in this matter including past history of WUTC, predecessor agency, or legislative regulation or consideration of (a) types of public service companies, common carriers, and/or contract carriers subject to regulation by the WUTC or its predecessor agencies but which were not specifically identified or named in Title 80 RCW or other applicable statutes, and (b) owners, operators, and managers of any kind of sewage or wastewater systems as public service companies.

16. Chris Rose, Policy Director
Washington Utilities and Transportation Commission
1300 S. Evergreen Park Drive SW
Olympia, Washington 98504-7250

Mr. Rose is the Policy Director of the WUTC and he was personally involved in distributing the NRRI Briefing Paper to Ron Shultz at the PSAT, and to David Danner and Eugene Eckhardt of the WUTC. Mr. Rose is personally familiar with matters of policy in the WUTC regarding and relating to various facets as to the issue of regulating wastewater companies as public service companies, and is believed to be familiar with the factual grounds for WUTC staff's opposition to the Petition in this matter. Mr. Rose may testify as to his personal knowledge of facts, evidence and/or policies that support or underlie WUTC staff's opposition to the Stuth and Aqua Test Petition in this matter. Mr. Rose may also testify as to other issues raised in this matter including past history of WUTC, predecessor agency, or legislative regulation or consideration of

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17. Eugene K. Eckhardt, Assistant Director
Water and Transportation
Washington Utilities and Transportation Commission
1300 S. Evergreen Park Drive SW
Olympia, Washington 98504-7250

Mr. Eckhardt is the WUTC staff representative in this case and is likely that individual in WUTC who is most familiar with, and has first hand knowledge as to, the facts and evidence supporting and underlying staff's opposition to the Stuth and Aqua Test Petition. Mr. Eckhardt may testify as to his personal knowledge of all such facts, evidence and/or policies that support or underlie WUTC staff's opposition to the Petition in this matter. Mr. Eckhardt may also testify as to other issues raised in this matter including past history of WUTC, predecessor agency, or legislative regulation or consideration of (a) types of public service companies, common carriers, and/or contract carriers subject to regulation by the WUTC or its predecessor agencies but which were not specifically identified or named in Title 80 RCW or other applicable statutes, and (b) owners, operators, and managers of any kind of sewage or wastewater systems as public service companies.

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Pursuant to WAC 480-07-400 and, as may be appropriate, RCW 42. 17.250 et seq., Stuth and Aqua Test hereby request that the following persons identified above as possible witnesses produce for inspection and copying at the pre-hearing conference scheduled for April 17, 2006, all data and records of whatever kind, including email correspondence, personal notes, correspondence, and analysis/policy documents regarding or relating to the WUTC staff's review or consideration of the underlying Petition for Declaratory Order in this matter, including all factual and documentary evidence of whatever kind that exists and is in their possession or WUTC's custody that directly or indirectly supports or opines to WUTC staff's various position(s) taken in this action, either in opposition to, in support of, and/or remaining neutral to Stuth and Aqua Test's Petition. It is requested that each of the following individuals comply with this data/discovery request:

David Danner, Chris Rose, and Eugene Eckhardt.

### IV. SUBPOENA REQUIREMENTS

As the specific need may present itself, in order to obtain the personal appearance of specific named individuals with the requisite leave from their government or private positions to attend the hearing in Olympia, Stuth and Aqua Test will request the Administrative Law Judge issue subpoenas ad testificandum/duces tecum to the following individuals, compelling their personal attend-

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ance at the hearing and to bring with them documentary evidence relevant to the issues as to which they may testify:

- 1. Richard M. Benson
- 2. Lawrence Fay
- 3. Eugene Eckhardt
- 4. David Danner
- Chris Rose

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- 6. Terry Hull
- 7. David Lenning

### V. ANTICIPATED DURATION OF HEARING

Considering the number of potential witnesses that may be called by Stuth and Aqua Test in order to address the factual issues raised in this matter, it is anticipated that a minimum of five (5) full days of hearing will be required for the Petitioners to present their case in chief.

## VI. SPECIAL A/V REQUIREMENTS

Certain witnesses may wish to include an audio/visual presentation (e.g., PowerPoint) as part of their direct testimony or prepared statement at the hearing to aid, assist, and help the Administrative Law Judge in understanding the several issues and matters of fact regarding and relating to LOSS systems, WUTC regulation of wastewater companies, and the Stuth and Aqua Test Petition. It is requested that the ALJ advise the parties as soon as possible as to the availability of on-site facilities to accommodate such present-

STUTH AND AQUA TEST'S LIST OF ISSUES AND POSSIBLE WIT-NESSES -- PAGE 13 OF 14

own equipment and support for A/V presentation and display. 2 3 NOTE 4 Stuth and Aqua Test retain and reserve the right to amend the 5 foregoing statement of additional factual issues, possible witness-6 es, and subpoenas at any time prior to or during the pre-hearing 7 conference of the hearing upon sufficient notice to opposing coun-8 sel and to the Administrative Law Judge. Amendments may include additions, deletions, or substitutions, including changes to the 10 data/discovery request. 11 12 DATED this 6th day of April, 2006. 13 14 Respectfully submitted, 15 RHYS A. STERLING, P.E., J.D. 16 17 18 Rhys A. Sterling 19 Attorney for Petitioners Stuth and Aqua Test, Inc. 20 21 22 23

Otherwise, the parties will be required to supply their

STUTH AND AQUA TEST'S LIST OF ISSUES AND POSSIBLE WIT-NESSES -- PAGE 14 OF 14

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## CERTIFICATION OF SERVICE

under the laws of the State of Washington that on the 6th day of April

to all parties.

DATED at