

The Rock Transportation Services Corp.

WASHINGTON



UTILITIES AND TRANSPORTATION
COMMISSION

1300 S. Evergreen Park Dr. SW
P.O. Box 47250
Olympia, WA 98504-7250
Phone: 360-664-1222
Fax: 360-586-1181
TTY: 360-586-8203

or
1-800-416-5289
E-mail: Transportation@utc.wa.gov

AUTO TRANSPORTATION AUTHORITY APPLICATION

Type of Passenger Transportation Authority Requested (check one box)	Fee Required
<input type="checkbox"/> <u>New Certificate</u> (auto transportation company certificates include statewide charter and excursion carrier service if marked below). Complete sections 1-8 and Attachment A. Submit a proposed tariff and time schedule. Do you plan on providing charter/excursion service? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, complete Attachment F.	\$200.00
<input type="checkbox"/> <u>Extension of Existing Auto Transportation Certificate C-_____</u> Complete sections 1-8. Submit a proposed tariff and time schedule.	\$150.00
<input checked="" type="checkbox"/> <u>Transfer or Lease Auto Transportation Authority</u> – Complete sections 1-8 and Attachments C & G. Transferring all of Certificate C-1077 AND CH-456, ES-182 _____ Transferring a portion of Certificate C-_____	\$200.00
<input type="checkbox"/> <u>Temporary Auto Transportation Authority</u> - New temporary authority or temporary to operate pending a Commission decision on a parallel filed permanent application. Complete sections 1-8 and Attachment B.	\$150.00
<input type="checkbox"/> <u>Mortgage of Certificate</u> – Complete section 1 and Attachment E.	\$35.00
<input type="checkbox"/> <u>Name Change</u> – Change in corporate name, change in trade name; adding or deleting a trade name; or change the surname of an individual owner or partner. Complete section 1 and Attachment D.	\$35.00
<input type="checkbox"/> <u>Reinstatement of Cancelled Certificate</u> – Complete sections 1, 2 and 8.	\$200.00

FOR OFFICIAL USE ONLY			
Date Filed		ID#	Docket #:
LS Staff Assigned	Insurance	Map	Tariff/ Time Schedule
DOL/SOS	Safety Inspection		Cert Issued
		Receipt ID	111-0268
111-0268-232-02	111-0268-232-01	111-0268-230-02	111-0268-230-01

SECTION 1 – APPLICANT INFORMATION

Legal Name of Applicant: The Rock Transportation Services Corporation

Trade Name(s) (if applicable): Whidbey Seatac Shuttle & Charter

Phone #: 206-419-8959

Fax #: 360-323-8894

E-mail: drm1120224@gmail.com

Physical Address:	Mailing Address (if different from physical):
Street: <u>639 Industrial Ave Suite A</u>	Street: <u>PO Box 1097</u>
City: <u>Oak Harbor</u>	City: <u>Oak Harbor</u>
State/Zip: <u>WA 98277</u>	State/Zip: <u>WA 98277</u>

Unified Business Identifier Number (UBI): 604 368 751. If you do not know your UBI number or need to request one, contact Business Licensing Services at 1-800-451-7985.

Type of Business Structure: Individual Partnership Corporation Other (LP, LLP, LLC)

If other than individual, list the name, title, and percentage of partner's share or stock distribution for major stockholders or members:

Name	Title	Stock Distribution or % of Shares
<u>D'Arcy Morgan</u>	<u>President/Buyer</u>	<u>100%</u>

USDOT number . If you do not have a USDOT number #: 3230197, you can go online to www.fmcsa.dot.gov/online-registration to apply or call 360-596-3810 for assistance.

Labor & Industries #: PENDING **Employment Security Department #:** PENDING

SECTION 2 – COMPANY INFORMATION

Provide the following documents with your application:

- A map of the proposed line, route, or service territory that meets the standards described in WAC 480-30-051
- Support statements for proposed service authority. Not applicable

What type of service do you plan on providing: door-to-door services and/or scheduled service?

Door-to-door service - Service provided between locations identified by the passengers and points specifically named by the company in its filed tariff and time schedule. Door-to-door service requires a time schedule in compliance with WAC 480-30-281(2)(c) and may be restricted to "by reservation only"; and/or,

Scheduled service - Service provided between locations specifically named by the company (e.g., the X Hotel at 4th and Main) and points specifically named by the company in its filed tariff and time schedule. Scheduled service requires the company to file a time schedule in compliance with WAC 480-30-281 (2)(b) and may be restricted to "by reservation only."

Describe the proposed type of service (see WAC 480-30-096) including the line, route or service territory described in terms such as streets, avenues, roads, highways, townships, ranges, cities, towns, counties or other geographic description:

NO CHANGES. TRANSFER OF EXISTING AUTHORITY.

State the conditions that demonstrate this proposed service is for the public convenience and necessity:
NOT APPLICABLE. TRANSFER OF EXISTING AUTHORITY.

State the applicant's prior experience and familiarity with the statues and rules that govern operations it proposes: RETAINING ALL CURRENT MANAGEMENT AND STAFF. TRANSFER OF EXISTING AUTHORITY.

Do other auto transportation companies currently provide service between any of the points or along any portion of the route you propose to serve? No Yes If yes, list the names and addresses of companies:

Do you currently hold, or have you ever held, an auto transportation certificate?
 No Yes If yes, please indicate your certificate number.

Have you ever applied for and been denied an auto transportation certificate?
 No Yes If yes, please explain _____

Have you ever been cited for violation of state laws or commission rules?
 No Yes If yes, please explain _____

SECTION 3 – TARIFF AND TIME SCHEDULE

If this application is for temporary authority, a new certificate, or extension of existing certificated authority, you must include a proposed tariff and time schedule that is in compliance with WAC 480-30-256 through WAC 480-30-436.

Or are you applying for fare flexibility as described in WAC 480-30-420? Yes or No
ALREADY OPERATING UNDER 480-30-420. Adopting the current certificate holder's tariff and time schedule.

If yes, complete Attachment H to show your proposed base rate and maximum rate.
If this application is a transfer or a lease of authority from an existing certificated company, you must either file a new tariff and time schedule at the same rate levels as on file, or, you must adopt the current certificate holder's tariff and time schedule. To file a new tariff, use the standard tariff format attached to this application or an approved alternate format. Indicate which option you will use:
Buyer will continue to operate and adopt flexible fares and current Tariff & Time Schedule of Seller.
 Adopt or File new tariff

SECTION 4 – HEARING INFORMATION

If the Commission assigns this application for a formal hearing, estimate the number of witnesses you will present and the amount of time you will need for your presentation.

Number of witnesses:	Amount of time:
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Will an attorney be representing you? If yes, complete the following: No

Attorney's name:	Attorney's phone number:
Attorney's address: Street	Fax number:
City, State, Zip	E-mail address

SECTION 5 – FINANCIAL STATEMENT

ASSETS		LIABILITIES	
Cash in Bank	\$214,000.00	Salaries/Wages Payable	\$
Notes Receivable	\$	Accounts Payable	\$
Accounts Receivable	\$	Notes Payable	\$3,352,000.00
Investments	\$	Mortgages Payable	\$
Other Current Assets	\$	Contracts and Bonds Payable	\$
Prepaid Expenses	\$	TOTAL LIABILITIES	\$
Land and Buildings	\$	NET WORTH	
Trucks and Trailers	\$448,000.00	Preferred Stock	\$
Office Furniture	\$6,000.00	Common Stock	\$
Other Equipment	\$6,000.00	Retained Earnings	\$
Other Assets	\$3,178,000.00	Capital	\$500,000.00
TOTAL ASSETS	\$3,852,000.00	TOTAL LIABILITIES AND NET WORTH	\$3,852,000.00

In addition: the application must include the following: (see WAC 480-30-096)
 Ridership and Revenue forecasts for the first twelve months of operation. (See attached)
 A pro forma balance sheet and income statement for the first twelve months of operation. (See attached)

SECTION 6 – EQUIPMENT LIST

Describe the equipment that will be used (attach additional sheet if necessary). Vehicles must pass inspection and be issued a valid Commercial Vehicle Safety Alliance inspection decal for each motor vehicle before your application may be granted.

Year	Make	License Number	Vehicle ID number	Seating Capacity
		See attached list		

SECTION 7 – SAFETY AND OPERATIONS

In each of the categories shown below, list the person and position responsible for understanding and complying with the Federal Motor Carrier Safety Regulations (FMCSR) and Washington State laws and rules. Please refer to the WAC rules, fact sheets, and publication "Your Guide to Achieving a Satisfactory Safety Rating" for assistance with

WHIDBEY SEATAC SHUTTLE VEHICLE LIST

#	YEAR	MAKE/MODEL	LICENSE	PASS CAP.	VIN	Inspection Date
1P	2016	FORD Transit 350	BHR7154	9	1FBAX2CM0GKB28025	12/28/2017
2	2011	FORD E-350	51859RP	9	1FBSS3BLXBDB17693	6/18/2018
4	2011	FORD E-350	C34532C	9	1FBSS3BL3BDA01395	5/2/2018
5	2018	FORD Transit 350	BGL0899	9	1FBAX2CM5GKB28022	8/28/2018
8	2012	FORD E-450 AMERITRANS	36592RP	24	1FDXE4FS4CDA70643	11/20/2018
11P	2017	FORD E-450 STARCRAFT	66443RP	14	1FDXE4FS6HDC62122	5/2/2018
14	2012	FORD E-450 AMERITRANS	44262RP	24	1FDXE4FS1CDA79798	8/10/2018
15P	2013	FORD E-450 STARCRAFT	44981RP	14	1FDXE4FS4DDB16209	6/12/2018
16	2014	FORD E-450 AMERITRANS	47818RP	24	1FDXE4FS3EDA21971	11/22/2018
17P	2015	FORD E-450 STARCRAFT	50831RP	14	1FDXE4FS9FDA14492	7/3/2018
18P	2015	FORD E-450 STARCRAFT	62136RP	21	1FDXE4FS1FDA23943	7/5/2018
19P	2016	FORD E-450 AMERITRANS	57609RP	24	1FDXE4FS7GDC25000	6/7/2018
20P	2016	FORD E-450 AMERITRANS	60078RP	24	1FDXE4FS0GDC47758	8/14/2018
21P	2017	FORD E-450 KSIR 290	64409RP	24	1FDXE4FS1HDC29951	11/9/2018
22P	2018	FORD E-450 KSIR 290	71873RP	24	1FDXE4F52JDC28281	7/5/2018

P=PROPANE CONVERSION

requirements.	
SAFETY RESPONSIBILITIES	
COMMERCIAL DRIVER'S LICENSE (CDL) STANDARDS REQUIREMENTS AND PENALTIES (Title 49, Code of Federal Regulations Part 383) Any driver who operates a vehicle that meets the definition of a commercial motor vehicle must have a valid CDL.	
Name: Brent Dove	Position: Training Manager
DRIVER QUALIFICATION REQUIREMENTS (Title 49, Code of Federal Regulations Part 391) Driver's must meet minimum qualification requirements and each company must maintain driver qualification files for each driver.	
Name: Brent Dove	Position: Training Manager
DRIVERS HOURS OF SERVICE (Title 49, Code of Federal Regulations Part 395) Drivers must maintain logs and each company must maintain true and accurate hours of service records for each driver.	
Name: Brent Dove	Position: Training Manager
CONTROLLED SUBSTANCE AND ALCOHOL USE AND TESTING (Title 49, Code of Federal Regulations Part 382) All persons who drive commercial vehicles requiring a CDL must be in a Controlled Substance and Alcohol Use and Testing program that is in compliance with FMCSR in Title 49, Code of Federal Regulations Part 382 and Title 49, Code of Federal Regulations Part 40. Each company will have in place a system for complying with FMCSR governing alcohol use and controlled substances testing requirements (Title 49 Code of Federal Regulations Part 382 and Title 49 Code of Federal Regulations Part 40).	
Name: James Johnson	Position: General Manager
INSPECTION, REPAIR AND MAINTENANCE (Title 49, Code of Federal Regulations Part 396) Every motor carrier shall systematically inspect, repair, and maintain all motor vehicles subject to its control.	
Name: James Johnson	Position: General Manager
SAFETY REGULATIONS, GENERAL (Title 49, Code of Federal Regulations Part 390)	
Name: James Johnson	Position: General Manager
DRIVING OF COMMERCIAL MOTOR VEHICLES (Title 49, Code of Federal Regulations Part 392)	
Name: Brent Dove	Position: Training Manager
PARTS AND ACCESSORIES NECESSARY FOR SAFE OPERATION (Title 49, Code of Federal Regulations Part 393)	
Name: James Johnson	Position: General Manager
OPERATIONAL RESPONSIBILITIES	
TARIFFS, TIME SCHEDULES, RATES AND RATE FILINGS (WAC 480-30-251 through WAC 480-30-436) Companies must file a tariff showing all rates it will impose on its customers, together with rules that govern how rates will be assessed. Companies must also file a time schedule. Charter and excursion only carriers are not required to file tariffs and time schedules per WAC 480-30-251.	
Name: D'Arcy Morgan	Position: Buyer
ANNUAL REPORTS AND REGULATORY FEES (WAC 480-30-066 through WAC 480-30-081) Auto Transportation companies must file an annual report of its financial and operational activity and pay regulatory fees by May 1 of each year. Charter and excursion carriers must file an annual safety report by May 1; and pay regulatory fees by December 31 of each year.	
Name: D'Arcy Morgan	Position: Buyer
CUSTOMER SERVICE Person responsible for customer service complaints, and customer notice requirements.	
Name: Emily Reynolds	Position: Office Administrator
STATE OF WASHINGTON GENERAL LAWS, RULES AND REGULATIONS Individuals and companies doing business in the state of Washington must comply with the regulations of local, state, and federal agencies such as, <u>but not limited to</u> : Department of Labor and Industries (industrial insurance, safety, prevailing wage); Department of Licensing (vehicle and drivers licenses, business licensing, fuel permits, fuel tax); Secretary of State (corporate registrations); Department of Revenue and Internal Revenue Service (taxes); and Employment Security.	
Name: D'Arcy Morgan	Position: Buyer

SECTION 8 – DECLARATION OF APPLICANT

I understand that filing this application **does not** authorize me to start operations requested or in the territory described until the commission grants the application and issues a certificate.

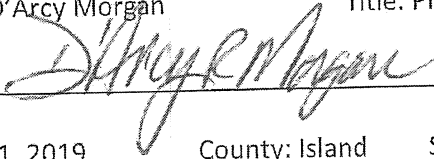
I understand the responsibilities of a passenger transportation company, and I am in compliance with all local, state, and federal regulations governing business in the state of Washington.

I, the undersigned, certify that the information in this application is true and correct, and that I am the applicant or I am authorized to execute and file this document on behalf of the applicant.

Printed name: D'Arcy Morgan

Title: President/Buyer

Signature: _____



Date: January 11, 2019

County: Island

State: WA



ATTACHMENT C

JOINT APPLICATION FOR TRANSFER OR LEASE OF CERTIFICATED AUTHORITY

The commission must approve any sale, assignment, lease, or transfer of a company's certificate, or any portion of the operating authority described in a company's certificate. This does not apply to change in ownership resulting from an acquisition of control of a corporation through stock sale or purchase.

Certificate Number C-1077, CH-456, ES-192

Check appropriate box:
X Transfer All*
[] Transfer Portion*
[] Lease All**
[] Lease Portion**

SEATAC SHUTTLE, LLC
Current Name on Certificate (Seller/Lessor)
WHIDBEY SEATAC SHUTTLE
Current Trade Name on Certificate (Seller/Lessor)
639 INDUSTRIAL AVE. STE A, OAK HARBOR, WA 98277
360-969-1227
Phone Number
Address (Seller/Lessor)

Fax: N/A
Email: john@seatacshuttle.com

Have all fines and /or penalties been paid?
Has the closing annual report been filed?
[] No [X] Yes
[X] No [] Yes

Does the buyer/lessee agree to begin service as soon as the commission authorizes the transfer or lease?
[X] Yes
[] No, if not, then when?

If the commission assigns this application for formal hearing, do both the seller/lessor and the buyer/lessee agree to be present at the hearing?
[X] Yes
[] No

Both the seller/lessor and the buyer/lessee certify that this application is not made for the purpose of hindering, delaying or defrauding creditors.

This application must include a map and copy of the certificated authority to be transferred/leased. If applying for permission to transfer or lease a portion of the certificated authority, then the application must include a map and description of both the portion to be transferred/leased and the portion to be retained by the existing certificate holder.

We, as applicants, hereby jointly declare and affirm that all information is true to the best of our knowledge.

[Signature]
Seller's/Lessor's Signature
[Signature]
Buyer's/Lessee's Signature

2/1/19, Island, WA
Date, County, State
January 11, 2019 / Island, WA
Date, County, State

*If this application is for transfer, please attach a copy of the sales or other agreement to sell.
**If this application is to lease, please attach a copy of the executed lease agreement.