



PROPOSED RULE MAKING

CR-102 (June 2004)

(Implements RCW 34.05.320)

Do NOT use for expedited rule making

Agency: Washington Utilities & Transportation Commission

Preproposal Statement of Inquiry was filed as WSR #02-20-105, 02-22-030 & 03-07-034 ; or
 Expedited Rule Making--Proposed notice was filed as WSR _____; or
 Proposal is exempt under RCW 34.05.310(4).

Original Notice
 Supplemental Notice to WSR _____
 Continuance of WSR _____

Title of rule and other identifying information: Reporting of transactions between regulated utility and transportation companies and their subsidiaries to the Commission. Attachment A provides a list of proposed rules identified for adoption, amendment and repeal.

Docket Nos. A-021178 & TO-030288

Hearing location(s):
Commission Hearing Room 206
Second Floor, Chandler Plaza Building
1300 S. Evergreen Park Drive S.W.
Olympia, WA 98504

Date: October 13, 2004 Time: 1:30 P.M.

Date of intended adoption: October 13, 2004
(Note: This is NOT the effective date)

Submit written comments to:
Name: Carole J. Washburn, Secretary
Address: P. O. Box 47250, Olympia, WA 98504
e-mail records@wutc.wa.gov Please include
Docket No. A-021178 or TO-030288 in your
communication.
fax (360) 586-1150 by (date) September 22, 2004

Assistance for persons with disabilities: Contact
Mary DeYoung by Monday, October 11, 2004
TTY (360) 586-8203 or (360) 664-1133

Purpose of the proposal and its anticipated effects, including any changes in existing rules:
The intent of the rulemaking is to establish rules that would require regulated companies to report to the Commission transactions between a regulated company and its subsidiary.

Affected WACs are: **(See Attachment A)**

Reasons supporting proposal: The regulated transportation and utility business environments have undergone a number of significant developments that include corporate and industry restructuring, competition, bankruptcy, financial rating downgrades, volatile commodity supply and demand, volatile pricing, and concern with the accuracy of corporate financial statements and reports. In this new environment, transactions between regulated companies and their affiliates and subsidiaries may significantly impact ratepayers. Transactions with affiliated interests are covered by existing law, but there are no rules covering some types of subsidiaries. Establishing reporting requirements regarding transactions between regulated companies and their subsidiaries would provide the Commission with more timely identification and disclosure of intercompany transactions that pose difficult regulatory issues.

Statutory authority for adoption: RCW 80.01.040 and RCW 80.04.160, RCW 81.04.060.

Statute being implemented: N/A

Is rule necessary because of a:
Federal Law? Yes No
Federal Court Decision? Yes No
State Court Decision? Yes No
If yes, CITATION:

CODE REVISER USE ONLY

Filed with the Code Reviser's Office
September 1, 2004, 11:17 a.m.
WSR 04-18-129

DATE
September 1, 2004

NAME (type or print)
CAROLE J. WASHBURN

SIGNATURE

TITLE
EXECUTIVE SECRETARY

Agency comments or recommendations, if any, as to statutory language, implementation, enforcement, and fiscal matters:

None

Name of proponent: Washington Utilities & Transportation Commission

- Private
- Public
- Governmental

Name of agency personnel responsible for:

Name	Office Location	Phone
Drafting..... Fred Ottavelli	1300 S. Evergreen Park Drive S.W., Olympia, WA 98504	(360) 664-1297
Implementation.... Carole J. Washburn, Secretary	1300 S. Evergreen Park Drive S.W., Olympia, WA 98504	(360) 664-1174
Enforcement..... Carole J. Washburn, Secretary	1300 S. Evergreen Park Drive S.W., Olympia, WA 98504	(360) 664-1174

Has a small business economic impact statement been prepared under chapter 19.85 RCW?

Yes. Attach copy of small business economic impact statement.

A copy of the statement may be obtained by contacting:

Name:

Address:

phone () _____

fax () _____

e-mail _____

No. Explain why no statement was prepared.

The proposed corrections and changes to rules will not result in or impose an increase in costs. Because there will not be any increase in costs resulting from the proposed rule changes, an SBEIS is not required under RCW 19.85.030(1)

Is a cost-benefit analysis required under RCW 34.05.328?

Yes A preliminary cost-benefit analysis may be obtained by contacting:

Name:

Address:

phone () _____

fax () _____

e-mail _____

No: Please explain:

The Commission is not an agency to which RCW 34.05.328 applies. The proposed rule is not a significant legislative rule of the sort referenced in RCW 34.05.328(5).

ATTACHMENT A

Chapter 480-70 WAC, Solid Waste and/or Refuse Collection Companies

Amend

480-70-041 Definitions, general.

1. Added definitions for “Affiliated interest,” “Control,” and “Subsidiary.”

Amend

480-70-051 Exemptions from rules in chapter 480-70 WAC.

1. Amended to reference WAC 480-07-110 (Exceptions from and modifications to the rules in this chapter; special rules).

Adopt

480-70-077 Transferring cash or assuming obligations.

1. Require reporting of cash transfers to affiliates and subsidiaries when certain thresholds are exceeded.

Adopt

480-70-078 Affiliated interest—Contracts or arrangements.

1. Adopt rules implementing Chapter 81.16 RCW.

Adopt

480-70-079 Affiliated interest and subsidiary transactions report.

1. Adopt rules implementing Chapter 81.16 RCW.

Chapter 480-90 WAC, Gas Companies -- Operations

Amend

480-90-008 Exemptions from rules in chapter 480-90 WAC.

1. Amended to reference WAC 480-07-110 (Exceptions from and modifications to the rules in this chapter; special rules).

Amend

480-90-023 Definitions.

1. Added definitions for “Affiliated interest,” “Control,” and “Subsidiary.”

Adopt

480-90-207 Filing information.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC:
480-146-250 (Filing).
480-146-260 (Commission may require additional information).
480-146-270 (Applicant may include information by reference).
480-146-280 (Applicant duty when information is unavailable).

Repeal

480-90-208 Financial reporting requirements.

1. Financial reporting requirements to be incorporated in new sections.
Annual reports – WAC 480-90-252 (Federal Energy Regulatory Commission (FERC) Form No. 2.)
Commission basis reports (annual) – WAC 480-90-257 (Commission basis report.)
Quarterly reports – WAC 480-90-275 (Actual results for Washington operations report.)
Additional reports – WAC 480-90-209 (Additional reports.)

Adopt

480-90-209 Additional reports.

1. Adopted from proposed repealed WAC 480-90-208 (Financial reporting requirements).

Repeal

480-90-218 Securities, affiliated interests, and transfers of property.

1. Incorporated subsection (3) into proposed WAC 480-90-248 (Transfers of property).

Adopt

480-90-242 Issuing securities.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC: 480-146-290 (Securities statements and applications). 480-146-300 (Filing requirements for securities statements and applications).
2. Require certain information five days in advance.

Adopt

480-90-244 Transferring cash or assuming obligation.

1. Require reporting of cash transfers to affiliates and subsidiaries when certain thresholds are exceeded.

Adopt

480-90-245 Affiliated interests—Contracts or arrangements.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC 480-146-350 (Filing of affiliated interest transactions).

Adopt

480-90-248 Transfers of property.

1. Adopted from proposed repealed WAC 480-90-218 (Securities, affiliated interests, and transfers of property).

Adopt

480-90-252 Federal Energy Regulatory Commission (FERC) Form No. 2.

1. Adopted from proposed repealed WAC 480-90-208 (Financial reporting requirements).

Adopt

480-90-257 Commission basis report.

1. Adopted from proposed repealed WAC 480-90-208 (Financial reporting requirements).

Adopt

480-90-262 Securities report.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC 480-146-340 (Reporting of securities transactions).
2. Revised to obtain pertinent information.

Adopt

480-90-264 Affiliated interest and subsidiary transactions report.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted chapter from proposed repealed WAC 480-146-360 (Reporting of affiliated interest transactions).
2. Added subsidiaries to reporting requirement.

- Adopt
480-90-268 Essential utilities services contracts report.
1. Require reporting of essential utilities services contract when certain thresholds are exceeded.

- Adopt
480-90-275 Actual results for Washington operations report.
1. Adopted from proposed repealed WAC 480-90-208 (Financial reporting requirements).

- Amend
480-90-999 Adoption by reference.
1. Revised to reflect references to repealed sections and new sections.

Chapter 480-92 WAC, Low-Level Radioactive Waste Companies

- Amend
480-92-016 Exemptions from rules in chapter 480-92 WAC.
1. Amended to reference WAC 480-07-110 (Exceptions from and modifications to the rules in this chapter; special rules).

- Amend
480-92-021 Definitions.
1. Added definitions for “Affiliated interest,” “Control,” and “Subsidiary.”

- Amend
480-92-050 Reporting requirements—Annual report.
1. Grammar changes.

- Adopt
480-92-055 Reporting requirements—Special reports.
1. Adopt Low-Level Radioactive Waste Company rules implementing Chapter 81.16 RCW.

Chapter 480-100 WAC, Electric Companies -- Operations

- Amend
480-100-008 Exemptions from rules in chapter 480-90 WAC.
1. Amended to reference WAC 480-07-110 (Exceptions from and modifications to the rules in this chapter; special rules).

- Amend
480-100-023 Definitions.
2. Added definitions for “Affiliated interest,” “Control,” and “Subsidiary.”

- Adopt
480-100-207 Filing information.
1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC:
480-146-250 (Filing).
480-146-260 (Commission may require additional information).
480-146-270 (Applicant may include information by reference).
480-146-280 (Applicant duty when information is unavailable).

Repeal

480-100-208 Financial reporting requirements.

1. Financial reporting requirements to be incorporated in new sections.
Annual reports – WAC 480-100-252 (Federal Energy Regulatory Commission (FERC) Form No. 2.)
Commission basis reports (annual) – WAC 480-100-257 (Commission basis report.)
Quarterly reports – WAC 480-100-275 (Actual results for Washington operations report.)
Additional reports – WAC 480-100-209 (Additional reports.)

Adopt

480-100-209 Additional reports.

1. Adopted from proposed repealed WAC 480-100-208 (Financial reporting requirements).

Repeal

480-100-218 Securities, affiliated interests, and transfers of property.

1. Incorporated subsection (3) into proposed WAC 480-100-248 (Transfers of property).

Adopt

480-100-242 Issuing securities.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC:
480-146-290 (Securities statements and applications).
480-146-300 (Filing requirements for securities statements and applications).
2. Require certain information five days in advance.

Adopt

480-100-244 Transferring cash or assuming obligation.

1. Require reporting of cash transfers to affiliates and subsidiaries when certain thresholds are exceeded.

Adopt

480-100-245 Affiliated interests—Contracts or arrangements.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal.
Adopted from proposed repealed WAC 480-146-350 (Filing of affiliated interest transactions).

Adopt

480-100-248 Transfers of property.

1. Adopted from proposed repealed WAC 480-90-218 (Securities, affiliated interests, and transfers of property).

Adopt

480-100-252 Federal Energy Regulatory Commission (FERC) Form No. 1.

1. Adopted from proposed repealed WAC 480-90-208 (Financial reporting requirements).

Adopt

480-100-257 Commission basis report.

1. Adopted from proposed repealed WAC 480-100-208 (Financial reporting requirements).

Adopt

480-100-262 Securities report.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal.
Adopted from proposed repealed WAC 480-146-340 (Reporting of securities transactions).
2. Revised to obtain pertinent information.

Adopt

480-100-264 Affiliated interest and subsidiary transactions report.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal.
Adopted chapter from proposed repealed WAC 480-146-360 (Reporting of affiliated interest transactions).
2. Added subsidiaries to reporting requirement.

Adopt

480-100-268 Essential utilities services contracts report.

1. Require reporting of essential utilities services contract when certain thresholds are exceeded.

Adopt

480-100-275 Actual results for Washington operations report.

1. Adopted from proposed repealed WAC 480-100-208 (Financial reporting requirements).

Adopt

480-100-282 Application for approval of lease of utility facilities.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal.
Adopted from proposed repealed WAC 480-146-370 (Application for approval of lease of utility facilities).

Adopt

480-100-287 Form of lease application.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal.
Adopted from proposed repealed WAC 480-146-380 (Form of lease application).

Amend

480-100-999 Adoption by reference.

1. Revised to reflect references to repealed sections and new sections.

Chapter 480-110 WAC, Water Companies

Amend

480-110-205 Application of rules.

1. Grammar changes.

Amend

480-110-215 Exemption from rules in chapter 480-110 WAC.

1. Amended to reference WAC 480-07-110 (Exceptions from and modifications to the rules in this chapter; special rules).

Amend

480-110-225 Additional requirements.

1. Added new standard language for section that replaced previous language.

Adopt

480-110-227 Severability.

1. Added new standard language for section.

Amend

480-110-235 Definition of control.

1. Grammar change.

Amend

480-110-245 Glossary.

1. Grammar change.

Repeal

480-110-495 Maps.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-110-261 Maps.

1. Adopted to reflect new numbering of chapter parts.

Amend

480-110-335 Establishing credit and deposits.

1. Clarified interest on deposit calculation.

Amend

480-110-355 Discontinuing of service.

1. Grammar change.

Amend

480-110-365 Service responsibilities.

1. Grammar change.

Amend

480-110-375 Form of bills.

1. Grammar change.

Amend

480-110-385 Water company responsibility for complaints and disputes.

1. Grammar change.

Amend

480-110-395 Water quality refunds.

1. Grammar change.
2. Changed statutory authority reference.

Amend

480-110-415 Meters.

1. Grammar change.

Amend

480-110-425 Water company customer notice requirements.

1. Grammar change.

Repeal

480-110-265 Tariffs.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-110-431 Tariffs.

1. Adopted to reflect new numbering of chapter parts.

Repeal

480-110-295 Adopted and initial tariffs.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-110-433 Adopted and initial tariffs.

1. Adopted to reflect new numbering of chapter parts.

Amend

480-110-445 Service connections and customer service lines.

1. Grammar change.

Adopt

480-110-456 Definitions.

1. Added definitions for “Affiliated interest,” “Control,” and “Subsidiary.”

Adopt

480-110-457 Filing information.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC:
480-146-250 (Filing).
480-146-260 (Commission may require additional information).
480-146-270 (Applicant may include information by reference).
480-146-280 (Applicant duty when information is unavailable).

Adopt

480-110-459 Additional reports.

1. Added new standard language for section.

Amend

480-110-465 Expenditures for political or legislative activities.

1. Added new standard language for section.

Amend

480-110-485 Retaining and preserving records and reports.

1. Changed title to standard Commission format.

Repeal

480-110-275 Accounting and reporting requirements, and regulatory fees.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-110-505 Accounting and reporting requirements and regulatory fees.

1. Adopted to reflect new numbering of chapter parts.

Repeal

480-110-475 Reports of accidents.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-110-515 Reports of accidents.

1. Adopted to reflect new numbering of chapter parts.

Adopt

480-110-525 Issuing securities.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC: 480-146-290 (Securities statements and applications). 480-146-300 (Filing requirements for securities statements and applications).
2. Require certain information five days in advance.

Adopt

480-110-535 Transferring cash or assuming obligation.

1. Require reporting of cash transfers to affiliates and subsidiaries when certain thresholds are exceeded.

Adopt

480-110-545 Affiliated interests—Contracts or arrangements.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC 480-146-350 (Filing of affiliated interest transactions).

Repeal

480-110-285 Securities, affiliated interest, transfer of property.

1. Incorporated into proposed WAC 480-110-555 (Transfers of property).

Adopt

480-110-555 Transfers of property.

1. Adopted from proposed repealed WAC 480-110-285 (Securities, affiliated interests, and transfers of property).

Adopt

480-110-565 Securities report.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC 480-146-340 (Reporting of securities transactions).
2. Revised to obtain pertinent information.

Adopt

480-110-575 Affiliated interest and subsidiary transactions report.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC 480-146-360 (Reporting of affiliated interest transactions).
2. Added subsidiaries to reporting requirement.

Amend

480-110-999 Adoption by reference.

1. Grammar change.

Chapter 480-120 WAC, Telecommunications Operations

Amend

480-120-015 Exemptions from rules in chapter 480-120 WAC.

1. Amended to reference WAC 480-07-110 (Exceptions from and modifications to the rules in this chapter; special rules).

Adopt

480-120-325 Definitions.

1. Added definitions for “Affiliated interest,” “Control,” and “Subsidiary.”

Adopt

480-120-331 Filing information.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC:
480-146-250 (Filing).
480-146-260 (Commission may require additional information).
480-146-270 (Applicant may include information by reference).

Adopt

480-120-335 Additional reports.

1. Added new standard language for section.

Repeal

480-120-305 Streamlined filing requirements for Class B telecommunications company rate increase.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-120-339 Streamlined filing requirements for Class B telecommunications company rate increase.

1. Adopted to reflect new numbering of chapter parts.

Repeal

480-120-321 Expenditures for political or legislative activities.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-120-344 Expenditures for political or legislative activities.

1. Adopted to reflect new numbering of chapter parts.

Repeal

480-120-322 Retaining and preserving records and reports.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-120-349 Retaining and preserving records and reports.

1. Adopted to reflect new numbering of chapter parts.

Repeal

480-120-323 Washington Exchange Carrier Association (WECA).

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-120-352 Washington Exchange Carrier Association (WECA).

1. Adopted to reflect new numbering of chapter parts.

Repeal

480-120-301 Accounting requirements for competitively classified companies.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-120-355 Competitively classified companies.

1. Adopted to reflect new numbering of chapter parts.

Adopt

480-120-365 Issuing securities.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal. Adopted from proposed repealed WAC:
480-146-290 (Securities statements and applications).
480-146-300 (Filing requirements for securities statements and applications).
2. Require certain information five days in advance.

Adopt

480-120-369 Transferring cash or assuming obligation.

1. Require reporting of cash transfers to affiliates and subsidiaries when certain thresholds are exceeded.

Adopt

480-120-375 Affiliated interests—Contracts or arrangements.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal.
Adopted from proposed repealed WAC 480-146-350 (Filing of affiliated interest transactions).

Adopt

480-120-379 Transfers of property.

1. Adopted to incorporate language concerning transfers of property.

Repeal

480-120-303 Reporting requirements for competitively classified companies.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-120-382 Annual report for competitively classified companies.

1. Adopted to reflect new numbering of chapter parts.

Repeal

480-120-304 Reporting requirements for companies not classified as competitive.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-120-385 Annual report and quarterly results of operations reports for companies not classified as competitive.

1. Adopted to reflect new numbering of chapter parts.

Adopt

480-120-389 Securities report.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal.
Adopted from proposed repealed WAC 480-146-340 (Reporting of securities transactions).
2. Revised to obtain pertinent information.

Adopt

480-120-395 Affiliated interest and subsidiary transactions report.

1. Chapter 480-146—Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities proposed for repeal.
Adopted from proposed repealed WAC 480-146-360 (Reporting of affiliated interest transactions).
2. Added subsidiaries to reporting requirement.

Repeal

480-120-311 Access charge and universal service reporting.

1. Repealed to reflect new numbering of chapter parts.

Adopt

480-120-399 Access charge and universal service reporting.

1. Adopted to reflect new numbering of chapter parts.

Chapter 480-121 WAC, Registration, Competitive Classification and Price Lists of Telecommunications Companies

Amend

480-121-063 Regulatory requirements that may be waived for competitively classified telecommunications companies.

1. Revised to reflect references to repealed sections, adopted sections, and new numbering of Chapter 480-120.

Chapter 480-146 WAC, Commission general—Securities, Liens, Affiliated Interests, Refunding of Notes, Lease of Utility Facilities

Repeal

480-146-240 Application of rules.

Repeal

480-146-250 Filing.

1. This section adopted in proposed WAC:
480-90-207 (Filing information).
480-100-207 (Filing information).
480-110-457 (Filing information).
480-120-335 (Filing information).

Repeal

480-146-260 Commission may require additional information.

1. This section adopted in proposed WAC:
480-90-207 (Filing information).
480-100-207 (Filing information).
480-110-457 (Filing information).
480-120-335 (Filing information).

Repeal

480-146-270 Applicant may include information by reference.

1. This section adopted in proposed WAC:
480-90-207 (Filing information).
480-100-207 (Filing information).
480-110-457 (Filing information).
480-120-335 (Filing information).

Repeal

480-146-280 Applicant duty when information is unavailable.

1. This section adopted in proposed WAC:
480-90-207 (Filing information).
480-100-207 (Filing information).
480-110-457 (Filing information).
480-120-335 (Filing information).

Repeal

480-146-290 Securities statements and applications.

1. This section adopted in proposed WAC:
480-90-242 (Issuing securities).
480-100-242 (Issuing securities).
480-110-525 (Issuing securities).
480-120-365 (Issuing securities).

Repeal

480-146-300 Filing requirements for securities statements and applications.

1. This section adopted in proposed WAC:
480-90-242 (Issuing securities).
480-100-242 (Issuing securities).
480-110-525 (Issuing securities).
480-120-365 (Issuing securities).

Repeal

480-146-310 Commission may set securities application or statement for public hearing.

1. Repealed – No longer relevant.

Repeal

480-146-320 Minimum time required for commission order.

1. This section adopted in proposed WAC:
480-90-242 (Issuing securities).
480-100-242 (Issuing securities).
480-110-525 (Issuing securities).
480-120-365 (Issuing securities).

Repeal

480-146-330 Supplemental securities filing may be exempt from time limitations.

- 1 Repealed – No longer relevant.

Repeal

480-146-340 Reporting of securities transactions.

1. This section adopted in proposed WAC:
480-90-262 (Securities report).
480-100-262 (Securities report).
480-110-565 (Securities report).

Repeal

480-146-350 Filing of affiliated interest transactions.

1. This section adopted in proposed WAC:
480-70-078 (Affiliated interests—Contracts or arrangements).
480-90-245 (Affiliated interests—Contracts or arrangements).
480-92-055 (Reporting requirements—Special reports) (1) (Affiliated interests—Contracts or arrangements).
480-100-245 (Affiliated interests—Contracts or arrangements).
480-110-545 (Affiliated interests—Contracts or arrangements).
480-120-375 (Affiliated interests—Contracts or arrangements).

Repeal

480-146-360 Reporting of affiliated interest transactions.

1. This section adopted in proposed WAC:
480-70-079 (Affiliated interest and subsidiary transactions report).
480-90-264 (Affiliated interest and subsidiary transactions report).
480-92-055 (Reporting requirements—Special reports) (3) Affiliated interest and subsidiary transactions report.
480-100-264 (Affiliated interest and subsidiary transactions report).
480-110-575 (Affiliated interest and subsidiary transactions report).
480-120-395 (Affiliated interest and subsidiary transactions report).

Repeal

480-146-370 Application for approval of lease of utility facilities.

1. This section adopted in proposed WAC 480-100-282 (Application for approval of lease of utility facilities).

Repeal

480-146-380 Form of lease application.

1. This section adopted in proposed WAC 480-100-287 (Form of lease application).

Adopt

Chapter 480-73 WAC, Hazardous Liquid Pipeline Companies

1. This proposed new chapter would create Reporting rules for hazardous liquid pipeline companies consistent with those for other utility and transportation industries. The following sections are proposed for adoption:

480-73-010 Application of rules.

480-73-020 Exemptions from rules in chapter 480-73 WAC.

480-73-030 Additional requirements.

480-73-040 Severability.

480-73-050 Tariffs.

480-73-060 Definitions.

480-73-110 Filing information.

480-73-120 Additional reports.

480-73-130 Accounting system requirements.

480-73-140 Expenditures for political or legislative activities.

480-73-150 Retaining and preserving records and reports.

480-73-160 Annual reports.

480-73-170 Issuing securities.

480-73-180 Transferring cash or assuming obligation.

480-73-190 Affiliated interests—Contracts or arrangements.

480-73-200 Securities report.

480-73-210 Affiliated interest and subsidiary transactions report.

480-73-999 Adoption by reference.