Redacted per WAC 480-07-160

Exhibit No. FG-1 Docket TE-160231

Washi on Utilities and Transportation Commis Page 1 of 41 US DOT# Legal: PROFESSIONAL TRANSPORTATION INC UTC 807948 Operating (DBA): MC/MX #: 217444 State #: CH000345 Federal Tax ID: (EIN) Review Type: Non-ratable Review - Special Study Scope: Principal Office Location of Review/Audit: Other Territory: Operation Types Interstate Intrastate Non-HM Carrier: Non-HM **Business:** Corporation N/A **Gross Revenue:** for year ending: Shipper: N/A Cargo Tank: N/A Company Physical Address: 3700 MORGAN AVE **EVANSVILLE, IN 47715** Contact Name: Bobby T Vincent Phone numbers: (1) 8124575793 Fax 8125580668 (2) E-Mail Address: Bvincent@uniteddevv.com Company Mailing Address: 3700 MORGAN AVE **EVANSVILLE, IN 47715** Carrier Classification Authorized for Hire Cargo Classification Passengers Equipment Owned Term Leased Trip Leased Owned Term Leased Trip Leased 114 Van. 1-8 Power units used in the U.S.: 114 Percentage of time used in the U.S.: 100 Does carrier transport placardable quantities of HM? No Is an HM Permit required? N/A **Driver Information** Inter Intra Average trip leased drivers/month: 0 < 100 Miles: 340 Total Drivers: 340

CDL Drivers: 0

>= 100 Miles:



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Part A

QUESTIONS regarding this report or the Federal Motor Carrier Safety or Hazardous Materials rules may be addressed to

Francine Gagne PO Box 47250, Olympia, WA 98504 360-664-1231, cell 360 529 2082, fgagne@utc.wa.gov

This report will be used to assess your safety compliance.

Person(s) Interviewed

Name: Bobby T Vincent

Title: Senior Director of Safety

Title:

Name:



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Part B Violations

1	Primary: 390.35			Drivers/V	ehicles
STATE	Secondary: 391.41(a)	Discovered	Checked	In Violation	Checked
	CFR Equivalent: 390.35	2	50	2	340

Description

Making or causing to make fraudulent or intentionally false entry on a required medical examiner's certificate.

Example

Date of Medical Examiners Certificate: 3/23/2015

False information provided: Year changed from 2015 to 2016 Name of person providing false information: Christine Rubey

Correct information that should have been provided: Certificate should have expired on 6/23/2015

Date of Medical Examiners Certificate: 8/6/2014

False information provided: Year changed from 2015 to 2016 and month changed from 8 to 9

Name of person providing false information: Dorothy Dinsmore

Correct information that should have been provided: Certificate should have expired on 9/6/2014

2	Primary: 391.45(a)			Drivers/V	ehicles
STATE	Secondary: 391.11(a)	Discovered	Checked	In Violation	Checked
	CFR Equivalent: 391.45(a)	1	340	1	340

Description

Using a driver not medically examined and certified.

Example

Driver name: Brian Cozad Trip date: 9/21/2015

Description of violation: No medical certification on file. Driver drove for a total of 36 days.

3	Primary: 391.45(b)(1)	,		Drivers/V	ehicles
STATE	Secondary: 391.11(a)	Discovered	Checked	In Violation	Checked
	CFR Equivalent: 391.45(b)(1)	3	50	3	340

Description

Using a driver not medically examined and certified during the preceding 24 months.

Example

Driver name: Patrick Bentler

Trip date: 7/2/2015

Last examination date: Medical certificate issued 3/17/2015 and expired on 6/17/2015

Driver name: Dorothy Dinsmore

Trip date: 7/10/2015

Last examination date: Medical certificate issued 8/9/2014 and expired on 9/6/2014

Driver name: Christine Rubey

Trip date: 7/10/2015

Last examination date: Medical certificate issued 3/23/2015 and expired on 6/23/2015

Ī	4	Primary: 391.51(b)(7)	· · · · · · · · · · · · · · · · · · ·		Drivers/V	ehicles
1	STATE	, , , , , , , , , , , , , , , , , , ,	Discovered	Checked	In Violation	Checked
ŀ		CFR Equivalent: 391.51(b)(7)	11	340	11	340

Description

Failing to maintain medical examiner's certificate in driver's qualification file.

Example

Driver name: Kevin Boivin

Trip Date:9/1/2015

Description of violation: Kevin Boivin was hired 11/25/2014. His current medical certificate was issued on 9/4/2015 and expires

on 7/23/2016. Carrier did not maintain previous medical card.

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Part B Violations

ſ	5	Primary: 391.51(b)(9)			Drivers/V	ehicles
ł	STATE		Discovered	Checked	In Violation	Checked
		CFR Equivalent: 391.51(b)(9)	50	50	50	340

Description

Failing to place a note related to the verification of the medical examiner's listing on the National Registry of Certified Medical Examiners required by 391.23(m) in driver qualification file(s).

Example

Driver name Patrick Bentler

Trip date: 7/20/2015

Description of violation: Carrier failed to verify the medical examiner's listing on the National Registry of Certified Medical

Examiners

6	Primary: 396.17(a)			Drivers/V	ehicles
STATE		Discovered	Checked	In Violation	Checked
	CFR Equivalent: 396.17(a)	114	114	114	114

Description

Using a commercial motor vehicle not periodically inspected.

Example

Vehicle: ALL

Description of violation: Via email Mr. Vincent stated "I am certain that what we have been considering an inspection is not what is required by WUTC".

This is a repeat violation from the previous compliance review dated 11/20/2014.

Safety	Fitness	Rating	Information	t:

Total Miles Operated

5,354,000

Recordable Accidents

0

OOS Vehicle (CR): 0

Number of Vehicle Inspected (CR): 0

OOS Vehicle (MCMIS): 0

Number of Vehicles Inspected (MCMIS): 0

Your proposed safety rating is:

This Review is not Rated.



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Part B Requirements and/or Recommendations

DRIVER FITNESS BASIC PROCESS BREAKDOWN: Policies and Procedures

DESCRIPTION OF PROCESS BREAKDOWN: Professional Transportation Inc. has failed to obtain and maintain required documentation regarding medical certificates in all drivers files.

BASIC SPECIFIC RECOMMENDED REMEDIES

Implement Safety Improvement Practices: The following are recommended practices related to Policies and Procedures.

- Develop a policy for the periodic review (at least twice per year) of driver qualification files. The motor carrier should not rely on third-party sources, such as insurance agencies. The procedure should include controls to ensure that documents requiring renewals are in place, to remind drivers of expiration dates on medical certificates, so they can schedule another physical examination in advance, and to prevent falsification of documents related to driver qualification.
- Establish a policy requiring drivers to submit copies of all vehicle and roadside inspections and moving violations to carrier management within 24 hours, and to notify management of suspended or revoked Commercial Driver's Licenses (CDLs) immediately following notification of suspension/revocation.
- Establish a policy requiring all new (since 2003) Commercial Driver's License (CDL) drivers to submit documentation of entry-level driver training in for example, driver qualification requirements, Hours of Service (HOS), driver wellness, and whistleblower protection or to take entry-level training provided by the carrier.
- Develop a policy for document retention and recordkeeping, including documents that are to be in the possession of the driver as proof of credentials.
- Develop a process to ensure that operations will always have the proper amount of fit drivers. This process would address how to deal with issues such as sick leave, vacation, training, suspension, and termination.
- Develop a written and progressive disciplinary policy focused on taking corrective action to ensure drivers comply
 with regulations and policies. A progressive disciplinary policy could include, among other things, written warnings,
 suspensions, or work restrictions, monetary penalties, and termination. This policy should also specify consequences
 for any carrier official who knowingly and willfully allows Driver Fitness Violations.

Seek Out Resources:

- You are encouraged to review your company's record at the following website: http://ai.fmcsa.dot.gov/SMS. You will need to use your PIN Number that has been provided by the FMCSA.
- Contact industry associations to get resources and ideas on safety improvement practices from other carriers in the industry
- 2. Ensure that all vehicles are inspected by a certified inspector prior to being placed into service and at least once annually thereafter. Maintain proof of the inspection in the vehicle maintenance file and on the vehicle.
- 3. Do not allow drivers to drive interstate unless they have been physically re-examined each 24 months. Maintain copies of medical certifications for 3 years.
- 4. After May 21, 2014 drivers are required to obtain medical certifications from a medical examiner listed on the National Registry of Certified Medical Examiners. Carriers are required to verify that the medical examiner is on the National Registry and place a note verifying this verification in the driver's DQ file.
- 5. Understand Why Compliance Saves Time and Money: Compliance with FMCSRs will not only save lives, but also saves your business time and money. Tracking how much your business spends on non-compliance activities can help you understand the many benefits of compliance to your business and why safety is good business.
 - Document and Follow Through on Action Plans: Document and follow through on action plans to ensure the actions you are taking are creating improvement in safety management and compliance.
 - NOTICE: A pattern and/or repeated violations of the same or related acute or critical regulations (violations of the same Part in Title 49, Code of Federal Regulations) will cause the maximum penalties allowed by



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law to be assessed under Section 222 of the Motor Carrier Safety Improvement Act of 1999 (MCSIA). A pattern of violations means two or more violations of acute and/or critical regulations in three or more Parts of Title 49, Code of Federal Regulations discovered during any eligible investigation. Repeated violations means violation(s) of an acute regulation of the same Part of Title 49, Code of Federal Regulations discovered in an investigation after one or more closed enforcement actions within a six year period and/or violation(s) of a critical regulation in the same Part of Title 49, Code of Federal Regulations discovered in an investigation after two or more closed enforcement actions within a six year period.

• NOTICE: 49 CFR Part 391.23 requires prospective employers to, at a minimum, investigate a driver's employment information, crash record, and alcohol and controlled substances history from all employers the driver worked for within the previous 3 years.

The Pre-Employment Screening Program (PSP) is a screening tool that assists motor carriers in investigating crash history and roadside safety performance of prospective drivers. The PSP allows motor carriers to purchase 5 years of crash data and 3 years of roadside inspection data from the Federal Motor Carrier Safety Administration's (FMCSA) Motor Carrier Management Information System (MCMIS). Records are available 24 hours a day via Web request. Motor carriers should visit the following website for more information: http://www.psp.fmcsa.dot.gov/Pages/default.aspx

All motor carriers and truck drivers are needed to fight against terrorism and hijacking. You could be a
target. Protect yourself, your trucks, your cargo, and your facilities. Discuss with your employees/drivers the "Security
Measures for Truck Drivers and Companies" which were provided and reviewed with motor carrier official. Motor
carriers should visit the following website for more information:
http://www.fmcsa.dot.gov/documents/Hijacking-Brochure.pdf

Serious violations were recorded on this investigation report. These violations will impact your safety record. Furthermore, these violations may result in a follow-up investigation at a later date unless adequate evidence of corrective action is forwarded to our office:

Washington Utilities and Transportation Commission Attn: David Pratt 1300 S Evergreen Park Dr SW PO Box 47250 Olympia, WA 98504-7250

For all Investigations resulting in a proposed conditional or unsatisfactory rating:

385.15

If you believe the proposed rating is in error and there are factual and procedural issues in dispute, Part 385.15 (copy provided) outlines procedures for petitioning the Federal Motor Carrier Safety Administration for an administrative review of these findings. Your petition should be addressed to:

Washington Utilities and Transportation Commission Attn: David Pratt 1300 S Evergreen Park Dr SW PO Box 47250 Olympia, WA 98504-7250

385.17

In addition, a request for a revised rating based on corrective actions may be made at any time. Part 385.17 (copy provided) outlines the procedures for such a request. The request must be made in writing, must describe the corrective action taken and must include other documentation that may be relied upon as a basis for the requested change. Address your written request to:

Washington Utilities and Transportation Commission Attn: David Pratt 1300 S Evergreen Park Dr SW





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PO Box 47250 Olympia, WA 98504-7250

This letter should be submitted as soon as possible.



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Part C

396

Reason for Review: Compliance Review Planned Action:

391

State Prosecution

Parts Reviewed Certification:

387

325 382 383

390

392 393 395

397

398 399 171

172

173

177

178 180

Prior Reviews

Prior Prosecutions

Reason not Rated: Special Study

11/12/2014 3/4/2013

3/30/2011

12/31/2014

Unsat/Unfit Information

Is the motor carrier of passengers subject to the safety fitness procedures contained in 49 CFR part 385 subpart A, AND does it

transport passengers in a commercial motor vehicle?

Yes - Interstate and Intrastate

Does carrier transport placardable quantities of hazardous materials?

Unsat/Unfit rule:

Not Applicable

Corporate Contact: Bobby T Vincent

Corporate Contact Title: Senior Director of Safety

Special Study Information:

Remarks:

INVESTIGATIVE REPORT RECEIVED BY:

Name: Dale MCKENZIE

Title: Wenatchee, WA Branch Administrator

Carrier/Shipper Name: Professional Transportation, Inc..

Date: 3/2/2016

REASON FOR THE INVESTIGATION:

This investigation was assigned to Francine Gagne, Special Investigator as a penalty assessment follow up inspection as ordered in the Utilities and Transportation Commission Docket TE-144101.

SCOPE OF THE INVESTIGATION:

This focused investigation was assigned to Special Investigator Francine Gagne on 10/28/15. The carrier was contacted on 11/2/15 and began communicating via email. Information from the carrier was provided by Bobby T. Vincent, Senior Director of Safety who understood this was a focused review and a follow up as directed by the commission's order TE-144101.

CARRIER OPERATION DESCRIPTION:

Professional Transportation Inc. (PTI) is registered as an interstate and intrastate common carrier of passengers. PTI is a nationwide carrier that operates primarily 8 to 15 passenger vehicles transporting railroad crews in 40 states. In Washington State the carrier operates 114 vehicles and employs 340 non-cdl drivers. The carrier operates from terminals in Vancouver, Longview, Chehalis, Tacoma, Seattle, Everett, Pasco, Ellensburg, Wenatchee, Wishram and Spokane. The carrier leases all of its equipment and some of its terminals. The carriers MCS 150 form shows the vehicle miles travelled (VMT) as 5,354000 for calendar year 2013 and was last updated on September 9, 2014. UCR fees for 2016 have also been paid.

PRE-INVESTIGATION:



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The carrier was contacted on 11/2/15 and the following information was requested.

A current list of Washington drivers (with hire and termination dates)
Copies of current medical certificates for all current drivers
A list of all vehicles operating in Washington State
Copies of annual inspections for all vehicles that operate in Washington

The parameters set by TE-144101 specify mitigation on the condition PTI "incurs no repeat violations of 49 C.F.R. Part 396.17(a) and 49 C.F.R. Part 391.45(b) (1)".

CDLIS (DRIVER LICENSE) CHECK:

Professional Transportation Inc. does not employ CDL drivers and this part does not apply.

AUTHORITY

This carrier operates under UTC CH-345 and interstate authority under MC 217444, USDOT 807948. Operating authority was checked through L&I website in Portal and intrastate authority was verified through UTC records.

INSURANCE

The carrier is an authorized for-hire passenger carrier currently operating in both interstate and intrastate commerce and is required to maintain a minimum level of public liability of \$1,500,000. A check with FMCSA SAFER in the Portal shows \$1,500,000 in active coverage. A check with Mary Pulley at AON Risk Services shows active coverage for \$5,000,000. See Part 387 below for details.

RED FLAG DRIVERS:

A&I (SMS) was checked through Portal on 1/28/2016 and the carrier has no drivers with red flag violations in the last 365 days.

DRUG AND ALCOHOL SUPPLEMENTAL REVIEW

This is a focused investigation therefore a Drug and Alcohol Supplemental Review was not required.

HAZARDOUS MATERIALS SUPPLEMENTAL REVIEW

Professional Transportation Inc. does not transport any hazardous materials. A Hazardous Materials Supplemental Review was not required.

INVESTIGATION:

The following investigation is a focused investigation that checked Parts 391 and 396.

Parts 171, 172, 173, 177, 178, 180, & 397 Hazardous Materials

Professional Transportation Inc. does not transport any hazardous materials. These parts were not required to be checked.

Part 40 and Part 382

Professional Transportation Inc. does not employ CDL drivers and is not required to have a controlled substance program. This part was not checked.

Part 376 Lease and Interchange of Vehicles

Professional Transportation Inc. does not lease vehicles.

Part 380 Special Training





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This is a focused review, this part was not checked.

Part 383 Commercial Drivers License

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Professional Transportation Inc. does not employ CDL drivers. This part was not checked.

Part 387 Financial Responsibility

Insurance was checked and a current form E is on file with the commission. The carrier's vehicles are insured with Hartford Fire Insurance Company, policy 72 CSE S43705. Insurance was verified through AON Risk Services, Mary Pulley, (317) 237-2405 with a coverage limit of \$5,000,000. The carrier maintained \$5,000,000 in Auto Liability coverage without a lapse in the last 365 days.

Part 390 General FMCSR

The carrier has not been involved in any DOT-recordable accidents in the last 365 days.

Professional Transportation Inc.'s MCS-150 form (last updated on 9/9/2014) indicates a VMT of 5,354,000 for year 2013. The next update is due August 2016.

A complaint regarding unsafe driving from the Brotherhood of Locomotive Engineers and Trainmen was forwarded via email to Mr. Vincent. The carrier was also notified of RCW 46.16A.160 and the requirement that vehicles be licensed in Washington state if there is no reciprocity agreement between states. Washington DOL does not have a reciprocity agreement with Indiana.

Part 391 Qualification of Drivers

The carrier employed 340 active drivers in Washington State at the beginning of this review. Per eFOTM guidelines, a sample size of fifty Driver's Qualification Files should be inspected. As this is a very Focused Review, only fifty medical certificates were investigated.

The carrier provided the user name and password for their computerized tracking database "Fleetmentor" in order for medical card information to be looked up and reviewed.

All driver files were checked for current medical certificates at the start of the review. Six drivers were found to not have medical cards on file or had someone else's card uploaded in their file. The carrier located four of the missing certificates and uploaded them and they were subsequently verified as being current. The carrier did not locate or upload current medical files for two drivers and driving dates were discovered for one of those drivers. This is a violation of 391.45(a)

Driver Brian Cozad drove on 5/30/2015, 5/31/2015, 6/1/2015, 6/2/2015, 6/5/2015, 6/6/2015, 6/7/2015, 6/8/2015, 6/9/2015, 6/12/2015, 6/13/2015, 6/14/2015, 6/15/2015, 6/16/2015, 6/19/2015, 6/20/2015, 6/21/2015, 6/22/2015, 6/23/2015, 6/26/2015, 6/27/2015, 6/28/2015, 6/29/2015, 6/30/2015, 8/30/2015, 9/1/2015, 9/5/2015, 9/6/2015, 9/7/2015, 9/12/2015, 9/13/2015, 9/14/2015, 9/18/2015, 9/20/2015 and 9/21/2015 for a total of 36 days.

No driving dates were discovered for driver Thomas Barton.

Nineteen medical certificates were expired. Driving dates were found for one of those drivers. This is a violation of 391.45(b)(1)

Patrick Bentler's medical certificate expired on 6/17/2015. He drove on 6/19/2015, 6/21/2015, 6/25/2015, 6/27/2015, 6/27/2015, 7/3/2015, 7/3/2015, 7/5/2015, 7/6/2015, 7/9/2015, 7/10/2015, 7/11/2015, 7/11/2015, 7/13/2015, 7/13/2015, 7/13/2015, 7/20/2015, 7/20/2015, 7/20/2015, 7/20/2015, 7/20/2015, 7/20/2015, 7/31/2015, 8/1/2015, 8/2/2015, 8/2/2015, 8/6/2015, 8/6/2015, 8/8/2015, 8/9/2015, 8/9/2015, 8/12/2015, 8/13/2015, 8/14/2015, 8/16/2015, 8/17/2015, 8/20/2015, 8/21/2015, 8/22/2015, 8/23/2015, 8/23/2015, 8/24/2015, 8/26/2015, 8/27/2015, 8/28/2015, 8/31/2015, 9/1/2015, 9/12/2015, 9/5/2015, 9/6/2015, 9/9/2015, 9/10/2015, 9/11/2015, 9/12/2015, 9/13/2015, 9/14/2015, 9/15/2015, 9/17/2015, 9/19/2015, 9/20/2015, 9/20/2015, 9/20/2015, 10/9/2015, 10/10/2015, 10/11/2015, 10/12/2015, 10/13/2015, 10/14/2015, 10/16/2015, 10/17/2015, 10/20/2015, 10/21/2015, 10/22/2015, 10/23/2015, 10/24/2015, 10/25/2015, 10/27/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10/28/2015, 10



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10/29/2015, 10/30/2015, 10/31/2015, 11/1/2015 11/2/2015 for a total of 95 days.

Deen Lynch's medical certificate expired on 8/19/2015.

John Boman's medical certificate expired on 3/23/2015.

Lawrence Butler's medical certificate expired on 10/30/2015.

Donald Canon's medical certificate expired on 4/29/2015.

Donald Harris' medical certificate expired on 5/19/2015.

Rex Olson's medical certificate expired on 10/7/2015.

Mark Peters' medical certificate expired on 8/11/2015.

Dale Walker's medical certificate expired on 4/9/2015.

Jeffrey Winkle's medical certificate expired on 11/27/2015.

Ike Durham's medical certificate expired on 8/5/2015.

Allan Hollinger's medical certificate expired on 6/30/2015.

Kevin Irish's medical certificate expired on 3/30/2015.

James Smith's medical certificate expired 10/29/2015.

Radcliff Fawcett's medical certificate expired on 4/13/2015.

Steven Haskins' medical certificate on file was expired but it was discovered during verification that he did have a valid medical certificate.

Ronald Knudtson medical certificate on file was expired but it was discovered during verification that he did have a valid medical certificate.

Lue Gene Florence medical certificate on file was expired but it was discovered during verification that he did have a valid medical certificate.

Sharon Kocha medical certificate on file was expired but it was discovered during verification that he did have a valid medical certificate.

Michael Poplin was issued a five year medical card from a medical examiner who is not listed on the National Registry. No records of driving were discovered.

Two medical cards were demonstrated by confirmation with the medical provider to have been tampered with, resulting in two violations of 390.35 and two violations of 391.45(b).

Christine Rubey was issued a card with an expiration date of 6/23/2015 and the date was changed after issuance to 6/23/2016. She was used by the carrier to drive on 6/27/2015, 6/29/2015, 7/5/2015, 7/10/2015, 7/11/2015, 7/14/2015, and 7/17/2015 for a total of seven days.

Dorothy Dinsmore was issued a card with an expiration of 9/6/2014 and the date was changed to 8/6/2016. She was used by the carrier to drive on 5/16/2015, 5/20/2015, 5/29/2015, 6/5/2015, 6/29/2015, 7/1/2015, 7/9/2015, 7/10/2015, and 7/15/2015 for a total of nine days.

A total of 28 of the fifty medical certificates verified were accurate and current.



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The carrier failed to place a note related to the verification that the medical examiner used by a driver was listed on the National Registry. This is fifty violations of 391.51(b)(9)

When questioned about maintaining previous medical cards on file, Mr. Vincent stated "so none of these drivers will have previous medical cards as we just started getting them last December" (2014).

It was discovered during the inspection that 39 drivers obtained their medical cards from June 12, 2015 through December 29, 2015 and previous cards should have been maintained on file since the carrier stated compliance began in December 2014. Those drivers are Brian Lockhart, Michael Morrissey, Troy Brooks, Dale Eidson, James Allen, Alison Meyn, Darla Freepons, Patricia Freeman, Kevin Bolt, Jason Kniffen, Robert Brockman, Benjamin Schwartz, James Felix, Richard Hoger, Kenneth Boivin, Patricia Duncan, Edwin Axton, Jennie Closson, Bradford Ensley, Glenda Peck, Peter Roth, Mary Brown, Francis Day, Allan Dais, Alaina Brunner Iverson, David Mock Jr., Reginald Smith, Charles Miller, Carey Chunn, Debra Boydston, Richard O Boyle, Harold Richardson, Fred Anderson, Mark Forbes, Curtis Enlow, Glen Schweigert, Bryan Sands, Michael Healy and John Hanna Jr.. Records show only 11 of those drivers being used prior to obtaining current medical cards resulting in 11 violations of 391.51(b)(7).

Part 392 - Driving of Commercial Motor Vehicles

Professional Transportation Inc.is an intra/interstate carrier and at the time of this investigation the carrier is current on its annual regulatory fees.

Part 395 - Hours of Service

This is a focused review, this part was not checked.

Part 393 & 396 - Maintenance and Inspection

At the beginning of this review I was in telephonic contact with Jason Massey who indicated he was the Fleet Manager for PTI in Washington. He was asking about the specifics of the annual inspection and where he could go to get his vehicles inspected.

Mr. Vincent stated to me in an email that "I am certain what we have been considering an inspection is not what is required by WUTC." and failed to provide copies of any completed vehicle inspections.

This is 114 violations of 396.17(a).

CLOSING INTERVIEW:

The closing interview was conducted on 3/2/16 via telephone and in person. Present at the closing interview was Investigator Gagne and company representative Wenatchee WA Branch Administrator Dale McKenzie. Mr. McKenzie provided documentation that PTI leases rather than owns its equipment and also provided a copy of a current annual inspection on one of his vehicles. Bobby T. Vincent was contacted via telephone after finishing with Mr McKenzie. Mr. Vincent was very engaged in the closing and indicated a willingness to come into compliance. He stated his vehicles should all have current annual inspections completed in the next couple of weeks. This investigation was not rated. The carrier was advised that the commission will be considering administrative penalties.

DOCUMENTS PROVIDED TO THE CARRIER:

The carrier was provided with both electronic and printed copies of the completed CAPRI report (parts A. B and recommendations) and "Your Guide to Achieving a Satisfactory Safety Record, Revised March 2014" with the updated pages.

FOLLOW-ON ACTION:

Penalties

Exhibit No. FG-1 Docket TE-160231

4438788758 UC NUMBER AND THE SECURATION COMPANIES

PROFESSIONAL TRANSPO. ATION INC

U.S. DOT #: 807948

Page 13 of Review Date:

State #: CH000345

03/02/2016

Part C

Upload Authorized:

Yes

No

Authorized by:

Date:

Yes

No

Failure Code:

Uploaded: Verified by:

Date:



This is a printout of the carrier's tracking database showing Christine Rubey's medical card upload.



<u>★ Employee & Drivers</u>

nployee Name: RUBEY, CHRISTINE					
Employee Info DQ File License/Crede	entials	Dispatch	Print		
Qualification Status					Edit
Driver start date(if different from hire date):					
Qualification status:	Qualified				
Is this a multiple-employer driver?	No				
Drug & Alcohol Testing					
Subject to U.S. DOT Part 382 drug and alcohol testing	g?				
Medical Qualification					
Medical exam certificate expiration date:	03/23/201	6 /1			•
Does driver have an exception/waiver of physical disqualification?	No				
Annual Review					
Pull MVR before:	A:				
Next annual review of driver due by:	Ì.				
Training					
Has driver received entry-level training?	Yes				
Is driver LCV Driver-Training Certified?	No				
Hazardous Materials last training date:	4.				
Dangerous goods last training date:	Å.				
Supporting Documents Upload and maintain your DQ File documents	مىنىدە ئۇللىشاڭ ئىسسونى دونىيانى		e g e lago l'essentit, y	- /	
➤ <u>Upload Document</u> 🖓					
Filename Document Type Descri	iption	Upload Date			
Christine Ruby Medical Certificate/Card		11/08/2015	8		

This is a printout of what was uploaded as Christine Rubey's medical certificate on 11/8/2015.

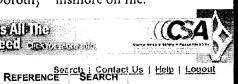
			Lichary Colleges and Colleges	T-		
MEDICA	EXAMINER'S CERTIFICATE	ſ	Timothy J. Fisher, PA-C NP# 1306136674	200		Chiropractor G Advanced Practice Hursi Assistant G Other Practicioner
Loadifu	hall have examined Christine Ting Rubey	١	MEDICAL EXAMINER'S LICENSE OR CERT, NO ASSUME	STATE	:	NATIONAL REGISTRY
in accor	hat I have examined		PAG0358304/WA			5533080884
only wh	en:	1	SIGNATURE OF DRIVER WITRASTAT			DRIVER'S LICENSE NO. STATE
Westing Diversing Discorne	corrective iensos Didéving within an extençi intractly (49 CFR 391.62) Operating aid Operating aid Performance Evaluation Certificate (SPE) hands by a waiverhauenpison Diquilified by operation of 49 CFR 391.64		(Kysiy DAO		DYES NO	.VV/
	exmation I have provided regarding this physical examination is true and complete. lete examination form with any attachment embodies my findings completely and	1	ADDRESS OF DRIVER			
оство	y and is on tile in my office.			MEDI	CAL CER	ITIFICATION EXPIRATION DATE
	RE OF MEDICAL EXAMINER TELEPHONE . DATE			2	2-51	UN 2016
أبجسا	153-475-5908 D3MAR2015				300	710 V
4	NEW TANKS	-				
	me: Christine Rubey		Driver's			
	iver's Employee #: 121843					
1	OT Card issued date: 3/23/15					
DC	OT Card expires date: 3/23/16					
Pri	int clearly					
FI	e name: (driver's name) (Empl. #) DOR CARD (expire	es	s date) (location)			
Sa	mple≈ John Doe 123456 DOT CARD 112117 Somepl	la	oce			

nis shows three employees named Doroth,

msmore on file.



dealylantor las All lite Resources You Need Come of the control of



TOOLBOX

Home » Toolbox » Personnel » Employees & Drivers

TRAINING CENTER

Employees & Drivers Employee List

(A) Home

Employee and Driver Reports

Employee Import

Manage Custom Data

EMPLOYEES & DRIVERS

Use this feature to manage your employee and driver information. Save time and ensure compliance with safety regulations with the built-in alert function and employee/driver reports. Click here to view a sample driver record.

TOPIC INDEX

Search for employees by last name, employee ID number or locations:

Search employee records by:	LastName	O Location	C Employee Id No.

Last Name. dinsmore

Work Status:

Active O Inactive O Both

Search

> Add Employee

Total Employees Found: 3

Employee Name	<u>Department</u>	Location	Work Status		
Dinsmore, Dorothy	OREGON	SEATTLE, WA	Active	S	a
DINSMORE, DOROTHY	OREGON	SEATTLE, WA	Active	S	固
DINSMORE, DOROTHY	OREGON	SEATTLE, WA	Active	S	a

Related Tools and Topics



Tools:

Manage Alerts Email Manager Company Profile

Sample Output:

Employee and Driver Reports

Employee Driver Profile

Sample Record:

Employees and Drivers Record Sample

Topic Index:

Driver Qualification (DQ) File

FAQs:

Do uploaded DQ file documents stored on FleetMentor® meet DOT recordkeeping

requirements?

Forms Library:

Driver Hiring Checklist

Entry-Level Driver Training Certificate



This is the only Dorothy Dinsmore with a medical card uploaded



<u>✓ Employee & Drivers</u>

PLOTEE/DRI	IVER INFORMATION		A Date field has a
nployee Name: Di	insmore, Dorothy West		
Employee info	DQ File License/Crede	lentials Dispatch	Print
Qualification Sta	<u>atus</u>		Edit
Driver start date(if	if different from hire date):	05/07/2015	
Qualification statu	JS.	Qualified	
Is this a multiple e	employer driver?	No	S.
Drug & Alcohol T	Testing		
Subject to U.S. Dr	OT Part 382 drug and alcohol testing	rg?	
Medical Qualifica	ation		
Medical exam cer	rtificate expiration date:	08/09/2016 A	
Does driver have a disqualification?	an exception/waiver of physical	No.	
Annual Review			
Pull MVR before:		£	
Next annual revie	ew of driver due by:	Δ	
Training			
Has driver receive	ed entry-level training?	Yes	
Is driver LCV Driv	ver-Training Certified?	No	
Hazardous Maleri	rials last training date:	$\mathbf{\hat{A}}_{a}$	
Dangerous goods	last training date:	Á.	
Supporting Docu			Sandah - Sana araw mumbha kemerabbaha Sana
Upload and mainta	ain your DQ File documents		•
> Upload Docume	ent P		
<u>Filename</u>		ription Upload Date	
Dorothy Dinsmore DOT card 8.6.16.pdf DOROTHY	Medical Certificate/Card	12/14/2015	T
DINSMORE 123951 SEATTLE, WA	Medical Certificate/Card	11/05/2015	

| Certify that | dexamined | Der of the | Dingston |
| Certify that | dexamined | Der of the | Dingston |
| In accordance with the Federal Motor Carrier Safety Regulations (49 CFR 391.41-391.49)
| and with knowledge of the driving duties, I find this person is qualified, and, if applicable, only when:
| Uwearing cornective leases | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evaluation Cartificial (SP | Decompanied by a SMI Performance Evalu

Exhibit No. FG-1 Docket TE-160231 Page 18 of 41

This is the medical card uploaded for 11/5/2015

This is the medical file uploaded for 12-14-15

File name)

Sample=

ANNECOATNEY BO. OCH Operator Practice Person	MEDICAL EXAMINER'S CERTIFICATE
SIGNATURE OF DRIVER DYES DYES DYES DYES DIGO DESCRIPTION SPORTS INC. DYES DYES DYES DYES DIGO DESCRIPTION SPORTS INC. DESCRIPTION SPO	it certify that a examined
8616	SIGNATURE OF LEDICAL EXAMBER FELERACINE DOS-57-3136 9914
Driver's name: Dorothy Dinamore Driver's Employee #: 123951	
DOT Card expires date: 8/9/2014	

iis shows driver Brian Cozad as "inactive"

neir database Page 20 of 41



FigsiMentor Her All the Resources You Need Space and the



TOOLBOX

TRAINING CENTER

TOPIC INDEX

REFERENCE SEARCH | Help | Logout

(h) Home

Home » Toolbox » Personnel » Employees & Drivers

Employees & Drivers

Employee List

Employee and Driver Reports

Employee Import

Manage Custom Data

EMPLOYEES & DRIVERS

Use this feature to manage your employee and driver information. Save time and ensure compliance with safety regulations with the built-in alert function and employee/driver reports. Click here to view a sample driver record.

Search for employees by last name, employee ID number or locations:

Name

Search employee records

()Last

O Employee Id

Location

No.

Last Name: cozad

Work Status: Active Inactive Both

Search

> Add Employee

Total Employees Found: 1

Employee Name

Department

Location

Work Status

Cozad, Brian

WASHINGTON

PASCO, WA

Inactive

M

Related Tools and Topics



Tools:

Manage Alerts Email Manager Company Profile

Sample Output:

Employee and Driver Reports 🔼

Employee Driver Profile

Sample Record:

Employees and Drivers Record Sample

Topic Index:

Driver Qualification (DQ) File

FAQs:

Do uploaded DQ file documents stored on FleetMentor® meet DOT recordkeeping

requirements?

Forms Library:

Driver Hiring Checklist

Entry-Level Driver Training Certificate



This shows Brian Cozad's DQ file page with no medical certificate uploaded



<u>✓ Employee & Drivers</u>

LOYEE/DRIVER INFORMATION		∄ Dat	e field has an <u>ale</u>
ployee Name: Cozad, Brian West			
Employee Info DQ File License/Cred	dentials Dispatch	Print	e a cesario della
Qualification Status			Edit
Oriver start date(if different from hire date):	04/18/2015		
Qualification status:	Qualified		
s this a multiple-employer driver?	Ño		
Orug & Alcohol Testing			
Subject to U.S. DOT Part 382 drug and alcohol testing	ng?		
Medical Qualification			
Medical exam certificate expiration date:	<u>å.</u>		
Does driver have an exception/walver of physical lisqualification?	No .		
Annual Review			
Pull MVR before:	Á.		
Next annual review of driver due by:	<u> </u>		
raining			
las driver received entry-level training?	Yes		
s driver LCV Driver-Training Certified?	No		
lazardous Materials last training date:			
Dangerous goods last training date:	4.		
upporting Documents	and the state of the same of t		- a in the administration for a solidar
pload and maintain your DQ File documents			
Upload Document 🖓			
Filename Document Type Description	Upload Date		
No files have been uploaded.			



This is a printout of the carrier's tracking database showing Patrick Bentler's medical card upload.



<u>✓ Employee & Drivers</u>

mployee Name: BENTLER, PATRICK					
Employee Info DQ File License/Credi	entlals Dis	spatch	Print		
Qualification Status					Edit
Driver start date(if different from hire date):					
Qualification status:	Qualified				
Is this a multiple-employer driver?	No				
Drug & Alcohol Testing					
Subject to U.S. DOT Part 382 drug and alcohol testing	g?				
Medical Qualification					
Medical exam certificate expiration date:	06/17/2016				
Does driver have an exception/waiver of physical disqualification?	No				*
Annual Review					
Pull MVR before:	A_{i}				
Next annual review of driver due by:	A_{\sim}				
Training					
Has driver received entry-level training?	Yes				
ls driver LCV Driver-Training Certified?	No				
Hazardous Materials last training date:	Á				
Dangerous goods last training date:	À.				
Supporting Documents Upload and maintain your DQ File documents				20000 mg/	i. vaadi,
➤ Upload Document 🦙					
Filename Document Type Descr	iption Up	oload Date			
DOT Patrick Medical Certificate/Card	11	/05/2015	a		

This is the medical card uploaded for 11/5/2015	ded for 11/5/2015
MEDICAL EXAMINER'S CERTIFICATE	
I certify that I have examined in accordance with the Federal Motor C and with knowledge of the driving duties only when:	I certify that I have examined for Carrier Safety Regulations (49 CFR 391.41-391.49) and with knowledge of the driving duties, I find this person is qualified, and, if applicable, only when:
 □ wearing corrective lenses □ wearing hearing aid □ accompanied by a waiver/exemption	☐ driving within an exempt intracity (49 CFR 391.62) ☐ accompanied by a Skill Performance Evaluation Certificate (SPE) ☐ qualified by operation of 49 CFR 391.64

The information I have provided regarding this physical examination is true and complete. A complete examination form with any attachment embodies my findings completely and correctly and is on file in my office.

SIGNATURE OF MEDICAL EXAMINER

425 297 0299

3-17-15

§ .	MEDICAL EXAMINER'S NAME (PRINT)	EfMD □ Chiropractor	DWD	ם G	□ Chiropractor	
	Robert Her	lerr MD of	□ DO □ Physician Assistant		☐ Advanced Practice ☐ Other Practitioner	☐ Advanced Practice Nurse ☐ Other Practitioner
	MEDICAL EXAMINER'S LICENSE OR CERT. I	ADOOO38230	411	NATIONAL REGISTRY 1022042336	98 96	
	SIGNATURE OF DRIVER	INTRASTATE ONLY	CDL	DRIVER'S LICENSE NO.		STATE
	The Charles	D YES	D VES			Ky
	ADDRESS OF DRIVER				-	
The world to the						
West State of the		MED	IICAL CER	MEDICAL CERTIFICATION EXPIRATION DATE $6-17-15$	ATION DAT	ш



This is a printout of the carrier's tracking database showing Kenneth Boivin's medical card upload. No previous card uploaded.



∠ Employee & Drivers

mployee Name: BOIVIN, KENNETH WEST				
Employee Info DQ File License/Credi	entials	Dispatch	Print	
Qualification Status				[Edit
Driver start date(if different from hire date):				
Qualification status:	Qualified			
Is this a multiple-employer driver?	No			
Drug & Alcohol Testing				
Subject to U.S. DOT Part 382 drug and alcohol testing	g?			
Medical Qualification				
Medical exam certificate expiration date:	07/23/20	16 <u>i</u>		
Does driver have an exception/waiver of physical disqualification?	No			
Annual Review				
Pull MVR before:	Á,			
Next annual review of driver due by:	4.			
Training				
Has driver received entry-level training?	Yes			
Is driver LCV Driver-Training Certified?	No			
Hazardous Materials last training date:	A.			
Dangerous goods last training date:	j.			
Supporting Documents Upload and maintain your DQ File documents		Commence of the second second	e i si si se	The Control of the Co
➤ <u>Upload Document</u> 🎧				
Filename Document Type Descri	<u>iption</u>	Upload Date		
DOTcurrent Ken Boivin.pdf Medical Certificate/Card		11/05/2015	a	

	This is the printout of what was uploaded as
MEDICAL EXAMINER'S CERTIFICAT	FICATE Kenneth Boivin's medical certificate on
I certify that I have examined in accordance with the Federal Moland with knowledge of the driving c	I certify that I have examined EMETH (*) IN 1116 in accordance with the Federal Motor Carrier Safety Regulations (49 CFR 391.41-391.49) and with knowledge of the driving duties. I find this person is qualified, and, if applicable,
only when: wearing corrective lenses wearing hearing aid	☐ driving within an exempt intracity (49 CFR 391.62) ☐ accompanied by a Skill Performance Evaluation Certificate (SPE)
The information I have provided regard A complete examination form with any correctly and is on file in my office	The information I have provided regarding this physical examination is true and complete. A complete examination form with any attachment embodies my findings completely and correctly and is on file in my office.
SIGNATURE OF MEDICAL EXAMINER	TELEPHONE
She	425-259-0300 9-4-15

	T			STEED WAS ASSULTED IN THE WAS A STATE OF THE PARTY OF THE
☐ Chiropractor ☐ Advanced Practice Nurse ☐ Other Practitioner	REGISTRY	DRIVER'S LICENSE NO. STATE		MEDICAL CERTIFICATION EXPIRATION DATE 7-23-/
Assistant	1886	DRIVER'S		W N
☐ MD □ DO □ Physician Assistant	6 167	Y CDL		DICAL CER
	MEDICAL EXAMINER'S LOGISE OF SET WOARS UNG STATE 1674 STOCK REGISTRY	INTRASTATE ONLY		, ,
RINI)	82331	·		
AMINERSTAME (PRINT) B. HUDEC. M.D.	Seeve		œ	
B. H	W.	SIGNATURE OF DRIVER	OF DRIVE	
MEDICAL EXAMINER'S NAME (PRINT) B. HUDEC. M	MEDICAL F	SIGNATURE OF DRIVER	Address of Driver	

Gagne, Francine (UTC)

From:

Bobby Vincent < BVincent@unitedevv.com>

Sent:

Wednesday, January 27, 2016 6:05 PM

To:

Gagne, Francine (UTC)

Subject:

RE: Previous medical certificates

Hello Ms. Gagne. Kevin Irish is not assigned to Washington so that's correct. The HOS report we use does show Drive Time but in our system this is referred to as

I will run the report tomorrow provide driving time documentation for Thomas Barton, Brian Cozad and James Smith. I also understand you are not interested in our DYV drivers as they primarily operate in rail yards.

I am meeting with PTI's Fleet team tomorrow. However, I am certain what we have been considering an inspection is not what is required by WUTC. I will confirm this tomorrow and make immediate adjustments.

I am placing a high priority these request a high priority hope to have all completed by Friday.

Bobby T Vincent-Senior Director of Safety Professional Transportation, INC, 3700 Morgan Ave-Evansville, IN 47716 Bvincent@unitedevv.comhttp://www.professionaltransportationinc.com 812-457-5793-fax 812-558-0668

From: Gagne, Francine (UTC) [mailto:fgagne@utc.wa.gov]

Sent: Wednesday, January 27, 2016 12:52 PM

To: Bobby Vincent

Subject: RE: Previous medical certificates

Good morning.

Just finishing up with medical certifications. The HOS for Kevin Irish didn't show he drove in WA. Is this correct? Also, the HOS service records do show actual DRIVING time correct?

I will also need Hours of Service Records documenting any driving time from May 1, 2015 to October 31, 2015 for the following drivers; Thomas Barton, Brian Cozad and James Smith (think we missed him in your first response).

Drivers who do not and have not driven on public roads should not be included in the list of drivers provided as we are only interested in those who drive on public roads.

Are you able to provide current annual inspections for the vehicles that are used in WA? I have been asked to obtain that information as well.

Thank you and your expedited attention would be appreciated.

Extracted information from the carrier's Hours of Services records showing the dates and days drivers drove

COZAD, BRIAN [123220]	5/30/2015	
COZAD, BRIAN [123220]	5/31/2015	
COZAD, BRIAN [123220]	6/1/2015	
COZAD, BRIAN [123220]	6/2/2015	
COZAD, BRIAN [123220]	6/5/2015	
COZAD, BRIAN [123220]	6/6/2015	
COZAD, BRIAN [123220]	6/7/2015	
COZAD, BRIAN [123220]	6/8/2015	
COZAD, BRIAN [123220]	6/9/2015	
COZAD, BRIAN [123220]	6/12/2015	
COZAD, BRIAN [123220]	6/13/2015	
COZAD, BRIAN [123220]	6/14/2015	
COZAD, BRIAN [123220]	6/15/2015	
COZAD, BRIAN [123220]	6/16/2015	
COZAD, BRIAN [123220]	6/19/2015	
COZAD, BRIAN [123220]	6/20/2015	
COZAD, BRIAN [123220]	6/21/2015	
COZAD, BRIAN [123220]	6/22/2015	
COZAD, BRIAN [123220]	6/23/2015	
COZAD, BRIAN [123220]	6/26/2015	
COZAD, BRIAN [123220]	6/27/2015	
COZAD, BRIAN [123220]	6/28/2015	
COZAD, BRIAN [123220]	6/29/2015	
COZAD, BRIAN [123220]	6/30/2015	
COZAD, BRIAN [123220]	8/30/2015	
COZAD, BRIAN [123220]	9/1/2015	
COZAD, BRIAN [123220]	9/4/2015	
COZAD, BRIAN [123220]	9/5/2015	
COZAD, BRIAN [123220]	9/6/2015	
COZAD, BRIAN [123220]	9/7/2015	
COZAD, BRIAN [123220]	9/12/2015	
COZAD, BRIAN [123220]	9/13/2015	
COZAD, BRIAN [123220]	9/14/2015	
COZAD, BRIAN [123220]	9/18/2015	
COZAD, BRIAN [123220]	9/20/2015	
COZAD, BRIAN [123220]	9/21/2015	36 days
BENTLER, PATRICK [121465]	6/19/2015	
BENTLER, PATRICK [121465]	6/19/2015	
BENTLER, PATRICK [121465]	6/21/2015	
BENTLER, PATRICK [121465]	6/25/2015	
BENTLER, PATRICK [121465]	6/27/2015	
BENTLER, PATRICK [121465]	6/29/2015	
BENTLER, PATRICK [121465]	7/2/2015	
BENTLER, PATRICK [121465]	7/3/2015	
BENTLER, PATRICK [121465]	7/4/2015	
BENTLER, PATRICK [121465]	7/5/2015	
BENTLER, PATRICK [121465]	7/6/2015	
BENTLER, PATRICK [121465]	7/9/2015	
BENTLER, PATRICK [121465]	7/10/2015	

BENTLER, PATRICK [121465]	7/11/2015
BENTLER, PATRICK [121465]	7/12/2015
BENTLER, PATRICK [121465]	7/13/2015
BENTLER, PATRICK [121465]	7/19/2015
BENTLER, PATRICK [121465]	7/20/2015
BENTLER, PATRICK [121465]	7/21/2015
BENTLER, PATRICK [121465]	7/22/2015
BENTLER, PATRICK [121465]	7/24/2015
BENTLER, PATRICK [121465]	7/25/2015
BENTLER, PATRICK [121465]	7/27/2015
BENTLER, PATRICK [121465]	7/30/2015
BENTLER, PATRICK [121465]	7/31/2015
BENTLER, PATRICK [121465]	8/1/2015
BENTLER, PATRICK [121465]	8/2/2015
BENTLER, PATRICK [121465]	8/3/2015
BENTLER, PATRICK [121465]	8/6/2015
BENTLER, PATRICK [121465]	8/7/2015
BENTLER, PATRICK [121465]	8/8/2015
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BENTLER, PATRICK [121465]	8/24/2015
BENTLER, PATRICK [121465]	8/26/2015
BENTLER, PATRICK [121465]	8/27/2015
BENTLER, PATRICK [121465]	8/28/2015
BENTLER, PATRICK [121465]	8/31/2015
BENTLER, PATRICK [121465]	9/1/2015
	9/2/2015
BENTLER, PATRICK [121465]	**,77* 21
BENTLER, PATRICK [121465]	9/5/2015
BENTLER, PATRICK [121465] BENTLER, PATRICK [121465]	9/6/2015
	9/9/2015
BENTLER, PATRICK [121465]	9/10/2015 9/11/2015
BENTLER, PATRICK [121465]	
BENTLER, PATRICK [121465]	9/12/2015
BENTLER, PATRICK [121465]	9/13/2015
BENTLER, PATRICK [121465]	9/14/2015
BENTLER, PATRICK [121465]	9/15/2015
BENTLER, PATRICK [121465]	9/17/2015
BENTLER, PATRICK (121465)	9/19/2015
BENTLER, PATRICK [121465]	9/20/2015
BENTLER, PATRICK [121465]	9/21/2015
BENTLER, PATRICK [121465]	9/24/2015
BENTLER, PATRICK [121465]	9/25/2015
BENTLER, PATRICK [121465]	9/27/2015

BENTLER, PATRICK [121465]	9/28/2015
BENTLER, PATRICK [121465]	9/30/2015
BENTLER, PATRICK [121465]	10/1/2015
BENTLER, PATRICK [121465]	10/2/2015
BENTLER, PATRICK [121465]	10/3/2015
BENTLER, PATRICK [121465]	10/6/2015
BENTLER, PATRICK [121465]	10/7/2015
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BENTLER, PATRICK [121465]	10/13/2015
BENTLER, PATRICK [121465]	10/14/2015
BENTLER, PATRICK [121465]	10/16/2015
BENTLER, PATRICK [121465]	10/17/2015
BENTLER, PATRICK [121465]	10/19/2015
BENTLER, PATRICK [121465]	10/20/2015
BENTLER, PATRICK [121465]	10/21/2015
BENTLER, PATRICK [121465]	10/22/2015
BENTLER, PATRICK [121465]	10/23/2015
BENTLER, PATRICK [121465]	10/24/2015
BENTLER, PATRICK [121465]	10/25/2015
	10/26/2015
BENTLER, PATRICK [121465]	
BENTLER, PATRICK [121465]	10/27/2015
BENTLER, PATRICK [121465]	10/28/2015
BENTLER, PATRICK [121465]	10/29/2015
BENTLER, PATRICK [121465]	10/30/2015
BENTLER, PATRICK [121465]	10/31/2015
BENTLER, PATRICK [121465]	11/1/2015
BENTLER, PATRICK [121465]	11/2/2015 95 days
RUBEY, CHRISTINE	6/27/2015
RUBEY, CHRISTINE	6/29/2015
RUBEY, CHRISTINE	7/5/2015
RUBEY, CHRISTINE	7/10/2015
RUBEY, CHRISTINE	7/11/2015
RUBEY, CHRISTINE	7/14/2015
RUBEY, CHRISTINE	7/17/2015 7 days
DINSMORE, DOROTHY	5/16/2015
DINSMORE, DOROTHY	5/20/2015
•	
DINSMORE, DOROTHY	5/29/2015
DINSMORE, DOROTHY	6/5/2015
DINSMORE, DOROTHY	6/29/2015
DINSMORE, DOROTHY	7/1/2015
DINSMORE, DOROTHY	7/9/2015
DINSMORE, DOROTHY	7/10/2015
DINSMORE, DOROTHY	7/15/2015 9 days

Listing of drivers who obtained medical cards after June 12, 2015 without previous medical cards on file

6/12/2016 have previous in UTC files	ays	ays	>	a /s	ays	ays.	ays	ays	ys	s/e	ays																-						
6/12/2016 have	6/19/2016 21 days	6/30/2016 22 days	6/30/2017 1 day	7/20/2017 15 days	7/23/2016 22 days	10/12/2017 12 days	10/22/2016 17 days	11/3/2017 24 days	2/5/2016 5 days	2/6/2016 20 days	12/30/2016 35 days	6/22/2017	6/25/2016	7/8/2016	7/8/2016	7/14/2017	7/17/2016	7/23/2016	7/27/2016	2/19/2017	9/4/2016	7/22/2016	10/14/2017	10/15/2017	10/15/2016	10/15/2016	10/15/2016	10/15/2016	10/22/2016	10/23/2016	8/14/2016	12/26/2015	3,007,076
6/12/2015	6/19/2015	6/30/2015	6/30/2015	7/20/2015	9/4/2015	10/12/2015	10/22/2015	11/3/2015	11/5/2015	11/6/2015	12/29/2015	6/22/2015	6/25/2015	7/8/2015	7/8/2015	7/14/2015	7/17/2015	7/23/2015	7/27/2015	8/19/2015	9/23/2015	10/2/2015	10/14/2015	10/15/2015	10/15/2015	10/15/2015	10/15/2015	10/15/2015	10/22/2015	10/23/2015	10/26/2015	10/26/2015	11.75/701.6
8/12/2014	4/9/2015	2/27/2015	4/23/2015	11/20/2014	11/25/2014	6/16/2015	8/5/2015	9/15/2015	5/12/2014	7/17/2015	4/11/2014	4/8/2015	8/12/2008	9/29/2014	8/13/2005	8/23/2012	8/23/2012	8/14/2014	9/16/2014	5/8/2015	6/3/2015	7/24/2015	7/7/2014	9/4/2014	10/1/2015	6/13/2015	2/15/2013	8/16/2014	8/31/2015	12/8/2011	8/17/2015	8/23/2012	6 to C) C) L
BRIAN	MICHAEL	JAMES	ALISON	ROBERT	KENNETH	JENNIE	ALAINA	DEBRA	HAROLD	CURITS	NHO	TROY	Dale	PATRICIA	Darla	Kevin	Jason	BENJAMIN	JAMES	RICHARD	PATRICIA	EDWIN	BRADFORD	MARY	ALLAN	FRANCIS	GLENDA	PETER	DAVID	Reginald	CAREY	Charles	COVIDA
LOCKHART	MORRISSEY	ALLEN	MEYN	BROCKWAN	BOIVIN	CLOSSON	BRUNNER IVERSON	BOYDSTON	RICHARDSON	ENCOW	HANNA JR	BROOKS	Eidson	FREEMAN	Freepons	80ft	Kniffen	SWARTZ	FELIX	HOGER	DUNCAN	AXTON	ENSLEY	BROWN	DAIS	DAY	PECK	ROTH	MOCK JR	Smith	CHUNN	Miller	מו שואכם כי

	11/9/2017	11/9/2016	11/20/2016
11/6/2015	5 11/9/2015	11/9/2015	11/20/2015
1/18/2013	10/1/2015	8/20/2013	EL 3/26/2013
MARK	BRYAN	GLEN	MICHA
FORBES	SANDS	SCHWEIGERT	HEALY TO THE STATE OF THE STATE

Detail listing of drivers without previous medical cards and the dates they drove

DRIVER	MED CERT ISSUED	DATE	TRIP_DURATION	-
MORRISSEY, MICHAEL [82090]	6/19/2015	4/29/2015	6.1	
MORRISSEY, MICHAEL [82090]		4/30/2015	7.65	
MORRISSEY, MICHAEL [82090]	•	5/1/2015	2.22	
MORRISSEY, MICHAEL [82090]		5/4/2015	7.92	
MORRISSEY, MICHAEL [82090]		5/5/2015	5.38	
MORRISSEY, MICHAEL [82090]		5/6/2015	2,46	
MORRISSEY, MICHAEL [82090]		5/7/2015	77.6	
MORRISSEY, MICHAEL [82090]		5/10/2015	2.86	
MORRISSEY, MICHAEL [82090]		5/11/2015	9.9	
MORRISSEY, MICHAEL [82090]		5/12/2015	3.6	
MORRISSEY, MICHAEL [82090]		5/13/2015	2.78	
MORRISSEY, MICHAEL [82090]		5/14/2015	8.99	
MORRISSEY, MICHAEL [82090]		5/17/2015	9.24	
MORRISSEY, MICHAEL [82090]		5/18/2015	2.4	
MORRISSEY, MICHAEL [82090]		5/20/2015	10.21	
MORRISSEY, MICHAEL [82090]		5/21/2015	5.14	
MORRISSEY, MICHAEL [82090]		5/26/2015	6.29	
MORRISSEY, MICHAEL [82090]		5/27/2015	7.66	
MORRISSEY, MICHAEL [82090]		5/29/2015	4.83	
MORRISSEY, MICHAEL (82090)		6/1/2015	0	
MORRISSEY, MICHAEL [82090]		6/2/2015	8.48	21 days

DRIVER	MED CERT ISSUED	DATE	TRIP_DURATION	
ALLEN, JAMES [120355]	6/30/2015	6/3/2015	9.16	
ALLEN, JAMES [120355]		6/4/2015	6.91	
ALLEN, JAMES (120355)		6/5/2015	7.26	
ALLEN, JAMES (120355)		6/7/2015	6 0	
ALLEN, JAMES [120355]		6/6/2015	4.34	
ALLEN, JAMES [120355]		6/8/2015	7.93	
ALLEN, JAMES [120355]		6/9/2015	7.41	
ALLEN, JAMES [120355]		6/11/2015	3,91	
ALLEN, JAMES (120355)		6/10/2015	4.13	
ALLEN, JAMES (120355)		6/12/2015	10.64	
ALLEN, JAMES [120355]		6/13/2015	5.78	
ALLEN, JAMES [120355]		6/15/2015	5.48	
ALLEN, JAMES [120355]		6/17/2015	4.25	
ALLEN, JAMES [120355]		6/18/2015	5.91	
ALLEN, JAMES (120355)		6/19/2015	10.36	
ALLEN, JAMES [120355]		6/21/2015	7.97	
ALLEN, JAMES [120355]		6/20/2015	1.67	
ALLEN, JAMES [120355]		6/24/2015	5.84	
ALLEN, JAMES [120355]		6/25/2015	5.52	
ALLEN, JAMES [120355]		6/26/2015	9.38	
ALLEN, JAMES (120355)		6/27/2015	4.26	
ALLEN, JAMES (120355)		6/29/2015	0.02	22 days
RICHARDSON, HAROLD [102048]	6/30/2015	6/1/2015	8.32	
RICHARDSON, HAROLD [102048]		6/4/2015	6.11	
RICHARDSON, HAROLD [102048]		6/8/2015	8.87	
RICHARDSON, HAROLD [102048]		6/10/2015	6.32	
RICHARDSON, HAROLD [102048]		6/15/2015	8.61	5 days
MEYN, ALISON [123293]	7/20/2015	6/29/2015	11.78	1 day

DRIVER	MED CERT ISSUED	DATE	TRIP_DURATION	
BROCKMAN, ROBERT (115091)	9/4/2015	7/1/2015	1.22	
BROCKMAN, ROBERT [115091]		7/2/2015	2.34	
BROCKMAN, ROBERT [115091]		7/3/2015	2.71	
BROCKMAN, ROBERT [115091]		7/4/2015	8.08	
BROCKMAN, ROBERT [115091]		7/7/2015	3.21	
BROCKMAN, ROBERT [115091]		7/8/2015	1.28	
BROCKMAN, ROBERT [115091]		7/9/2015	2.17	
BROCKMAN, ROBERT [115091]		7/10/2015	5.28	
BROCKMAN, ROBERT [115091]		7/11/2015	2.7	
BROCKMAN, ROBERT [115091]		7/12/2015	8.27	
BROCKMAN, ROBERT [115091]		7/15/2015	3.86	
BROCKMAN, ROBERT [115091]		7/16/2015	8.04	
BROCKMAN, ROBERT [115091]		7/17/2015	2.29	
BROCKMAN, ROBERT [115091]		7/18/2015	8.06	
BROCKMAN, ROBERT [115091]		7/19/2015	3.02	15 days

10/12/2015 8/2/2015 0.02	8/3/2015 3.38	8/4/2015 2.31	8/5/2015 2.78	8/6/2015 2.75	8/7/2015 4.04	8/8/2015 8.98	8/9/2015 2.03	8/10/2015 3.15	8/15/2015 4.88	8/16/2015	8/17/2015 3.05	8/18/2015 2.68	8/19/2015 4.24	8/22/2015 2.32	8/23/2015 4.08	8/24/2015 2.56	8/25/2015 2.1	8/26/2015 2.96	8/29/2015 3.32	8/30/2015 7.29	9/1/2015 4.56 22 days	
BOIVIN, KENNETH [115088]																						

DRIVER	MED CERT ISSUED	DATE	TRIP_DURATION	٠
HANNA JR, JOHN [99661]	10/22/2015	7/30/2015	3.65	
HANNA JR, JOHN [99661]		7/31/2015	9.91	
HANNA JR, JOHN [99661]		8/2/2015	3.61	
HANNA JR, JOHN [99661]		8/6/2015	4.29	
HANNA JR, JOHN [99661]		8/7/2015	5.43	
HANNA JR, JOHN [99661]		8/11/2015	4.33	
HANNA JR, JOHN [99661]		8/14/2015	3.62	
HANNA JR, JOHN [99661]		8/17/2015	4.46	
HANNA JR, JOHN [99661]		8/18/2015	4.88	
HANNA JR, JOHN [99661]		8/19/2015	3.16	
HANNA JR, JOHN [99661]		8/20/2015	4.29	
HANNA JR, JOHN [99661]		8/24/2015	3.64	
HANNA JR, JOHN [99661]		8/25/2015	3.62	
HANNA JR, JOHN [99661]		8/27/2015	3.33	
HANNA JR, JOHN [99661]		8/28/2015	8.28	
HANNA JR, JOHN [99661]		8/31/2015	5.04	
HANNA JR, JOHN [99661]		9/1/2015	2.5	
HANNA JR, JOHN [99661]		9/2/2015	4.55	
HANNA JR, JOHN [99661]		9/4/2015	2.95	
HANNA JR, JOHN [99661]		9/7/2015	3.11	
HANNA JR, JOHN [99661]		9/10/2015	4.91	
HANNA JR, JOHN [99661]		9/11/2015	5.76	
HANNA JR, JOHN [99661]	~	9/14/2015	1.01	
HANNA JR, JOHN [99661]		9/16/2015	3.07	
HANNA JR, JOHN [99661]		9/17/2015	4,93	
HANNA JR, JOHN [99661]		9/18/2015	4.3	
HANNA JR, JOHN [99661]		9/21/2015	3.65	
HANNA JR, JOHN [99661]		9/22/2015	5.89	
HANNA JR, JOHN [99661]		9/23/2015	1.2	
HANNA JR, JOHN [99661]		9/24/2015	5.62	
HANNA JR, JOHN [99661]		9/25/2015	3.01	
HANNA JR, JOHN [99661]		9/29/2015	4.54	
HANNA JR, JOHN [99661]	-	9/30/2015	2.03	
HANNA JR, JOHN [99661]		10/1/2015	3.69	
HANNA JR, JOHN [99661]		10/2/2015	3.25	35 days

																								24 days
TRIP_DURATION	7.81	4.51	6.19	6.75	7.02	9.56	7,3	8.13	8.02	4.9	6.7	10.37	3.65	9.43	9.54	10.52	5.06	6.52	96.6	3.05	5.37	5.28	9.84	8.79
DATE	9/29/2015	9/30/2015	10/3/2015	10/6/2015	10/7/2015	10/8/2015	10/10/2015	10/13/2015	10/14/2015	10/15/2015	10/16/2015	10/17/2015	10/20/2015	10/21/2015	10/23/2015	10/24/2015	10/25/2015	10/27/2015	10/28/2015	10/29/2015	10/30/2015	10/31/2015	11/1/2015	11/2/2015
MED CERT ISSUED	11/3/2015																							
DRIVER	BOYDSTON, DEBRA [129806]																							

	20 days	12 days
TRIP_DURATION	2.69 2.69 2.82 2.82 3.07 3.07 2.59 4.33 3.07 6.19 6.19	4.3 2.47 5.67 7.15 8.68 8.87
DATE	8/31/2015 9/1/2015 9/1/2015 9/5/2015 9/6/2015 9/1/2015 9/11/2015 9/13/2015 9/14/2015 9/15/2015 9/15/2015 9/20/2015 9/20/2015 9/20/2015 9/20/2015 9/20/2015 9/20/2015 9/20/2015 9/20/2015 9/20/2015 9/20/2015 10/1/2015 10/1/2015	10/6/2015 10/7/2015 10/8/2015 10/9/2015 10/10/2015
MED CERT ISSUED	11/6/2015	
DRIVER	ENLOW, CURTIS [126674] ENLOW, CURTIS [126873] CLOSSON, JENNIE [125832] CLOSSON, JENNIE [125832] CLOSSON, JENNIE [125832]	CLOSSON, JENNIE (125832)

DRIVER	MED CERT ISSUED	DATE	TRIP_DURATION	
BRUNNER IVERSON, ALAINA [128025]	12/29/2015	9/30/2015	1.79	
BRUNNER IVERSON, ALAINA [128025]		10/1/2015	0.02	
BRUNNER IVERSON, ALAINA [128025]		10/2/2015	2.71	
BRUNNER IVERSON, ALAINA [128025]		10/3/2015	8.83	
BRUNNER IVERSON, ALAINA [128025]		10/5/2015	8.91	
BRUNNER IVERSON, ALAINA [128025]		10/6/2015	4.82	
BRUNNER IVERSON, ALAINA [128025]		10/7/2015	5,46	
BRUNNER IVERSON, ALAINA [128025]		10/9/2015	3.92	
BRUNNER IVERSON, ALAINA [128025]		10/12/2015	9.78	
BRUNNER IVERSON, ALAINA [128025]		10/13/2015	2.5	
BRUNNER IVERSON, ALAINA [128025]		10/14/2015	8.25	
BRUNNER IVERSON, ALAINA (128025)		10/15/2015	2.23	
BRUNNER IVERSON, ALAINA [128025]	-	10/16/2015	7.97	
BRUNNER IVERSON, ALAINA [128025]		10/17/2015	5.38	
BRUNNER IVERSON, ALAINA [128025]		10/18/2015	0	
BRUNNER IVERSON, ALAINA [128025]		10/19/2015	2.6	
BRUNNER IVERSON, ALAINA [128025]		10/20/2015	3,33	17 day