



CHECKLIST

Ensure the following items are included with your Household Goods Moving application:

New Provisional Application

- Completed application and fee
- Register with **Department of Labor & Industries**
- Register with **Employment Security Department**
- Register with **Department of Revenue/Business Licensing Service** (UBI #)
- Register with **Secretary of State's Office** (if corporation or LLC)
- Completed required **Household Goods Industry Training**
- Copy of valid driver's license or government issued photo ID card for each person named in the application (upload as a separate document)
- Evidence of enrollment in a drug and alcohol testing program, or evidence that you have in place your own drug and alcohol testing program, **if your company operates commercial vehicles and has CDL drivers. See 49 CFR 382(e) and 383.5.**
- Evidence of insurance - combined single limit of public liability and property damage (Form E) and cargo insurance (Form H)
- Attachment A - Three or more completed statements of support from people in the community supporting the proposed service

**HOUSEHOLD GOODS MOVING COMPANY
 PERMIT APPLICATION**

FOR OFFICIAL USE ONLY			
Date Filed: 01/03/2022	Company: Swagg LLC		Docket #: TV-220006
Receipt ID:	Payment ID:	Amount Paid:	
111-0268-207-02	111-0268-032-20		

Type of Household Goods Authority Requested – Check One

- Provisional and permanent authority.** The fee for provisional and then permanent authority is a one-time fee. Complete pages 3-7 and Attachment A. Note: Per **RCW 81.80.075(2)**, applications must be on file with the commission for at least 30 days before issuance. **Fee: \$550**
- Reinstatement of permit** Must be filed within 30 days of cancellation, depending on criteria set forth in **WAC 480-15-450**. Complete pages 3 and 7, and include a statement justifying the reinstatement. Business Letter format is preferred. **If longer than 30 days after cancellation, you may not reapply for 12 months per WAC-480-15-302(11).** **Fee: \$250**

Household Goods Permit #: (T)HG -



Section 1 - BUSINESS INFORMATION

Legal Name: SWAGG LLC

Trade Name, if applicable: Shields Transition Services

Physical Address: 5920 HWY 291 Suite B Nine Mile Falls, WA 99026

Mailing Address: Same

Telephone Number: 509 315 4256 Email: ShieldsTransitionServices@gmail.com

Contact Name: Steve Shields

USDOT#: 3530991 If you do not have a USDOT number, go on-line at <https://cms8.fmcsa.dot.gov/registration> to apply or call 360-596-3812 for assistance.

Is your business registered with the Department of Revenue? No Yes

Business License/UBI#: 604405025

Department of Labor & Industries (L&I) Worker's Comp Account #: 690 371 00

Employment Security Department (ESD) registration #: 000-823427-00-1

If you will not be setting up an account with L&I or ESD because you do not have employees, please explain how you plan to obtain workers. Per [WAC 480-15-555](#), a criminal background check must be completed on each person you intend to hire. If you intend to hire day labor from a temp agency, they must perform the criminal background check. Refer also to [WAC 480-15-302](#) and [305](#).

Type of Business

Individual Partnership Corporation Other (LP, LLP, LLC)

State of Incorporation

Washington

List the name, title, and percentage of all partner's share or stock distribution for major stockholders:

Name	Title	Stock Distribution/% of Shares
<u>Stephen Shields</u>	<u>Owner</u>	<u>100</u>

Provide a copy of a valid driver's license or government-issued photo identification card for each person named in the application. Upload or email as a separate attachment. Application processing will not begin until the commission/Licensing has received this.



Section 2 - APPLICATION QUESTIONNAIRE

1. Describe the services you wish to provide. Explain how your services will enhance customer choice, promote competition, or fill an unmet need for service:

We wish to provide moving, downsizing, moving consultation, full service packing, unpacking, and home setup to our customers. This will aid our primary customer base, seniors and their families, to easily transition homes without incurring physical or emotional stress.

2. Briefly describe your experience in the transportation/household goods moving industry:

I have experience driving and loading/unloading trailers over 26 feet. I am also trained to operate large vehicles with various fire equipment or personal items as a member of the Fire Service for 20 years.

3. Do you currently hold, or have you ever held, a Household Goods permit in Washington?

No Yes If yes, please indicate your permit number:

4. Have you ever applied for and been denied a Household Goods permit in Washington?

No Yes If yes, please explain:

5. Do you currently operate interstate? No Yes

If yes, please indicate your MC#:

6. If you have interstate authority, have you registered for Unified Carrier Registration? No Yes

7. Do you operate interstate as an agent of another company? No Yes

If yes, what is the name of the company?

8. Have you completed commission-sponsored training? No Yes If "yes" date:

9. Will you be employing CDL drivers? No Yes

If "yes", you must attach evidence of enrollment in a drug and alcohol testing program.

Please answer the following questions completely. If there are multiple persons listed in this application with legal proceedings or criminal convictions to declare, provide documentation on a separate attachment.

10. Does any person named in this application have, or has ever had a business-related legal proceeding against you in Washington state, or in any other state? No Yes If "yes" please list below*:

Type of Legal Proceeding	Date	State

*attach additional pages if necessary



11. Has any person named in this application ever been convicted of any crime involving theft, burglary, assault, sexual misconduct, identity theft, fraud, false statements, or the manufacture, sale, or distribution of a controlled substance? No Yes If yes, please list below*:

Type of Conviction	Date	State

*attach additional pages if necessary

12. Has any person named in this application, been 1) convicted of a criminal offense in Washington state; 2) found to have committed a civil offense in Washington state, or 3) found to have violated commission rules? No Yes If yes, please list below*:

Violation	Date of conviction	RCW/WAC

*attach additional pages if necessary

13. If you would like to receive information about new household goods carriers, check here

Section 3 - FINANCIAL STATEMENT			
Complete the following or attach a balance sheet, profit and loss statement, or business plan.			
Assets		Liabilities	
Cash in Bank		Salaries/Wages Payable	
Notes Received		Accounts Payable	
Investments		Notes Payable	
Other Current Assets		Mortgages Payable	
Prepaid Expenses		Total Liabilities	
Land and Buildings		Net Worth	
Trucks and Trailers		Preferred Stock	
Office Furniture		Common Stock	
Other Equipment		Retained Earnings	
Other Assets		Capital	
TOTAL ASSETS		TOTAL LIABILITIES AND NET WORTH	

see last page

Section 4 - EQUIPMENT LIST				
List the equipment you own or lease to provide moving services (attach additional sheets if necessary). You must own or have a long-term lease for any vehicle you operate, you may not rent vehicles on a job-by-job basis.				
Year	Make	License Number	Vehicle ID (VIN)	GVW
1996	GMC C3500	C75759U	1GDJG31K7TF852652	10,900
2020	Mirage Trailer	34426AD	5M3BE1621L1010933	3,000
2018	Freightliner	2460365	1FVACWFB7JHJ4898	25,999
2021	Izuzu	C55107V	JALC4W164M7005835	14,500

*attach additional pages if necessary



Section 5 – SAFETY

Identify the person and position responsible for understanding and complying with the **Federal Motor Carrier Safety Regulations** (FMCSR), Washington state laws (RCW) and commission rules (WAC) as described below. Please refer to the WAC rules, Fact Sheets and publication “Your Guide to Achieving a Satisfactory Safety Rating” for assistance with requirements that may apply to your specific operations.

Controlled Substance and Alcohol Use and Testing (Title 49, Code of Federal Regulations Part 382 and Part 40). If you operate commercial motor vehicles, your drivers must be in a controlled substance and alcohol use and testing program. You must have an alcohol and controlled substances testing program. **Please attach evidence of your enrollment in a drug and alcohol testing program if your company has commercial vehicles and employs CDL drivers.**

Commercial Driver's License (CDL) Standards Requirement and Penalties (Title 49, Code of Federal Regulations Part 383). If you operate commercial motor vehicles, your drivers must have a valid CDL.

Driver Qualification Requirements (Title 49, Code of Federal Regulations Part 391). Each of your drivers must meet minimum qualification requirements. You must maintain driver qualification files for each driver.

Drivers Hours of Service (Title 49, Code of Federal Regulations Part 395). Each of your drivers must maintain hours of service logs. You must maintain true and accurate hours of service records for each driver.

Inspection, Repair and Maintenance (Title 49, Code of Federal Regulations Part 396). You must systematically inspect, repair, and maintain all motor vehicles.

Parts and Accessories Necessary for Safe Operation (Title 49, Code of Federal Regulations Part 393). You must maintain parts and accessories in a safe condition.

Liability Insurance Requirements (WAC 480-15-530). You must file and maintain **proof of public liability and proper damage insurance** (\$300,000 minimum coverage for vehicles under 10,000 pounds GVWR and \$750,000 minimum coverage for vehicles 10,000 pounds GVWR or more).

Cargo Insurance Requirements (WAC 480-15-550). You must maintain **cargo insurance coverage** (\$10,000 for household goods transported in motor vehicles under 10,000 pounds GVWR and \$20,000 for vehicles 10,000 pounds GVWR or more).

Name: <i>Steve Shields</i>	Position: <i>Owner</i>
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Section 6 - OPERATIONAL RESPONSIBILITIES

Identify the person and position responsible for understanding and complying with the requirements of each category shown below.

Annual Reports and Regulatory Fees (WAC 480-15-480). You must annually file a report of your financial operations and pay regulatory fees.

Name: <i>Steve Shields</i>	Position: <i>Owner</i>
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STATE OF WASHINGTON – general laws, rules and regulations: Individuals and companies doing business in the state of Washington must comply with the regulations of local, state, and federal agencies. Please state the name and position of the person in your organization who will be responsible for ensuring compliance with the laws of the state of Washington, such as, but not limited to the Department of Labor & Industries (industrial insurance, safety, prevailing wage); Department of Licensing vehicle and drivers licenses, business licensing, Unified Business Identifier (UBI number), fuel permits, fuel tax; Secretary of State (corporate registrations); Department of Transportation (over-size or over-weight permits); Department of Revenue, Internal Revenue Service (taxes); and Employment Security.

Name: <i>Steve Shields</i>	Position: <i>Owner</i>
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Section 7 - DECLARATION OF APPLICANT

INITIAL

I understand that filing this application does not in itself constitute authority to operate as a household goods mover.

As the applicant for a household goods permit, I understand the responsibilities of a motor carrier and I am in compliance with all local, state, and federal regulations governing businesses, including household goods movers, in the state of Washington.

I understand that if the commission grants my application as a new entrant, I will receive temporary authority to provide service as a household goods carrier on a provisional basis for at least six months. During this time, the commission will evaluate whether I have met the criteria in WAC 480-15-305 to obtain permanent authority. I also understand that I must comply with all conditions placed on my temporary permit and that failure to do so will result in cancellation of my permit.

My employees are sufficiently trained to comply with commission rules regarding estimates, bills of lading, rates and charges and terms and conditions of household goods moves. In addition, my employees are sufficiently trained to comply with commission rules regarding vehicle operation, maintenance, and all other safety requirements. My company will provide a copy of the customer survey to each customer for whom we provide transportation service.

I understand the commission will complete a criminal background check on each person named in the application.

I certify or declare under penalty of perjury under the laws of the state of Washington that the information contained in this application is true and correct.

Applicant Name: Steve Shields

Date: 12/31/2021

Section 8 - ADDITIONAL REQUIRED ATTACHMENTS

For New Applications: provide three "attachment A - HOUSEHOLD GOODS STATEMENT OF SUPPORT" forms. Forms may be typed or hand-written.

For Reinstatement of Permit: provide a personal statement justifying the reinstatement. Business letter format preferred.



ATTACHMENT A

HOUSEHOLD GOODS STATEMENT OF SUPPORT

Your application must include at least three shipper or public statements supporting the proposed household goods moving service. Shipper statements may come from persons or organizations with a need for household goods moving services, or who support your request for a permit to provide those services. These forms may be copied by you as needed.

Applicant Name:

The following must be completed by the Supporter of the applicant

Name, Title, and Business Name:

Melanie Bunnell

Address (include street address, mailing address, city, state, zip, and county):

1711 S. D Street, Spokane, WA 99224

Phone Number: (509) 362-7186

Email: myersmelanie@yahoo.com

Do you currently need the services of a residential household goods moving company?

No Yes If yes, please describe your current moving needs: moving into an apartment, selling my house.

Do you anticipate a future need for the services of a residential household goods moving company?

No Yes If yes, please describe your future moving needs: I will be purchasing a new home and moving.

Briefly describe how granting this company a permit to provide household goods moving services in Washington State will benefit you, your business, and/or your community: safe and quick transfer of household items, especially for people with special needs.

Is there anything else the commission should consider when making a determination about this company's application for a household goods permit?

Shields Transition Services is friendly and easy to work with

I certify (or declare) under penalty of perjury under the laws of the state of Washington that the foregoing is true and correct.

Melanie Bunnell

Printed Name of Person Completing Form

Signature

12/30/2021

Date

ATTACHMENT A

HOUSEHOLD GOODS STATEMENT OF SUPPORT

Your application must include at least three shipper or public statements supporting the proposed household goods moving service. Shipper statements may come from persons or organizations with a need for household goods moving services, or who support your request for a permit to provide those services. These forms may be copied by you as needed.

Applicant Name: Shields Transition Services

The following must be completed by the Supporter of the applicant

Name, Title, and Business Name:

Troy KUNAS

Address (include street address, mailing address, city, state, zip, and county):

12817 N. CRAIG ROAD
NINE MILE FALLS, WA 99026

Phone Number: 360 601 5216

Email: amustangeo@yahoo.com

Do you currently need the services of a residential household goods moving company?

No Yes If yes, please describe your current moving needs:

Do you anticipate a future need for the services of a residential household goods moving company?

No Yes If yes, please describe your future moving needs:

NOT FOR SEVERAL YEARS

Briefly describe how granting this company a permit to provide household goods moving services in Washington State will benefit you, your business, and/or your community:

There are many individuals in need of assistance in transitional moves. As a senior my wife + I cannot handle the work needed to move.

Is there anything else the commission should consider when making a determination about this company's application for a household goods permit?

By all accounts in speaking with others, STS is a good firm that is fair and personable.

I certify (or declare) under penalty of perjury under the laws of the state of Washington that the foregoing is true and correct.

Troy KUNAS

Printed Name of Person Completing Form



Signature

2022 21

Date

ATTACHMENT A

HOUSEHOLD GOODS STATEMENT OF SUPPORT

Your application must include at least three shipper or public statements supporting the proposed household goods moving service. Shipper statements may come from persons or organizations with a need for household goods moving services, or who support your request for a permit to provide those services. These forms may be copied by you as needed.

Applicant Name: Shield Transition Services

The following must be completed by the Supporter of the applicant

Name, Title, and Business Name:

Jennifer Wagner, Owner, Property Management Partners

Address (include street address, mailing address, city, state, zip, and county):

6775 Rocky Point Way Nine Mile Falls WA 99026

Phone Number: 509 4351519

Email: jen@pmpspokane.com

Do you currently need the services of a residential household goods moving company?

No Yes If yes, please describe your current moving needs: Need furniture moved from house to shop for a remodel.

Do you anticipate a future need for the services of a residential household goods moving company?

No Yes If yes, please describe your future moving needs: Need furniture moved from shop to house after remodel is done.

Briefly describe how granting this company a permit to provide household goods moving services in Washington State will benefit you, your business, and/or your community:

To provide moving heavy furniture that we wouldn't be able to.

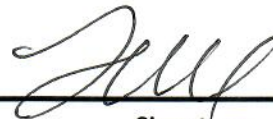
Is there anything else the commission should consider when making a determination about this company's application for a household goods permit?

STS has been a great company to work with. They were able to fit us in during the holidays with short notice.

I certify (or declare) under penalty of perjury under the laws of the state of Washington that the foregoing is true and correct.

Jennifer Wagner

Printed Name of Person Completing Form



Signature

12.20.2021

Date

Shields Transition Services

Profit and Loss YTD Comparison

December 1-22, 2021

	TOTAL	
	DEC 1-22, 2021	JAN 1 - DEC 22, 2021 (YTD)
Income		
Sales	32,691.89	507,120.49
Sales of Product Income	193.32	12,996.23
Unapplied Cash Payment Income	0.00	1,965.63
Total Income	\$32,885.21	\$522,082.35
GROSS PROFIT	\$32,885.21	\$522,082.35
Expenses		
Advertising & Marketing	600.00	11,852.30
Bank Charges & Fees		30.59
Car & Truck		41,910.42
Dump Fees		657.81
Insurance	622.70	25,107.32
Job Supplies		17,527.99
Meals & Entertainment		2,286.69
Office Supplies & Software	198.34	15,585.91
Other Business Expenses		3,565.41
Payroll Expenses		2,966.99
Taxes	1,902.58	23,908.62
Wages	15,169.28	189,474.10
Total Payroll Expenses	17,071.86	216,349.67
QuickBooks Payments Fees	53.51	5,546.31
Reimbursable Expenses		136.14
Reimbursements	472.84	7,711.85
Rent & Lease		40,482.16
Repairs & Maintenance		151.99
Taxes & Licenses		3,048.12
Unapplied Cash Bill Payment Expense	0.00	0.00
Uncategorized Expense		1,503.35
Utilities	210.10	2,304.99
Total Expenses	\$19,229.35	\$395,758.90
NET OPERATING INCOME	\$13,655.86	\$126,323.45
Other Income		
Late Fee Income		188.59
Total Other Income	\$0.00	\$188.59
Other Expenses		
Reconciliation Discrepancies	1,181.10	4,559.85
Total Other Expenses	\$1,181.10	\$4,559.85
NET OTHER INCOME	\$ -1,181.10	\$ -4,371.27
NET INCOME	\$12,474.76	\$121,952.18