

**WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION**

**NOTICE OF PENALTIES INCURRED AND DUE  
FOR VIOLATIONS OF LAWS AND RULES**

PENALTY ASSESSMENT: TE-200940  
PENALTY AMOUNT: \$100

Olympic Hiking Company LLC  
233833 Highway 101  
Port Angeles, WA 98363

The Washington Utilities and Transportation Commission (Commission) believes Olympic Hiking Company LLC (Olympic Hiking or Company) violated Washington Administrative Code (WAC) 480-30-221, Vehicle and Driver Safety Requirements, which adopts Title 49 Code of Federal Regulations (49 CFR) Part 396 – Inspection, Repair, and Maintenance.

Revised Code of Washington (RCW) 81.04.405 allows penalties of \$100 for each violation. In the case of an ongoing violation, every day's continuance is considered a separate and distinct violation.

On November 17, 2020, Commission Motor Carrier Investigator Sandra Yeomans completed a routine safety investigation of Olympic Hiking and documented the following violations:

- **Forty-four violations of 49 CFR § 396.11(a) – Failing to require driver to prepare driver vehicle inspection report.** The Company failed to require drivers Jennifer Hart and Eric Coufal to prepare driver vehicle inspection reports on 44 separate occasions.

The Commission considered the following factors in determining the appropriate penalty for these violations:

1. **How serious or harmful the violations are to the public.** The violations noted are serious and potentially harmful to the public, as companies that fail to have their drivers prepare vehicle inspection reports put the traveling public at risk. These violations present public safety concerns.
2. **Whether the violations were intentional.** Considerations include:
  - Whether the Company ignored Commission staff's (Staff) previous technical assistance; and
  - Whether there is clear evidence through documentation or other means that shows the Company knew of and failed to correct the violations.

On June 10, 2016, the Commission received Peninsula Trips LLC's application for charter and excursion authority. In the application, Thomas Farris, owner of Peninsula Trips LLC, acknowledged the Company's responsibility to understand and comply with applicable motor carrier safety regulations.

On June 16, 2016, Staff provided new entrant safety regulation training to Peninsula Trips LLC, where Thomas Farris acknowledged receiving training pertaining to 49 CFR § 396.11.

On March 6, 2018, the Commission received an application to reinstate charter and excursion authority for Peninsula Trips LLC, d/b/a Olympic Hiking Co. In the application, Thomas Farris acknowledged the Company's responsibility to understand and comply with applicable motor carrier safety regulations.

On April 2, 2019, Peninsula Trips LLC, d/b/a Olympic Hiking Co., filed with the Commission an application to change its corporate name to Olympic Hiking Company LLC. In the application, Thomas Farris acknowledged the Company's responsibility to understand and comply with applicable motor carrier safety regulations.

The Company knew or should have known about these requirements.

3. **Whether the Company self-reported the violations.** Olympic Hiking did not self-report these violations.
4. **Whether the Company was cooperative and responsive.** Olympic Hiking was cooperative throughout the investigation.
5. **Whether the Company promptly corrected the violations and remedied the impacts.** Olympic Hiking provided Staff with a corrective action safety plan that addresses the violations.
6. **The number of violations.** Staff identified 11 violation types with a total of 60 individual occurrences.
7. **The number of customers affected.** Olympic Hiking traveled 30,856 miles in 2019. These safety violations presented a public safety risk.
8. **The likelihood of recurrence.** Staff provided technical assistance with specific remedies to help the Company assess how well its safety management controls support safe operations and how to begin improving its safety performance. The Company was cooperative and provided Staff with a corrective action safety plan to address violations identified during the safety investigation. In light of these factors, Staff believes that the likelihood of recurrence is low.
9. **The Company's past performance regarding compliance, violations, and penalties.** The Company has no history of violations or penalties with the Commission.
10. **The Company's existing compliance program.** Thomas Farris is responsible for the Company's safety compliance program.
11. **The size of the Company.** Olympic Hiking currently operates two commercial motor vehicles and employs four drivers. The Company reported \$8,700 in gross revenue in 2019.

The Commission's Enforcement Policy provides that some Commission requirements are so fundamental to safe operations that the Commission will issue mandatory penalties for each occurrence of a first-time violation.<sup>1</sup> The Commission generally will assess penalties per type of violation, rather than per occurrence, for first-time violations of those critical regulations that do not meet the requirements for mandatory penalties. The Commission will assess penalties for any equipment violation meeting the Federal Motor Carrier Safety Administration's "out-of-service" criteria and also for repeat violations of critical regulations, including each occurrence of a repeat violation.

The Commission has considered these factors and determined that it should penalize Olympic Hiking \$100, calculated as follows:

- Forty-four violations of 49 CFR § 396.11(a) – Failing to require driver to prepare driver vehicle inspection report. The Commission assesses a "per category" penalty of \$100 for these first-time critical violations.

This information, if proven at a hearing and not rebutted or explained, is sufficient to support the penalty assessment.

Your penalty is due and payable now. If you believe any or all of the violations did not occur, you may deny committing the violation(s) and contest the penalty through evidence presented at a hearing or in writing. Alternatively, if there is a reason for any or all of the violations that you believe should excuse you from the penalty, you may ask for mitigation (reduction) of the penalty through evidence presented at a hearing or in writing. The Commission will grant a request for hearing only if material issues of law or fact require consideration of evidence and resolution in a hearing. Any request to contest the violation(s) or for mitigation of the penalty must include a written statement of the reasons supporting that request. Failure to provide such a statement will result in denial of the request. *See* RCW 81.04.405.

If you properly present your request for a hearing and the Commission grants that request, the Commission will review the evidence supporting your dispute of the violation(s) or application for mitigation in a Brief Adjudicative Proceeding before an administrative law judge. The administrative law judge will consider the evidence and will notify you of their decision.

**You must act within 15 days after receiving this notice** to do one of the following:

- Pay the amount due.
- Contest the occurrence of the violation(s).
- Admit the violations but request mitigation of the penalty amount.

Please indicate your selection on the enclosed form and submit it electronically through the Commission's web portal **within FIFTEEN (15) days** after you receive this notice. If you are unable to use the web portal, you may submit it via email to [records@utc.wa.gov](mailto:records@utc.wa.gov). If you are

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<sup>1</sup> Docket A-120061 – Enforcement Policy of the Washington Utilities & Transportation Commission – Section V.

unable to submit the form electronically, you may send a paper copy to the Washington Utilities and Transportation Commission, PO Box 47250, Olympia, Washington 98504-7250.

**If you do not act within 15 days**, the Commission may take additional enforcement action, including but not necessarily limited to suspending or revoking your certificate to provide regulated service, assessing additional penalties, or referring this matter to the Office of the Attorney General for collection.

DATED at Lacey, Washington, and effective December 1, 2020.

*/s/ Rayne Pearson*  
RAYNE PEARSON  
Director, Administrative Law Division

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION  
PENALTY ASSESSMENT TE-200940

**PLEASE NOTE:** You must complete and sign this document, and send it to the Commission within 15 days after you receive the penalty assessment. Use additional paper if needed. I have read and understand RCW 9A.72.020 (printed below), which states that making false statements under oath is a class B felony. I am over the age of 18, am competent to testify to the matters set forth below and I have personal knowledge of those matters. I hereby make, under oath, the following statements.

1. **Payment of penalty.** I admit that the violations occurred and enclose \$100 in payment of the penalty.

2. **Contest the violation(s).** I believe that the alleged violation(s) did not occur for the reasons I describe below (**if you do not include reasons supporting your contest here, your request will be denied**):

a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision.

OR  b) I ask for a Commission decision based solely on the information I provide above.

3. **Application for mitigation.** I admit the violations, but I believe that the penalty should be reduced for the reasons set out below (**if you do not include reasons supporting your application here, your request will be denied**):

a) I ask for a hearing to present evidence on the information I provide above to an administrative law judge for a decision.

OR  b) I ask for a Commission decision based solely on the information I provide above.

I declare under penalty of perjury under the laws of the State of Washington that the foregoing, including information I have presented on any attachments, is true and correct.

Dated: \_\_\_\_\_ [month/day/year], at \_\_\_\_\_ [city, state]

\_\_\_\_\_  
Name of Respondent (company) – please print

\_\_\_\_\_  
Signature of Applicant

RCW 9A.72.020:

“Perjury in the first degree. (1) A person is guilty of perjury in the first degree if in any official proceeding he makes a materially false statement which he knows to be false under an oath required or authorized by law. (2) Knowledge of the materiality of the statement is not an element of this crime, and the actor’s mistaken belief that his statement was not material is not a defense to a prosecution under this section. (3) Perjury in the first degree is a class