



UTILITIES AND TRANSPORTATION
COMMISSION

1300 S. Evergreen Park Dr. SW
P.O. Box 47250
Olympia, WA 98504-7250
Phone: 360-664-1222
Fax: 360-586-1181
TTY: 360-586-8203
or
1-800-416-5289
E-mail: Transportation@utc.wa.gov

AUTO TRANSPORTATION AUTHORITY APPLICATION

Type of Passenger Transportation Authority Requested (check one box)	Fee Required
<input type="checkbox"/> <u>New Certificate</u> (auto transportation company certificates include statewide charter and excursion carrier service if marked below). Complete sections 1-8 and Attachment A. Submit a proposed tariff and time schedule. Do you plan on providing charter/excursion service? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, complete Attachment F.	\$200.00
<input checked="" type="checkbox"/> <u>XXExtension of Existing Auto Transportation Certificate C-062991</u> Complete sections 1-8. Submit a proposed tariff and time schedule.	\$150.00
<input type="checkbox"/> <u>Transfer or Lease Auto Transportation Authority – Complete sections 1-8 and Attachments C & G.</u> Transferring all of Certificate C-_____ Transferring a portion of Certificate C-_____	\$200.00
<input type="checkbox"/> <u>Temporary Auto Transportation Authority - New temporary authority or temporary to operate pending a Commission decision on a parallel filed permanent application. Complete sections 1-8 and Attachment B.</u>	\$150.00
<input type="checkbox"/> <u>Mortgage of Certificate – Complete section 1 and Attachment E.</u>	\$35.00
<input checked="" type="checkbox"/> <u>XXName Change – Change in corporate name, change in trade name; adding or deleting a trade name; or change the surname of an individual owner or partner. Complete section 1 and Attachment D.</u>	\$35.00
<input type="checkbox"/> <u>Reinstatement of Cancelled Certificate – Complete sections 1, 2 and 8.</u>	\$200.00

#03198C

FOR OFFICIAL USE ONLY

Rocket Transportation LLC

Date Filed	3/31/15	ID#	Docket #:
LS Staff Assigned	Insurance	Map	Tariff/ Time Schedule
DOL/SOS	Safety Inspection	Receipt ID	Cert Issued
111-0268-232-02	111-0268-232-01	111-0268-230-02	111-0268-230-01

SECTION 1 – APPLICANT INFORMATION

Legal Name of Applicant: Rocket Transportation LLC

Trade Name(s) (if applicable): Rocket transportation per UBI

Phone #: 360-683-8087 Fax #: 360-683-3719 E-mail: trips@gorocketman.com

Physical Address:	Mailing Address (if different from physical):
Street: <u>261321 Highway 101</u>	Street: <u>PO BOX 3681</u>
City: <u>Sequim</u>	City: <u>Sequim</u>
State/Zip: <u>WA 98382</u>	State/Zip: <u>98382</u>

Unified Business Identifier Number (UBI): 602-711-630 If you do not know your UBI number or need to request one, contact [Business Licensing Services](#) at 1-800-451-7985.

Type of Business Structure: Individual Partnership Corporation Other (LP, LLP, LLC)
If other than individual, list the name, title, and percentage of partner's share or stock distribution for major stockholders or members:

Name	Title	Stock Distribution or % of Shares
<u>Kathy Roman</u>	<u>Managing Partner</u>	<u>100%</u>
_____	_____	_____
_____	_____	_____

USDOT number 1906628 If you do not have a USDOT number, you can go online to www.fmcsa.dot.gov/online-registration to apply or call 360-596-3810 for assistance.

Labor & Industries #: 040-593-03 Employment Security Department #: 368372-00-6

SECTION 2 – COMPANY INFORMATION

Provide the following documents with your application:

- A map of the proposed line, route, or service territory that meets the standards described in [WAC 480-30-051](#)
- XX** Support statements for proposed service authority

What type of service do you plan on providing: door-to-door services and/or scheduled service?

- XXX Door-to-door service** - Service provided between locations identified by the passengers and points specifically named by the company in its filed tariff and time schedule. Door-to-door service requires a time schedule in compliance with [WAC 480-30-281\(2\)\(c\)](#) and may be restricted to "by reservation only"; and/or,
- Scheduled service** - Service provided between locations specifically named by the company (e.g., the X Hotel at 4th and Main) and points specifically named by the company in its filed tariff and time schedule. Scheduled service requires the company to file a time schedule in compliance with [WAC 480-30-281 \(2\)\(b\)](#) and may be restricted to "by reservation only."

Describe the proposed type of service (see WAC 480-30-096) including the line, route or service territory described in terms such as streets, avenues, roads, highways, townships, ranges, cities, towns, counties or other geographic description:

On-demand, door-to-door service by reservation only; All passengers must have either their origin or destination in Jefferson or Clallam Counties, but not both origin and destination; BETWEEN East Jefferson and Clallam counties and Kitsap, Pierce, and King Counties – specifically Seattle and Tacoma Amtrak Stations, Seattle waterfront cruise ship piers, SeaTac Airport and hotels and selected commercial locations along the route.

State the conditions that demonstrate this proposed service is for the public convenience and necessity:

Service through Kitsap and Pierce County to SeaTac from Clallam and East Jefferson Counties is only available from Rocket Transportation. Door to Door service from all of Clallam and East Jefferson Counties to Kitsap, Pierce and King Counties is only available from Rocket Transportation.

State the applicant's prior experience and familiarity with the statutes and rules that govern operations it proposes: Auto Transportation Certificate C-62991 held since September 2007 with minimal compliance infractions.

Do other auto transportation companies currently provide service between any of the points or along any portion of the route you propose to serve? No Yes If yes, list the names and addresses of companies:

Olympic Bus Lines 111, E Front St, Port Angeles, WA 98362;
Kitsap Airporter 5748 Bethel Rd SE, Port Orchard, WA 98367;
Whidbey-SeaTac Shuttle, 1751 N Goldie St Unit A-1, Oak Harbor, WA 98277;
Capital Aeroporter, 5700 Pacific Hwy E, Fife, WA 98424;
Shuttle Express, 800 SW 16th Street, Renton, WA 98507

Do you currently hold, or have you ever held, an auto transportation certificate?

No Yes If yes, please indicate your certificate number C-62991_____

Have you ever applied for and been denied an auto transportation certificate?

No Yes If yes, please explain_____

Have you ever been cited for violation of state laws or commission rules?

No Yes If yes, please explain: Filed and paid annual report late in 2014 for the 2013 reporting year.

SECTION 3 – TARIFF AND TIME SCHEDULE

If this application is for temporary authority, a new certificate, or extension of existing certificated authority, you must include a proposed tariff and time schedule that is in compliance with WAC 480-30-256 through WAC 480-30-436.

Or are you applying for fare flexibility as described in WAC 480-30-420? Yes or No

If yes, complete Attachment H to show your proposed base rate and maximum rate.

If this application is a transfer or a lease of authority from an existing certificated company, you must either file a new tariff and time schedule at the same rate levels as on file, or, you must adopt the current certificate holder's tariff and time schedule. To file a new tariff, use the standard tariff format attached to this application or an approved alternate format. Indicate which option you will use:

Adopt or File new tariff

SECTION 4 – HEARING INFORMATION

If the Commission assigns this application for a formal hearing, estimate the number of witnesses you will present and the amount of time you will need for your presentation.

Number of witnesses: 3	Amount of time: less than ½ day
Will an attorney be representing you? If yes, complete the following:	
Attorney's name: Andrew Steen	Attorney's phone number: 203-223-7739
Attorney's address: Street 1420 Fifth Ave, Suite 4100	Fax number: 206-203-7107
City, State, Zip Seattle, WA 98101-2338	E-mail address steena@lanepowell.com

SECTION 5 – FINANCIAL STATEMENT

ASSETS		LIABILITIES	
Cash in Bank	\$	Salaries/Wages Payable	\$
Notes Receivable	\$	Accounts Payable	\$
Accounts Receivable	\$	Notes Payable	\$
Investments	\$	Mortgages Payable	\$
Other Current Assets	\$	Contracts and Bonds Payable	\$
Prepaid Expenses ??	\$	TOTAL LIABILITIES	\$
Land and Buildings	\$	NET WORTH	
Trucks and Trailers	\$	Preferred Stock	\$
Office Furniture	\$	Common Stock	\$
Other Equipment	\$	Retained Earnings	\$
Other Assets	\$	Capital	\$
TOTAL ASSETS	\$	TOTAL LIABILITIES AND NET WORTH	\$

In addition: the application must include the following: (see WAC 480-30-096)

- Ridership and Revenue forecasts for the first twelve months of operation.
- A pro forma balance sheet and income statement for the first twelve months of operation.

SECTION 6 – EQUIPMENT LIST

Describe the equipment that will be used (attach additional sheet if necessary). Vehicles must pass inspection and be issued a valid Commercial Vehicle Safety Alliance inspection decal for each motor vehicle before your application may be granted.

Year	Make	License Number	Vehicle ID number	Seating Capacity
2005	Sprinter 2500HC140	C45318C	WD5PD644855758005	9
2005	Toyota Sienna	ABY0232	5TDZA23C55S275762	6
2013	Toyota Prius	AJN7288	JTDZN3EUXD3219722	4
2012	Toyota Sienna	AOC4295	5TDJK3DC6BS001724	6
2014	Toyota Sienna	ASP4837	5TDKK3DC8ES490846	6
2015	Mercedes Sprinter	C93305A	WDZPE8CC6F5949925	11
2013	Mercedes Sprinter	B29409X	WDZPE8CC0D5741097	11
2014	Mercedes Sprinter	B78930Z	WDZPE8CC1E5826404	11
2007	Scoota Trailer	1085YI	1T9US05117L277062	N/A

SECTION 7 – SAFETY AND OPERATIONS

In each of the categories shown below, list the person and position responsible for understanding and complying with the Federal Motor Carrier Safety Regulations (FMCSR) and Washington State laws and rules. Please refer to the WAC rules, fact sheets, and publication "Your Guide to Achieving a Satisfactory Safety Rating" for assistance with requirements.

SAFETY RESPONSIBILITIES

COMMERCIAL DRIVER'S LICENSE (CDL) STANDARDS REQUIREMENTS AND PENALTIES (Title 49, Code of Federal Regulations Part 383) Any driver who operates a vehicle that meets the definition of a commercial motor vehicle must have a valid CDL.

Name: Kathy Roman

Position: Managing Partner

DRIVER QUALIFICATION REQUIREMENTS (Title 49, Code of Federal Regulations Part 391) Driver's must meet minimum qualification requirements and each company must maintain driver qualification files for each driver.

Name: Kathy Roman

Position: Managing Partner

DRIVERS HOURS OF SERVICE (Title 49, Code of Federal Regulations Part 395) Drivers must maintain logs and each company must maintain true and accurate hours of service records for each driver.

Name: Kathy Roman

Position: Managing Partner

CONTROLLED SUBSTANCE AND ALCOHOL USE AND TESTING (Title 49, Code of Federal Regulations Part 382) All persons who drive commercial vehicles requiring a CDL must be in a Controlled Substance and Alcohol Use and Testing program that is in compliance with FMCSR in Title 49, Code of Federal Regulations Part 382 and Title 49, Code of Federal Regulations Part 40. Each company will have in place a system for complying with FMCSR governing alcohol use and controlled substances testing requirements (Title 49 Code of Federal Regulations Part 382 and Title 49 Code of Federal Regulations Part 40).

Name: Kathy Roman

Position: Managing Partner

INSPECTION, REPAIR AND MAINTENANCE (Title 49, Code of Federal Regulations Part 396) Every motor carrier shall systematically inspect, repair, and maintain all motor vehicles subject to its control.

Name: Kathy Roman

Position: Managing Partner

SAFETY REGULATIONS, GENERAL (Title 49, Code of Federal Regulations Part 390)

Name: Kathy Roman

Position: Managing Partner

DRIVING OF COMMERCIAL MOTOR VEHICLES (Title 49, Code of Federal Regulations Part 392)

Name: Kathy Roman

Position: Managing Partner

PARTS AND ACCESSORIES NECESSARY FOR SAFE OPERATION (Title 49, Code of Federal Regulations Part 393)

Name: Kathy Roman

Position: Managing Partner

OPERATIONAL RESPONSIBILITIES

TARIFFS, TIME SCHEDULES, RATES AND RATE FILINGS (WAC 480-30-251 through WAC 480-30-436) Companies must file a tariff showing all rates it will impose on its customers, together with rules that govern how rates will be assessed. Companies must also file a time schedule. Charter and excursion only carriers are not required to file tariffs and time schedules per WAC 480-30-251.

Name: Kathy Roman

Position: Managing Partner

ANNUAL REPORTS AND REGULATORY FEES (WAC 480-30-066 through WAC 480-30-081) Auto Transportation companies must file an annual report of its financial and operational activity and pay regulatory fees by May 1 of each year. Charter and excursion carriers must file an annual safety report by May 1; and pay regulatory fees by December 31 of each year.

Name: Kathy Roman

Position: Managing Partner

CUSTOMER SERVICE Person responsible for customer service complaints, and customer notice requirements.

Name: Kathy Roman

Position: Managing Partner

STATE OF WASHINGTON GENERAL LAWS, RULES AND REGULATIONS Individuals and companies doing business in the state of Washington must comply with the regulations of local, state, and federal agencies such as, but not limited to: Department of Labor and Industries (industrial insurance, safety, prevailing wage); Department of Licensing (vehicle and drivers licenses, business licensing, fuel permits, fuel tax); Secretary of State (corporate registrations); Department of Revenue and Internal Revenue Service (taxes); and Employment Security.

Name: Kathy Roman

Position: Managing Partner

SECTION 8 – DECLARATION OF APPLICANT

I understand that filing this application **does not** authorize me to start operations requested or in the territory described until the commission grants the application and issues a certificate.

I understand the responsibilities of a passenger transportation company, and I am in compliance with all local, state, and federal regulations governing business in the state of Washington.

I certify under penalty for false statement, that the information contained in this application is true and correct, and that I am authorized to execute and file this document on behalf of the applicant.

Printed name: Kathy Roman Title: Managing Partner

Signature: Kathy Roman

Date: March 27, 2015 County, State Jefferson County, Washington



ATTACHMENT D

AUTO TRANSPORTATION NAME CHANGE (WAC 480-30-146)

A company must file a name change application under the provisions of WAC 480-30-096 to:

- XX Change its corporate name
Change its trade name
Add a trade name to certificate, or
Change the surname of an individual owner or partner to reflect a change resulting in marriage or other legal action.

**If name change results in a change in ownership, the company must file an application to transfer the certificate according to the provisions of WAC 480-30-141

With your application, you must include:

- XX Copies of any corporate minutes or other legal documents authorizing the name change
XX Proof that the new name is properly registered with the Department of Licensing, Office of the Secretary of State, or other agencies, as may be required

Current Name on Certificate: Rocket Enterprises LLC

Current Trade Name on Certificate Rocket Transportation

Address: 261321 Highway 101, Sequim, WA 98382

Phone Number: 360-683-8087 Fax Number: 360-683-3719 Email address: trips@gorocketman.com

If a corporation or LLC, list the name, title, and percentage of partner's share or stock distribution for major stockholders under current name:

Table with 3 columns: Name, Title, Stock Distribution or Percentage of Shares. Row 1: Kathy Roman, Managing Partner, 100%

I request the name on Auto Transportation Certificate C-062991 be changed to:

New Name: Rocket Transportation LLC

New Trade Name (if applicable): UBI# 602-711-630

You must file a new tariff using the same rate levels as currently on file, or adopt the current tariff in the new name.

To file a new tariff use the standard tariff format attached to the application or an approved alternate form. Indicate which option you will use:

- Adopt a current tariff- complete Attachment G; or, File a new tariff

I certify under penalty of perjury under the laws of the state of Washington that the information contained in this application is true and correct.

Kathy Roman
Print Name of Applicant

Kathy Roman Managing Partner 3-27-2015 Jefferson, WA
Signature & Title Date, County, State



Limited Liability Company
See attached detailed instructions

- Filing Fee \$30.00
- Filing Fee with Expedited Service \$80.00

This Box For Office Use Only

01/02/15 2813261-001
\$40.00 K
tid: 2904569
1 of 2

FILED
SECRETARY OF STATE
JANUARY 02, 2015
STATE OF WASHINGTON

UBI Number: 602711630

AMENDED CERTIFICATE OF FORMATION/REGISTRATION
Chapter 25.15 RCW

SECTION 1

NAME OF LIMITED LIABILITY COMPANY (LLC): (as currently recorded with the Office of the Secretary of State)
Rocket Enterprises, LLC

SECTION 2

AMENDMENTS TO CERTIFICATE: (If necessary, attach additional information. If changing the name it must contain one of the following designations: Limited Liability Company, Limited Liability Co or one of these abbreviations: L.L.C. or LLC. If the designation is omitted, it will default to LLC when processed)
Rocket Transportation LLC

SECTION 3

EFFECTIVE DATE OF AMENDMENTS TO CERTIFICATE: (please check one of the following)

- Upon filing by the Secretary of State
- Specific Date: _____ (Specified effective date must be within 90 days AFTER the Amended Certificate has been filed by the Office of the Secretary of State)

SECTION 4

MEMBER OR MANAGER SIGNATURE (see instructions page)

This document is hereby executed under penalties of perjury, and is, to the best of my knowledge, true and correct.

	Kathy Roman	12-30-2014	360-683-8087 x700
Signature	Printed Name/Title	Date	Phone

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Cash Basis

Rocket Transportation
Summary Balance Sheet
As of December 31, 2014

	<u>Dec 31, 14</u>
ASSETS	
Current Assets	
Checking/Savings	16,958.68
Other Current Assets	524.68
Total Current Assets	17,483.36
Fixed Assets	128,095.69
TOTAL ASSETS	<u>145,579.05</u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	-134.87
Credit Cards	38,223.82
Other Current Liabiliti...	5,811.98
Total Current Liabilities	43,900.93
Long Term Liabilities	82,090.47
Total Liabilities	125,991.40
Equity	19,587.65
TOTAL LIABILITIES & EQU...	<u>145,579.05</u>

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Cash Basis

Rocket Transportation
Summary Balance Sheet
As of December 31, 2013

	<u>Dec 31, 13</u>
ASSETS	
Current Assets	
Checking/Savings	30,423.32
Other Current Assets	524.68
Total Current Assets	<u>30,948.00</u>
Fixed Assets	<u>126,797.01</u>
TOTAL ASSETS	<u><u>157,745.01</u></u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	-134.87
Credit Cards	44,811.68
Other Current Liabiliti...	14,290.09
Total Current Liabilities	58,966.90
Long Term Liabilities	114,022.28
Total Liabilities	172,989.18
Equity	-15,244.17
TOTAL LIABILITIES & EQU...	<u><u>157,745.01</u></u>

**Rocket Transportation
Profit & Loss
January 2010 through December 2014**

	Jan - Dec 10	Jan - Dec 11	Jan - Dec 12	Jan - Dec 13	Jan - Dec 14	TOTAL
Ordinary Income/Expense						
Income						
Contract Income*	211,790.12	255,467.29	187,429.79	222,200.89	93,463.96	970,352.05
Hired Auto	16,301.27	7,986.53	9,625.00	6,446.00	15,355.90	55,714.70
Shuttle Income	182,906.05	276,367.47	363,345.33	429,023.78	562,803.62	1,814,446.25
Total Income	<u>410,997.44</u>	<u>539,821.29</u>	<u>560,400.12</u>	<u>657,670.67</u>	<u>671,623.48</u>	<u>2,840,513.00</u>
Gross Profit	410,997.44	539,821.29	560,400.12	657,670.67	671,623.48	2,840,513.00
Expense						
Advertising	2,472.17	6,338.63	3,072.02	7,402.99	4,088.89	23,374.70
Airporter Fees	1,446.77	1,932.13	2,889.86	4,583.58	6,661.88	17,514.22
Automobile Expense	85,224.40	130,340.90	126,377.83	134,010.64	136,956.85	612,910.62
Bridge Toll	3,150.02	2,600.00	3,725.00	4,970.00	6,312.00	20,757.02
Bank Service Charges	10,536.55	8,962.32	13,114.72	14,163.25	16,751.45	63,528.29
Contracted Services	0.00	0.00	279.95	252.50	49.00	581.45
Depreciation Expense	0.00	14,220.00	18,353.00	47,716.00	0.00	80,289.00
Dues and Subscriptions	610.00	510.00	105.00	485.00	1,350.00	3,060.00
Ferry	12,171.70	12,741.30	10,353.70	13,041.05	2,727.90	51,035.65
Interest Expense	1,599.50	5,768.84	3,287.53	7,394.26	0.00	18,050.13
Internet	1,675.63	2,303.97	4,599.70	5,177.95	4,292.85	18,050.10
Insurance	30,815.30	30,483.32	35,755.91	46,471.47	44,582.43	188,108.43
Licenses & Permits	1,821.52	1,564.70	2,096.58	2,466.64	4,218.83	12,168.27
Maintenance	0.00	2,847.96	324.04	1,701.70	1,749.38	6,623.08
Office	6,844.77	10,117.54	12,789.09	13,020.33	14,066.98	56,838.71
Payroll Expenses	217,242.62	250,986.94	259,230.06	304,843.64	324,179.14	1,356,482.40
Postage and Delivery	225.46	78.56	269.25	412.93	201.74	1,187.94
Professional Fees	6,082.30	4,766.38	4,303.82	3,943.78	4,370.33	23,466.61
Professional Liability Insuaran	0.00	0.00	0.00	2,288.04	8,803.24	11,091.28
Rent	2,326.16	6,879.17	10,074.32	11,938.07	13,675.43	44,893.15
Repairs	184.86	0.00	368.57	699.81	3,230.45	4,483.69
Taxes	8,100.05	11,192.75	10,819.74	12,854.95	11,825.94	54,793.43
Telephone	13,267.16	27,488.66	10,134.95	13,303.98	17,203.22	81,397.97
Travel & Ent	719.67	1,750.83	2,677.55	2,174.28	1,741.39	9,063.72
Uniforms	44.99	1,419.63	348.02	250.00	363.83	2,426.47
Total Expense	<u>406,561.60</u>	<u>535,294.53</u>	<u>535,350.21</u>	<u>655,566.84</u>	<u>629,403.15</u>	<u>2,762,176.33</u>
Net Ordinary Income	<u>4,435.84</u>	<u>4,526.76</u>	<u>25,049.91</u>	<u>2,103.83</u>	<u>42,220.33</u>	<u>78,336.67</u>
Net Income	<u>4,435.84</u>	<u>4,526.76</u>	<u>25,049.91</u>	<u>2,103.83</u>	<u>42,220.33</u>	<u>78,336.67</u>

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Cash Basis

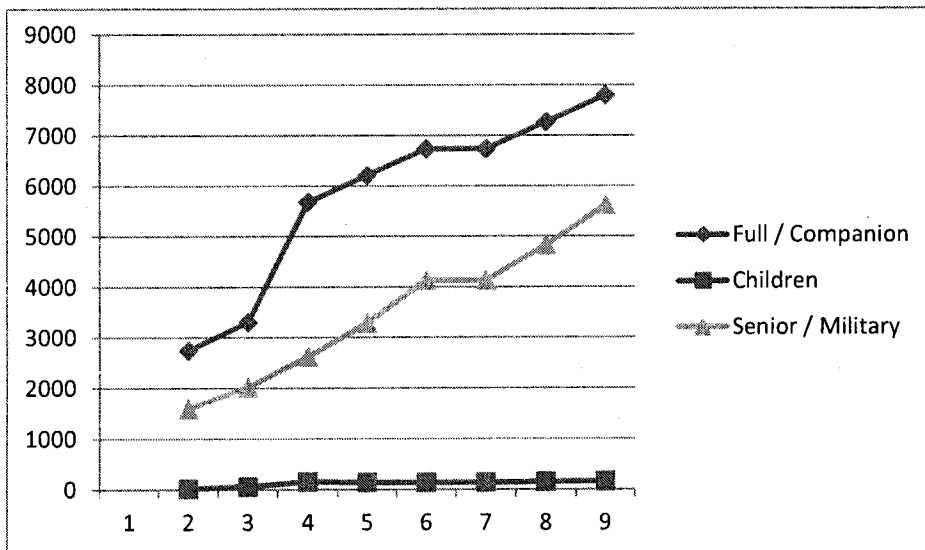
Rocket Transportation
Profit & Loss
January 2010 through December 2014

	Forecast 2015	Forecast 2016	Forecast 2016
Ordinary Income/Expense			
Income			
Contract Income*	0.00	0.00	0.00
Hired Auto	0.00	0.00	0.00
Shuttle Income	636,694.50	782,527.41	867,187.41
Total Income	<u>636,694.50</u>	<u>782,527.41</u>	<u>867,187.41</u>
Gross Profit	636,694.50	782,527.41	867,187.41
Expense			
Advertising	1,000.00	4,000.00	4,000.00
Airporter Fees	7,000.00	8,000.00	9,000.00
Automobile Expense	140,000.00	200,000.00	230,000.00
Bridge Toll	8,000.00	9,000.00	10,000.00
Bank Service Charges	18,000.00	18,000.00	18,000.00
Contracted Services	1,800.00	1,800.00	1,800.00
Depreciation Expense			
Dues and Subscriptions	1,500.00	1,500.00	1,500.00
Ferry	500.00	500.00	500.00
Interest Expense			
Internet	4,500.00	4,500.00	4,500.00
Insurance	45,000.00	45,000.00	45,000.00
Licenses & Permits	5,000.00	5,000.00	5,000.00
Maintainence	500.00	500.00	500.00
Office	14,000.00	14,000.00	14,000.00
Payroll Expenses	325,000.00	350,000.00	400,000.00
Postage and Delivery	200.00	200.00	200.00
Professional Fees	5,000.00	5,000.00	5,000.00
Professional Liability Insuaran	9,000.00	9,000.00	9,000.00
Rent	13,000.00	13,000.00	13,000.00
Repairs	1,000.00	1,000.00	1,000.00
Taxes	12,000.00	12,000.00	12,000.00
Telephone	17,000.00	17,000.00	17,000.00
Travel & Ent	1,000.00	1,000.00	1,000.00
Uniforms	1,500.00	1,500.00	1,500.00
Total Expense	<u>631,500.00</u>	<u>721,500.00</u>	<u>803,500.00</u>
Net Ordinary Income	<u>5,194.50</u>	<u>61,027.41</u>	<u>63,687.41</u>
Net Income	<u><u>5,194.50</u></u>	<u><u>61,027.41</u></u>	<u><u>63,687.41</u></u>

Passenger History and Forecast

*assume no increase of passengers first year of increased fares

	Full / Companion	Children	Senior / Military
2010	2742	18	1591
2011	3303	59	2020
2012	5680	154	2619
2013	6213	139	3283
2014	6734	141	4125
2015*	6734	141	4125
2016	7267	151	4825
2017	7800	161	5625



New Fares	Child		Senior / Active		Comp				
	Child	Senior / Active	Comp	Child	Senior / Active	Comp			
	\$80.00	\$40.00	\$72.00	\$56.00					
	\$136.00	\$68.00	\$122.40	\$95.20					
2015	\$404,040.00	\$4,335.75	\$228,318.75						
2016	\$482,103.41	\$5,134.00	\$295,290.00						
2017	\$517,463.41	\$5,474.00	\$344,250.00						
						\$636,694.50 1st half of year at old rate - ridership stays the same			
						\$782,527.41 ridership increase at historical percent			
						\$867,187.41 ridership increase at historical percent			
2 travelers		3	4	5	6	7	8	9	10
OW	\$136.00	\$192.00	\$248.00	\$304.00	\$360.00	\$416.00	\$472.00	\$528.00	\$584.00
RT	\$231.20	\$326.40	\$421.60	\$516.80	\$612.00	\$707.20	\$802.40	\$897.60	\$992.80
2 Seniors		3	4	5	6	7	8	9	10
OW	\$144.00	\$216.00	\$288.00	\$360.00	\$432.00	\$504.00	\$576.00	\$648.00	\$720.00
RT	\$244.80	\$367.20	\$489.60	\$612.00	\$734.40	\$856.80	\$979.20	\$1,101.60	\$1,224.00
		OW		Senior/Military -10%	Child -50%	Companion -30%			
Base		\$80.00		\$72.00	\$40.00	\$56.00			
85% of doubl	RT	\$136.00		\$122.40	\$68.00	\$95.20			
170% Outlying		\$136.00		\$122.40	\$68.00	\$95.20			
	RT	\$231.20		\$208.08	\$115.60	\$161.84			
220% Maximum		\$176.00		\$158.40	\$88.00	\$123.20			
	RT	\$299.20		\$269.28	\$149.60	\$209.44			
-20% Kitsap/Gig Har		\$64.00		\$57.60	\$32.00	\$44.80			
	RT	\$108.80		\$97.92	\$54.40	\$76.16			

	Passenger:	Child 2-12	Sen (62+)	Active Mil	Total PAX	Fare
	0	0	0	0	0	0
Feb-10	153	1	78	2	234	14283.13
Mar-10	242	1	117	7	367	19876.13
Apr-10	192	0	131	6	329	16754.05
May-10	251	1	117	6	375	19783.64
Jun-10	258	7	132	2	399	19663.41
Jul-10	286	2	142	3	433	22852.73
Aug-10	317	3	151	0	471	23427.25
Sep-10	243	0	160	1	404	20165.21
Oct-10	250	3	146	1	400	20874.15
Nov-10	295	0	177	5	477	24307.28
Dec-10	255	0	205	2	462	23002.64
	2742	18	1556	35	4351	224989.6
Jan-11	219	0	144	6	369	17086.76
Feb-11	180	4	127	4	315	15606.9
Mar-11	261	1	177	1	440	22207.05
Apr-11	267	1	181	5	454	23474.7
May-11	312	4	214	1	531	27806.4
Jun-11	270	4	160	1	435	24175.2
Jul-11	347	22	125	3	497	27389.15
Aug-11	312	14	149	6	481	26527.4
Sep-11	307	2	154	2	465	25437.1
Oct-11	284	1	180	3	468	25082
Nov-11	251	1	163	1	416	21586.7
Dec-11	293	5	201	12	511	27851.4
	3303	59	1975	45	5382	284230.8
Jan-12	297	8	189	6	500	28328.3
Feb-12	389	8	141	6	544	23371.6
Mar-12	412	15	183	14	624	24992.4
Apr-12	494	9	192	8	703	30462.95
May-12	474	13	240	10	737	31547.9
Jun-12	595	10	203	16	824	32916.28
Jul-12	455	21	196	14	686	30477.8
Aug-12	550	33	187	20	790	36633.08
Sep-12	530	10	193	11	744	34189.5
Oct-12	538	7	239	17	801	32097
Nov-12	507	6	225	21	759	32083.65
Dec-12	439	14	261	27	741	34265.05
	5680	154	2449	170	8453	371365.5

Jan-13	508	14	202	24	748	29932.3
Feb-13	382	5	157	23	567	22502
Mar-13	416	5	218	8	647	27384.3
Apr-13	514	3	254	17	788	34601.85
May-13	574	2	279	29	884	37005.6
Jun-13	458	10	286	22	776	35585.75
Jul-13	598	28	255	23	904	40239.5
Aug-13	585	36	231	43	895	41459.3
Sep-13	653	11	282	34	980	45948.7
Oct-13	484	4	306	22	816	38366.2
Nov-13	479	5	211	19	714	34977.6
Dec-13	562	16	310	28	916	43961.1
	6213	139	2991	292	9635	431964.2

Jan-14	453	10	231	22	716	32785.3
Feb-14	380	2	205	16	603	28155.75
Mar-14	463	13	263	20	759	36712.5
Apr-14	555	14	302	25	896	44229.75
May-14	590	10	321	32	953	47472.06
Jun-14	485	7	298	22	812	42516.55
Jul-14	658	38	329	28	1053	55174.65
Aug-14	615	22	328	24	989	50821.4
Sep-14	675	3	353	31	1062	55438.7
Oct-14	645	5	371	21	1042	53472.55
Nov-14	525	4	369	21	919	47758.2
Dec-14	690	13	470	23	1196	62395.21
	6734	141	3840	285	11000	556932.6

Tariff Number 3
Cancelling Tariff Number 2
Of
Rocket Transportation LLC

For the transportation of passengers as described in Certificate Number C-062991

On-demand, door-to-door service by reservation only;

All passengers must have either their origin or destination, but not both, in Jefferson or Clallam counties;

BETWEEN East Jefferson & Clallam Counties and Kitsap, Pierce, & King Counties including Seattle and Tacoma Amtrak stations, Seattle and Tacoma Greyhound bus terminals, Seattle waterfront cruise ship piers, SeaTac Airport and hotels along the route.

Issued by:

Kathy Roman – Managing Partner
261321 Hwy 101
Sequim, WA 98382

(360) 683-8087

877-697-6258

FAX 360-683-3719

Trips@GoRocketMan.com

Issue Date: 4-1-2015

Effective Date: 5-1-2015

Issued By: _____


Kathy Roman, Managing Partner

PASSENGER RULES

(D)1. Adult Fares: Published fares are adult fares and apply to passengers who have reached or passed their 15th birthday.

(D)2. Children's Fares: Children 2-14 will pay the ordinary adult rate discounted by fifty percent (50%) - maximum three children under 12 per adult traveler. Carrier reserves the right to not transport unaccompanied minors under the age of 15. Carrier reserves the right to not transport children under 12 years of age accompanied only by another traveler 15-17 years of age. A child under the age of two may ride for free with an accompanying, non-discounted, fare-paying adult – one free child per adult. Children riding with Rocket Transportation must ride in a car seat in accordance with state law provided by client.

(C) 3. Seniors: The term "senior" or "senior citizen" shall mean all individuals aged sixty-two (62) years or older traveling alone.

(C)4. Military: The term "military" shall mean all "Active Duty" or "Retired" military members traveling alone.

(C)5. Fare Payment: Payment is expected to be made at the time the reservation is made. Fare is determined by actual addresses provided. If the incorrect fare zone is stated for the booking, the only remediation will be to pay the proper fare or cancel the ticket with a refund according to "Unused Tickets" and "Refunds" below.

(N)6. Unused Tickets: Due to the considerable amount of work necessary to balance company efficiency and customer convenience, tickets are considered 99% 'used' one business day prior to travel date, 90% 'used' one week prior to travel date, and 50% 'used' two weeks prior to travel date. Any unused ticket, paid for in advance, will remain valid for one year from the date of sale. A customer who has made a reservation but fails to properly cancel, reschedule, or appear at the designated pick-up point by the scheduled departure time is considered a completely used ticket.

(C)7. Refunds: Unused tickets and unused portions of round-trip or commutation tickets may be redeemed for a refund. Such a refund will be calculated by charging the regular fares for the portion or portions used, and refunding the balance of the purchase price. Refund of the unused portion of a round trip ticket will be the difference of the round trip fare and one way fare. Refund for tickets paid via credit card will be returned to the credit card minus an additional 5% credit card processing fee.

8. Round Trip Tickets: A round trip ticket can only be used in a round trip manner. Using the ticket as two one ways is not permitted.

9. Consistent Pricing: No passenger will be required to pay more for transportation to an intermediate point along a route than is charged for a longer trip over the same route.

10. Right of Refusal: Rocket Transportation reserves the right to deny any requested reservation for travel.

Issue Date: 4-1-2015

Effective Date: 5-1-2015

Issued By:

Kathy Roman

Kathy Roman, Managing Partner

11. Cancellations: Cancellations at least five hours prior to the dispatched pickup time leave the ticket open for future use. Cancellations less than 5 hours prior to the dispatched pickup time is a completely used ticket except in the case of delays with connecting travel in which the traveler is always able to take the next available shuttle. In the case of delays in connecting travel, if the traveler chooses to find other means of travel, contact with Rocket must be made prior to the dispatched pickup time to be able to use the ticket on other shuttle runs.

(N)12. Additional Fees: Additional fees apply to each leg of travel (ie: One Way ticket is one leg of travel and Round Trip is two legs of travel). Items not properly disclosed at booking will not be permitted on the van if space is not available. If the traveler chooses not to take the trip as a result of non-disclosed items not being accepted on the van, the ticket is considered completely used.

(N)12a. Standard Baggage: Standard baggage will be carried free of charge and is defined as two checked size bags and one carry-on bag as defined below.

(A)12b. Odd Luggage: Prior arrangements must be made with the office during office hours for odd luggage as not all vehicles are able to accommodate large or unusual items. Rocket Transportation will charge an additional fee for odd-sized luggage as follows: folding wheelchairs & walkers – No Charge; fishing poles - \$5.00 each; golf bags, snow boards, skis - \$10.00 each; bicycles, surfboards - \$15.00 each; other odd-sized luggage – as appropriate. **All luggage/totes/boxes must be disclosed at booking.**

(C)12c. Additional Luggage: Carrier will charge an additional fee of \$5 per piece for additional luggage items beyond the standard two checked bags and one carry-on bag providing they are not 'odd-sized' meaning odd shaped or oversized according to airport guidelines for checked bags.

(N)12d. Checked Size Bags: Each checked size bag must weigh 50 pounds or less and have a maximum dimension of 62 linear inches (length + height + width) as defined according to airport guidelines. Overweight bags are subject to an additional fee of \$5 each. Baggage is not checked. Passengers are responsible for the correct identification and retrieval of their luggage. All pieces must be properly tagged.

(C)12e. Carry-on bags will be kept with the passenger should space become an issue. Carry-on bags as defined according to airport guidelines: maximum dimension of 45 linear inches (length + height + width) as defined according to airport guidelines including wheels and handles.

(C)12f. Animals: Service Dogs traveling with sight or hearing impaired passengers will be carried free of charge. Service animals will not be permitted to occupy a seat, but must lie or stand at the feet of the passenger. Other pets will be transported only when they are housed in pet carriers at the following rates:

- Small carrier 21" x 16" x 15" \$5.00 each;
- Medium carrier 27" x 21 ½" x 20" \$10.00 each;
- Large carrier 36" x 24 ½" x 26" \$15.00 each;
- X-Large carrier 40" x 27" x 30" \$20.00 each.

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Kathy Roman
Kathy Roman, Managing Partner

Pets not in carriers will not be carried. Pets in carriers not properly disclosed at booking will be carried on a space available basis with **double** the appropriate fee paid directly to the driver prior to boarding.

(N)12g. Non-Disclosed Pets: Pets brought on board without prior disclosure will be cause for the traveler and the animal to be dropped the next location convenient for the driver and the ticket considered used.

12h. Baggage Liability: Minimum amount of liability for baggage is \$250.00 per adult fare and \$125.00 per child's fare. Passengers that declare a value in excess of \$250 will be required to pay an additional charge of \$10.00 per \$100.00 value. The maximum value for which the company will be liable per bag or item checked is \$1,000.00. The passenger's declared amount may not exceed the actual value of the baggage and its contents. Liability is not extended to excess value coverage on articles of extraordinary value including, but not limited to: negotiable instruments; papers, money, manuscripts, irreplaceable publications, documents, jewelry and watches, cameras, computers, DVD players or other electronic equipment, and musical instruments. Rocket Transportation recommends that all electronic items be kept with the traveler and not be placed in the baggage area of the vehicle. Placing electronics in the baggage area of the vehicle is considered negligence on the part of the traveler.

13. Lost and Found Items: Rocket Transportation will not be responsible for items lost or left on any vehicle by passengers. Rocket Transportation will attempt to secure any such items at our office for up to thirty days but does not guarantee any protection or rightful return. Any items not claimed by rightful owner for a period exceeding thirty days shall be properly discarded or given to local charities.

14. Schedule Maintenance: Rocket Transportation will not be responsible for delays caused by accidents, breakdowns, weather, highways/road conditions, or other factors beyond the control of Rocket Transportation and its employees. Rocket Transportation likewise does not guarantee arrival at, or departure from, any point at any specific time.

15. Holidays Observed: Rocket Transportation will provide service by reservation, in accordance with its certificate, 365 days per year balancing company efficiency and customer convenience.

16. Objectionable Passengers: Carrier reserves the right to refuse service to any persons for any reason; especially those under the influence of drugs or alcohol, or who appear incapable of personal care, or whose conduct or behavior may be objectionable to other passengers. Carrier also reserves the right to refuse carriage of any materials that its employees deem unsafe and/or not in the best interest of the passengers.

(N)17. Stop-overs: Stop-overs will not be allowed at any point en-route unless the passenger upon re-boarding pays the full fare for that station to the destination.

(N)18. Smoking / Alcohol: Smoking of all substances and open containers of alcohol are prohibited on all vans. This includes all tobacco products, E-Cigarettes and medicinal or recreational marijuana.

Issue Date: 4-1-2015

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Issued By:


Kathy Roman, Managing Partner

Rate Schedule	
Fares named below are for adults (persons 15 years of age and older) stated in Dollars per passenger	Pierce / King Counties SeaTac Airport
Base Area 98382 (Sequim), 98368 (Port Townsend), 98365 (Port Ludlow North of SR 104), 98339 (Port Hadlock), 98325 (Chimacum), 98362 (East Port Angeles), 98363 (West Port Angeles until Laird's Corner (Hwy 101/112))	↑ \$80.00 / \$136.00
Outlying Areas 98376 (Quilcene), 98365 (Port Ludlow South of SR 104) 98358 (Nordland), 98363 (West PA from Hwy 101/112 to and including Lake Crescent Lodge and Joyce), 98343 (Joyce)	↑ \$136.00 / \$231.20
Maximum Area 98363(West of Joyce & West of Lake Crescent Lodge), 98326(Clallam Bay), 98357(Neah Bay), 9833(Forks), 98305(Beaver), & 98320 (Brinnon)	↑ \$176.00 / \$299.20

- (C) Note 1: The above fares are listed with the one way fare on the left and the round trip fare on the right.
- (A) Note 2: Round Trip is a 15% discount off two one ways - usable only as a round trip ticket
- (A) Note 3: Outlying is 170% of Base
- (A) Note 4: Maximum is 220% of Base
- (A) Note 5: Kitsap / Gig Harbor is 80% of Base
- Note 6: A 30% 'companion fare' discount will be given to each additional passenger traveling with a full fare passenger. No other discounts may be used in conjunction with the companion fare.
- (C) Note 7: A 50% discount will be given to children under the age of 15.
- Note 8: One child under the age of two, accompanied by one non-discounted fare-paying adult may ride for free as part of the three children per adult.
- (C) Note 9: A 10% discount will be given to Senior and Military passengers as defined in the Passenger Rules. No other discounts may be used in conjunction with these discounted fares.
- (N) Note 10: All locations must have minimal pot holes, good traction, and 15' canopy clearance on all sides of road and driveway.
- (N) Note 11: Van will not leave road unless residence is at least 100' from where the driveway meets the road and turn around to return to the road requires no backing.
- (N) Note 12: Rocket does not serve State or National Park locations that require a pass to enter.
- (N) Note 13: Client must provide accurate address and know how to assist driver to address.
- (N) Note 14: Client must accept additional inconvenience as part of shared transportation.
- (N) Note 15: Driver Tips are never expected, always appreciated and not included in the fare.

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Issued By:

Kathy Roman
Kathy Roman, Managing Partner

Promotional Fares

KSQM radio station Sequim, Washington; KONP radio station Port Angeles, Washington; KPTZ radio station Port Townsend, Washington:

- We will provide the radio station with X number of regular fare tickets both one way and round trip.
- The radio station will in turn offer the tickets to their listeners at some discounted rate.
- Rocket Transportation will receive full credit of the ticket amount to be used towards advertising on the radio.

Peninsula Daily News newspaper and other publications such as newsletters, brochures, placemats, and maps:

- Provide the same as the radio stations if feasible for the publication and its readers.
- Provide an additional one time discount to readers of the publication.
- Provide a "buy one get one" discount to readers of the publication.

Rocket Transportation Sequim and Port Townsend Washington:

- Rocket Transportation would continue to provide our service at our current prices. After ten fares have been purchased by a rider Rocket Transportation will provide the eleventh one for free.
- Support local fundraising efforts within our local community through ticket donations to fundraising efforts to support programs for the elderly and children.

Issue Date: 4-1-2015

Issued By:


Kathy Roman, Managing Partner

Effective Date: 5-1-2015

Rocket Transportation, LLC

For the transportation of passengers:

On-demand, door-to-door service by reservation only;

All passengers must have either their origin or destination, but not both, in Jefferson or Clallam counties;

BETWEEN East Jefferson & Clallam Counties and Kitsap, Pierce, & King Counties including Seattle and Tacoma Amtrak stations, Seattle and Tacoma Greyhound bus terminals, Seattle waterfront cruise ship piers, SeaTac Airport and hotels along the route

Door-to-door service is unscheduled.

The actual time the vehicle will arrive to pick up passengers depends on the number of passengers making reservations, and the locations that those passengers request pickup. Rocket Transportation will develop actual routes to balance passenger convenience and company efficiency.

Reservations must be made on at least 24 hours advance notice.

Service is available 365 days a year between 5:00am and 9:00pm but Rocket Transportation reserves the right to provide service outside of these hours at its own discretion.

Note: Rocket Transportation is not responsible for delays caused by weather, accidents, or other circumstances beyond its control.

Issue Date: 4-1-2015

Issued By:



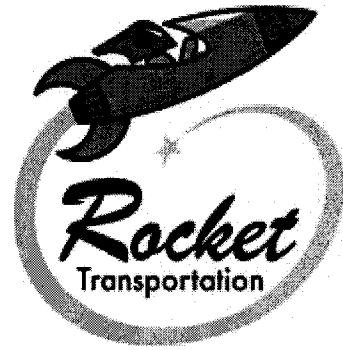
Kathy Roman, Managing Partner

Effective Date: 5-1-2015

Locations Served by Rocket - every location is a flag-stop

Zone	Name	Zip Code	City Name	Clarification if City appears in more than one zone
Base		98365	Port Ludlow	North of SR 104
		98363	Port Angeles - West	East of Laird's Corner
		98362	Port Angeles - East	
		98382	Sequim	
		98368	Port Townsend	
		98339	Port Hadlock	
		98325	Chimacum	
Outlying		98365	Port Ludlow	South of SR 104
		98363	Port Angeles - West	W of Laird's Corner to Lk Crescent Lodge and Joyce
			Nordland - Marrowstone	
		98358	& Indian Islands	
		98376	Quilcene	
		98343	Joyce	
Maximum		98320	Brinnon	
		98363	Port Angeles - West	West of Lake Crescent Lodge and West of Joyce
		98305	Beaver	
		98331	Forks	
		98326	Clallam Bay	
		98381	Seikiu	
		98357	Neah Bay	
Kitsap	Poulsbo		21505 Market Place Northwest, Poulsbo, WA 98370	
	Silverdale		Kitsap Mall, NW Plaza Road Loop, Silverdale, WA 98383	
			Kitsap Medical on NW Mt Vintage Way, Silverdale, WA 98383	
	Bremerton		WinCo Foods, 4969 Kitsap Way, Bremerton, WA 98312	
Pierce	Gig Harbor		Starbucks, 5001 Olympic Drive Northwest, Gig Harbor, WA 98335	
			Inn at Gig Harbor, 3211 56th Street Northwest, Gig Harbor, WA 98335	
	Tacoma		Amtrak, 1001 Puyallup AvenueTacoma, WA 98421	
			Hotels	
			Greyhound, 510 Puyallup AvenueTacoma, Washington 98421	
	University Place		McDonald's, 6700 19th St, University Place, WA 98466	
King	Seattle		Hotels	
			Amtrak, 303 South Jackson Street, Seattle, WA 98104	
			Greyhound, 503 South Royal Brougham Way, Seattle, WA 98134	
			Cruise Pier 66, 2225 Alaskan Way, Seattle, WA 98121	
			Cruise Pier 91, 2001 West Garfield Street, Seattle, WA 98119	
	SeaTac		Airport, INTERNATIONAL BLVD, SEATAC WA 98188	
			Hotels	

Statement in Support of Promotional Fares



Transportation options can be very limited from Clallam and East Jefferson Counties. These discounted fares that we are asking permission for will be given through various media outlets in Sequim, Port Angeles, and Port Townsend, Washington. Along with these promotional fares through the radio stations and newspaper, Rocket would also like to offer a promotional fare through our own company. We believe these promotions will serve to inform citizens from Clallam and East Jefferson Counties about important transportation services as well as to provide more access by providing for some discounted promotional fares.

Promotional Fares would not have an effect on current fares as they are only available to each household on one occasion or to passengers who are repeat users. The actual monies not received for these fares come back to Rocket Transportation in form of additional advertising and community goodwill.

In summary we believe that by offering discounted fares through the radio stations, newspaper and through our own company will help increase our ridership, create an expanded repeat customer base & create several positive outcomes for the community:

- Efficiency/safety – if more people are using a shared service this makes our highways safer & more efficient by helping to smooth traffic flow through sometimes very busy choke points & help save valuable roads & statewide infrastructure.
- Reducing the carbon footprint – if more members of the community use our shared shuttle service they will not be using their own vehicles.
- Foster cooperation – this can help bring together different business entities to possibly help solve different transportation issues in the community.
- Access to a vulnerable part of our community – the main demographic for the KSQM are seniors, this segment of our community sometimes need the extra help that be had by our door-to-door shared service. Also discounted fares will help those on limited fixed incomes access our services.
- Support local fundraising efforts within our local community through ticket donations, like programs for the elderly and children.

In light of this Rocket Transportation respectfully requests permission to allow Rocket Transportation to offer promotional fares.