

1300 S. Evergreen Park D
P.O. Box 47250
Olympia, WA 98504-7250
Phone: 360-664-1222
Fax: 360-586-1181
TTY: 360-586-8203
or
1-800-416-5289
email: transportation@utc.wa.gov

HOUSEHOLD GOODS MOVING COMPANY PERMIT APPLICATION

<i>FOR OFFICIAL USE ONLY</i>			
Date Filed: 1/29/15	DOL/SO: 02/01	ID: 16840	Docket #:-
Staff Assigned: [Signature]	Insurance	Inspection	Permit Issued THG-
Reception #	111-0268-207-02	Receipt ID	111-0268-013-20

Type of Household Goods Authority Requested – check one Fee Required

- Provisional and permanent authority. The fee for provisional, and then permanent authority is a one-time fee. – Complete pages 3-8 and Attachment A \$ 550
- Permanent authority to transfer resulting in a change in ownership or controlling interest (at least six months must be served on a temporary provisional basis) – Complete pages 3-8 and Attachment B \$ 550
- Permanent authority to transfer under the exceptions in WAC 480-15-187 – Complete pages 3-8 and Attachments B & C \$ 250
- Reinstatement of permit (must be filed within 30 days of cancellation, depending on criteria set forth in WAC 480-15-450) – Complete pages 3-4 and include a statement justifying the reinstatement \$ 250
- Name Change – Complete pages 3-4 and Attachment D \$ 35

BUSINESS INFORMATION

Legal Name: Western Transfer, LLC *of Washington per WAC*
(must be individual, partners of a partnership or corporation)

Trade Name, if applicable: Morgan Transfer Moving and Storage

Physical Address: 2590 Willamette Dr. NE #101 Olympia WA 98516

Mailing Address: SAME

Telephone Number: 360 493-1300 Fax Number ()

Posted
3

BUSINESS INFORMATION - continued

UBI #: 603-439-437 Email: jacoba@morgantransfer.com

USDOT #: 2575693 (If you currently don't have one, go online at www.fmcsca.dot.gov/online-registration to apply or call 360-596-3812 for assistance.)

Department of Labor & Industries Worker's Comp Acct? Account # 335,861-00

Employment Security Department registration number? ESD # 060942-00-6

Is your business registered with the Department of Revenue? No Yes

TYPE OF BUSINESS STRUCTURE

Individual Partnership Corporation Other (LP, LLP, LLC) State of Incorporation WA

List the name, title and percentage of partner's share or stock distribution for major stockholders:

Name	Title	Stock Distribution or % of Shares
<u>Jacob Alden</u>	<u>Managing Member</u>	<u>100</u>

*Must provide a copy of a valid driver's license or government-issued photo identification card for each person named in the application.

Describe the services you wish to provide. Explain how your services will enhance customer choice, promote competition, or fill an unmet need for service: Moving + Storage
By purchasing this business I will help maintain + grow the competition as well as provide a greater level of service by affiliating with Uni-Group.

Briefly describe your ^{months} experience in the transportation/household goods moving industry:
I have a few months experience. But the seller, Mike Michael, and his entire staff is staying on board. Mike will train me for at least 6 months + will stay on beyond that for additional guidance.

Do you currently hold, or have you ever held, a permit to operate as a motor carrier of property?
 No Yes If yes, please indicate your permit number _____

Have you ever applied for and been denied a permit to operate as a motor carrier of property in Washington? No Yes If yes, please explain _____

Do you currently operate interstate? No Yes If yes, please indicate your MC# _____

Do you operate interstate as an agent of another company? No Yes
If yes, what is the name of the company? Uni-Group, Inc.

Do you have, or have you ever had a business related legal proceeding against you in Washington, or in any other state? No Yes If yes, please explain: _____

Has any person named in this application, within the past five years, been convicted of any crime involving theft, burglary, sexual misconduct, identity theft, fraud, false statements, or the manufacture, sale, or distribution of a controlled substance? No Yes If yes, please explain: _____

Has any person named in this application, been cited for violation of state laws or Commission rules? No Yes If yes, please explain: _____

see attached **FINANCIAL STATEMENT**
 You must complete the following financial statement or attach a balance sheet, profit and loss statement, or business plan.

Assets		Liabilities	
Cash in Bank	\$	Salaries/Wages Payable	\$
Notes Receivable	\$	Accounts Payable	\$
Investments	\$	Notes Payable	\$
Other Current Assets	\$	Mortgages Payable	\$
Prepaid Expenses	\$	TOTAL LIABILITIES	\$
Land and Buildings	\$	NET WORTH	
Trucks and Trailers	\$	Preferred Stock	\$
Office Furniture	\$	Common Stock	\$
Other Equipment	\$	Retained Earnings	\$
Other Assets	\$	Capital	\$
TOTAL ASSETS	\$	TOTAL LIABILITIES & NET WORTH	\$

see attached **EQUIPMENT LIST**
 Describe the equipment you will own or lease to provide moving services (attach additional sheets if necessary).

Year	Make	License Number	Vehicle ID Number	Gross Vehicle Weight

SAFETY AND OPERATIONS

CONTROLLED SUBSTANCE AND ALCOHOL USE AND TESTING (Title 49, Code of Federal Regulations Part 382 and Part 40). If you operate commercial motor vehicles, your drivers must be in a Controlled Substance and Alcohol Use and Testing program. You must have an alcohol and controlled substances testing program. ****Please attach evidence of your enrollment in a drug and alcohol testing program.**

SAFETY RESPONSIBILITIES

List the person and position responsible for understanding and complying with the Federal Motor Carrier Safety Regulations (FMCSR) and Washington State Laws and commission rules (WAC) as described below. Please refer to the WAC rules, Fact Sheets and publication "Your Guide to Achieving a Satisfactory Safety Rating" for assistance with requirements that may apply to your specific operations

COMMERCIAL DRIVER'S LICENSE (CDL) STANDARDS REQUIREMENT AND PENALTIES (Title 49, Code of Federal Regulations Part 383). If you operate commercial motor vehicles, your drivers must have a valid CDL.

DRIVER QUALIFICATION REQUIREMENTS: (Title 49, Code of Federal Regulations Part 391). Each of your drivers must meet minimum qualification requirements. You must maintain driver qualification files for each driver.

DRIVERS HOURS OF SERVICE (Title 49, Code of Federal Regulations Part 395). Each of your drivers must maintain hours of service logs. You must maintain true and accurate hours of service records for each driver.

INSPECTION, REPAIR AND MAINTENANCE (Title 49, Code of Federal Regulations Part 396). You must systematically inspect, repair, and maintain all motor vehicles.

PARTS AND ACCESSORIES NECESSARY FOR SAFE OPERATION (Title 49, Code of Federal Regulations Part 393). You must maintain parts and accessories in a safe condition.

LIABILITY INSURANCE REQUIREMENTS (WAC 480-15-530). You must file and maintain proof of public liability and proper damage insurance (\$300,000 minimum coverage for vehicles under 10,000 pounds GVWR and \$750,000 minimum coverage for vehicles 10,000 pounds GVWR or more)

CARGO INSURANCE REQUIREMENTS (WAC 480-15-550). You must maintain cargo insurance coverage (\$10,000 for household goods transported in motor vehicles under 10,000 pounds GVWR and \$20,000 for vehicles 10,000 pounds GVWR or more).

Name: *Roni Michael*

Position: *Quality + Safety*

OPERATIONAL RESPONSIBILITIES

Annual Reports and Regulatory Fees (WAC 480-15-480). You must annually file a report of your financial operations and pay regulatory fees.

Name: <u>Jacob Alden</u>	Position: <u>Managing Member</u>
--------------------------	----------------------------------

STATE OF WASHINGTON – general laws, rules and regulations. Individuals and companies doing business in the State of Washington must comply with the regulations of local, state, and federal agencies. Please state the name and position of the person in your organization who will be responsible for ensuring compliance with the laws of the State of Washington, such as, but not limited to the Department of Labor and Industries (industrial insurance, safety, prevailing wage); Department of Licensing (vehicle and drivers licenses, business licensing, Unified Business Identifier (UBI number), fuel permits, fuel tax; Secretary of State (corporate registrations); Department of Transportation (over-size or over-weight permits); Department of Revenue, Internal Revenue Service (taxes); and Employment Security.

Name: <u>Jacob Alden</u>	Position: <u>Managing Member</u>
--------------------------	----------------------------------

DECLARATION OF APPLICANT

I understand that filing this application does not in itself constitute authority to operate as a household goods mover.

As the applicant for a household goods permit, I understand the responsibilities of a motor carrier and I am in compliance with all local, state and federal regulations governing businesses, including household goods movers, in the state of Washington.

I understand that if the commission grants my application as a new entrant I will receive temporary authority to provide service as a household goods carrier on a provisional basis for at least six months. During this time, the commission will evaluate whether I have met the criteria in WAC 480-15-330 to obtain permanent authority. I also understand that I must comply with all conditions placed on my temporary permit and that failure to do so will result in cancellation of my permit.

My employees are sufficiently trained to comply with commission rules regarding estimates, bills of lading, rates and charges and terms and conditions of household goods moves. In addition, my employees are sufficiently trained to comply with commission rules regarding vehicle operation, maintenance, and all other safety requirements. My company will provide a copy of the customer survey to each customer for whom we provide transportation service.

I certify or declare under penalty of perjury under the laws of the State of Washington that the information contained in this application is true and correct.

Jacob Alden

Print name of applicant

[Signature]

Signature of Applicant

10/24/14 Lacey, WA

Date and Location

ATTACHMENT B

**Transfer of Household Goods Authority
Per WAC 480-15-187**

Current Name on Permit (Seller): Morgan Transfer, INC.
Current Trade Name on Permit (Seller): SAME
Address (Seller): 2604 Willamette Dr. NE, Olympia, WA 98516
HG Permit Number: 24108 Phone Number (Seller): 800-858-1926

Does the transfer of this permit fall under the provisions of WAC-480-15-187(2) or (3)?
 No Yes If yes, please complete Attachment C.

Have all fines or penalties owed to the commission been paid? No Yes

Has the closing annual report been filed with the commission? No Yes

A customer may file a loss or damage claim for up to nine months following a move and may file a loss or damage lawsuit for up to two years following a move. Who will be responsible for handling claims filed by customers for loss or damage that occurred on moves taking place prior to the sale and transfer?

Seller will be responsible for claims for 2 years following sale.
Buyer will be responsible for claims after 2 years following sale.

RELEASE OF AUTHORITY

I, the seller, have sold or otherwise released interest in my household goods permit number HG-24108 to the following:

Name of Buyer: Western Transfer, LLC
Trade Name of Buyer: Morgan Transfer Moving + Storage

We, as applicants, hereby jointly declare and affirm that all information is true to the best of our knowledge.

[Signature]
Seller's Signature

10/24/14 - Lacey, WA
Date and Location

[Signature]
Buyer's Signature

10/24/14 - Lacey, WA
Date and Location

ATTACHMENT C

**TRANSFER OF PERMANENT HOUSEHOLD GOODS AUTHORITY
UNDER EXCEPTIONS IN WAC 480-15-187(2) or (3)**

1. The commission will grant an application to transfer existing permanent authority, without requiring a provisional permit, public notice or comment, if the applicant is fit, willing and able to provide service and the application is filed to transfer or acquire control of permanent authority for any one of the following reasons (check one, if applicable):

- A partnership has dissolved due to the death, bankruptcy, or withdrawal of a partner, and that partner's interest is being transferred to a spouse or to one or more remaining partners;
- A shareholder in a corporation has died and that shareholder's interest is being transferred to a surviving spouse or one or more surviving shareholders;
- A sole proprietor has died, the sole proprietor devised or bequeathed the company by will, and the applicant is seeking transfer of the permit in accordance with the bequest or devise set forth in the will.
- An individual has incorporated and the same individual remains the majority shareholder;
- An individual has added a partner but the same individual remains the majority partner;
- A corporation has dissolved and the interest is being transferred to the majority shareholder;
- A partnership has dissolved and the interest is being transferred to the majority partner;
- A partnership has incorporated and the partners are the majority shareholders; or
- Ownership is being transferred from one corporation to another corporation when both are wholly owned by the same shareholders.

Documentation supporting the checked box above must be included with your application. You may submit a corporate resolution, partnership agreement, court order, death certificate, will or other proof of right to inherit, estate executor's statement, community property agreement or other such documentation that may support your request.

2. The Commission will grant an application for permanent authority without requiring a provisional permit after the application has been published on the application docket subject to comment for thirty days if the applicant is fit, willing, and able to provide service, the applicant has filed to transfer control of permanent authority, and all the following conditions exist:

Ownership of a permit is being transferred to any shareholder, partner, family member, employee, or other person familiar with the company's operations and the household goods moving services provided. If you check this option, please complete the following:

- a. Has the permit been actively used by the current owner to provide household goods moving services during the twelve-month period prior to the application? No Yes
- b. Provide a certified statement from the applicant and the current owner explaining why the transfer is necessary to ensure the company's economic viability: ✓
- c. Provide a certified statement from the applicant and the current owner describing the steps taken by the parties to ensure that safe operations and continuity of service to customers is maintained. ✓

*see attached
certified statements*

Asset List

<u>Unit #</u>	<u>Year</u>	<u>Model</u>	<u>Description</u>	<u>Serial Number</u>	<u>Value</u>
A&E					
2	1979	KENTUCKY	48' DD TRAILER	58694	\$5500
7	1993	FRGHT	26' VAN(#801-152)	1FV6HFAA1PL481693	\$8000
9	1987	GMC	PACK VAN	2GDHG31K7H4505401	\$3000
18	1993	KENTUCKY	48' TRAILER	1KKVE4821PL095817	\$12000
19	1993	INTERNATIONAL	26' VAN(#819-152)	IHTSCPLM6PH512528	\$12000
21	1994	FRTLNR	TRACTOR	1FUWHLBB6RL591829	\$8000
31	1993	INTERNATIONAL	26' VAN(#31-152)	IHTSDPNN9PH544506	\$12000
32	1993	KENTUCKY	48' TRAILER	1KKVE482XPL094889	\$6000
33	2004	INTERNATIONAL	26' VAN (#803-152)	IHTMMAAN64H601414	\$23000
34	1993	KENTUCKY	48' SD (EQUIPMENT TRAILER)	1KKVE4826PL094842	\$3000
45	1989	VOLVO	TRACTOR	4V1ABAMD7KN616335	\$2500
46	1993	KENTUCKY	48' TRAILER	1KKVE4821PL094831	\$12000
52	1981	KENTUCKY	30' TRAILER	1KKV02716B6000106	\$8500
54	1994	KENTUCKY	48' TRAILER	1KKVE4821RL097442	\$12000
60	2002	KENTUCKY	51' TRAILER	1KKVE51272L206654	\$18000
102	2003	KENTUCKY	53' TRAILER	1KKVE532X3L209997	\$18000
104	2003	KENTUCKY	53' TRAILER	1KKVE53203L211242	\$18000
108	2006	KENTUCKY	53' TRAILER	1KKVE53276L220752	\$28000
205	1972	FRUEH	40' CURTAIN (BLACK)	MEP308336	\$1500
235	1971	BROWN	40' CURTAIN (GREEN)	S724783	\$1500
					\$209,500

Morgan

2	2005	HYUNDAI	ELANTRA	KMHNDN46D75U976776	\$5000
3	2005	HYUNDAI	ELANTRA	KMHNDN46D65U983377	\$5000
5	2006	HYUNDAI	ELANTRA	KMHNDN46D56U198866	\$5500
13	2002	MITSUBISHI	16' VAN W/LIFTGATE	JW6BHF1S22L000233	\$8000
22	1997	INTERNATIONAL	4600 26' VAN (#8220389)	IHTSCAAM4VH460376	\$15000
23	1988	INTERNATIONAL	26' VAN (UVL #8010389)	IHTLDZZN4JH605102	\$12000
24	2001	FREIGHTLINER	24' VAN W/ RAIL GATE	1FVABSAK61HH67503	\$14000
25	2002	INTERNATIONAL	26 FT VAN (#8030389)	IHTMMAAN72H400375	\$25000
40	1974	KENTUCKY	42' TRAILER	48188	\$2500
119675	1977	GREAT DANE	45' TRAILER	WA92169447	\$4000
303389	1998	KENTUCKY	51' TRAILER	1KKVE512XWL111494	\$18000
304389	1990	KENTUCKY	48' TRAILER	1KKVE482XLL088407	\$12000
305389	1986	KENTUCKY	48' DROP FRAME TRAILER	1KKVF4825GL075860	\$12000
306389	2003	KENTUCKY	53' KENTUCKY	1KKVE53284L212544	\$22000
323389	1989	FREIGHTLINER	TRACTOR	2FUVDXYB7KV355584	\$7000
328389	1996	FREIGHTLINER	TRACTOR	2FUVDSEB0TA596452	\$8000
					\$174,500

Additional equipment:

Forklifts -

Morgan - 1 @ \$6500 =	\$6500
A&E - 1 @ \$6500 =	\$6500

Each truck/trailer, with a few exceptions, are completely outfitted with the standard compliment of pads, burlap skins, straps, bands, auto tie downs, refer dollies, carton dollies, piano dollies, swivel dollies and walk boards. A complete compliment of equipment on a trailer is valued at \$4500, while the same for a straight truck is valued at \$3000. Per the above list of vehicles:

Morgan =	\$37,500
A&E =	\$61,500

Excess moving equipment such as walk boards, refer dollies, carton dollies, pallets of pads, piano dollies and swivel dollies are in the warehouse as well = \$15,000

Desks - 10 w/o return, 15 with returns - 25 @ \$150 =	\$3750
Credenzas - 6 @ \$125 =	\$750
Custom logo entry workstations	
Morgan -	\$8500
A&E -	\$8500
File cabinets -	
4 dr fire proof - 5 @ \$250 =	\$1250
2 dr fireproof - 2 @ \$125 =	\$250
4 dr laterals - 3 @ \$150 =	\$450
3 dr laterals - 2 @ \$100 =	\$200
2 dr laterals - 10 @ \$75 =	\$750
4 dr regular - 50 @ \$80 =	\$4000
3 dr regular - 1 @ \$75 =	\$75
2 dr regular - 11 @ \$50 =	\$550
Office chairs - 23 @ \$75 =	\$1725
Straight chairs - 33 @ \$50 =	\$1650
Bookshelves - 12 @ \$100 =	\$1200
Small tables - 5 @ \$25 =	\$125
3 in 1 copier/fax/printer 1 @ \$175 =	\$175
Small shelves - 2 @ \$25 =	\$50
Whiteboard - 3 @ \$60 =	\$180
Presentation wall board - 1 @ \$250 =	\$250
Metal uniform closets - 3 @ \$90 =	\$270
Conference table - 1 @ \$200 =	\$200
Multi-line telephone system - 1 @ \$6500	\$6500
Misc sales and marketing items -	\$1500
PCs - 8 @ \$425 =	\$3400
Server unit - 1 @ \$1500 =	\$1500
Laptop computers - 3 @ \$150 =	\$450
Notebook computers - 2 @ \$250 =	\$500
Copiers - 2 @ \$5500 =	\$11,000
Faxes - 2 @ \$150 =	\$300
Printers - 13 @ \$80 =	\$1040
2 cushion sofa - 2 @ \$100 =	\$200
3 cushion sofa - 1 @ \$125 =	\$125
Drivers room table and 4 chairs -	\$250
Refrigerator - 2 @ \$200 =	\$400
Microwave oven - 2 @ \$35 =	\$70
Pallet Racking - 700 lineal feet -	\$8500
Record storage racking - 232 lineal feet -	\$2500
Workbenches - 2 @ \$350 =	\$700
Rolling inventory carts - 1 @ \$100 =	\$100
Rolling pad carts - 2 @ 250 =	\$500
Rug racks - 6 @ \$150	\$900
Pallet jacks - 5 @ \$200	\$1000
Safe jacks - 1 set @ \$300	\$300
Metal trash cans - 15 @ 10	\$150
Panel carts - 3 @ \$175 =	\$525
Machine & library carts - 40 @ \$125	\$5000
Sofa racks/vaults - 71 @ \$150 =	\$10650
Lift vans - 77 @ \$50	\$3850
Storage vaults - 882 @ \$200	<u>\$132,300</u>
	\$346,060

Jacob C. Alden
13660 18th Ave SW
Burien, WA 98166
September 1st, 2014

Personal Financial Statement

Assets

Cash on Hand	\$149,000
Combined Retirement Accounts	\$320,000
Residence	\$640,000
Beach House Rental	\$340,000
2010 Honda CRV 4WD EX-L	\$23,000
2010 Honda Civic Hybrid	\$17,000
1964 1/2 Ford Mustang	\$10,000
Household Furnishings and Personal Effects	\$65,000
Stamp Collection	\$9,500
Silver and Coin Collection	\$7,000
Jewelry	\$15,000

TOTAL Assets **\$1,595,500**

Liabilities

Liens on Real Estate	\$789,000
Liens on Hondas	\$38,000
New Windows	\$10,500
Credit Card	\$6,000

TOTAL Liabilities **\$843,500**

Net Worth **\$752,000**

Sources of Income

Wife's Salary as a Master's Prepared Nurse	\$99,600
Interest	\$400
Rental Income	\$14,000
Income from Prospective New Business Purchase	\$250,000

Income **\$364,000**

Certified Statements

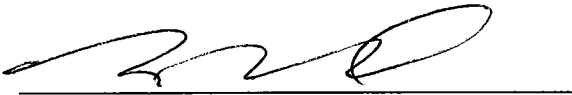
(Re: Attachment C, #2b and #2c)

Seller: Morgan Transfer, Inc.

Buyer: Western Transfer, LLC dba Morgan Transfer

2b) Provide a certified statement from the applicant and the current owner explaining why the transfer is necessary to ensure the company's economic viability:

We certify that if the transfer of the permanent household goods authority does not go through, than the company will cease to exist.



Seller: Mike Michael for Morgan Transfer, Inc.

Date and location: 10/24/14
LACEY, WA

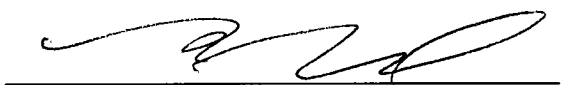


Buyer: Jacob Alden for Western Transfer, LLC

Date and location: 10/24/14
Lacey, WA

2c) Provide a certified statement from the applicant and the current owner describing the steps taken by the parties to ensure that safe operations and continuity of service to customers is maintained:

We certify that the seller will remain with the buyer for a period of no less than 6 months. He will train the buyer on the processes that ensure safe operation and continuity of service to customers. In addition, the buyer will maintain the entirety of the existing fully trained staff with the new company. The buyer is also currently reviewing the publication "Your Guide to Achieving a Satisfactory Safety Record" written by the Washington UTC. And the buyer will attend, with relevant staff members, the various safety courses put on by our van lines, UniGroup, Inc.



Seller: Mike Michael for Morgan Transfer, Inc.

Date and location: 10/24/14 -
LACEY, WA -



Buyer: Jacob Alden for Western Transfer, LLC

Date and location: 10/24/14
Lacey, WA