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TV-110259-AT



WASH. UT. & TP. COMM
HOUSEHOLD GOODS MOVING COMPANY
PERMIT APPLICATION



Table with 2 columns: Type of Household Goods Authority Requested - Check one, Fee Required. Rows include Emergency temporary authority (\$50), Temporary authority (\$250), Permanent authority (at least six months) (\$550), Permanent authority to transfer or acquire control (\$550), Permanent authority to transfer or acquire control under exceptions (checked, \$250), Reinstatement of permit (\$250), Name Change (\$35), Extension of authority (\$550).

TYPE OF PAYMENT
[X] Check [] Money Order [] Amex [] Mastercard [] Visa

Grid of 12 empty boxes for payment details.

Amount: \$250.00 Expiration Date: _____

CERTIFICATION: I, the undersigned, under penalty for false statement, certify that the following information is true and correct, that I am authorized to execute and file this document on behalf of the applicant and that all information on file is current and valid.

Name (printed): Sean Trefethen Company Name: Trefethen and Co., Inc.

Cardholder's Signature: _____ Date: 1/24/11

FOR OFFICIAL USE ONLY

Form with fields: Date Filed (2/7/11), DOL/SDS (checked), ID (6306), Permit Issued: THG-, Staff Assigned (circled), Insurance, Inspection, Docket #

Reception #: 0030868
111-0268-207-02 250.00 111-0268-207-01 111-0268-013-20

2451

BUSINESS INFORMATION

Name of Applicant Trefethen & Co., Inc. ^{& per UBI}
(must be individual, partners of a partnership or corporation)

Trade Name, if applicable Bader and Olson

Physical Address 4143 1st Ave S. Seattle, WA 98134

Mailing Address Same

Telephone Number (206) 447-1770 Fax Number (206) 447-1796

UBI #: 602-775-224 Email: sean@trefethenco.com

USDOT #: 2114900 (If you currently don't have one, you can go online at www.fmcsca.dot.gov/online-registration to apply for one or call 360-596-3816 or 360-596-3803 for assistance.)

Have you established a Worker's Compensation Account with the Department of Labor & Industries?
 No Yes L & I Account No. 136,056-01 (required if you have employees.)

Have you registered with the Employment Security Department? No Yes
ESD No. 390887-00-0 (required if you have employees)

Have you registered your business with the Department of Revenue? No Yes

TYPE OF BUSINESS STRUCTURE

Individual Partnership Corporation Other _____
(LP, LLP, LLC)

List the name, title and percentage of partner's share or stock distribution for major stockholders:

Name	Title	Stock Distribution or Percentage of Shares
<u>Sean Trefethen</u>	<u>President</u>	<u>100%</u>

Choose one of the following for the territory in which you wish to operate:

- All counties in the State of Washington
 The following named counties only:

Describe the services you wish to provide. Explain how your services will enhance customer choice, promote competition, or fill an unmet need for service:

Our current company already provides Residential household moving under Carl Compton Inc's WUTC license and we will continue that same service and compliance

Briefly describe your experience in the transportation/household goods moving industry:

We have over 20 years of service experience

Do you currently hold, or have you ever held, a permit to operate as a motor carrier of property?

No Yes If yes, please indicate your permit number HG030389

Have you ever applied for and been denied a permit to operate as a motor carrier of property in Washington? No Yes If yes, please explain _____

Do you currently operate interstate? No Yes If yes, please indicate your MC# _____ and USDOT# _____

Do you operate interstate as an agent of another company? No Yes If yes, what is the name of the company? _____

Do you have, or have you ever had a business related legal proceeding against you in Washington, or in any other state? No Yes If yes, please explain: _____

Have you ever been convicted of a crime? No Yes If yes, please explain: _____

Have you been cited for violation of state laws or Commission rules? No Yes If yes, please explain: _____

see attached
FINANCIAL STATEMENT

You must complete the following financial statement or attach a balance sheet, profit and loss statement, or business plan.

Assets		<i>see attached</i> Liabilities	
Cash in Bank	\$	Salaries/Wages Payable	\$
Notes Receivable	\$	Accounts Payable	\$
Investments	\$	Notes Payable	\$
Other Current Assets	\$	Mortgages Payable	\$
Prepaid Expenses	\$	TOTAL LIABILITIES	\$
Land and Buildings	\$	NET WORTH	
Trucks and Trailers	\$	Preferred Stock	\$
Office Furniture	\$	Common Stock	\$
Other Equipment	\$	Retained Earnings	\$
Other Assets	\$	Capital	\$
TOTAL ASSETS	\$	TOTAL LIABILITIES & NET WORTH	\$

EQUIPMENT LIST

Describe the equipment you will use (attach additional sheets if necessary).

Year	Make	License Number	Vehicle ID Number	Gross Vehicle Weight
2000	2000 ISUZU	B22001B	JALCH3144Y7001491	14,000 26000
1999	International 4700	A31042V	1HTSCLAMSXH687398	26000
1999	International 4700	A65151V	1HTSCLAM4XH619514	26000

SAFETY AND OPERATIONS

List the person and position responsible for understanding and complying with the Federal Motor Carrier Safety Regulations (FMCSR) and Washington State Laws and commission rules (WAC) as described below. Please refer to the WAC rules, Fact Sheets and publication "Your Guide to Achieving a Satisfactory Safety Rating" for assistance with requirements that may apply to your specific operations.

SAFETY RESPONSIBILITIES

COMMERCIAL DRIVER'S LICENSE (CDL) STANDARDS REQUIREMENT AND PENALTIES (Title 49, Code of Federal Regulations Part 383). If you operate commercial motor vehicles, your drivers must have a valid CDL.

DRIVER QUALIFICATION REQUIREMENTS: (Title 49, Code of Federal Regulations Part 391). Each of your drivers must meet minimum qualification requirements. You must maintain driver qualification files for each driver.

DRIVERS HOURS OF SERVICE (Title 49, Code of Federal Regulations Part 395). Each of your drivers must maintain hours of service logs. You must maintain true and accurate hours of service records for each driver.

CONTROLLED SUBSTANCE AND ALCOHOL USE AND TESTING (Title 49, Code of Federal Regulations Part 382 and Part 40). If you operate commercial motor vehicles, your drivers must be in a Controlled Substance and Alcohol Use and Testing program. You must have an alcohol and controlled substances testing program.

INSPECTION, REPAIR AND MAINTENANCE (Title 49, Code of Federal Regulations Part 396). You must systematically inspect, repair, and maintain all motor vehicles.

PARTS AND ACCESSORIES NECESSARY FOR SAFE OPERATION (Title 49, Code of Federal Regulations Part 393). You must maintain parts and accessories in a safe condition.

LIABILITY INSURANCE REQUIREMENTS (WAC 480-15-530). You must file and maintain proof of public liability and proper damage insurance (\$300,000 minimum coverage for vehicles under 10,000 pounds GVWR and \$750,000 minimum coverage for vehicles 10,000 pounds GVWR or more)

CARGO INSURANCE REQUIREMENTS (WAC 480-15-550). You must maintain cargo insurance coverage (\$10,000 for household goods transported in motor vehicles under 10,000 pounds GVWR and \$20,000 for vehicles 10,000 pounds GVWR or more).

Name:

Sean Trefethen

Position:

President

OPERATIONAL RESPONSIBILITIES

Annual Reports and Regulatory Fees (WAC 480-15-480). You must annually file a report of your financial operations and pay regulatory fees.

Name: Sean Trefethen	Position: President
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STATE OF WASHINGTON – general laws, rules and regulations: Individuals and companies doing business in the State of Washington must comply with the regulations of local, state, and federal agencies. Please state the name and position of the person in your organization who will be responsible for ensuring compliance with the laws of the State of Washington, such as, but not limited to the Department of Labor and Industries (industrial insurance, safety, prevailing wage); Department of Licensing (vehicle and drivers licenses, business licensing, Unified Business Identifier (UBI number), fuel permits, fuel tax; Secretary of State (corporate registrations); Department of Transportation (over-size or over-weight permits); Department of Revenue and Internal Revenue Service (taxes); and Employment Security.

Name: Sean Trefethen	Position: President
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DECLARATION OF APPLICANT

I understand that filing this application **does not** in itself constitute authority to operate as a household goods mover.

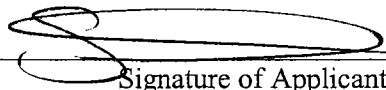
As the applicant for a household goods permit, I understand the responsibilities of a motor carrier and I am in compliance with all local, state and federal regulations governing businesses, including household goods movers, in the state of Washington.

I understand that if the commission grants my application as a new entrant I will receive temporary authority to provide service as a household goods carrier on a provisional basis for at least six months. During this time, the commission will evaluate whether I have met the criteria in WAC 480-15-330 to obtain permanent authority. I also understand that I must comply with all conditions placed on my temporary permit and that failure to do so will result in cancellation of my permit.

My employees are sufficiently trained to comply with commission rules regarding estimates, bills of lading, rates and charges and terms and conditions of household goods moves. In addition, my employees are sufficiently trained to comply with commission rules regarding vehicle operation, maintenance, and all other safety requirements. My company will provide a copy of the customer survey to each customer for whom we provide transportation service.

I certify or declare under penalty of perjury under the laws of the State of Washington that the information contained in this application is true and correct.

Sean Trefethen
Print name of applicant


Signature of Applicant

1/20/11 4143 1st Ave S.
Seattle, WA 98134
Date and Location

ATTACHMENT B

Transfer or Acquisition of Control

Applicant is seeking one of the following – please check one:

Transfer Acquisition of Control

Current Name on Permit (Seller): Carl Compton Inc.

Current Trade Name on Permit (Seller) Bader and Olson

Address (Seller) 4143 1st Ave. S Seattle, WA 98134

HG Permit Number: HG030389 Phone Number (Seller) 206-447-1770

Does the transfer of this permit fall under the provisions of WAC-480-15-335? No Yes
If yes, please complete Attachment C.

Have all fines or penalties owed to the commission been paid? No Yes

Has the closing annual report been filed with the commission? No Yes

A customer may file a loss or damage claim for up to nine months following a move and may file a loss or damage lawsuit for up to two years following a move. Who will be responsible for handling claims filed by customers for loss or damage that occurred on moves taking place prior to the sale and transfer/acquisition? Carl Compton Inc.

RELEASE OF AUTHORITY

I, the seller, have sold or otherwise released interest in my household goods permit number HG-030389 to the following:

Name of Buyer: Trefethen and Co., Inc.

Trade Name of Buyer; Bader and Olson

We, as applicants, hereby jointly declare and affirm that all information is true to the best of our knowledge.

Carl A. Compton 1-28-11 4143 1st Ave. S.
Seller's Signature Date and Location Seattle, WA 98134

[Signature] 1/20/11 same
Buyer's Signature Date and Location

ATTACHMENT C

TRANSFER OR ACQUISITION OF PERMANENT HOUSEHOLD GOODS AUTHORITY UNDER EXCEPTIONS IN WAC 480-15-335

1. The commission will grant an application for permanent authority without public notice or comment if the applicant is fit, willing and able to provide service and the application is filed to transfer or acquire control of permanent authority for one of the following reasons (check one, if applicable):
- A partnership has dissolved due to the death, bankruptcy, or withdrawal of a partner, and that partner's interest is being transferred to one or more of the remaining partners or a spouse;
 - A shareholder in a corporation has died and that shareholder's interest is being transferred to a surviving spouse or one or more surviving shareholders;
 - A sole proprietor has died and the interest is being transferred as property of the estate;
 - An individual has incorporated, and the same individual remains the majority shareholder;
 - An individual has added a partner, but the same individual remains the majority partner;
 - A corporation has dissolved and the interest is being transferred to the majority shareholder;
 - A partnership has dissolved and the interest is being transferred to the majority partner;
 - A partnership has incorporated and the partners are the majority shareholders; or
 - Ownership is being transferred from one corporation to another corporation when both are wholly owned by the same shareholders.

Documentation supporting the checked box, above, must be included with your application. You may submit a corporate resolution, partnership agreement, court order, death certificate, will or other proof of right to inherit, estate executor's statement, community property agreement or other such documentation that may support your request.

2. The Commission will grant an application for permanent authority without temporary permit operations following public notice or comment if the applicant is fit, willing and able to provide service and the application is filed to transfer or acquire control of permanent authority for the following reason:

Ownership or control of a permit is being transferred to any shareholder, partner, family member, employee, or other person familiar with the company's operations and the household goods moving services provided. If you check this option, please complete the following:

- a. Has the permit been actively used by the current owner to provide household goods moving services during the last twelve-month period? No Yes
- b. Explain why the transfer of ownership or control is necessary to ensure the company's economic viability: Household goods moving is a big part of our business and a major part of the sale.
- c. Describe the steps taken by the applicant and the current owner to ensure that safe operations and continuity of service to the customers are maintained: The previous owner is staying on as a consultant to help transition the new ownership.

Trefethen and Co., Inc.
Balance Sheet
As of January 27, 2011

	<u>Jan 27, 11</u>
ASSETS	
Current Assets	
Checking/Savings	
Trefco Wamu Checking	65,538.55
Total Checking/Savings	<u>65,538.55</u>
Accounts Receivable	
11000 · Accounts Receivable	37,837.48
Total Accounts Receivable	<u>37,837.48</u>
Other Current Assets	
Employee Advances	5,997.35
12000 · Undeposited Funds	22,295.60
Total Other Current Assets	<u>28,292.95</u>
Total Current Assets	131,668.98
Fixed Assets	
'04 Chev. Van	2,636.12
Bader & Olson purchase	41,870.44
Office Equipment	2,375.04
17000 · Accumulated Depreciation	<u>-1,425.00</u>
Total Fixed Assets	45,456.60
Other Assets	
Commom Stock	<u>-500.00</u>
Total Other Assets	<u>-500.00</u>
TOTAL ASSETS	<u>176,625.58</u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Shareholders Loan	7,080.67
25500 · Sales Tax Payable	1,393.75
Total Other Current Liabilities	<u>8,474.42</u>
Total Current Liabilities	<u>8,474.42</u>
Total Liabilities	8,474.42
Equity	
32000 · Owners Equity	139,424.17
Net Income	28,726.99
Total Equity	<u>168,151.16</u>
TOTAL LIABILITIES & EQUITY	<u>176,625.58</u>