

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

1300 South Evergreen Park Drive SW, PO Box 47250
 Olympia Washington 98504-7250
 Phone: (360) 664-1222
 Fax (360) 586-1181

RECEIVED

APR 06 2004

WASH. UT. & TP. COMM.

APPLICATION FOR CHARTER BUS/EXCURSION SERVICE CERTIFICATE

Fee: \$150.00

CH-460

111 0268 232 01	CID <u>42489</u>	CHA <u>79271</u>
111 0268 232 02 <u>150.00</u>	DATE <u>4-6-04</u>	SAFETY INSP <u>JS</u>
111 0268 232 03	0006410	INS/BOND <u>JS</u>
111 0268	<u>Reg fees - JS</u>	Docket # <u>TE-040631</u>

THIS APPLICATION IS FOR:

(Check One Only) **CHARTER BUS CERTIFICATE** EXCURSION SERVICE CERTIFICATE

NAME OF APPLICANT ~~Matthew Edward Schmer Miller~~ Schmer Inc

D/B/A- Seattle Express

MAILING ADDRESS 7207 180th ST SE
Snohomish, WA 98296

PHYSICAL ADDRESS 12921 39th Ave SE #15
Everett, WA 98208

BUSINESS TELEPHONE NUMBER (206) 793-8430

FAX NUMBER (360) 668-1037

UBI # 602368293

E-MAIL schmerfamily@hotmail.com

IF APPLICANT IS A CORPORATION, LIST NAME, TITLES, AND PERCENTAGE OF STOCK OF PRINCIPAL SHAREHOLDERS. IF APPLICANT IS A PARTNERSHIP, LIST NAMES, ADDRESSES, AND PERCENTAGE OF INTEREST OF ALL PERSONS HAVING AN EQUITY IN THE BUSINESSES:

Fred Miller, President, 50% Matt Schmer, Vice President 50%

IF APPLICANT HOLDS ANY OTHER CERTIFICATE OR PERMIT WITH THE COMMISSION, LIST PERMIT NUMBERS:

C1052 IS BEING TRANSFERRED TO Miller + Schmer Inc - THIS PERMIT WILL

BE USED WITH CHARTER PERMIT -

EQUIPMENT LIST:

LICENSE NUMBER	YEAR AND MAKE OF VEHICLE	SERIAL NUMBER (VEHICLE IDENTIFICATION NUMBER)	SEATING CAPACITY
915 KZQ	1994 Ford	1FOKE30G3RHA44058	25
551 JOB	1997 Dodge Ram	2B5WB35Z3VK584387	15
662 MJF	2001 Dodge	2B5WB35Z51K545407	15
*	1999 Ford E-450	1FDYE40F2XHA37070	25

*The 1999 Ford E-450 was just purchased from out of state. I will be driving the vehicle back and take it to the State Patrol Inspection. After it passes inspection I will register it in the State of Washington and get the plates.

DESCRIBE OPERATIONS (Territory) Our main focus will be taking small groups from hotels to locations other than Pike Place Market. Service the State of Washington

SAFETY COMPLIANCE REVIEW AND QUESTIONNAIRE:

GENERAL

Do you have a copy of the laws and rules relating to passenger charter and excursion service carriers? YES NO N/A

Have you been cited within the last three years by the Commission for violations of it rules or laws? YES NO N/A

If Yes, explain: _____

Are you familiar with the state motor carrier safety rules?..... YES NO N/A

Will management review the carrier=s compliance status on a periodic basis?..... YES NO N/A

NOTIFICATION AND REPORTING OF ACCIDENTS

Are you familiar with the Commission accident reporting rule? YES NO N/A

Will you take any action against drivers involved in preventable accidents?..... YES NO N/A

PART 391 - QUALIFICATION OF DRIVERS

Do you have written hiring policies/procedures that are being followed when hiring new drivers?..... YES NO N/A

Are oral interviews conducted with new drivers to verify information submitted on their applications?..... YES NO N/A

Will you have a system established to ensure drivers= medical certificates remain current?... YES NO N/A

Will you verify that physicians completing medical certifications are knowledgeable about the instructions for performing and recording driver physical examinations?..... YES NO N/A

Will you review the results of the health history and physical examination?..... YES NO N/A

Will you have a system established that will ensure drivers= operating licenses remain current?..... YES NO N/A

Will you have a system established that will ensure drivers= annual reviews and annual record of violations remain current?..... YES NO N/A

Will you comply with the road test provisions of Section 391.31?..... YES NO N/A

Can you maintain and produce complete driver qualification files on drivers?..... YES NO N/A

PART 392 - DRIVING OF MOTOR VEHICLES

Do you have established procedures concerning the use of alcohol and drugs?..... YES NO N/A

Do you have a policy for monitoring speed?..... YES NO N/A

PART 395 - HOURS OF SERVICE OF DRIVERS

	YES	NO	N/A
Can you explain the hours of service limitations, i.e., 10, 15, 60 in 7, 70 in 8?.....	<u>X</u>	___	___
Will you file records of duty status in systematic manner?.....	<u>X</u>	___	___
Will drivers be required to complete recaps of their records of duty status?.....	<u>X</u>	___	___
Will dispatchers be aware of drivers= hours of service prior to trip?.....	<u>X</u>	___	___
Will other independent records be compared to drivers records of duty status for accuracy?.....	<u>X</u>	___	___
Will you have a system for recording hours of duty status on 100 mile radius drivers?.....	<u>X</u>	___	___
Will you have a disciplinary policy for noncompliance with Part 395?.....	<u>X</u>	___	___

PART 396 - INSPECTION, REPAIR AND MAINTENANCE

	YES	NO	N/A
Will you have written procedures explaining a systematic, periodic maintenance program?.....	<u>X</u>	___	___
Will you periodically review maintenance records for all equipment?.....	<u>X</u>	___	___
Will you comply with the vehicle inspection procedure?.....	<u>X</u>	___	___
Will you train drivers to perform pre-trip inspections?.....	<u>X</u>	___	___
Will you maintain the prior three months vehicle inspection reports on a vehicle?.....	<u>X</u>	___	___
Will you maintain a complete maintenance file on all vehicles?.....	<u>X</u>	___	___

THE UNDERSIGNED APPLICANT REQUESTS THAT THE WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION MAKE ITS ORDER GRANTING A CERTIFICATE TO OPERATE AS A CHARTER PARTY OR EXCURSION SERVICE CARRIER AS PROVIDED FOR IN RCW 81.70 AND WAC 480-40

Dated at: Seattle, Washington, March, 29th 2004
(City or Town) (Month/Day/Year)

Matthew Edward Schmer
(Name of applicant)

By: Matthew E. Schmer
(Signature)

I certify (or declare) under penalty of perjury under the laws of the state of Washington that the foregoing is true and correct.

March 29th, 2004 Seattle, WA
(Date and Place)

Matthew E. Schmer
(Signature)

FINANCIAL STATEMENT

ASSETS

LIABILITIES

Cash on hand and in the bank \$3000.⁰⁰

Salaries and wages payable

Notes Receivable

Accounts payable

Accounts receivable

Notes payable

\$128,000.⁰⁰

Prepaid expenses

Contracts and bonds payable

Other current assets

Mortgages payable

Investments

Other

Land and buildings

Total liabilities

Buses and other vehicles

NET WORTH

Office furniture and expense

Preferred stock

Other assets \$125,000.⁰⁰

Common stock

Goodwill Certificates

Retained earnings

Total assets \$128,000.⁰⁰

Capital

Total liabilities and net worth \$128,000.⁰⁰

FROM: Washington Utilities and Transportation Commission
Transportation Operations
PO Box 47250 Phone: (360) 664-1222
Olympia, WA 98504-7250 Fax: (360) 586-1181

Date: 04-06-2004 Staff: Linda Elhardt

TO: CHA079271
MILLER-SCHMER, INC.
SEATTLE EXPRESS
7207 180TH ST SE
SNOHOMISH, WA 98296

Return this document with the completed/corrected items listed below for prompt processing of your application for operating authority.

You must remit regulatory fees for your vehicles. Please complete the form enclosed and return to our office with the correct payment.

Obtain a CVSA safety inspection of your vehicle (s) and remit a copy of the completed inspection form. You may contact Carolyn Caruso at (360) 664-1244 for an appointment.

Obtain a Uniform Motor Carrier Certificate of Insurance (Form E) from your insurance company. The insurance must show your name EXACTLY as it is shown above.

ROUTING SLIP

#42489

ASSIGNMENT NO.: 104124 MOTCAR NO.: _____ PERMIT: C-1052

CARRIER NAME: Miller & Schmer, INC DBA Seattle Express

INVESTIGATOR(S): A DICKSON DATE: 5-17-04

RECOMMENDATION: Vehicles were inspected as part of certificate transfer. CVSA stickers were affixed.

Rule Book and 'Your Guide' Safety Manual were provided.

Forward to licensing services for transfer

Should carrier be rechecked? No.

REVIEWED BY: Vicki Elliott DATE: 5/21/04

- Vehicle inspections
- All 3 vehicles received CVSA stickers - passed inspection.
- Forward to Licensing Services.

FINAL RECOMMENDATION BY: VE DATE: 5/21/04

Close & file

OTHER INFORMATION: _____

MEMORANDUM

May 17, 2004

Assignment No.: 104124
Industry Code: 230

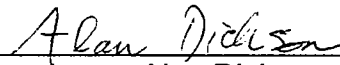
To: Mark Halliday, Compliance Manager

From: Alan Dickson, Special Investigator

Subject: Miller & Schmer, Inc.
Dba: Seattle Express
7207 180th St. SE
Snohomish, WA 98296
206 793-8430

Permit Number: C-1052

Mr. Matt Schmer, president was contacted at the SeaTac 160th St. bus lot on May 15, 2004 and he submitted three van type buses for inspection in accordance with his transfer application. One van was found to have inoperable clearance/identification lamps and the other two checked free of defects. Each vehicle was issued CVSA safety stickers and the inspection reports are attached hereto.

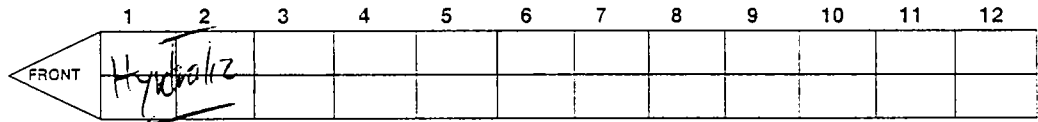


Alan Dickson

UNIFORM DRIVER/VEHICLE INSPECTION REPORT

1148074

PERSONNEL NO. J553		DIST / DET		LEVEL: 1 <u>X</u> 2 _____ 3 _____ 4 _____ 5 _____				
GENERAL				HAZARDOUS MATERIALS				
DATE 5.15.04		TIME (MILITARY) BEGUN 07:30		TIME (MILITARY) FINISHED 07:45		HAZARD CLASS / DIVISION NO.		
LOCATION: SR, MP 160th St Sea Tac		SCALEHOUSE NO. CNTY CODE 31		REPORTABLE QTY? Y N HAZARDOUS WASTE? Y N		PLACARD REQUIRED? Y N CARGO TANKS? Y N		
CARRIER								
CARRIER NAME (Include DBA when applicable) Miller & Schmar INC DBA: Seattle Express								
ADDRESS 7207 180th St SE C-1052								
CITY Snohomish		STATE WA	ZIP CODE 98296		INTERSTATE YES <input type="radio"/> NO <input checked="" type="radio"/>	DCT NO.	ICC NO.	
DRIVER								
DRIVER NAME Schmer, M.				LICENSE NO. SCHMEME292NA		STATE WA	EXP. YEAR 08	
DATE OF BIRTH		MED. CERT. Y N WAIVER Y N		SHIPPER NAME			SHIPPING NO.	
VEHICLE								
REGISTERED OWNER NAME/ADDRESS Mor lease					G.V.W. 14 PASSENGER		PBT RATE	
UNIT	TYPE	YEAR/MAKE	CO. UNIT NO.		LICENSE NO. / VIN NO.		STATE	
1	VN	01 DOD			662 MJF		WA	
2								
3								
4								



CFR	VIOLATIONS	D	1	2	3	4	Unit #s O/S	Compl
CVSA DECALS UNIT 1	UNIT 2	UNIT 3	UNIT 4		NOIC NO.			
10694711								

Vehicle may not be operated until O / S defects noted above are repaired.
 Driver may not drive until in compliance.

DRIVER SIGNATURE: *Matthew E. [Signature]*
 OFFICER SIGNATURE: **A. DICKSON**

UNIFORM DRIVER/VEHICLE INSPECTION REPORT

1148075

PERSONNEL NO. J553 DIST / DET _____ LEVEL: 1 X 2 _____ 3 _____ 4 _____ 5 _____

GENERAL			HAZARDOUS MATERIALS	
DATE 5, 15, 04	TIME (MILITARY) BEGUN 0800	TIME (MILITARY) FINISHED 8:15	HAZARD CLASS / DIVISION NO. _____	
LOCATION: SR, MP 160th St SoTac		SCALEHOUSE NO. / CNTY CODE 17	REPORTABLE QTY? Y N	HAZARDOUS WASTE? Y N
			PLACARD REQUIRED? Y N	CARGO TANKS? Y N

CARRIER
CARRIER NAME (Include DBA when applicable)
Miller & Schmer INC DBA: Seattle Express

ADDRESS
7207 180th St SE C-1052

CITY Snohomish	STATE WA	ZIP CODE 98296	INTERSTATE YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	DOT NO. _____	ICC NO. _____
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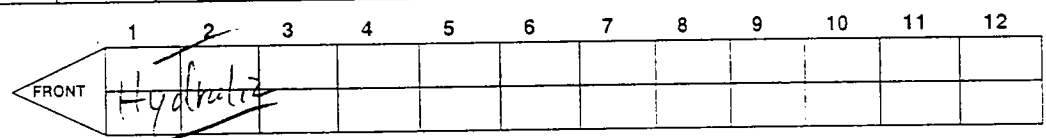
DRIVER

DRIVER NAME Schmer, M.	LICENSE NO. SCHMEME292NA	STATE WA	EXP. YEAR 08
DATE OF BIRTH / /	MED. CERT. <input checked="" type="checkbox"/> Y <input type="checkbox"/> N WAIVER <input type="checkbox"/> Y <input checked="" type="checkbox"/> N	SHIPPER NAME _____	SHIPPING NO. _____

VEHICLE

REGISTERED OWNER NAME/ADDRESS Mar-lease INC	G.V.W. 24 PASSENGER	PBT RATE _____
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UNIT	TYPE	YEAR/MAKE	CO. UNIT NO.	LICENSE NO. / VIN NO.	STATE
1	VN	94 FORD		915 KZQ	WA
2					
3					
4					



CFR	FRONT VIOLATIONS	D	1	2	3	4	Unit #s O/S	Compliec
393.9	3 (D) / Clearance Lamps INOPERABLE							
393.9	1 Rear (D) Lamp Inoper							

CVSA DECALS UNIT 1 10694712 UNIT 2 _____ UNIT 3 _____ UNIT 4 _____ NOIC NO. _____

____ Vehicle may not be operated until O/S defects noted above are repaired.
 ____ Driver may not drive until in compliance.

DRIVER SIGNATURE: *Matthew E. Helmer*
 OFFICER SIGNATURE: *A. DICKSON*

UNIFORM DRIVER/VEHICLE INSPECTION REPORT

1148076

LEVEL: 1 X 2 _____ 3 _____ 4 _____ 5 _____

PERSONNEL NO. **J553/J540** DIST / DET

GENERAL				HAZARDOUS MATERIALS	
DATE 5 15 04	TIME (MILITARY) BEGUN 0820	TIME (MILITARY) FINISHED 0840	HAZARD CLASS / DIVISION NO.		
LOCATION: SR,MP 160th St Seattle		SCALEHOUSE NO. 17	CNTY CODE 17	REPORTABLE QTY? Y N	HAZARDOUS WASTE? Y N
				PLACARD REQUIRED? Y N	CARGO TANKS? Y N

CARRIER

CARRIER NAME (include DBA when applicable)
Miller & Schmer INC DBA: Seattle Express

ADDRESS
7207 180th St SE C-1052

CITY Snohomish	STATE WA	ZIP CODE 98296	INTERSTATE YES (NO)	DOT NO.	ICC NO.
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DRIVER

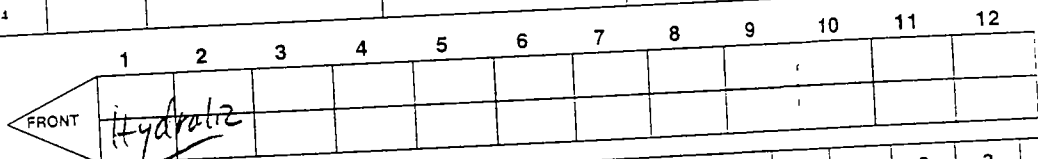
DRIVER NAME Schmer, M	LICENSE NO. SCHMEZAZNA	STATE WA	EXP. YEAR 08
DATE OF BIRTH	MED. CERT. Y N WAIVER Y N	SHIPPER NAME	SHIPPING NO.

VEHICLE

REGISTERED OWNER NAME/ADDRESS
Mordese INC

GVW. **24 PASSENGER** PBT RATE

UNIT	TYPE	YEAR/MAKE	CO. UNIT NO.	LICENSE NO. / VIN NO.	STATE
1	BU	99 FORD		122 SJG	WA
2					
3					
4					



CFR	VIOLATIONS	D	1	2	3	4	Unit #s	Comp
							O/S	

CVSA DECALS UNIT 1 **10694712** UNIT 2 UNIT 3 UNIT 4 NOIC NO.

Vehicle may not be operated until O/S defects noted above are repaired.
Driver may not drive until in compliance.

DRIVER SIGNATURE
[Signature]

OFFICER SIGNATURE
A. DICKSON / B. GRIMM