

**HOUSEHOLD GOODS CARRIER
 PERMIT APPLICATION**



Type of Household Goods Authority Requested – Check one	Fee Required
<input type="checkbox"/> Emergency temporary authority (to meet an urgent need for up to thirty days) - Complete pages 1 - 5 and Attachment F	\$50
<input type="checkbox"/> Temporary authority (to meet a short-term need) – Complete pages 1 - 5 and Attachments A & B	\$250
<input checked="" type="checkbox"/> Permanent authority (at least six months must be served on a temporary provisional basis) – Complete pages 1 - 5 and Attachments A & B	\$550
<input type="checkbox"/> Permanent authority to transfer or acquire control resulting in a change in ownership or controlling interest (at least six months must be served on a temporary provisional basis) – Complete pages 1 - 5 and Attachments B & C	\$550
<input type="checkbox"/> Permanent authority to transfer or acquire control under the exceptions in WAC 480-15-260 – Complete pages 1 - 5 and Attachments B, C, & D	\$250
<input type="checkbox"/> Reinstatement of permit (must be filed within 30 or 60 days of cancellation, depending on criteria set forth in WAC 480-15-460) – Complete pages 1 - 2 and include a statement justifying the reinstatement	\$250
<input type="checkbox"/> Name Change – Complete page 1 and Attachment E	\$35
<input type="checkbox"/> Extension of authority – Complete pages 1 - 5 and Attachment A	\$550

TYPE OF PAYMENT

Check
 Money Order
 Amex
 Discover
 Mastercard
 Visa

Expiration Date: _____ Amount: _____

CERTIFICATION: I, the undersigned, under penalty for false statement, certify that the following information is true and correct, that I am authorized to execute and file this document on behalf of the applicant, and that all information on file is current and valid.

Name (printed): _____ Date: _____

Signature: _____ Title: _____

FOR OFFICIAL USE ONLY

Date Filed: 5/3/02	Staff Assigned: <i>[Signature]</i>	Motcar: 37715	Permit Issued: HG-11879
Tariff Maint: <i>OK</i>	Insurance: <i>[Signature]</i>	Inspection: <i>OK</i>	DOL/SOS: <i>[Signature]</i>
Reception #: 111-0268-207-02	300.00	111-0268-202-01	111-0268-013-20

UP-19070

Careful Movers

[Handwritten signatures and dates]
 3/14/03

TV031248

Name of Applicant Daniel Busby
 (must be individual, partners of a partnership, or corporation)

Trade Name, if applicable Careful Movers

Physical Address 15015 Hwy 99 Suite A Lynnwood, WA 98037

Mailing Address 15015 Hwy 99 Suite A Lynnwood, WA 98037

Telephone Number (425) 745-7559 Fax Number () _____

UBI # 601-589-461 Email: _____

TYPE OF BUSINESS STRUCTURE

- Individual Partnership Corporation Other _____
 (LP, LLP, LLC)

List the name, title, and percentage of partner's share or stock distribution for major stockholders:

<u>Name</u>	<u>Title</u>	<u>Stock Distribution or Percentage of Shares</u>

Choose one of the following for the territory in which you wish to operate:

- All counties in the State of Washington
 The following named counties only: _____

Describe the services you wish to provide. Explain how your services will enhance customer choice, promote competition, or fill an unmet need for service:

Beer in business for several years

Briefly describe your experience in the transportation/household goods moving industry:

Some as above

Do you currently hold, or have you ever held, a permit to operate as a motor carrier of property?

No Yes If yes, please indicate your permit number: JLLO 11877

Have you ever applied for and been denied a permit to operate as a motor carrier of property?

No Yes If yes, please explain: _____

Do you currently operate interstate? No Yes If yes, please indicate your:

DOT# _____ MC# _____ Single State Registration Base State WA N/A

Do you operate interstate as an agent of another company? No Yes If yes, what is the name of the company? _____

Do you have, or have you ever had a business related legal proceeding against you in Washington, or in any other state? No Yes If yes, please explain: _____

Have you ever been convicted of a Class A or B Felony? No Yes If yes, please explain: _____

Have you been cited for violation of state laws or Commission rules? No Yes If yes, please explain: _____

FINANCIAL STATEMENT

You may attach a Balance Sheet, Profit and Loss Statement, or business plan if available

ASSETS		LIABILITIES	
Cash in Bank	\$	Salaries/Wages Payable	\$
Notes Receivable	\$	Accounts Payable	\$
Accounts Receivable	\$	Notes Payable	\$ 7,137
Investments	\$	Mortgages Payable	\$
Other Current Assets	\$	Other <i>Payroll Taxes</i>	\$ 10,993
Prepaid Expenses	\$	TOTAL LIABILITIES	\$ 18,130
Land and Buildings	\$	NET WORTH	
Trucks and Trailers	\$ 127,451	Preferred Stock	\$
Office Furniture	\$	Common Stock	\$
Other Equipment	\$	Retained Earnings	\$ 109,321
Other Assets	\$	Capital	\$ 10 ⁰⁰
TOTAL ASSETS	\$ 127,451	TOTAL LIABILITIES & NET WORTH	\$ 127,451

EQUIPMENT LIST

Describe the equipment that will be used (attach additional sheets if necessary). Vehicles must pass inspection and be issued a valid Commercial Vehicle Safety Alliance inspection decal before your application may be granted.

Year	Make	License Number	Vehicle ID Number	Gross Vehicle Weight
1984	GMC	A 21527F	1G0664178R1513833	14,000 ↓
1982	GMC	A 93091D	1G0J641P1N150714	
1982	GMC	A 93347B	1G0J641P7H1504071	
1984	GMC	A 71698H	1G0664178R1508396	
1983	GMC	A 74663H	1G066417057573132	
1984	GMC	A 21501L	1G066417707514075	
1988	FORD	A 83071M	1F0NA70J1W0A03718	

SAFETY AND OPERATIONS

In each of the categories shown below, list the person and position responsible for understanding and complying with the Federal Motor Carrier Safety Regulations (FMCSR) and Washington State Laws and rules. Please refer to the WAC rules, Fact Sheets, and publication "Your Guide to Achieving a Satisfactory Safety Rating" for assistance with requirements that may apply to your specific operations.

SAFETY RESPONSIBILITIES *all*

COMMERCIAL DRIVERS LICENSE (CDL) REQUIREMENTS (Title 49, Code of Federal Regulations Part 383) Any driver who operates a vehicle that meets the definition of a commercial motor vehicle must have a valid CDL.

Name: Daniel Busby Position: Owner

DRIVER QUALIFICATION REQUIREMENTS (Title 49, Code of Federal Regulations Part 391)

Driver's must meet minimum qualification requirements and each company must maintain driver qualification files for each driver.

Name: Daniel Busby Position: Owner

DRIVERS HOURS OF SERVICE (Title 49, Code of Federal Regulations Part 395) Drivers must maintain logs and each company must maintain true and accurate hours of service records for each driver.

Name: Daniel Busby Position: Owner

CONTROLLED SUBSTANCES AND ALCOHOL TESTING AND TRAINING (Title 49, Code of Federal Regulations Part 382 & Part 40) All persons who drive commercial vehicles must be involved in a Controlled Substance and Alcohol Testing and Training Program. This section does not apply to those applicants who only operate vehicles under 26,001 gross vehicle weight rating.

Name: N/A Position: _____

Check one of the following:

- We do not operate vehicles over 26,000 gross vehicle weight rating
 We contract with the following consortium to provide the required program:

Name: _____

Address: _____

Contact Person: _____ Telephone: _____

- We either maintain a program, or are members of a program, that meets all of the minimum requirements of Parts 382 and Part 40.

VEHICLE INSPECTION, REPAIR, AND MAINTENANCE (Title 49, Code of Federal Regulations Part 396)
 Companies must ensure that each motor vehicle operated is regularly inspected, repaired, and maintained.

Name: David Busby Position: Owner

INSURANCE REQUIREMENTS (WAC 480-15-530) All companies must file and maintain proof of public liability and property damage insurance covering vehicles operated. (\$300,000 minimum coverage for vehicles under 10,000 pounds GVWR and \$750,000 minimum coverage for vehicles 10,000 pounds GVWR or more)

Name: David Busby Position: Owner

CARGO INSURANCE REQUIREMENTS (WAC 480-15-550) All companies must maintain cargo insurance coverage. (\$10,000 for household goods transported in motor vehicles under 10,000 pounds GVWR and \$20,000 for vehicles 10,000 pounds GVWR or more)

Name: David Busby Position: Owner

OPERATIONAL RESPONSIBILITIES

TARIFF RATES AND CHARGES (RCW 81.28.080 and WAC 480-15-490 & WAC 480-15-500) Companies must purchase and maintain an active subscription to Tariff #15-A. Only those rates that are published in that tariff are to be charged.

Name: David Busby Position: Owner

ANNUAL REPORTS and REGULATORY FEES (WAC 480-15-480) Companies must annually file a report of their financial operations and pay regulatory fees.

Name: David Busby Position: Owner

STATE OF WASHINGTON - general laws, rules and regulations: Individuals and companies doing business in the state of Washington must comply with the regulations of local, state, and federal agencies. Please state the name and position of the person in your organization who will be responsible for ensuring compliance with the laws of the state of Washington, such as, but not limited to: Department of Labor and Industries (Industrial insurance, safety, prevailing wage); Department of Licensing (vehicle and drivers licenses, business licensing, Unified Business Identifier (UBI number), fuel permits, fuel tax); Secretary of State (corporate registrations); Department of Transportation (over-size or over-weight permits); Department of Revenue and Internal Revenue Service (taxes); and Employment Security.

Name: David Busby Position: Owner

DECLARATION OF APPLICANT:

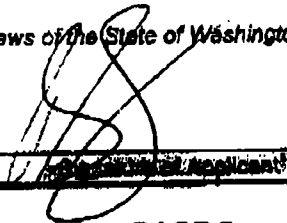
I understand that filing this application does not give me the immediate authority to operate as a household goods mover and that I cannot operate legally until I receive a permit from the Commission.

As the applicant for a household goods permit, I understand the responsibilities of a motor carrier, and I am in compliance with all local, state, and federal regulations governing businesses, including household goods movers, in the state of Washington.

I understand that if the Commission grants my application as a new entrant I will be granted temporary authority to provide service as a household goods carrier on a provisional basis for at least six months. During this time, the Commission will evaluate whether I have met the criteria in WAC 480-15-330 to obtain permanent authority. I also understand that I must comply with all conditions placed on my temporary permit and that failure to do so will result in cancellation of my permit.

I certify under penalty of perjury under the laws of the State of Washington that the information contained in this application is true and correct.

David Busby
 Signature of applicant


 Signature of applicant

04/30/02
 Date

VEHICLE INSPECTION, REPAIR, AND MAINTENANCE (Title 49, Code of Federal Regulations Part 396) Companies must ensure that each motor vehicle operated is regularly inspected, repaired, and maintained.	
Name: <u>David Busby</u>	Position: <u>Owner</u>
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STATE OF WASHINGTON – general laws, rules and regulations: Individuals and companies doing business in the state of Washington must comply with the regulations of local, state, and federal agencies. Please state the name and position of the person in your organization who will be responsible for ensuring compliance with the laws of the state of Washington, such as, <u>but not limited to:</u> Department of Labor and Industries (industrial insurance, safety, prevailing wage); Department of Licensing (vehicle and drivers licenses, business licensing, Unified Business Identifier (UBI number), fuel permits, fuel tax); Secretary of State (corporate registrations); Department of Transportation (over-size or over-weight permits); Department of Revenue and Internal Revenue Service (taxes); and Employment Security.	
Name: <u>David Busby</u>	Position: <u>Owner</u>

DECLARATION OF APPLICANT:		
<i>I understand that filing this application does not give me the immediate authority to operate as a household goods mover and that I cannot operate legally until I receive a permit from the Commission.</i>		
<i>As the applicant for a household goods permit, I understand the responsibilities of a motor carrier, and I am in compliance with all local, state, and federal regulations governing businesses, including household goods movers, in the state of Washington.</i>		
<i>I understand that if the Commission grants my application as a new entrant I will be granted temporary authority to provide service as a household goods carrier on a provisional basis for at least six months. During this time, the Commission will evaluate whether I have met the criteria in WAC 480-15-330 to obtain permanent authority. I also understand that I must comply with all conditions placed on my temporary permit and that failure to do so will result in cancellation of my permit.</i>		
<i>I certify under penalty of perjury under the laws of the State of Washington that the information contained in this application is true and correct.</i>		
_____	_____	_____
Print name of applicant	Signature of Applicant	Date & Place

MEMORANDUM

August 22, 2002

J. Agrel
[Signature]

TO: Gene Eckhardt, Regulatory Services Assistant Director,
Transportation and Water Section

FROM: Bonnie L. Allen, Regulatory Analyst *[Signature]*

SUBJECT: Household Goods Carrier Permit Application No. P-79070

Dan Busby
d/b/a Careful Movers
15015 Highway 99, Suite A
Lynnwood, WA 98057

Discussion

In October of 1997 and again in January of 1999, Commission staff contacted Mr. Dan Busby regarding complaints that he was providing a household goods moving service without the required WUTC permit. At that time staff found that the company was advertising and operating as a packing and loading service only. In October of 1999, staff received information that the company had begun advertising transportation services. A consumer complaint in January of 2000 confirmed that the company was in fact operating as a household goods moving company without the required WUTC permit. The Commission issued a cease and desist order in conjunction with a penalty of \$1500 for illegal advertising and an additional \$1500 for operating without a permit as required by RCW 81.80.070.

On May 18, 2000, Mr. Busby filed Application No. P-78912, for temporary household goods moving authority. The Commission granted that application and he has operated as a household goods carrier since September 11, 2000, under Temporary Permit No. TCC-11879.

On May 3, 2002, Mr. Busby filed Application No. P-79070, requesting permanent authority to operate as a household goods carrier in the state of Washington. Application No. P-79070 appeared on the Commission's May 13, 2002, application docket subject to comment for 30 days. No comments were received.

Under the provisions of WAC 480-15-330 the Commission will grant or deny an application for permanent authority after conducting a review of the application filing, supporting statements, reports, or other information necessary to determine fitness, public interest, and current or future public convenience and necessity.

Dan Busby
August 22, 2002
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Mr. Busby states that he has nine years of experience in the moving industry, has not been convicted of a Class A or B felony, and has not been previously denied a permit to operate as a motor carrier of property.

Compliance staff worked with the company during its temporary operations providing technical assistance. WUTC Investigator John Foster completed a Household Goods Technical Assistance and Records Review Checklist and Safety Compliance Review and found the company operating in general compliance. On August 14, 2001, Investigator Foster recommended the Commission grant Dan Busby permanent household goods moving authority.

Mr. Busby is a sole proprietor with a valid UBI number and accounts with Labor and Industries, Department of Revenue, and Employment Security.

Mr. Busby purchased the WUTC published household goods tariff, paid 2002 tariff maintenance fees, and maintains proof of insurance as required. He filed his annual report for calendar year 2001, reported \$963,567 in revenue generated from regulated intrastate operations, and paid \$2,408 in 2002 regulatory fees.

The Commission received five customer survey questionnaires regarding services Mr. Busby provided under his temporary authority. Each of the surveys indicated that customers were satisfied with the service they received, would use the service again, and would recommend the company to others.

Commission records show that Consumer Affairs section is currently working on unresolved informal consumer complaints involving this company.

Recommendation

P-79070 is an uncontested application and therefore no hearing is required. This company's open consumer complaints should be resolved before permanent authority is granted. There are no other outstanding issues that suggest staff should ask the Commission to set the application for hearing.

I recommend Licensing Staff prepare an order for the Commissioners to grant Application No. P-79070; cancel temporary permit TCC-11879; and issue an unrestricted permanent authority household goods carrier permit authorizing Dan Busby, d/b/a Careful Movers to operate as a household goods carrier in the state of Washington.

Notes to Licensing Staff

Confirm that Consumer Affairs has closed any open complaints against this company before preparing order to grant permanent authority.

Docket

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

Chandler Plaza Building
1300 S. Evergreen Park Drive S.W.
P.O. Box 47250
Olympia, Washington 98504

May 13, 2002

This docket of permanent operating authority applications pending and temporary operating authority applications granted is issued pursuant to the provisions of Title 81 and WAC 480 of the Commission's Laws and Rules.

PERMANENT OPERATING AUTHORITY APPLICATIONS PENDING.

As provided in WAC 480-15-340 HOUSEHOLD GOODS CARRIER permanent authority application filings are subject to comment for 30 days from the date they appear on this docket, unless the application is published in conjunction with a grant of temporary authority, then comments will be accepted for 180 days or the full term of the temporary permit. Comments may be in the form of statements supporting or protesting the application and must be filed according to this rule.

<u>Permit Application</u>	<u>Date Filed</u>	<u>Applicant & Service Desired</u>
P079065 HG060585	04-10-2002	MILLER, CHARLES d/b/a CAM TRUCKING 2421 92ND CT SE OLYMPIA, WA 98501

Household Goods and General Commodities (excluding Armored Car Service and Hazardous Materials) in the state of Washington.

P079066 HG060590	04-24-2002	CAMPBELL, DIANA PAULA d/b/a PACIFIC NORTHWEST MOVING & STORAGE CO 0320 SW MONTGOMERY #422 PORTLAND, OR 97201
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Household Goods and General Commodities (excluding Armored Car Service and Hazardous Materials) in the state of Washington.



MAY 13, 2002

Permit

Application Date Filed Applicant & Service Desired

P079070	05-07-2002	BUSBY, DAN
HG011879		d/b/a CAREFUL MOVERS
TCC11879		15015 HIGHWAY 99 SUITE A
		LYNNWOOD, WA 98037

Household Goods and General Commodities (excluding Armored Car Service and Hazardous Materials) in the state of Washington.

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