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BEFORE THE WASHINGTON UTILITIES AND TRANSPORTATION

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COMMISSION

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WASHINGTON STATE DEPARTMENT )

4 OF TRANSPORTATION, )

)

5 Petitioner, )

)

6 vs. ) DOCKET NO. TR-100127

) DOCKET NO. TR-100128

7 CENTRAL PUGET SOUND REGIONAL ) DOCKET NO. TR-100129

TRANSPORTATION AUTHORITY AND ) Volume I

8 THE CITY OF LAKEWOOD, ) Pages 1 - 34

)

9 Respondents. )

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11 A prehearing conference in the above matter

12 was held on March 16, 2010, at 2:34 p.m., at 1300 South

13 Evergreen Park Drive Southwest, Olympia, Washington,

14 before Administrative Law Judge ADAM TOREM.

15 The parties were present as follows:

16 WASHINGTON UTILITIES AND TRANSPORTATION

COMMISSION, by FRONDA WOODS, Assistant Attorney

17 General, 1400 South Evergreen Park Drive Southwest,

Post Office Box 40128, Olympia, Washington 98504;

18 telephone, (360) 664-1225.

19 WASHINGTON STATE DEPARTMENT OF

TRANSPORTATION, by L. SCOTT LOCKWOOD, Assistant

20 Attorney General, 7141 Cleanwater Drive Southwest, Post

Office Box 40113, Olympia, Washington 98504;

21 telephone, (360) 753-1620.

22 CITY OF DUPONT, by PETER ZAHN, Public Works

Director, 1700 Civic Drive, Dupont, Washington 98327;

23 telephone, (253) 912-5380.

24 Kathryn T. Wilson, CCR

25 Court Reporter

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1 CITY OF LAKEWOOD, by HEIDI M. WACHTER, City

Attorney, Lakewood City Hall, 6000 Main Street

2 Southwest, Third Floor, Lakewood, Washington 98499;

telephone, (253) 589-2489.

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1 P R O C E E D I N G S

2 JUDGE TOREM: I'm Adam Torem. I'm the

3 administrative law judge presiding over this matter as

4 assigned by the Washington Utilities and Transportation

5 Commission. It's a little after 2:30 in the afternoon.

6 It's Tuesday, March the 16th, 2010.

7 This is the prehearing conference regarding

8 the Washington State Department of Transportation's

9 consolidated petitions to modify three separate

10 railroad crossings. Each of them is in the City of

11 Lakewood, and they are as follows: Under Docket

12 TR-100127, the Clover Creek Drive Southwest crossing;

13 TR-100128, the Berkeley Southwest crossing, and

14 TR-100129, the North Thorne Lane Southwest crossing.

15 Today we are going to take appearances from

16 the various parties, clarify as we can the issues that

17 we will need to address at hearing. We will take some

18 time off the record to set up a schedule for these

19 petitions and whatever procedural matters we want to go

20 over.

21 I know for several people this is the first

22 time they've dealt with the Commission and are not

23 necessarily fully familiar with our procedural rules,

24 and involved with that, this may be the first time

25 dealing with a railroad petition case. So if there are

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1 procedural questions while we are here that I can share

2 of my experience, or I know Mr. Lockwood has been

3 involved in this for a couple of years, we can discuss

4 those things and where to find the RCW's and Washington

5 Administrative Codes that will be necessary, I'm more

6 than happy to spend some time on that as well.

7 Let me take appearances and start with the

8 Department of Transportation.

9 MR. LOCKWOOD: Good afternoon, Your Honor.

10 I'm Scott Lockwood. I'm an assistant attorney general

11 appearing on behalf of the Washington State Department

12 of Transportation. With me is Kevin Jeffers, project

13 engineer.

14 JUDGE TOREM: If you will give us your

15 address, telephone, and fax number and an e-mail

16 address, we will get that all into the record.

17 MR. LOCKWOOD: 7141 Cleanwater Drive

18 Southwest, Olympia, Washington, 98504-0113, Post Office

19 Box 40113. Telephone number, (360) 753-1620, and my

20 e-mail address is scottl@atg.wa.gov.

21 JUDGE TOREM: Ms. Wachter for the City of

22 Lakewood?

23 MS. WACHTER: I'm Heidi M. Wachter. I'm the

24 city attorney for the City of Lakewood, Washington;

25 address, 6000 Main Street Southwest, Lakewood,

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1 Washington, 98499. Direct line by phone,

2 (253) 983-7704; fax, (253) 512-2268; e-mail address,

3 hwachter@cityoflakewood.us. With me here today is

4 David Bugher. He is our assistant city manager,

5 community development for the City of Lakewood.

6 JUDGE TOREM: Commission staff?

7 MS. WOODS: Good afternoon, Your Honor. I'm

8 Fronda Woods, assistant attorney general representing

9 the Washington Utilities and Transportation Commission

10 staff. My address is 1400 South Evergreen Park Drive

11 Southwest, PO Box 40128, Olympia, Washington,

12 98504-0128. My telephone is area code (360) 664-1225.

13 Fax is area code (360) 586-5522, and my e-mail address

14 is fwoods@utc.wa.gov.

15 JUDGE TOREM: Are there any other parties on

16 the bridge line wishing to make an appearance,

17 particularly Sound Transit, Tacoma Rail, and I'm

18 wondering if anyone from the City of Dupont was joining

19 us by phone today. Hearing none of those parties, is

20 there anyone else, interested persons or parties that

21 want to make an appearance today? Hearing none, thank

22 you.

23 Let me note for the record the petitions in

24 this case were filed on the 19th of January, and we got

25 back from a letter sent out February 2nd a waiver from

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1 Tacoma Rail, their right to a hearing on February the

2 16th. Sound Transit filed a similar waiver on February

3 the 19th, and the City of Lakewood was the only

4 respondent that filed in opposition, and we had a

5 formal answer filed shortly thereafter when we set the

6 matter for a prehearing conference.

7 So those other parties have waived their

8 appearance, but I wondered if they would be here today

9 given the opposition of the city. Since there is no

10 one else to move to intervene, I think we will skip any

11 indications of intervention, but I will note for the

12 record we do have an additional case in this matter set

13 in Docket TR-100131. That is a crossing -- I'm trying

14 to remember the exact name of it. It's Barksdale

15 Avenue, and that's in the City of Dupont. That's been

16 noticed as of yesterday for a prehearing conference

17 that's going to occur on April 1st.

18 Hello, sir. Joining us perhaps from the City

19 of Dupont?

20 MR. ZAHN: I am.

21 JUDGE TOREM: Please have a seat at the

22 table, and I'm not sure if you are here to observe or

23 considering intervening in this matter?

24 MR. ZAHN: Yes to both.

25 JUDGE TOREM: Go ahead and give us your name,

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1 address, phone, and fax as well as e-mail.

2 MR. ZAHN: Peter Zahn, City of Dupont public

3 works director, and I'm here representing the city in

4 part for an observation. The city is also considering

5 our option to join with Lakewood for similar concerns.

6 The city attorney is not present with us today. My

7 address at the City of Dupont is 1700 Civic Drive,

8 Dupont, Washington, 98327, and you wanted phone

9 numbers? Phone number direct for myself is (253)

10 912-5380.

11 City's attorney is Steve Victor with Kenyon

12 Diesen, and their address is 11 Front Street South,

13 Issaquah, Washington, 98027. Contact phone number is

14 (425) 392-7090, extension 154.

15 JUDGE TOREM: Thank you, Mr. Zahn. Are you

16 prepared today to say if the city is wishing to

17 formally intervene in this proceeding? We were just

18 noticing that your city has the case at Barksdale

19 Avenue scheduled for a prehearing conference of its own

20 on April 1st.

21 MR. ZAHN: We are not prepared to intervene

22 formally at this meeting. However, we are leaning that

23 direction to again join with Lakewood for similar

24 concerns.

25 JUDGE TOREM: Let me ask first the

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1 petitioner. Mr. Lockwood, what is the Department of

2 Transportation's preferred option to handle these two

3 currently separate proceedings?

4 MR. LOCKWOOD: It seems to us that there is

5 substantial overlap with respect to the legal issues

6 and factual issues that it would make a great deal of

7 sense in terms of efficiency for the entities involved

8 to address those simultaneously by way of

9 consolidation, but perhaps I'll save for a little

10 later, the Department is very concerned that this

11 matter be resolved as expeditiously as possible, and

12 counsel for the parties that are currently part of this

13 have some tentative dates that I think works for the

14 Department, so the only caveat we would have with

15 respect to either joining them or consolidating or

16 however, it makes sense to do that as long as it

17 doesn't protract the resolution of the matter.

18 JUDGE TOREM: I understand. The filing

19 that's the reason the dates are different for the

20 record is simply because the City of Dupont asked for

21 an extension of time and was granted one. They had a

22 city counsel meeting from the filing in that docket

23 which occurred, and then they passed a resolution

24 stating the opposition, and that was the formal

25 document filed to set up the hearing in their case.

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1 So we are a little bit behind with the

2 syncing of Lakewood and the City of Dupont filing its

3 opposition, but depending on what the calendar dates

4 that are suggested for today are, perhaps I'll suggest,

5 Mr. Zahn, that you take the news back and make sure a

6 copy of this prehearing conference order is -- I'll

7 mail it to you at the city as an interested person, and

8 if we can get from Mr. Victor the city attorney a

9 request to either strike the prehearing conference and

10 consolidate your city's case with this one and agree to

11 the dates, that would be fantastic, or if he wishes to

12 informally suggest other changes to the dates that he

13 can work out with the other parties in advance, and

14 maybe we can modify the prehearing conference order,

15 and I can make myself available for an proposed dates

16 that would need to be proposed at that time.

17 So we will just for right now keep the City

18 of Dupont as an interested person in this case, and I

19 think, unless the other parties object, Commission

20 staff has any concerns, attempt to hear all of these

21 related matters together. Ms. Woods, any objection?

22 MS. WOODS: No objection, Your Honor.

23 JUDGE TOREM: Ms. Wachter?

24 MS. WACHTER: No objection.

25 JUDGE TOREM: Then I'm going to ask

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1 Mr. Lockwood to briefly summarize his client's proposed

2 modifications at the three existing grade crossings

3 that are part of the case, and from the information

4 that's contained there, it looks like two of the three

5 crossings already have some form of an active safety

6 device, meaning something more than just a little sign

7 that says railroad crossing, and the aim of these

8 petitions is to upgrade all the safety features,

9 whether they are passive or active at these crossings

10 with the goal of allowing higher train speed traffic

11 and more railroad traffic in the corridor. Mr.

12 Lockwood, I don't know if you want to defer to

13 Mr. Jeffers.

14 MR. LOCKWOOD: I think you will get more

15 accurate and complete information from Mr. Jeffers than

16 I can provide.

17 JUDGE TOREM: This won't be in the form of

18 testimony but an informal summary. Mr. Jeffers, if you

19 could go ahead and explain the background of the

20 overall project, which I think I understand to be

21 called the Point Defiance bypass project, and how these

22 individual crossings fit into the role of the project.

23 MR. JEFFERS: Your Honor, it is called the

24 Point Defiance bypass project. We have been developing

25 this project for some time. The aim of the project is

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1 to reroute passenger service from the existing water

2 level route, which goes around and under Point Defiance

3 through a tunnel to this alignment, which is lightly

4 used today.

5 The improvements are designed to provide

6 safety upgrades to accommodate the passenger rail

7 service. The service speeds are no more than 79 miles

8 an hour, and we've gone to great lengths to design as

9 safe a crossing as we feel is warranted, considering

10 the conditions. Do you want me to go into a little

11 more detail about what those different improvements

12 are?

13 JUDGE TOREM: Yes, please.

14 MR. JEFFERS: The track would be completely

15 rebuilt from the subgrade upward, including concrete

16 ties, new ballast and sub ballast, new continuously

17 welded rail. The crossing surfaces would be concrete

18 surfaces. Today, most of them are asphalt or older

19 concrete, and the warning devices would be, which in

20 the case of North Thorne Lane and Berkeley, those are

21 flashing light only. The gates would be included as

22 well as improved overhead flashing lights.

23 In the case of Barksdale, that crossing

24 already has flashing lights and gates, but the surface

25 would be upgraded. In the case of Clover Creek Drive,

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1 as you noted, that is a passive crossing today, that

2 would also get flashing lights and gates. In all

3 cases, a median in the middle of the roadway to deter

4 drivers from going around the gates would be added, and

5 then also in the case of Barksdale, Berkeley, and North

6 Throne, there are roadway traffic signals for

7 intersections, on and off ramps for I-5 as well as

8 adjacent city streets, and those would be put under a

9 single controller, which will limit the possibility of

10 traffic backing up or queuing onto the tracks, which

11 was a main concern when we observed traffic out there

12 at the beginning of the project.

13 In the case of Barksdale and Berkeley, that

14 traffic light exists today. It would be simply

15 reprogrammed, and in the case of North Thorne Lane at

16 the intersection of Union Avenue, a new traffic light

17 would be installed. Today it is a stop sign control,

18 and in all of these cases, a wayside horn would be

19 added. The wayside horn is a horn mounted as part of

20 the warning device that is aimed directly at the

21 traffic approaching the crossing, and rather than being

22 a quarter mile away and having the locomotive blow its

23 horn, the warning device will say the train will be

24 here in so many seconds and begin the sequence of the

25 standard horn sound.

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1 We also would improve the throughput of many

2 of those intersections by widening the lanes or

3 improving the turning radius so that larger trucks or

4 other vehicles can more easily maneuver through the

5 crossing and over the crossing and not restrict

6 movement. I believe that covers everything I can think

7 of off the top of my head.

8 JUDGE TOREM: Mr. Jeffers, do you know this

9 rerouting of the passenger train traffic, is that going

10 to be a permanent or a temporary change from the water

11 surface route?

12 MR. JEFFERS: That would be a permanent

13 change; the purpose being that it creates capacity

14 within the rail system which would allow the addition

15 of more passenger trains between Seattle and Portland.

16 That's the primary purpose.

17 JUDGE TOREM: In the general description,

18 Ms. Wood, do you have any other questions about exactly

19 what's been proposed for the purpose of today's

20 discussion?

21 MS. WOODS: No, I don't.

22 JUDGE TOREM: Ms. Wachter, any

23 clarifications?

24 MS. WACHTER: No.

25 JUDGE TOREM: Mr. Zahn, is that essentially

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1 what the City of Dupont understands the project to

2 involve as well?

3 MR. ZAHN: Yes.

4 JUDGE TOREM: The issues typically in this

5 case are set by RCW 81.53.060, and we have a petition

6 to alter a crossing. This commission has to determine

7 whether or not the public safety requires the

8 alterations and what other issues might be taken up for

9 alternative areas for diversion of traffic. This is

10 not a closure petition but just simply arranging for

11 what looks to be more often the crossing will be

12 blocked by a train passing for some period of time.

13 That may be an issue that we will expect some testimony

14 on at hearing as to how long and how often, but under

15 81.53.060, our jurisdiction is typically to look at

16 what does the public safety require and to weigh that

17 with the public need for the crossing prosecutor.

18 There are other statutes that may be of

19 interest here to look at, depending on what the cities

20 want to look at. RCW 81.53.020 is the legislative

21 determination that a grade separation is always

22 required where practicable. The statute says that in

23 no instance can we have when it's practical any

24 railroad or highway to be crossing at grade without the

25 Commission first giving authority.

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1 Clearly, these are existing grade crossings,

2 but I anticipate there will be arguments that the

3 change and the use of these crossings as indicated by

4 the frequency and rail traffic that there is an

5 argument that grade separation should be required, we

6 could take that up in this petition as well, but it's

7 going to require a showing of some sort that that would

8 be justified based on expense. There are a number of

9 precedential cases that talk about this.

10 So whether or not that sort of evidence will

11 be necessary or required depends on what the

12 Commission's position is going to be and what the

13 nature of the city's opposition is going to be. If the

14 opposition is that these crossings need to be upgraded

15 for safety given the proposed new traffic, that's one

16 thing. If these proposed safety improvements that

17 Mr. Jeffers just described are not sufficient and there

18 is something else requested, we will deal with that at

19 hearing.

20 There are a variety of different active and

21 passive safety devices that could be mounted on any of

22 these crossings and whether they are sufficient or the

23 cities think they've overlooked something, could be an

24 issue in the case. Road capacity and traffic studies,

25 I don't know if there has been one done or if the

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1 Department plans on introducing one at hearing, and we

2 haven't heard anything yet in the record from any of

3 the local emergency responders of the cities or, for

4 that matter, Joint Base Lewis-McChord, if they are

5 responding to anything with their fire departments,

6 through cooperative agreements, or their law

7 enforcement agencies whether they are going to take a

8 position or be part of your case as witnesses.

9 So I understand we are looking to see if we

10 can consolidate a fourth crossing into this with the

11 City of Dupont. The City of Lakewood indicated there

12 were four other crossings that they had filed waivers

13 on and thought to take up. Those are not before this

14 case now. The procedural way to do that will be to

15 file some sort of motion with the Commission, not

16 necessarily with me because it's not my case until the

17 Commission assigns them, to see about withdrawing or

18 changing them and having them reassigned would be if

19 they were granted to consolidate with this case.

20 Those are some of the issues that just based

21 on the prehearing correspondence I've been able to

22 point out. It makes for quite a busy docket, but

23 that's what I've been able to predict. Starting with

24 Commission staff, based on what the city's response has

25 been I think last Tuesday, does the Commission staff

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1 knows what its position might be, whether it will be

2 opposing these modifications or taking them under

3 advisement and coming back with it's own

4 recommendation; Ms. Woods?

5 MS. WOODS: My understanding at this time is

6 that Commission staff favored the modifications as

7 proposed by the Department of Transportation.

8 JUDGE TOREM: So the rail staff, as far as

9 you know, Ms. Woods, has taken a look at the petitions

10 and the details of what Mr. Jeffers has proposed, and

11 the preliminary view is that these appear to be

12 sufficient?

13 MS. WOODS: That's correct, Your Honor.

14 JUDGE TOREM: Ms. Wachter, I know that we had

15 your answer. It didn't give me all of the why, but let

16 me give you a few moments now to go through what you

17 see as other additional issues, and maybe we will add

18 or expand from my list.

19 MS. WACHTER: As a point of clarification,

20 what I hear you saying about four other crossings

21 mentioned in my answer is if I want you to consider

22 those, I have some other work to do to get them brought

23 back to you from the WUTC.

24 JUDGE TOREM: It's my understanding, and I

25 looked today in our records management system to see if

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1 there was a docket number assigned to any of those

2 other four crossings, and I didn't see any. I looked

3 for all of the 100 docket numbers, and those didn't

4 come up with any additional crossings, so you will have

5 to find out what the response was.

6 MS. WACHTER: I won't spend any time with you

7 on those unless and until I've gone back and brought it

8 back to you.

9 JUDGE TOREM: If you want to state for the

10 record the intent --

11 MS. WACHTER: My intent would be to pursue

12 those, and that may not go anywhere, but I will be

13 pursuing those in another channel until they are

14 brought back here.

15 JUDGE TOREM: Once we have a procedural

16 schedule today, I will allow the Department of

17 Transportation to file its responses to that. I would

18 ask as a courtesy whatever you send to the Commission

19 with the request you send directly to Mr. Lockwood and

20 Ms. Woods so they can weigh in as need be, and not only

21 explain their position on whether those crossings

22 should be reconsidered and set for hearing but also

23 what the impact might be on this case and the

24 procedural schedule we will look at today.

25 MS. WACHTER: That's understandable. With

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1 regard to the position of the city, it is our position,

2 as you have pointed out, that these are at-grade

3 crossings. These are also passenger trains, and while

4 they don't necessarily meet all of the definitions of a

5 high-speed train, we know that passenger trains are

6 higher speed than, for example, freight trains.

7 We also know this is a permanent change which

8 addresses capacity issues in transporting people by

9 train, but it does forever change traffic in Lakewood,

10 and the crossings we are talking about are dealing with

11 increasing amounts of traffic. The traffic counts and

12 the nature of the train transportation we believe

13 creates a serious safety concern, and we believe what

14 is happening here is that in the interest of the amount

15 of money available, we are being shortchanged on the

16 safety basis. We understand that if that's the case,

17 there has to be a justification based on expense, and

18 we don't believe that justification can be met for

19 what's being proposed here.

20 JUDGE TOREM: Has the city had an opportunity

21 to review what other safety features might result in

22 the city feeling that it wasn't being shortchanged,

23 that sufficient safety features could be added beyond

24 and above what Mr. Jeffers described?

25 MS. WACHTER: We have ongoing conversations

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1 with the Department of Transportation, and we are

2 interested in further conversations about ways to

3 insure some safety for the citizens. We understand

4 that the convenience of the people driving in the city

5 isn't necessarily going to carry the day. That's

6 obviously also of interest to us, but we believe in our

7 conversations DOT has been trying to work with us to

8 see if there is some other way to address this. I

9 think the communication has been good in that regard

10 and will continue.

11 JUDGE TOREM: Mr. Zahn, do you have anything

12 specific to add to the issues that I've addressed as an

13 interested party at this point?

14 MR. ZAHN: I'll note that a confirmation of

15 existing at-grade crossings with existing functional

16 crossings, additional impact from the proposal that

17 exacerbates existing long-term anticipated impacts of

18 area growth, base growth. I think the City of Dupont

19 and Lakewood are very similar in those issues, and the

20 proposal would again exacerbate some existing problems,

21 make a permanent change, and also potentially impact

22 the ability in the future for that, whether that be

23 short-term or long-term to address those issues.

24 JUDGE TOREM: Ms. Wachter, from what you said

25 from the ongoing discussions and Mr. Lockwood, when we

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1 get to talking about the procedural schedule shortly, I

2 wonder whether or not having a mediation of some sort

3 or a settlement judge assigned might be something the

4 parties are interested in, as long as that doesn't

5 string out the procedural schedule unnecessarily, but

6 if there is a way to have the parties reach an accord,

7 certainly that keeps things all on your side of the

8 table and predictable as to upgrades that might be

9 negotiated, so I offer that as something the Commission

10 can do, has tried to mediate cases in the past with

11 mixed success on some of the issues, but we do have

12 other judges that have experience. Our chief judge,

13 Judge Rendahl, mediated a case like this not long ago,

14 and I know she made progress, but it still went to

15 hearing, but I offer that for your consideration.

16 So I'm hearing, Ms. Wachter and Mr. Zahn,

17 that the question of whether the crossing sure should

18 be left at grade is something you want to look at in

19 the hearing.

20 MS. WACHTER: Yes, it is.

21 JUDGE TOREM: So if that's an issue that we

22 have to look at, what are the costs involved, I'm not

23 sure what the best way to get that testimony is,

24 whether the city has an engineering department that has

25 any experience with overcrossings or undercrossings.

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1 I know the petitions Mr. Jeffers mentioned

2 some estimates as to cost of raising parts or segments

3 of Interstate 5 and the much, much more inflated costs

4 involved with doing that, so it might be helpful if the

5 Department has already studied that or has cost

6 estimates that are readily available to share those

7 with the other parties through a discovery request or

8 other places where similar crossings have been modified

9 or where a new crossing has been created with an over

10 or undercrossing. I don't want the parties to spend a

11 whole lot of resources obtaining expert witnesses if

12 it's all for just the end result a dollar figure that

13 needs to be in the record. I certainly don't want

14 anyone to go out and hire an engineering firm and spend

15 money on preparing a witness for something that may

16 only be ordered if a certain threshold were met.

17 So I think that certainly is something we are

18 having a new crossing, we might want a more fleshed-out

19 estimate, but because they are existing crossings, it

20 will be a question of a ballpark comparison in cost,

21 and if there is something for the idea of over

22 long-term versus short-term or whether this is a

23 short-term issue with an attempt to reopen this issue

24 down the road, there are some cases out that talk about

25 changing crossings because of a change in

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1 circumstances, but there were traffic counts out to, I

2 think, 2020 in the petitions, so I don't know if

3 anybody has studies that go beyond that growth.

4 Let me stress that the key here is going to

5 be a comparison of what does the public safety require,

6 and to that, what is the public need and convenience

7 for these crossings. So until we have evidence as to

8 what the actual timing of the trains is, the actual

9 impact on when those crossings are not available for

10 surface use by other vehicle traffic, I won't be able

11 to have any kind of record that allows me to make

12 findings as to public convenience and necessary until

13 we have that data, so I will expect testimony, maybe

14 joint testimony from the parties on that because it may

15 be that the Department is in the best position to

16 present that testimony. Is it Tacoma Rail that runs

17 the freight trains there?

18 MR. LOCKWOOD: Yes.

19 JUDGE TOREM: Is BNSF involved as well?

20 MR. LOCKWOOD: I think they service the

21 military.

22 JUDGE TOREM: I've already listed for you

23 whether emergency response is an issue. You will have

24 to check with your city departments and see if that

25 crossing is part of their response route and if they

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1 are aware of the different schedule of trains and what

2 their rail options are in their emergency response

3 plans. My experience is that police and fire chiefs

4 are well aware that anytime there are train tracks that

5 that route may be blocked, and they have alternatives

6 to use in those cases.

7 Those are the issues that I wanted to put out

8 there. I'm not hearing anybody making a motion to

9 unduly expand issues beyond what they needed to be

10 today, so I don't think other than listing some of

11 these in the prehearing conference order that I'm going

12 to have to worry about motions to limit, but I think if

13 you are well outside the scope of what I list in the

14 prehearing conference order, you might want to file a

15 motion in advance or circulate something to the other

16 parties to give them notice of a witness that you want

17 to call that's outside the scope of these issues, and

18 if you don't have assent of where you spend time

19 developing that, file a motion to that would be outside

20 the scope of what we discussed today.

21 I understand there may have been some dates

22 that were going to be proposed. Do you want to go over

23 those on the record or off the record; Ms. Woods?

24 MS. WOODS: I think we can start at least by

25 putting some dates out. I don't know if we've worked

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1 out the details, but I think we have general agreement

2 on the schedule.

3 MR. LOCKWOOD: Let's go off the record.

4 JUDGE TOREM: Off the record.

5 (Discussion off the record.)

6 JUDGE TOREM: We've worked out that we are

7 going to use prefiled testimony in this case, and we've

8 worked out a schedule as well, and it starts with the

9 Department of Transportation filing its witness list,

10 all of its witness testimony and supporting exhibits on

11 Friday, April the 16th. I've clarified for the parties

12 that these filing deadlines will be the electronic

13 filing by mid afternoon with the hard copies being due

14 the next business day by noon.

15 The response testimony from the Commission

16 and the city of Lakewood will be due on Friday, May the

17 7th, and rebuttal and cross-answering testimony is

18 going to be due on Monday, May the 24th from all

19 parties. The hearing itself we are targeting June 7th,

20 which is a Monday, and if necessary, June 8th, which is

21 a Tuesday for the hearing. We would try to hold a

22 public comment hearing on June 7th as well.

23 There is a possibility that the City of

24 Lakewood can host the hearing at its counsel chambers,

25 and we are looking at location in perhaps a community

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1 center in Tillicum for the public comment session. We

2 don't want to have that one, as the Department has

3 indicated, in the same place as the hearing if we are

4 in the counsel chambers.

5 We are also going to hold on our calendar the

6 14th and 15th if it's necessary to extend the schedule

7 for any reason having to do with witnesses or

8 anticipating the City of Dupont's city attorney wishing

9 to join his case on behalf of the City of Dupont in

10 Docket 100131. If he's not available the 7th and the

11 8th, we think we can still consolidate and use the same

12 schedule for filings that June 14th and 15th as the

13 hearing dates and Monday the 14th as the public comment

14 night. So until we change tracks on this case with the

15 Dupont hearing being consolidated stick with June 7th

16 and 8th.

17 If that's the schedule, then posthearing

18 briefs would be due Friday, June 25th, and one way or

19 another, we are going to target having the Commission

20 issue an initial order under my signature as the

21 presiding officer on July the 23rd, which is a Friday,

22 and we will try to stick with that even if the hearing

23 dates fall back and the briefing dates back up a little

24 bit into July as well.

25 So by July 23rd, you should have my order as

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1 to all of these issues, whatever they turn out to be,

2 and that order, as we discussed on the break, would not

3 become final by operational law until 21 days later.

4 If there was an appeal, then there would be a final

5 order necessitated from the three commissioners

6 appointed by the governor.

7 In between the April 16th and the May 7th

8 filing dates would be the best opportunity for the

9 parties to have a settlement judge review the cases as

10 they stand, at least one filed by DOT, and then the

11 parties to get together. The other opportunity would

12 be to wait until after the response cases come in on

13 May 7th so that the Department of Transportation has at

14 least a better idea of what the nature of the

15 opposition is and will be and use that early part of

16 June to attempt to mediate some or all of the issues to

17 a settlement. That would be one way that the

18 Department would be guaranteed of an expedited result

19 if there is a settlement on all of these issues.

20 I'll leave it up to the parties when to

21 contact our administrative law division and seek a

22 settlement judge as to what the timing might be best.

23 If you want to wait for the majority of both cases in

24 chief to be made and do the mediation only while trying

25 to put together a rebuttal and cross-answering

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1 testimony, or if it's to the Department's liking to do

2 it earlier once it files its own testimony. I'll leave

3 that up to you and go from there.

4 While we were off the record, we also talked

5 about the need for discovery. We are hoping it can all

6 be done informally, but I will include in the

7 prehearing conference order an invocation of WAC

8 480-07-400 and say that this is the kind of case where

9 discovery and formal rules can be relied upon, and I'm

10 just reminding the parties to read those rules and

11 understand how we do discovery if that becomes

12 necessary.

13 I indicated in the prehearing conference

14 order I wanted to hear from the Department on what

15 environmental reviews had been done in this case and

16 what additional environmental reviews might be

17 necessary. I understand that from the Department's

18 perspective, it's complied with all of the SEPA and

19 NEPA standards, and if we can hear from Mr. Lockwood on

20 the status, I know that Ms. Wachter has raised that in

21 her answer questioning the sufficiency.

22 I don't have the ability to deal with any of

23 those appeals. That's a different forum, but if you

24 will state for the record what has or has not been

25 done.

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1 MR. LOCKWOOD: Certainly, Your Honor. I do

2 know that the Commission is always concerned that the

3 appropriate government agency does comply with the

4 environmental requirements for any project that a

5 commission gets involved in, and I can assure you and

6 the Commission that the Department did pursue its

7 environmental review, and we are confident that we have

8 fully complied with those requirements.

9 The Department retained HDR engineering

10 consultants to do what at that time they anticipated

11 may very well be a full environmental impact statement,

12 prepared all of the disciplinary reports, moving that

13 forward. Once that completed, because there are

14 federal dollars involved in this project, the

15 Department partnered with FHWA to serve as the lead

16 agent under NEPA.

17 JUDGE TOREM: So that's the Federal Highway

18 Administration?

19 MR. LOCKWOOD: Yes. After reviewing the

20 environmental documentation, which is actually

21 available on the Department's project Web site, it's

22 entitled environment summary, FHWA determined that the

23 project qualified as categorically excluded under NEPA

24 pursuant to 40 CFR 1508.4. That determination was

25 signed by representative HWA back in August of 2008.

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1 The Department served as lead agency under

2 SEPA and adopted the NEPA determination, and although

3 they did supplement it with some water quality

4 documentation as well, adopted that SEPA determination,

5 again, I think it was in August of 2008, and there has

6 been no appeal to that determination to date, and we

7 believe that our compliance was sufficient and

8 complete.

9 JUDGE TOREM: In the last case we hadn't even

10 had an environmental assessment done before the hearing

11 was completed, so I'm happy to know that the process

12 was engaged in, and whether it's sufficient or not I

13 have to leave for another arbiter to sort out, but for

14 my purposes then, those documents have been made part

15 of the record. I'll leave that to you. Maybe it's

16 something you want me to take judicial notice of later

17 that helps fill out the record and what analysis was

18 done on the environmental side of the house.

19 MR. LOCKWOOD: Certainly.

20 JUDGE TOREM: The key is to have the public

21 safety issues, but because NEPA and SEPA both have

22 changes to the human environment, occasionally in those

23 documents we find out about safety concerns, and I want

24 to make sure we are not making safety determinations

25 without respect for those environmental process

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1 documents.

2 MR. LOCKWOOD: In light of that, Your Honor,

3 while we do not think that SEPA compliance is properly

4 part of this case, what I will do is include a short

5 portion of our testimony to make the primary documents

6 part of the record.

7 JUDGE TOREM: I think if nothing else then if

8 there is subject matter appropriate appeals for any of

9 what went on there, if there is something to the

10 commissioners or beyond, that there will be at least

11 reference in one place for any reviewing body, and that

12 procedurally sets up a fair table.

13 From the other parties then having noted the

14 status of SEPA and NEPA, I've also been assured that

15 any traffic studies that the Department's conducted

16 some, maybe not to the same level as other cases, but

17 the Commission staff intends to rely on what the

18 Department has provided already; is that correct,

19 Ms. Woods?

20 MS. WOODS: That's correct, Your Honor.

21 JUDGE TOREM: From your perspective,

22 Ms. Wachter, the city is going to present any traffic

23 study and impact data is going to be able to provide

24 that on or before May 7th, which is the date for your

25 witness testimony.

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1 MS. WACHTER: Yes, Your Honor.

2 JUDGE TOREM: Are there any other procedural

3 points that we haven't talked about off the record and

4 now rehashed on the record? Anything else we need to

5 go over this afternoon?

6 MR. LOCKWOOD: Nothing from the Department,

7 Your Honor.

8 JUDGE TOREM: How about just procedure going

9 forward. Are there questions we need to cover on the

10 record, or shall we have some informal discussion after

11 we close the prehearing conference today about

12 questions about how we do things at the Commission or

13 questions about how this sort of litigation should be

14 expected to develop? I want to offer that.

15 If we need to, I still think that the parties

16 can certainly get all together and work things out.

17 I'm usually in the office most days, so if it needs to

18 be an informal prehearing conference, not on the record

19 but just a phone call, to sort things out and apprise

20 me of something or ask a question about how the

21 Commission might handle something, please don't

22 hesitate. I don't want any of these questions to linger

23 and cause your prehearing preparation to be more

24 stressful than it already will be, but if there is a

25 how-do-you-do-that question, please don't be

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1 embarrassed. We can work it out so everyone knows what

2 to expect.

3 Mr. Zahn has returned and we've gone through

4 the dates. Have you had any other contact from the

5 City of Dupont's attorney?

6 MR. ZAHN: There is no absolute conflict with

7 what has been discussed.

8 JUDGE TOREM: Again, I'll issue a prehearing

9 conference order in these consolidated dockets.

10 Mr. Zahn, if you can talk to Mr. Victor, if he sees

11 that what's been discussed today will fit with the City

12 of Dupont's need and they wish to join and adopt this

13 schedule for their own and he wants to file a motion to

14 consolidate his case with this schedule and you can get

15 the other parties to give you their informal assurances

16 that they are happy with that, we can strike that April

17 1st prehearing conference in the other docket, and I

18 can simply issue an addendum order consolidating it in

19 here. Anything else for the record today?

20 MR. ZAHN: Just a question on that matter.

21 I'll have our representative confer with Lakewood. Are

22 you available for comment to that question?

23 JUDGE TOREM: If Mr. Victor wants to talk to

24 me about procedural niceties, our rules on ex parte

25 communication allow for procedural issues to be

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1 discussed. I think we are a lot easier to get ahold of

2 and more available than a superior court judge would

3 be. We won't talk about the substance of the case.

4 If it turns out that Mr. Victor's question

5 requires the rest of the parties to be on the line, we

6 will arrange a conference call so it's not ex parte.

7 Seeing nothing else, we will adjourn and be off the

8 record. Thank you all.

9 (Prehearing adjourned at 4:09 p.m.)

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