



COMMERCIAL FERRY APPLICATION

621 Woodland Square Loop SE
Lacey, WA 98503
P.O. Box 47250
Olympia, WA 98504-7250
Phone: 360-664-1222
E-mail: transportation@wutc.wa.gov

Application is made in accordance with RCW 81.84 for a Certificate of Public Convenience and Necessity to operate vessels in furnishing Passenger Ferry Service.

\$200.00 APPLICATION FEE MUST ACCOMPANY THIS APPLICATION

	<u>INDICATE TYPE OF APPLICATION:</u> (Only one type per application)		<u>INDICATE TYPE OF SERVICE</u> <u>APPLYING FOR:</u>
<input type="checkbox"/>	Original Certificate		
<input type="checkbox"/>	Extension of Authority	<input type="checkbox"/>	Certificated commercial ferry – including launch service
<input checked="" type="checkbox"/>	Transfer all Authority	<input type="checkbox"/>	Launch Service only
<input type="checkbox"/>	Transfer a Portion of Authority		
<input type="checkbox"/>	Temporary Certificate		
<input type="checkbox"/>	Lease of Certificate		
<input type="checkbox"/>	Mortgage of Certificate		
<input type="checkbox"/>	Acquisition of Control		

<u>FOR OFFICIAL USE ONLY</u>		
RECEIPT ID.: _____	MAP _____	DATE REC'D _____
AMOUNT \$ _____	INSURANCE _____	ID NO. _____
(111-0268-0216-02)	SAFETY INSP. CERT. _____	DOCKET NO. _____
	TARIFF _____	
	TIME SCHEDULE _____	
	SHIPPER SUPPORT _____	

BUSINESS INFORMATION

1. Legal name of applicant: Lake Union Ferry Company LLC
(Legal name must match your registration with the Department of Revenue)

2. Trade Name, if applicable: N/A

3. Mailing Address: Physical Address:
Street or PO Box: 506 2nd Ave, Suite 2300 Street: Same
City: Seattle City: _____
State/Zip: WA 98104 State/Zip: _____
Telephone: (360) 790-5108 Fax: _____
Email address: dstoble@lakeunionferrycompany.com

4. UBI Number: 604267562-001-0001 State of Incorporation Washington

Type of Business Structure: Individual Partnership Corporation Other (LP, LLP, LLC)

List names and addresses of all persons having an interest in the business. If a CORPORATION or LLC, give names and addresses of two principal officers, noting their titles, and indicate in which state incorporated. If a PARTNERSHIP, attach a certified copy of the partnership agreement, which sets forth the responsibility of the interested individuals.

LLC, Washington, David Stoble-Principal

5. List the territory in which you wish to operate: (attach additional sheet if necessary)
Lake Union, Bullard Ship Canal, Portage Bay

See Docket # TS-180277
See Certificate # BC068215

6. Attach a map which shows in detail the proposed routes, clearly showing beginning, ending, and intermediate points to be served. Also show the routes of other carriers giving similar service into the same or neighboring territory (if known to applicant).

7. Will an attorney be representing you at the hearing? Yes No

If yes, give specific attorney's name: _____

Telephone Number () _____ Address _____

8. Attach a copy of the tariff you propose using. The tariff must contain proposed fares and rates to be assessed for service and the rules and regulations governing same. The tariff must comply with [WAC 480-51-080](#) and the rules in the Commission's Tariff Circular No. 6 ([WAC 480-149](#)).
9. Attach a copy of the time schedule you propose using. The schedule must show routes, trips, times, points served, distances and available facilities. The time schedule must comply with [WAC 480-51-090](#) of the Commission's Laws and Rules Relating to Commercial Ferry Service.
10. List vessels which are owned (unless otherwise stated) and will be used in the proposed service.
(Attach additional sheet if needed)

Name	Passenger Freight or Ferry Type	Power	Passenger Capacity	Freight Capacity	Owned, Leased, other?

11. List the docks proposed to be used in providing service. Indicate value of each dock, if owned. If not owned, indicate if dock owner and applicant have an agreement allowing use of the dock by the applicant.

12. Complete the following financial statement:

FINANCIAL STATEMENT

Cash on hand and in bank	\$	<hr/>	Notes payable	\$	<hr/>
Notes receivable		<hr/>	Accounts payable		<hr/>
Accounts receivable		<hr/>	Other liabilities:		<hr/>
Stocks in other companies		<hr/>			<hr/>
Vessels (listed above)		<hr/>			<hr/>
Bonds		<hr/>			<hr/>
Docks (listed above)		<hr/>			<hr/>
Machinery, tools, etc.		<hr/>			<hr/>
Real estate (listed below)		<hr/>			<hr/>
Other assets (listed below)		<hr/>			<hr/>
Total	\$	<hr/>	Total	\$	<hr/>

a. List all Real Estate as to location and value (List here only such property as cannot be listed under item 10)

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

b. List total "Other Assets" mentioned above:

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

13. Have you or your company ever been cited for business-related violation of state laws or commission rule or any other federal or state agency?

No Yes If yes, please explain _____

14. List conditions that exist which would justify the granting of a Certificate of Public Convenience and Necessity:

15. List names and addresses of all persons, firms, or corporations now furnishing similar service between any of the points or along any portion of the route proposed to be served:

_____	_____
_____	_____
_____	_____

16. State applicant's prior experience, if any, in providing commercial ferry service:

17. All applicants must attach separate sheets containing the following information:
- a. Pro forma financial statement of operations (projections of incomes and expenses for first year operations).
 - b. Ridership and revenue forecasts.
 - c. The cost of service for the proposed operation.
 - d. An estimate of the cost of the assets to be used in providing service.
 - e. Certificate from United States Coast Guard on inspection.
 - f. Evidence of proper insurance as required by WAC 480-51-070.
18. If certificate is granted, carrier will begin operations within _____ days of that grant.

19. If this is a transfer application, you must:

- Complete attachment A.
- If this application is for transfer of a portion of certificated authority, attach document clearly showing authority to be transferred and a document clearly showing the authority to be retained.
- Current company must submit closing annual report.

If this is an acquisition of control of the stock, you must:

- Attach copy of the corporate minutes authorizing such transfer.

If this is an application for a temporary certificate you must:

- Attach proof of insurance.
- Attach statements from potential customers showing immediate and urgent need for service.
- Attach proof that all vessels to be operated under the temporary are inspected and found to be safe and seaworthy.

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The applicant certifies he/she is familiar with the provisions of Chapter 81. 84 RCW and Chapter 480-51 WAC, Laws and Rules of the Washington Utilities and Transportation Commission, governing the operation of vessels and ferries upon the waters of the state of Washington. Applicant further certifies that vessels operated under Certificates of Public Convenience and Necessity are in no way released from the necessity of observing all Federal and State laws providing for the registration of vessels, the observance of navigation and maritime rules and regulations, and other matters subject to Federal or State enactments.

Applicant affirms that its operations shall be at all times within the provisions of such acts, and requests the Washington Utilities and Transportation Commission to make its order granting a Certificate of Public Convenience and Necessity as provided in Chapter 81.84 RCW and Chapter 480-51 WAC.

The applicant swears or affirms that he/she has read the foregoing application and knows the contents, that the same is true of his/her own knowledge, except as to matters which are therein stated on information or belief, and as to those matters he/she believes them to be true.

Company Name: Lake Union Ferry Company LLC

Name (printed): David Stroble Date: 1/10/20

Signature:  Title: Principal

ATTACHMENT A

Attachment for Certificated Commercial Ferry Application For Transfer

Certificate of Public Convenience and Necessity No. BC-068215 is attached and authorizes the furnishing of passenger-freight-ferry-excursion service by vessel between:

Locations on the shores of Lake Union, Portage Bay, Moutlake Cut, and Ballard Ship Canal

The applicant (buyer) desires to obtain all/a portion of the rights pursuant to RCW 81.84.030 of said

Eden Valley Investments LLC

(name certificate now in) who

hereby agrees to the cancellation of the said certificate as applicable and the issuance in lieu of a like certificate in the name of the applicant (buyer). Both the certificate holder and the applicant (buyer) are familiar with the provisions of WAC 480-51-100 relating to the filing of annual reports and payment of fees on cessation of operation and jointly bind themselves to see that such rule is complied with. It is further represented by both parties that the sale or transfer applied for is not for the purpose of hindering, delaying or defrauding creditors.

Does the seller propose to transfer any properties or facilities together with the certificate?

Yes No

If "Yes", the seller and the purchaser agree to jointly file an application under the provisions of RCW Chapter 81.12 if any of the seller's physical assets necessary or useful in the performance of its duties to the public are to be transferred to the purchaser, in addition to the Certificate of Public Convenience and Necessity requested.

Has the closing annual report been submitted to the Commission? Yes No

Applicant (Seller) Eden Valley Investments LLC

By David Stroble, Principal
Name & Title

Date 1/10/20

Applicant (Buyer) Lake Union Ferry Company LLC

By David Stroble, Principal
Name & Title

Date 1/10/20