



UTILITIES AND TRANSPORTATION
COMMISSION

Assignment Report Motor Carrier Safety

New Entrant? Yes No Was a CR conducted between 6-18 months after the permit was issued? Yes No

1. Investigator(s): Sandra Yeomans 2. Assignment No.: 117028
 3. Current Date: 3/14/2017 4. Date of Activity: 3/14/2017
 5. Carrier Name: KE Moving Services, LLC
 6. Company ID: 17360 7. Industry Code: 207 8. USDOT #: 2830281
 9. Carrier is: Intrastate Yes No Intra and Interstate

10. Destination Check

<ul style="list-style-type: none"> ▪ Has a copy of the Destination Check Safety plan been attached? <input type="checkbox"/> Yes <input type="checkbox"/> No ▪ Any special emphasis placed on the destination check? <input type="checkbox"/> Yes <input type="checkbox"/> No ▪ Describe Special Emphasis: _____

11. Compliance Review

<ul style="list-style-type: none"> ▪ SI Rating: <input checked="" type="checkbox"/> Satisfactory <input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Conditional <input type="checkbox"/> Not Rated ▪ Number of Current Vehicles: <u>2</u> ▪ Number of Current Drivers: <u>1</u> ▪ Total Miles Prior Year: <u>5,920</u> ▪ Recordable Accidents Prior Year: <u>0</u> ▪ Accident Ratio: <u>0.00</u>
CSA Investigation: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Full Investigation <input type="checkbox"/> Focused Investigation Carrier Type: <input type="checkbox"/> Passenger Carrier <input checked="" type="checkbox"/> Property Carrier <input type="checkbox"/> Other: _____

12. Part B Violations

Part	Violations	Part	Violations	Part	Violations
382/40		383		387	
390		391	1	392	
395		396	1	397	

13. Vehicle Inspection Data

	TRK						
Inspections	2						
Defective Vehicles	0						
OOS Vehicles	0						
Level	5						

Assignment Report

Motor Carrier Safety

14. Vehicle Inspection Violations

Comments:					

15. Driver Inspection Violations

Medical Card	Medical Waiver	Hours of Service	Driver's License
Comment:			

16. Relevant Carrier History:

The carrier began operations in January 2016. The carrier is a for hire household goods carrier operating in Bellingham, WA and the surrounding area. The carrier currently owns two vehicles and have one driver.

17. Findings:

KE Moving Services, LLC had one violation of CFR Title 49 Part 391.23(c) for failing to investigate driver's background within 30 days of employment. Two violations of CFR Title 49 Part 396.21(b) for failing to retain periodic inspection report for 14 months from date of inspection. KE Moving Services, LLC had level V inspections of the two vehicles and no violations were discovered.

18. Recommended Safety Action: Yes No

- Require the company to submit a compliance plan in response to the 15 day letter requirement.
- Require the company to submit a compliance plan in response to the 385 letter requirement (45 days).
- Recheck – Safety Investigation (Date: _____)
- Revisit to recheck a specific issue (Date: _____)
- Send the company a compliance letter. Require a response: Yes No
- Issue administrative penalties.
- Issue a complaint.
- Stop company operations.

19. Is this carrier considered a high risk carrier as a result of this activity? Yes No

- Carrier accident ratio is higher than aggregate ratio.
- Carrier had an out-of-service ratio 25% higher at the last vehicle inspection.
- Carrier had a defect ratio 75% or higher at the last vehicle inspection.
- Carrier received more than one conditional or unsatisfactory safety investigation rating in more than one of the last four safety investigations (or less than four if four are not completed).
- Other (please explain):

20. Additional Comments:

Assignment Report
Motor Carrier Safety

All members of KE Moving Services, LLC were very cooperative throughout the entire scope of this investigation. Violations were corrected at time of discovery. Technical assistance was also provided to the carrier during the process of this review.

Investigator's Signature: Andrew Yeomans Date: 3/14/2017

OFFICE USE ONLY

Initial Review By: [Signature] Date: 3/17/2017

Initial Reviewer's Recommendation: _____

Very minor violations noted, agree with recommendations. Please close and file.

Final Review By: David Pratt Date: 3/22/17

Final Reviewer's Recommendation: Agree with recommendations. Ok to close and file.

Please send to Licensing with approval to issue perm authority


Internal Processing

Date Closed: 3/30/2017 By: Kali Wraspir

Company Name: KE Moving Services, LLC

Assignment #: 117028 Staff Assigned: Yeomans

Washington Utilities Transportation Commission

	US DOT # 2830281	Legal: KE MOVING SERVICES LLC Operating (DBA):				
	MC/MX #:	Federal Tax ID: 47-5633513 (EIN)				
Review Type: Compliance Review (CR)						
Scope: Principal Office	Location of Review/Audit: Company facility in the U. S.			Territory:		
Operation Types Interstate Intrastate						
Carrier: N/A	Non-HM	Business: Corporation				
Shipper: N/A	N/A	Gross Revenue: \$177,701.01		for year ending: 12/31/2016		
Cargo Tank: N/A						
Company Physical Address:						
1801 ELLIS ST BELLINGHAM, WA 98225						
Contact Name: Amy Erickson						
Phone numbers: (1) 360-733-7800 (2) 360-410-7810 Fax						
E-Mail Address: info@kemoving.com						
Company Mailing Address:						
PO BOX 2596 Ferndale, WA 98248						
Carrier Classification						
Authorized for Hire						
Cargo Classification						
Household Goods						
Equipment						
	Owned Term Leased Trip Leased			Owned Term Leased Trip Leased		
Truck	2	0	0			
Power units used in the U.S.: 2						
Percentage of time used in the U.S.: 100						
Does carrier transport placardable quantities of HM? No						
Is an HM Permit required? N/A						
Driver Information						
	Inter	Intra	Average trip leased drivers/month: 0			
< 100 Miles:	1		Total Drivers: 1			
>= 100 Miles:			CDL Drivers: 1			





KE MOVING SERVICES LLC
U.S. DOT #: 2830281

Review Date:
03/22/2017

Part A

QUESTIONS regarding this report or the Federal Motor Carrier Safety rules may be addressed to the Office of Motor Carriers at:

Sandi Yeomans
P.O. Box 47250 Olympia WA 98504-7250
(360) 664-1237 syeomans@utc.wa.gov

This report will be used to assess your safety compliance.

Person(s) Interviewed

Name: Amy Erickson
Name: Collin Erickson

Title: Member
Title: Member





KE MOVING SERVICES LLC
U.S. DOT #: 2830281

Review Date:
03/22/2017

Part B Requirements and/or Recommendations

1. Ensure that all drivers are fully and properly qualified before operating in intrastate commerce. Maintain a complete file as required for each driver, documenting the qualification process.
2. Ensure that the persons or entities that perform preventative maintenance inspections on your equipment are abiding by agreed time or mileage intervals. Ensure that records are kept of such periodic preventative maintenance inspections. Take corrective action, if schedules are not being adhered to.
3. **Understand Why Compliance Saves Time and Money:** Compliance with FMCSRs will not only save lives, but also saves your business time and money. Tracking how much your business spends on non-compliance activities can help you understand the many benefits of compliance to your business and why safety is good business.

Document and Follow Through on Action Plans: Document and follow through on action plans to ensure the actions you are taking are creating improvement in safety management and compliance.

NOTICE: A pattern and/or repeated violations of the same or related acute or critical regulations (violations of the same Part in Title 49, Code of Federal Regulations) will cause the maximum penalties allowed by law to be assessed under Section 222 of the Motor Carrier Safety Improvement Act of 1999 (MCSIA). A pattern of violations means two or more violations of acute and/or critical regulations in three or more Parts of Title 49, Code of Federal Regulations discovered during any eligible investigation. Repeated violations means violation(s) of an acute regulation of the same Part of Title 49, Code of Federal Regulations discovered in an investigation after one or more closed enforcement actions within a six year period and/or violation(s) of a critical regulation in the same Part of Title 49, Code of Federal Regulations discovered in an investigation after two or more closed enforcement actions within a six year period.

NOTICE: 49 CFR Part 391.23 requires prospective employers to, at a minimum, investigate a driver's employment information, crash record, and alcohol and controlled substances history from all employers the driver worked for within the previous 3 years.

All motor carriers and truck drivers are needed to fight against terrorism and hijacking. You could be a target. Protect yourself, your trucks, your cargo, and your facilities. Discuss with your employees/drivers the "Security Measures for Truck Drivers and Companies" which were provided and reviewed with motor carrier official. Motor carriers should visit the following website for more information:

<http://www.fmcsa.dot.gov/documents/Hijacking-Brochure.pdf>

PLEASE NOTE: The violations discovered during this compliance review may affect the civil penalty proposed in any subsequent Notice of Claim. In addition, your history of prior violations of the Federal Motor Carrier Safety Regulations, Federal Hazardous Material Regulations or the Federal Motor Carrier Commercial Regulations may also affect the civil penalty proposed in any subsequent Notice of Claim. Receipt of this report acknowledges your understanding that the violations discovered by the FMCSA during this review may be used to calculate any civil penalty proposed as a result of this review.





KE MOVING SERVICES LLC
 U.S. DOT #: 2830281

Review Date:
 03/22/2017

Part C

Reason for Review: Compliance Review
Planned Action: Compliance Monitoring

Parts Reviewed Certification:

325	382	383	387	390	391	392	393	395	396	397	398	399	171	172	173	177	178	180
✓	✓	✓	✓	✓	✓	✓	✓	✓	✓									

Prior Reviews

Prior Prosecutions

Unsat/Unfit Information

Is the motor carrier of passengers subject to the safety fitness procedures contained in 49 CFR part 385 subpart A, AND does it transport passengers in a commercial motor vehicle?

Does carrier transport placardable quantities of hazardous materials?

Unsat/Unfit rule: Not Applicable

Corporate Contact: collin Erickson
Corporate Contact Title: Member

Special Study Information:

Remarks:

INVESTIGATIVE REPORT RECEIVED BY:

Name: Amy Erickson
 Title: Owner
 Carrier/Shipper Name: KE Moving Services, LLC
 Date: 03/22/2017

REASON FOR THE INVESTIGATION:

As part of the 2017 Motor Carrier Safety work plan, this investigation was assigned to Sandi Yeomans, Special Investigator from the Washington Utilities and Transportation Commission (commission).

SCOPE OF THE INVESTIGATION:

SMS was checked on 02/22/2017 and it was noted no BASICS were in alert status.


The investigation was assigned to Special Investigator Sandi Yeomans on 02/01/2017. The carrier was contacted on 02/22/2017 and a full investigation was set for 03/01/2017 at 1814 Ellis St, Bellingham, WA. Present at the start of the review was Special Investigators Sandi Yeomans, company representatives Amy Erickson (Owner) and Collin Erickson (Owner).

CARRIER OPERATION DESCRIPTION:

The carrier began operations in January 2016. The carrier is a for hire household goods carrier operating in Bellingham, WA and the surrounding area. The carrier currently owns two vehicles and has one driver. The carrier's gross revenue as stated by Amy Erickson for fiscal year ending in 12/31/2016 was \$177,701.01. The carrier is not and has not been involved in any emergency relief efforts in the last 365 days. Amy Erickson stated that they traveled 5,920 miles in 2016. The property at 1801 Ellis St Bellingham, WA is the primary place of business and the vehicles are located at 1814 Ellis St, Bellingham, WA. This business property is the family home and the space for the vehicles is leased.

PRE-INVESTIGATION:



	KE MOVING SERVICES LLC U.S. DOT #: 2830281	Review Date: 03/22/2017
---	--	----------------------------

Part C

On 02/22/2017, a carrier information packet was emailed to the carrier requesting investigation information, the records that would need to be reviewed, and the information the carrier would need to make available. The carrier was requested to fill-out and return the carrier information packet with a list of all commercial motor vehicle drivers utilized in the past 12 months and a list of all commercial motor vehicles utilized in the last 12 months prior to the start of this investigation. The carrier packet was returned on 02/23/2017. On Wednesday, 03/01/2017, the documents requested were made available to the investigator for review. The carrier supplied insurance documentation, pre-trip inspection forms and maintenance files and records for each unit. A copy of the carrier's profile was obtained through MCMIS on 03/01/2017.

CDLIS (DRIVER LICENSE) CHECK:

In accordance with the eFOTM, All CDL drivers were to be checked. KE Moving Services, LLC had one CDL driver for the past 365 days. The drivers' license status/histories was required to be checked. The drivers' license status/histories were checked through Department of Licensing on 03/01/2017. The driver showed no violations and current licenses.

AUTHORITY

The carrier is an authorized for hire provisional household goods carrier operating in intrastate commerce and required to have operating authority. The commission files were checked and the carrier has a valid permit at the time this investigation began. KE Moving Services, LLC operates under USDOT 2830281. KE Moving Services, LLC has intrastate authority through the commission under permit number THG66204. No revocations or violations were noted.

INSURANCE

The carrier is a for hire provisional household goods carrier currently operating in intrastate commerce and is required to maintain a minimum level of public liability of \$750,000 and cargo insurance for \$20,000. A check with the carrier's insurance shows a \$1,000,000 Auto Liability and \$40,000 cargo effective 12/31/2016 with Rice Insurance, LLC, 1400 Broadway, Bellingham, WA an underwriter for Ohio Security Insurance Company. The carrier operates in intrastate commerce and is not required to maintain an MCS-90 through L&I. Form E on file with the commission shows Ohio Security Insurance Company as the current insurance.

RED FLAG DRIVERS:

A&I (SMS) was checked through Portal on 02/22/2017 and the carrier has no drivers with red flag violations in the last 365 days.

DRUG AND ALCOHOL SUPPLEMENTAL REVIEW

This is a full comprehensive investigation therefore a Drug and Alcohol Supplemental Review was not required.

HAZARDOUS MATERIALS SUPPLEMENTAL REVIEW

KE Moving Services, LLC does not transport any hazardous materials. A Hazardous Materials Supplemental Review is not required.

INVESTIGATION:

This is a comprehensive investigation that checked Parts 376, 380, 382, 383, 387, 390, 391, 392, 393, 395 and 396.

Parts 171, 172, 173, 177, 178, 180, & 397 Hazardous Materials

KE Moving Services, LLC does not transport any hazardous materials. These Parts were not required to be checked.

Part 40 and Part 382

KE Moving Services, LLC has a controlled substance and alcohol program. The consortium is Bostec Inc, 8112 WA-539, Lynden, WA 98264. The driver is part of a combined pool with other small companies. No violations were cited.

Part 376 Lease and Interchange of Vehicles





KE MOVING SERVICES LLC
U.S. DOT #: 2830281

Review Date:
03/22/2017

Part C

KE Moving Services, LLC does not lease vehicles.

Part 380 Special Training

KE Moving Services, LLC does not operate long combination vehicles (LCVs). The carrier has not employed drivers that meet the definition of entry level driver.

Part 383 Commercial Drivers' License

KE Moving Services, LLC does not operate any vehicles over 26,001 pounds nor any vehicles that carry 16 or more passengers, therefore are not required to have CDL drivers. KE Moving Services, LLC had one driver in the last 365 days. In accordance with eFOTM drivers' license checks were conducted on the one driver using the Department of Licensing. The driver showed no violations and current licenses.

Part 387 Financial Responsibility

The carrier's vehicles are insured with Rice Insurance LLC, 1400 Broadway, Bellingham, WA an underwriter for Ohio Security Insurance Company, 9450 Sward Rd, Fairfield, OH 45014. Policy number BAS56926173 for liability and cargo insurance. The insurance agent is Nancy Lovatt (360) 734-1161. The investigator verified the carrier maintained \$1,000,000 in Auto Liability coverage and \$40,000 cargo coverage without a lapse in the last 365 days. This insurance matched Form E on file with the commission.

Part 390 General FMSCR

The carrier has not been involved in any DOT-recordable accident in the last 365 days. MCS-150 has been updated per schedule.

Part 391 Qualification of Drivers

The carrier had one driver during the last 365 days. Per eFOTM guidelines, a sample size of one driver qualification files was to be inspected. The qualification files for Collin Erickson was checked. This resulted in one violation:

KE Moving Services, LLC did not investigate driver's background within 30 days of employment.

One violation of 391.23(c) for failing to investigate driver's background within 30 days of employment.

The carrier is a small size carrier (1 to 20 drivers) and the number of Medical Examiners' Certificates (MEC) to be checked is not less than 25% of the eFOTM sample size of at least one MEC. In accordance with FMCSA Memorandum MC-ECS-2012-0004 one driver medical examiners certificate was required to be selected for verification.

Driver Name: Collin Erickson
Date of Birth: 06/01/1971
Driver License Number: ERICKCC299LA
ME's License/Certificate Number: PA60431554
Date of Issuance of the MEC: 01/30/2017
National Registry Identification Number: 1731424267
Phone Number: (360) 734-4300
Date and Time Contacted: 03/14/2017 @ 12:51pm, talked to Alexa.
Results: MEC Check: Valid expires on 01/30/2019

Part 392 - Driving of Commercial Motor Vehicles

KE Moving Services, LLC is an intrastate carrier and at the time of this investigation the carrier is current on its annual regulatory fees.

Amy Erickson was informed that it is prohibited from using radar detectors and texting while driving. KE Moving Services, LLC is not required to pay UCR fees.

Part 395 - Hours of Service





KE MOVING SERVICES LLC
 U.S. DOT #: 2830281

Review Date:
 03/22/2017

Part C

KE Moving Services, LLC utilized one driver during the last 365 days. In accordance with eFOTM procedures, a sample size of one Records of Duty Status (RODS) is required to be checked for a 30-day period.

RODS were checked based on pre-trip inspections. No violations were cited.

Part 393 & 396 - Maintenance and Inspection

KE Moving Services, LLC owns and operates two vehicles that are classified as commercial motor vehicles in intrastate commerce in the last 365 days.

In accordance with eFOTM procedures, a sample size of 60 DVIR's were to be checked for the last 90 days however, KE Moving Services, LLC did not have any DVIR's during the last 90 days. KE Moving Services, LLC is a property carrier and is not required to complete a DVIR unless there is a safety defect with the vehicle.

Carrier did not retain previous periodic inspections.

Two violations of 396.21(b) for failing to retain periodic inspection report for 14 months from date of inspection.

Vehicle Inspections:

In accordance with eFOTM, a sample size of two vehicles were inspected. It resulted in the no violations.

The inspections are in the Aspen reports.

CLOSING INTERVIEW:

The closing interview was conducted on 03/22/2017 via phone. Present at the closing interview was Investigator Yeomans, along with company representative Amy Erickson (Member). This investigation resulted in a proposed "Satisfactory" safety rating.

All members of KE Moving Services, LLC were very cooperative throughout the entire scope of this investigation. Violations were corrected at time of discovery. Technical assistance was also provided to the carrier during the process of this review.

DOCUMENTS PROVIDED TO THE CARRIER:

The carrier was provided with two copies of "Your Guide to Achieving a Satisfactory Safety Record, Revised March 2014" and "Fitness Rating Explanation"

FOLLOW-ON ACTION:

Continued compliance monitoring and permanent permit.

Upload Authorized:	Yes	No	
Authorized by:			Date:
Uploaded:	Yes	No	Failure Code:
Verified by:			Date:



DRIVER/VEHICLE EXAMINATION REPORT

Aspen 3.0.0.17



Washington State Patrol
Commercial Vehicle Enforcement Section
P.O. Box 42614
Olympia, WA 98504-2614
(360) 596-3815 safetynet@wsp.wa.gov

Report Number: WAU008000156
Inspection Date: 03/02/2017
Start: 09:46 AM PT End: 10:05:15 AM PT
Inspection Level: V - Terminal
HM Inspection Type: None

KE MOVING SERVICES LLC
PO BOX 2596
FERNDALE, WA 98248
USDOT#: 02830281 Phone#: (360)733-7800
MC/MX#: Fax#:
State#:
Location: 1801 ELLIS, BELLINGHAM
Highway:
County: WHATCOM, WA

Driver: License#: State:
Date of Birth:
CoDriver: License#: State:
Date of Birth:
Shipper:
Origin: BELLINGHAM, WA Bill of Lading:
Destination: BELLINGHAM, WA Cargo: EMPTY

VEHICLE IDENTIFICATION

Table with columns: Unit, Type, Make, Year, State, Plate #, Equipment ID, VIN, GVWR, CVSA #, CVSA Issued #, OOS Sticker. Row 1: 1, TR, ISU, 2006, WA, C89073E, 1, 4KLB4B1U76J801019, 14,000, 24090028

BRAKE ADJUSTMENTS

Table with columns: Axle #, Right, Left, Chamber. Rows: Axle # 1, 2; Right N/A, N/A; Left N/A, N/A; Chamber HYDR, HYDR

VIOLATIONS: No Violations Were Discovered.

HazMat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: No Data for Special Checks.

Report Prepared By: YEOMANS, S.

Badge #: WAU586

Copy Received By:

Page 1 of 1

Signature of S. Yeomans

Signature of collector



02830281 WA WAU008000156

DRIVER/VEHICLE EXAMINATION REPORT

Aspen 3.0.0.17

Washington State Patrol
Commercial Vehicle Enforcement Section
P.O. Box 42614
Olympia, WA 98504-2614
(360) 596-3815 safetynet@wsp.wa.gov

Report Number: WAU008000155
Inspection Date: 03/02/2017
Start: 09:18 AM PT End: 9:45:49 AM PT
Inspection Level: V - Terminal
HM Inspection Type: None

KE MOVING SERVICES LLC
PO BOX 2596
FERNDALE, WA 98248
USDOT#: 02830281 Phone#: (360)733-7800
MC/MX#: Fax#:
State#:
Location: 1801 ELLIS, BELLINGHAM
Highway:
County: WHATCOM, WA

Driver:
License#: State:
Date of Birth:
CoDriver:
License#: State:
Date of Birth:
Shipper:
MilePost:
Origin: BELLINGHAM, WA Bill of Lading:
Destination: BELLINGHAM, WA Cargo: EMPTY

VEHICLE IDENTIFICATION

Table with columns: Unit, Type, Make, Year, State, Plate #, Equipment ID, VIN, GVWR, CVSA #, CVSA Issued #, OOS Sticker. Row 1: 1, TR, INTL, 2000, WA, C89074E, 2, 1HTSCAAN8YH316245, 32,000, 24090029

BRAKE ADJUSTMENTS

Table with columns: Axle #, Right, Left, Chamber. Row 1: 1, 2, 1, 1, 1 1/4, 1 1/2, C-20, C-30

VIOLATIONS: No Violations Were Discovered.

HazMat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: No Data for Special Checks.

Report Prepared By: YEOMANS, S.

Badge #: WAU586

Copy Received By:

Page 1 of 1

Signature of S. Yeomans

Signature of [illegible]



02830281 WA WAU008000155



KE MOVING SERVICES LLC
U.S. DOT #: 2830281

Review Date:
03/07/2017

Safety Fitness Rating Explanation

FORMULA TO CALCULATE THE OVERALL SAFETY FITNESS RATING

Number of Factors			OVERALL RATING
Unsatisfactory	Conditional		
√ 0	2 or fewer		Satisfactory
0	3 or more		Conditional
1	2 or fewer		Conditional
1	3 or more		Unsatisfactory
2	0 or more		Unsatisfactory



