

	<b>US DOT #</b> 1967746	<b>Legal:</b> WASTE MANAGEMENT OF WASHINGTON INC <b>Operating (DBA):</b>			
<b>MC/MX #:</b> 330587		<b>State #:</b> G-000237		<b>Federal Tax ID:</b> 36-3846342 (EIN)	
<b>Review Type:</b> Compliance Review (CR)					
<b>Scope:</b> Principal Office		<b>Location of Review/Audit:</b> Company facility in the U. S.			<b>Territory:</b>
<b>Operation Types</b>		<b>Interstate</b>	<b>Intrastate</b>		
<b>Carrier:</b>	N/A		Non-HM	<b>Business:</b> Corporation	
<b>Shipper:</b>	N/A		N/A	<b>Gross Revenue:</b> \$146,686,777.00	
<b>Cargo Tank:</b>	N/A			<b>for year ending:</b> 12/31/2018	
<b>Company Physical Address:</b>					
720 4TH AVENUE SUITE 400 KIRKLAND, WA 98034					
<b>Contact Name:</b> Gerrald Ginter					
<b>Phone numbers:</b> (1) 503-331-2261		(2) 281-386-5238		<b>Fax</b>	
<b>E-Mail Address:</b> gginter@wm.com					
<b>Company Mailing Address:</b>					
720 4TH AVENUE SUITE 400 KIRKLAND, WA 98034					
<b>Carrier Classification</b>					
Authorized for Hire					
<b>Cargo Classification</b>					
Garbage, Refuse, Trash					
<b>Equipment</b>					
	<b>Owned</b>	<b>Term Leased</b>	<b>Trip Leased</b>		<b>Owned Term Leased Trip Leased</b>
Truck	489	0	0		
Power units used in the U.S.: 489					
Percentage of time used in the U.S.: 100					
<b>Does carrier transport placardable quantities of HM?</b> No					
<b>Is an HM Permit required?</b> N/A					
<b>Driver Information</b>					
	<b>Inter</b>	<b>Intra</b>	<b>Average trip leased drivers/month:</b> 0		
<b>&lt; 100 Miles:</b>		525	<b>Total Drivers:</b> 525		
<b>&gt;= 100 Miles:</b>			<b>CDL Drivers:</b> 483		



**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part A**

QUESTIONS regarding this report or the Federal Motor Carrier Safety or Hazardous Materials rules may be addressed to the Office of Motor Carriers at:

Wayne Gilbert  
1300 S. Evergreen Park Dr. SW  
P.O. Box 47250, Olympia, WA 98504-7250 Phone: 360-481-2017

**This report will be used to assess your safety compliance.**

**Person(s) Interviewed****Name:** Gerald Ginter**Title:** Area Safety Manager**Name:** Sarah Hunt**Title:** Executive Assistant

**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part B Violations**

1 STATE <b>ACUTE</b>	Primary: 383.37(a) CFR Equivalent: 383.37(a)	<b>Discovered</b> 2	<b>Checked</b> 80	<b>Drivers/Vehicles</b> <b>In Violation</b> 2 <b>Checked</b> 80
----------------------------	---	------------------------	----------------------	---

**Description**

Knowingly allowing, requiring, permitting, or authorizing an employee to operate a CMV during any period in which the driver does not have a current CLP or CDL or does not have a CLP or CDL with the proper class or endorsements. An employer may not use a driver to operate a CMV who violates any restriction on the driver's CLP or CDL.

**Example**

Driver name: Jason Troupe

Trip date: March 25, 2019

Description of violation: Carrier allowed a driver to operate a CMV with a downgraded CDL on a total of 73 occasions. In addition, the driver also operated on the following dates: November 11, 12, 13, 14, 18, 19, 20, 21, 25, 26, 27, 28, December 2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 24, 30, 31, 2018 January 2, 3, 6, 7, 8, 9, 13, 14, 15, 16, 20, 21, 22, 23, 27, 28, 29, 30, February 3, 5, 6, 7, 13, 14, 17, 18, 19, 20, 24, 25, 26, 27, March 3, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 2019.

Also in violation:

Driver name: Reese Penhollow

Trip date: March 25, 2019

Description of violation: Carrier allowed a driver to operate a CMV with a downgraded CDL on a total of 126 occasions. In addition, the driver also operated on the following dates: September 14, 18, 19, 20, 21, 24, 25, 26, 27, 28, October 1, 2, 3, 4, 5, 8, 9, 10, 12, 15, 16, 17, 18, 19, 22, 23, 24, 25, 26, 29, 30, 31, November 1, 2, 5, 6, 7, 8, 9, 12, 13, 14, 15, 16, 19, 20, 21, 23, 24, 26, 27, 28, 29, 30, December 3, 4, 5, 7, 10, 11, 12, 13, 14, 17, 18, 19, 20, 21, 24, 26, 27, 28, 29, 31, 2018. January 2, 3, 4, 5, 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 25, 28, 29, 30, 31, February 1, 7, 8, 14, 15, 18, 19, 20, 21, 22, 26, 27, 28, March 1, 4, 5, 6, 7, 8, 11, 12, 13, 14, 18, 19, 20, 21, 22, 2019.

2 STATE	Primary: 391.23(c) CFR Equivalent: 391.23(c)	<b>Discovered</b> 2	<b>Checked</b> 80	<b>Drivers/Vehicles</b> <b>In Violation</b> 2 <b>Checked</b> 80
------------	---	------------------------	----------------------	---

**Description**

Failing to investigate driver's background within 30 days of employment.

**Example**

Driver name: Ronnie Smith

Trip date: March 20, 2019

Description of violation: Driver was hired on September 8, 2014 and the driver's abstract was obtained on December 19, 2014. Outside the 30 day time frame.

Also in violation:

Driver name: Justin Marshall

Trip date: March 1, 2019

Description of violation: Driver was hired on June 18, 2018 and the driver's abstract was obtained on November 22, 2018. Outside the 30 day time frame.

3 STATE	Primary: 391.25(a) CFR Equivalent: 391.25(a)	<b>Discovered</b> 1	<b>Checked</b> 80	<b>Drivers/Vehicles</b> <b>In Violation</b> 1 <b>Checked</b> 80
------------	---	------------------------	----------------------	---

**Description**

Failing to make an inquiry into the driving record of each driver to the appropriate State agencies in which the driver held a commercial motor vehicle operator's license at least once every 12 months.

**Example**

Driver name: Ronald Guisleman

Trip date: March 28, 2019

Description of violation: Carrier failed to obtain a driver's abstract at least once every 12 months. Initial abstract was obtained on November 23, 2017 and the next one was not obtained until December 20, 2018.



**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part B Violations**

4 STATE	Primary: 391.45(a) Secondary: 391.11(a) CFR Equivalent: 391.45(a)	Discovered 6	Checked 80	Drivers/Vehicles In Violation 6	Checked 80
------------	---	-----------------	---------------	---------------------------------------	---------------

**Description**

Using a driver not medically examined and certified.

**Example**

Driver name: Scott Crandall

Trip date: March 25, 2019

Description of violation: Carrier allowed a driver to drive a commercial motor vehicle without a valid medical certificate. Driver operated a total of nine occasions without a valid medical certificate. Including March 4, 5, 6, 7, 8, 13, 14, 15, 2019. Driver's medical certificate expired on March 1, 2019 and was not renewed until March 25, 2019.

Also in violation:

Driver name: Errin Ellington

Trip date: November 20, 2019

Description of violation: Driver operated a commercial motor vehicle on a total of eight times without a valid medical certificate, including on November 21, 23, 24, 26, 27, 28, and 29, 2018. Driver's medical certificate expired on November 18, 2018 and not renewed until November 30, 2018.

Driver name: Arnulfo Garcia

Trip date: March 25, 2019

Description of violation: Driver operated a commercial motor vehicle on a total of 115 occasions without a valid medical certificate, including October 1, 2, 3, 4, 5, 8, 9, 10, 12, 15, 16, 17, 18, 19, 22, 23, 24, 25, 26, 29, 30, 31; November 1, 2, 5, 6, 7, 8, 9, 12, 13, 14, 15, 16, 19, 20, 21, 28, 29, 30; December 3, 4, 5, 6, 7, 12, 13, 14, 17, 18, 19, 21, 24, 26, 27, 28, 29, 31, 2018; January 2, 3, 4, 5, 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 25, 28, 29, 30, 31; February 1, 14, 15, 18, 19, 21, 22, 25, 26, 27, 28; March 1, 4, 5, 6, 7, 8, 12, 13, 14, 15, 18, 19, 20, 21, 22, 26, 27, 28, 29; April 1, 2, 3, 2019. Driver did not obtain a medical certificate until April 3, 2019.

Driver name: Adam Petereit

Trip date: March 25, 2019

Description of violation: Driver operated a commercial motor vehicle on a total of 76 occasions without a valid medical certificate, including November 26, 27, 28, 29, 30; December 3, 4, 5, 6, 7, 10, 11, 12, 13, 14, 17, 18, 19, 20, 21, 2018; January 3, 4, 5, 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 25, 28, 29, 30, 31; February 1, 18, 19, 20, 21, 22, 25, 26, 27, 28; March 1, 4, 5, 6, 7, 8, 11, 12, 13, 14, 15, 18, 19, 20, 21, 22, 26, 27, 28, 29; April 1, 2, 3, 2019. Driver did not obtain a medical certificate until April 3, 2019.

Driver name: Donald Phillips Jr.

Trip date: March 25, 2019

Description of violation: Driver operated a commercial motor vehicle on a total of 62 occasions without a valid medical certificate, including January 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 28, 29, 30, 31; February 1, 4, 5, 6, 7, 8, 11, 12, 18, 19, 20, 22, 25, 26, 27, 28; March 1, 4, 5, 6, 7, 8, 11, 12, 13, 14, 15, 18, 19, 20, 21, 22, 26, 28, 29; April 1, 2, 3, 8, 9, 10, 12, 15, 2019. Driver did not obtain a medical certificate until April 27, 2019.

Driver name: Aaron Hutchison

Trip date: January 14, 2019

Description of violation: Driver operated a commercial motor vehicle on a total of four occasions without a valid medical certificate, including January 15, 16, and 17, 2019. Driver's medical certificate expired on January 12, 2019 and was not renewed again until January 21, 2019.



**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part B Violations**

5 STATE	Primary: 391.51(b)(2)  CFR Equivalent: 391.51(b)(2)	<b>Discovered</b> 1	<b>Checked</b> 80	<b>Drivers/Vehicles</b> <b>In Violation</b> 1	<b>Checked</b> 80
------------	---	------------------------	----------------------	--	----------------------

**Description**

Failing to maintain inquiries into driver's driving record in driver's qualification file.

Driver name: Donald Phillips Jr.

Trip date: March 15, 2019

Description of violation: Carrier failed to maintain an initial abstract from time of hire. No abstracts are on file for this driver hired on October 11, 2018.

6 STATE	Primary: 391.51(b)(4)  CFR Equivalent: 391.51(b)(4)	<b>Discovered</b> 5	<b>Checked</b> 80	<b>Drivers/Vehicles</b> <b>In Violation</b> 5	<b>Checked</b> 80
------------	---	------------------------	----------------------	--	----------------------

**Description**

Failing to maintain the responses of each State agency to the annual driver record inquiry required by 391.25(a).

**Example**

Driver name: Carlos Duran

Trip date: March 15, 2019

Description of violation: Carrier failed to maintain the annual drivers abstract within the driver qualification file for calendar year 2017.

Also in violation:

Driver name: Andre Edwards

Trip date: March 15, 2019

Driver name: Aaron Hutchison

Trip date: February 15, 2019

Driver name: Jean Mooney

Trip date: March 4, 2019

Description of violation: Missing calendar year 2018.

Driver name: Corey Scott

Trip date: March 15, 2019

Description of violation: Missing calendar year 2018.





Part B Violations

7 STATE	Primary: 391.51(b)(5) CFR Equivalent: 391.51(b)(5)	Discovered 5	Checked 80	Drivers/Vehicles In Violation 5	Checked 80
------------	---	-----------------	---------------	---------------------------------------	---------------

Description

Failing to maintain a note relating to the annual review of the driver's driving record as required by 391.25(c)(2).  
 Driver name: Corey Scott  
 Trip date: March 15, 2019  
 Description of violation: Carrier failed to maintain a note relating to the review of the driver's abstract for calendar year 2018.

Also in violation:  
 Driver name: Jean Mooney  
 Trip date: March 4, 2019

Driver name: Arnulfo Garcia  
 Trip date: March 25, 2019  
 Description of violation: Driver qualification file is missing annual reviews for 2017 and 2018.

Driver name: Adam Petereit  
 Trip date: March 25, 2019  
 Description of violation: Driver qualification file is missing annual reviews for 2017 and 2018.

Driver name: Joe Stringham  
 Trip date: March 15, 2019  
 Description of violation: Driver qualification file is missing annual review for 2017.

8 STATE	Primary: 391.51(b)(6) CFR Equivalent: 391.51(b)(6)	Discovered 4	Checked 80	Drivers/Vehicles In Violation 4	Checked 80
------------	---	-----------------	---------------	---------------------------------------	---------------

Description

Failing to maintain a list or certificate relating to violations of motor vehicle laws and ordinances required by 391.27.

**Example**  
 Driver name: Aaron Hutchison  
 Trip date: February 15, 2019  
 Description of violation: Carrier failed to maintain a list or certificate relating to the violations of motor vehicle laws in the driver qualification file for calendar year 2017.

Also in violation:  
 Driver name: Arnulfo Garcia  
 Trip date: March 25, 2019  
 Description of violation: Driver qualification file is missing annual certificates for 2017 and 2018.

Driver name: Adam Petereit  
 Trip date: March 25, 2019  
 Description of violation: Driver qualification file is missing annual certificates for 2017 and 2018.

Driver name: Joe Stringham  
 Trip date: March 15, 2019  
 Description of violation: Driver qualification file is missing annual certificate for 2017.



**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part B Violations**

9 STATE	Primary: 391.51(b)(7) CFR Equivalent: 391.51(b)(7)	Discovered 4	Checked 80	Drivers/Vehicles In Violation	Checked 80
<b>Description</b> Failing to maintain medical examiner's certificate in driver's qualification file. Driver name: Corey Scott Trip date: March 15, 2019 Description of violation: Carrier failed to maintain a copy of the driver's medical certificate in the driver's qualification file.  Also in violation: Driver name: David Storaasli Trip date: March 15, 2019  Driver name: Edward Labat Trip date: March 15, 2019  Driver name: So Phongsavath Trip date: March 15, 2019					
10 STATE	Primary: 391.51(b)(9) CFR Equivalent: 391.51(b)(9)	Discovered 30	Checked 80	Drivers/Vehicles In Violation	Checked 80
<b>Description</b> Failing to place a note related to the verification of the medical examiner's listing on the National Registry of Certified Medical Examiners required by 391.23(m) in driver disqualification file(s).  <b>Example</b> Driver name: Mario Bachez Trip date: March 15, 2019 Description of violation: Carrier failed to maintain or place a note relating to the verification of the medical examiners listing of the National Registry.					
11 STATE	Primary: 392.82(a)(1) CFR Equivalent: 392.82(a)(1)	Discovered 2	Checked 2	Drivers/Vehicles In Violation	Checked 2
<b>Description</b> Using a hand-held mobile telephone while driving a CMV (Driver).  <b>Example</b> Driver name: Mario Bachez Bachez Trip date: March 4, 2019 Description of violation: A driver of the carrier operated a CMV while using a cellphone.  Also in violation: Driver name: Donald Rhoads Trip date: November 13, 2018					







Part B Requirements and/or Recommendations

- 1. Within 15 days, send a letter to the UTC describing what actions you have taken in response to this review to ensure that you are complying with the Motor Carrier Safety Regulations.

Identify each violation and why the violations were permitted to occur.

Address the actions taken to correct the deficiency or deficiencies that allowed the violations to occur. Include actual documentation of this corrective action (new policies, procedures, training programs etc.).

Outline actions taken to ensure that similar violations do not reoccur in the future.

Address your response to:
Washington Utilities and Transportation Commission
Attention Wayne Gilbert
PO Box 47250
Olympia, WA 98504-7250.

- 2. Understand Why Compliance Saves Time and Money: Compliance with FMCSRs will not only save lives, but also saves your business time and money. Tracking how much your business spends on non-compliance activities can help you understand the many benefits of compliance to your business and why safety is good business.
Document and Follow Through on Action Plans: Document and follow through on action plans to ensure the actions you are taking are creating improvement in safety management and compliance.
NOTICE: A pattern and/or repeated violations of the same or related acute or critical regulations (violations of the same Part in Title 49, Code of Federal Regulations) will cause the maximum penalties allowed by law to be assessed under Section 222 of the Motor Carrier Safety Improvement Act of 1999 (MCSIA). A pattern of violations means two or more violations of acute and/or critical regulations in three or more Parts of Title 49, Code of Federal Regulations discovered during any eligible investigation. Repeated violations means violation(s) of an acute regulation of the same Part of Title 49, Code of Federal Regulations discovered in an investigation after one or more closed enforcement actions within a six year period and/or violation(s) of a critical regulation in the same Part of Title 49, Code of Federal Regulations discovered in an investigation after two or more closed enforcement actions within a six year period.
NOTICE: 49 CFR Part 391.23 requires prospective employers to, at a minimum, investigate a driver's employment information, crash record, and alcohol and controlled substances history from all employers the driver worked for within the previous 3 years.

The Pre-Employment Screening Program (PSP) is a screening tool that assists motor carriers in investigating crash history and roadside safety performance of prospective drivers. The PSP allows motor carriers to purchase 5 years of crash data and 3 years of roadside inspection data from the Federal Motor Carrier Safety Administration's (FMCSA) Motor Carrier Management Information System (MCMIS). Records are available 24 hours a day via Web request. Motor carriers should visit the following website for more information: http://www.psp.fmcsa.dot.gov/Pages/default.aspx

- All motor carriers and truck drivers are needed to fight against terrorism and hijacking. You could be a target. Protect yourself, your trucks, your cargo, and your facilities. Discuss with your employees/drivers the "Security Measures for Truck Drivers and Companies" which were provided and reviewed with motor carrier official. Motor carriers should visit the following website for more information: http://www.fmcsa.dot.gov/documents/Hijacking-Brochure.pdf

- 3. DRIVER FITNESS BASIC PROCESS BREAKDOWN: Monitoring and Tracking

DESCRIPTION OF PROCESS BREAKDOWN: Waste Management of Washington has failed to track and monitor





**Part B Requirements and/or Recommendations**

commercial driver's license to ensure all drivers maintain current and valid CDL's.

**BASIC SPECIFIC RECOMMENDED REMEDIES:** Waste Management of Washington has the necessary systems in place to monitor and track, however, supervisors become complacent when checking.

**Implement Safety Improvement Practices:** The following are recommended practices related to Monitoring and Tracking Processes.

- Implement an effective process for monitoring and documenting all drivers' job functions, training, qualifications, renewal dates, disclosed medical conditions, and operational restrictions, including those of drivers on a waiver program or with impairments that may be satisfied by a Skill Performance Evaluation certificate, to ensure that assignments are covered by qualified drivers.
- Review and retain each driver's Motor Vehicle Record (MVR) at least annually to ensure compliance with company policies, Federal regulations, and State and local laws and ordinances related to driver fitness. If a driver seems to have numerous violations, the MVR should be reviewed more often. Random MVR checks in addition to annual checks are also effective. File the MVR in each driver's driver qualification file after review.
- Maintain each driver's investigation history file in a secure location with limited and controlled access for as long as the driver is employed and for three years thereafter.
- Maintain roadside inspection reports, moving violation records, training records, the Commercial Driver's License (CDL), the dispatch schedule, bills of lading, and the medical report to help evaluate the performance of all staff involved in qualifying drivers (dispatchers and managers) and the effectiveness of the policies and procedures.
- Implement a system for keeping accurate records of employee driver fitness training needs, such as entry-level and HAZMAT training, and completed training, via software, a checklist in the driver's file, and/or another appropriate method.
- Evaluate personnel who are monitoring driver fitness performance by making sure they are reviewing driver-assignment and qualification files; applying the performance standards fairly, consistently, and equitably; and documenting the evaluations.
- Regularly evaluate the company's driver fitness-related inspection results via the Federal Motor Carrier Safety Administration's (FMCSA) website at <http://ai.fmcsa.dot.gov/SMS>. Assess violations for process breakdowns and how to remedy them. Use data to help implement an effective process beyond self-reporting to monitor, document, and evaluate compliance with driver-fitness regulations and company policies.
- When monitoring and tracking any driver-fitness-related issue, always assess whether it is individual or represents a systemic breakdown in one of the Safety Management Processes (Policies and Procedures, Roles and Responsibilities, etc.).

**Seek Out Resources:**

- You are encouraged to review your company's record at the following website: <http://ai.fmcsa.dot.gov/SMS>. You will need to use your PIN Number that has been provided by the FMCSA.
- Contact industry associations to get resources and ideas on safety improvement practices from other carriers in the industry.



**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part C****Reason for Review:** Compliance Review**Planned Action:** Compliance Monitoring**Parts Reviewed Certification:**

325	382	383	387	390	391	392	393	395	396	397	398	399	171	172	173	177	178	180
	ü	ü	ü	ü	ü	ü	ü	ü	ü									

**Prior Reviews****Prior Prosecutions**

12/13/2010

**Unsat/Unfit Information**

**Is the motor carrier of passengers subject to the safety fitness procedures contained in 49 CFR part 385 subpart A, AND does it transport passengers in a commercial motor vehicle?**

**Does carrier transport placardable quantities of hazardous materials?**

**Unsat/Unfit rule:**

Not Applicable

**Corporate Contact:** Thomas Jacques**Special Study Information:****Corporate Contact Title:** Senior DOT Safety Manager**Remarks:**

INVESTIGATIVE REPORT RECEIVED BY:

Name: Mr. Thomas Jacques

Title: Senior DOT Safety Manager

Carrier/Shipper Name: Waste Management of Washington, Inc.

Date: June 11, 2019

**REASON FOR THE INVESTIGATION:**

As part of the 2019 Motor Carrier Safety work plan, this investigation was assigned to Special Investigator Wayne Gilbert. This carrier operates in intrastate commerce.

**SCOPE OF THE INVESTIGATION:**

This investigation is a comprehensive intrastate investigation and was assigned to Special Investigator Wayne Gilbert on March 13, 2019. The carrier was contacted on March 13, 2019 and a full investigation was set for March 19, 2019, with Mr. Gerald Ginter at 8111 1st Ave S., Seattle, WA 98108, a terminal for the carrier. The carrier's principle place of business is actually located at 720 4th Ave., Suite 400, Kirkland, WA 98034. Present at the start of the review was Special Investigator Wayne Gilbert along with Waste Management of Washington, Inc. representative Mr. Gerald Ginter (Area Safety Manager, PNW BC). Mr. Thomas Jacques (Sr. DOT Safety Manager) would also be a part of the investigation. SMS was checked on March 15, 2019 and it was noted that no BASICs were in alert status.

**CARRIER OPERATION DESCRIPTION:**

Waste Management of Washington, Inc. is a carrier of solid waste operating and servicing various cities and counties throughout the state of Washington. The carrier began operations in the area in 1992 and the earliest records on file with the commission are dated February 1, 1993 according to records. Waste Management of Washington, Inc. operates 10 different sites around the state that are regulated by the commission. The carrier currently operates 489 straight trucks classified as commercial motor vehicles and employed 585 (525 current) drivers that are under commission authority operating within the state of Washington within the past 365 days. Waste Management of Washington, Inc. last commission investigation took place in December 2010 and the carrier received a "Satisfactory" rating. Waste Management of Washington, Inc. recorded a gross revenue \$146,686,777 ending on calendar year December 31, 2018. The carrier is not and has not been involved in any emergency relief efforts in the last 365 days. The carrier last updated the Vehicle Miles Traveled (VMT) in 2016.

The carrier operates in the following locations within Washington State: Brem-Air Disposal in Bremerton, Waste



**Part C**

Management of Skagit in Burlington, Waste Management South Sound in Auburn, Waste Management of Ellensburg, Waste Management of Kennewick, Waste Management of Seattle, Waste Management of Wenatchee, Waste Management of North Sound in Woodinville, Waste Management of Spokane in Spokane Valley, and Waste Management of Marysville.

**South Sound (Auburn):**

Marc Davis - Senior District Manager  
Tom Repass - Senior District Fleet Manager

**Brem-Air (Bremerton):**

Robert Rutledge - District Manager  
Dean Robinson - Fleet Maintenance Manager

**Marysville:**

Alan Schaible - District Manager  
Aaron Johnson - District Fleet Manager

**Wenatchee:**

Curt Kazen - District Manager

**Ellensburg:**

Mike Slack - Senior Route Manager

**Kennewick:**

Jasen Markee - District Manager

**Skagit (Burlington):**

Alan Schaible - District Manager  
Aaron Johnson - District Fleet Manager

**North Sound (Woodinville):**

Jeff McMahon - District Manager  
Chris Freemon - Senior District Fleet Manager

**Spokane:**

Jesse Granado - District Manager  
Michael Meador - Senior District Fleet Manager

**PRE-INVESTIGATION:**

On March 13, 2019, a carrier information packet was emailed to the carrier requesting investigation information, the records that would need to be reviewed and the information the carrier would need to make available. The carrier was requested to fill-out and return the carrier information packet with a list of all commercial motor vehicle drivers utilized in the past 12 months and a list of all commercial motor vehicles utilized in the last 12 months prior to the start of this investigation. The carrier packet was returned on March 19, 2019 at the opening interview. On Tuesday, March 19, 2019, the documents requested were made available to the investigator for review included a list of all accidents for the past 365 days from the date of review, driver qualification files for all drivers used within the past 12 months, insurance documentation, alcohol and controlled substance testing information, all records of duty status (log books/time cards) for the previous six months, all maintenance files and records for each unit, including leased units, and copies of driver vehicle inspection reports (DVIRs) for the last three months. A copy of the carrier's profile was obtained through MCMIS on March 13, 2019 along with a copy of their MCS-150.

**CDLIS (DRIVER LICENSE) CHECK:**

In accordance with the eFOTM, 80 driver's licenses statuses/histories were required to be checked. CDLIS website was checked to determine the status of all drivers. See part 383 for details.

**AUTHORITY:**

Waste Management of Washington, Inc. is an authorized for-hire carrier of solid waste operating in intrastate commerce. The carrier operates under the USDOT Number 1967746. Waste Management of Washington, Inc. has intrastate authority



**Part C**

through the commission under permit number G-237.

**INSURANCE:**

Waste Management of Washington, Inc. is required to maintain a minimum level of public liability of \$750,000. A check with the carrier's insurance shows a \$1,000,000 Auto Liability effective January 1, 2019 and an additional \$9,000,000 in excess with CHUBB/ACE American Insurance Company. See Part 387 below for details.

**RED FLAG DRIVERS:**

A&I (SMS) was checked through Portal on March 15, 2019 and the carrier has no drivers with red flag violations in the last 365 days.

**DRUG AND ALCOHOL SUPPLEMENTAL REVIEW:**

This is a full comprehensive investigation therefore a Drug and Alcohol Supplemental Review was not required.

**HAZARDOUS MATERIALS SUPPLEMENTAL REVIEW:**

Waste Management of Washington, Inc. does not transport any hazardous materials. A Hazardous Materials Supplemental Review was not required.

**INVESTIGATION:**

The following investigation is a comprehensive investigation that checked Parts 376, 380, 382, 383, 387, 390, 391, 392, 393, 395 and 396.

**Part 376 Lease and Interchange of Vehicles:**

Waste Management of Washington, Inc. does not currently lease any vehicles.

**Part 380 Special Training:**

Waste Management of Washington, Inc. does not operate long combination vehicles (LCVs) that fall under the commission authority. The carrier employs drivers that meets the definition of entry level driver. All necessary paperwork is located in the driver qualification file. The carrier conducts CDL training in Florida and Arizona before allowing drivers to receive a CDL with the company.

**Part 382 Controlled Substance and Alcohol Testing:**

Waste Management of Washington, Inc. employs 525 CDL drivers that operate vehicles that require a drug and alcohol testing program. The carrier is currently enrolled in a consortium with Waste Management Western Region Consortium to select and administer all random tests.

All CDL drivers are enrolled in a random drug and alcohol program.

**Average number of drivers for 2018:**

1st Qtr: 18529

2nd Qtr: 18679

3rd Qtr: 18720

4th Qtr: 19431

Average: 18,840 (18,839.75)

Controlled Substance testing required: 4,710 (4,709.93), 5,496 completed

Alcohol: 1,884, 2,279 completed

Eight pre-employment drug tests were required to be checked.

One accident occurred that met the criteria for a post-accident test, however, the driver was not cited until two weeks after the accident and therefore no test was conducted.

No violations discovered.

**Part 383 Commercial Driver's License:**

The carrier employs 525 drivers that have operated in the state of Washington over the past six months. Per eFOTM guidelines, a sample size of 80 drivers were required to be checked. Drivers checked were: (Brem Air Disposal): Joshua



**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part C**

Allbee, Cory Bankhead, Matthew Bayne, Kerrie Carter, William Deatherage, Stephen Krenzer, Ronnie Smith, Jason Troupe, Richard White, (Seattle): Paul Blaine, Michael Clawson, Ron Lazor, Russell Ruhle, James Strub, (North Sound): Mario Bachez, Paul Bartholomew, Richard Bartnikowski, Timothy Collentine, Jesse Eastmond, Errin Ellington, Arnulfo Garcia, Jesse Leitch, Adam Petereit, Joe Stringham, Todd Williams, (Ellensburg): Jason Doty, Spenser Ely, Todd Marinelli, Seth Pettigrew, Koby Wuesthoff, (Kennewick): James Canode, Scott Crandall, Mark Johnson, Edward Labat, Robert Lester, Donald Phillips, So Phongsavath, Shane Vacek, (Marysville): Randy Beamer, Ronald Guisleman, Michael Martin, Daniel Palmer, Reese Penhollow, Branden Sherman, Michael White, Harold Youngren, (Skagit): Theren Beedle, Matthew Brown, Desirae Camby, Jason Farrington, Jonathan Felty, Toby Knutzen, Patrick Layfield, Earl Schols, (Spokane): Robert Bower, Justin Clute, Andrew Corson, William Hellman, Justin Marshall, Amer Mrkaljevic, Donald Rhoads, James Richardson, James Warren, (Wenatchee): Tracy Appel, Jorge Betancourt, Larry Farley, Darrin Lyon, Vincent Olsen, Glenn Pirnat, Ryan Smith, Michael Sullivan, (South Sound): Anthony Barron, Barry Carr, Carlos Duran, Andre Edwards, Aaron Hutchison, Jean Mooney, Patrick Murphy, Corey Scott, and David Storaasli.

Two acute violations of 383.37(a) occurred when two drivers, Jason Troupe and Reese Penhollow, were allowed to operate a commercial motor vehicle a total 199 occasions while having downgraded CDL. This violation was corrected prior to the closing of this investigation.

Driver Reese Penhollow operated for a total of 126 days, including the following dates: September 14, 18, 19, 20, 21, 24, 25, 26, 27, 28, October 1, 2, 3, 4, 5, 8, 9, 10, 12, 15, 16, 17, 18, 19, 22, 23, 24, 25, 26, 29, 30, 31, November 1, 2, 5, 6, 7, 8, 9, 12, 13, 14, 15, 16, 19, 20, 21, 23, 24, 26, 27, 28, 29, 30, December 3, 4, 5, 7, 10, 11, 12, 13, 14, 17, 18, 19, 20, 21, 24, 26, 27, 28, 29, 31, 2018. January 2, 3, 4, 5, 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 25, 28, 29, 30, 31, February 1, 7, 8, 14, 15, 18, 19, 20, 21, 22, 26, 27, 28, March 1, 4, 5, 6, 7, 8, 11, 12, 13, 14, 18, 19, 20, 21, 22, 25, 2019.

Driver Jason Troupe operated for a total of 73 days, including the following dates: November 11, 12, 13, 14, 18, 19, 20, 21, 25, 26, 27, 28, December 2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 24, 30, 31, 2018 January 2, 3, 6, 7, 8, 9, 13, 14, 15, 16, 20, 21, 22, 23, 27, 28, 29, 30, February 3, 5, 6, 7, 13, 14, 17, 18, 19, 20, 24, 25, 26, 27, March 3, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 25, 2019.

**Part 387 Financial Responsibility:**

The carrier's vehicles are insured with Lockton Companies, LLC out of Houston, Texas an underwriter for CHUBB/Ace American Insurance Company, Policy number MMT H2527863. Certificate of liability provided by the carrier showed the carrier maintains \$1,000,000 in Auto Liability coverage beginning January 1, 2019 with an additional \$9,000,000 in excess liability. Contact was made with Lockton Companies and a valid Certificate of Liability was obtained, however, the agent could not confirm information concerning lapses in coverage. A Form E was received on March 26, 2019 and is on file with Licensing.

**Part 390 General FMSCR:**

The carrier has been involved in five DOT-recordable accidents within the last 365 days. The accident register is current and does contain required information.

MCS-150 form (updated on January 6, 2017) shows 9,938,706 VMT as of 2016. Mr. Michael Weinstein (Senior Pricing Manager) did indicate that total miles driven were 13,010,926 for calendar year 2018 in the safety questionnaire.

**Part 391 Qualification of Drivers:**

The carrier employed a total of 525 drivers that operated in the state of Washington during the last 365 days. Per eFOTM guidelines, a sample size of 80 Driver's Qualification Files were inspected based on the number of current drivers. Driver files checked: (Brem Air Disposal): Joshua Allbee, Cory Bankhead, Matthew Bayne, Kerrie Carter, William Deatherage, Stephen Krenzer, Ronnie Smith, Jason Troupe, Richard White, (Seattle): Paul Blaine, Michael Clawson, Ron Lazor, Russell Ruhle, James Strub, (North Sound): Mario Bachez, Paul Bartholomew, Richard Bartnikowski, Timothy Collentine, Jesse Eastmond, Errin Ellington, Arnulfo Garcia, Jesse Leitch, Adam Petereit, Joe Stringham, Todd Williams, (Ellensburg): Jason Doty, Spenser Ely, Todd Marinelli, Seth Pettigrew, Koby Wuesthoff, (Kennewick): James Canode, Scott Crandall, Mark Johnson, Edward Labat, Robert Lester, Donald Phillips, So Phongsavath, Shane Vacek, (Marysville): Randy Beamer, Ronald Guisleman, Michael Martin, Daniel Palmer, Reese Penhollow, Branden Sherman, Michael White, Harold Youngren, (Skagit): Theren Beedle, Matthew Brown, Desirae Camby, Jason Farrington, Jonathan Felty, Toby Knutzen, Patrick Layfield, Earl Schols, (Spokane): Robert Bower, Justin Clute, Andrew Corson, William Hellman, Justin Marshall, Amer Mrkaljevic, Donald Rhoads, James Richardson, James Warren, (Wenatchee): Tracy Appel, Jorge Betancourt, Larry Farley, Darrin Lyon, Vincent Olsen, Glenn Pirnat, Ryan Smith, Michael Sullivan, (South Sound): Anthony Barron, Barry Carr, Carlos Duran, Andre Edwards, Aaron Hutchison, Jean Mooney, Patrick Murphy, Corey Scott, and David Storaasli.



**Part C**

The carrier uses ACE Background Certification (eScreen vendor) that houses all driver files and documents. Driver files are scanned and sent to eScreen who then uploads all the documents into the system. The system does print reports out for each site that will notify the site of all expiring files that need to be updated.

Two violations of 391.23(c) occurred when the carrier failed to obtain a driver's abstract within 30 days of hire.

One violation of 391.25(a) occurred when the carrier failed to obtain a driver's abstract at least once every 12 months.

Six critical-type violations of 391.45(a) occurred when the carrier allowed drivers to operate a commercial motor vehicle without a valid medical certificate. This violation was corrected prior to the closing of this investigation. The following drivers operated a total of 274 times without a valid medical certificate:

Scott Crandall operated a total of nine occasions without a valid medical certificate, including March 4, 5, 6, 7, 8, 13, 14, 15, 25, 2019. Driver's medical certificate expired on March 1, 2018 and was not renewed until March 25, 2019.

Errin Ellington operated a total of eight occasions without a valid medical certificate, including November 20, 21, 23, 24, 26, 27, 28, and 29, 2019. Driver's medical certificate expired on November 18, 2018 and not renewed until November 30, 2018.

Arnulfo Garcia operated a total of 115 occasions without a valid medical certificate, including October 1, 2, 3, 4, 5, 8, 9, 10, 12, 15, 16, 17, 18, 19, 22, 23, 24, 25, 26, 29, 30, 31; November 1, 2, 5, 6, 7, 8, 9, 12, 13, 14, 15, 16, 19, 20, 21, 28, 29, 30; December 3, 4, 5, 6, 7, 12, 13, 14, 17, 18, 19, 21, 24, 26, 27, 28, 29, 31, 2018; January 2, 3, 4, 5, 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 25, 28, 29, 30, 31; February 1, 14, 15, 18, 19, 21, 22, 25, 26, 27, 28; March 1, 4, 5, 6, 7, 8, 12, 13, 14, 15, 18, 19, 20, 21, 22, 25, 26, 27, 28, 29; April 1, 2, 3, 2019. Driver did not obtain a medical certificate until April 3, 2019.

Adam Petereit operated a total of 76 occasions without a valid medical certificate, including November 26, 27, 28, 29, 30; December 3, 4, 5, 6, 7, 10, 11, 12, 13, 14, 17, 18, 19, 20, 21, 2018; January 3, 4, 5, 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 25, 28, 29, 30, 31; February 1, 18, 19, 20, 21, 22, 25, 26, 27, 28; March 1, 4, 5, 6, 7, 8, 11, 12, 13, 14, 15, 18, 19, 20, 21, 22, 25, 26, 27, 28, 29; April 1, 2, 3, 2019. Driver did not obtain a medical certificate until April 3, 2019.

Donald Phillips Jr. operated on a total of 62 occasions without a valid medical certificate, including January 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 28, 29, 30, 31; February 1, 4, 5, 6, 7, 8, 11, 12, 18, 19, 20, 22, 25, 26, 27, 28; March 1, 4, 5, 6, 7, 8, 11, 12, 13, 14, 15, 18, 19, 20, 21, 22, 25, 26, 28, 29; April 1, 2, 3, 8, 9, 10, 12, 15, 2019. Driver did not obtain a medical certificate until April 27, 2019.

Aaron Hutchison operated on a total of four occasions without a valid medical certificate, including January 14, 15, 16, and 17, 2019. Driver's medical certificate expired on January 12, 2019 and was not renewed again until January 21, 2019.

One critical-type violation of 391.51(b)(2) occurred when the carrier failed to maintain inquiries into the driver's driving record in the driver's qualification file.

Five violations of 391.51(b)(4) occurred when the carrier failed to maintain the annual inquiries into the driver's driving record in the driver's qualification file.

Five violations of 391.51(b)(5) occurred when the carrier failed to maintain a note relating to the review of the annual driver's record in the driver's qualification file.

Four violations of 391.51(b)(6) occurred when the carrier failed to maintain a certificate relating to the annual listing of driver violations.

Two violations of 391.51(b)(7) occurred when the carrier failed to maintain copies of the medical certificate in the driver's qualification file. This violation has been corrected prior to the closing of this investigation.

Thirty violations of 391.51(b)(9) occurred when the carrier failed to maintain a note relating to the review of the medical examiners verification of the national registry. This pertained to medical certificates prior to November 2018 and has since been corrected going forward.

In accordance with FMCSA Memorandum MC-ECS-2012-004 medical certificates for 25 percent of the driver qualification file sample size (eight) was selected for verification.



**Part C**

Driver Name: Corey Scott  
Date of Birth: February 3, 1984  
Driver License Number: 912891695/AR  
ME's License/Certificate Number: 24298  
Date of Issuance of the MEC: August 1, 2017  
National Registry Identification Number: 2418654205  
Phone Number: 206-575-3136  
Date and Time Contacted: April 11, 2019 @ 9:53 am  
Person Contacted: Asha  
Results: MEC Check Confirmed

Driver Name: Glenn Pirnat  
Date of Birth: September 30, 1969  
Driver License Number: PIRNAGA318OT  
ME's License/Certificate Number: PA10005335  
Date of Issuance of the MEC: August 29, 2018  
National Registry Identification Number: 7592912169  
Phone Number: 509-663-8711  
Date and Time Contacted: April 11, 2019 @ 10:00 am  
Person Contacted: Marina  
Results: MEC Check Confirmed

Driver Name: Justin Marshall  
Date of Birth: May 28, 1993  
Driver License Number: WDL62641G43B  
ME's License/Certificate Number: OP 1729  
Date of Issuance of the MEC: August 15, 2018  
National Registry Identification Number: 5055451152  
Phone Number: 509-467-4545  
Date and Time Contacted: May 22, 2019 @  
Person Contacted: Lorie  
Results: MEC Check Confirmed

Driver Name: Michael Martin  
Date of Birth: February 2, 1958  
Driver License Number: MARTINMG428CB  
ME's License/Certificate Number: AP30007223  
Date of Issuance of the MEC: January 8, 2019  
National Registry Identification Number: 9162856660  
Phone Number: 425-259-0300  
Date and Time Contacted: May 17, 2019 @ 11:46 am  
Person Contacted: Dixie  
Results: MEC Check Confirmed

Driver Name: Shane Vacek  
Date of Birth: August 17, 1991  
Driver License Number: VACEKSA094NP  
ME's License/Certificate Number: MD00027966  
Date of Issuance of the MEC: August 9, 2018  
National Registry Identification Number: 7908923556  
Phone Number: 509-546-2222  
Date and Time Contacted: May 17, 2019 @ 11:58 am  
Person Contacted: Wynona  
Results: MEC Check Confirmed

Driver Name: James Warren  
Date of Birth: January 29, 1965  
Driver License Number: WARREJE353B9



**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part C**

ME's License/Certificate Number: PA650558344  
 Date of Issuance of the MEC: January 14, 2019  
 National Registry Identification Number: 3877521237  
 Phone Number: 509-747-0770  
 Date and Time Contacted: May 17, 2019 @ 12:07 pm  
 Person Contacted: Kellie  
 Results: MEC Check Confirmed

Driver Name: Randy Beamer  
 Date of Birth: June 22, 1970  
 Driver License Number: BEAMERJ303L2  
 ME's License/Certificate Number: PA10003740  
 Date of Issuance of the MEC: March 30, 2019  
 National Registry Identification Number: 7200478866  
 Phone Number: 425-774-8758  
 Date and Time Contacted: May 17, 2019 @ 12:13 pm  
 Person Contacted: Olivia  
 Results: MEC Check Confirmed

Driver Name: Aaron Hutchison  
 Date of Birth: January 19, 1964  
 Driver License Number: HUTCHAB363BR  
 ME's License/Certificate Number: MD60568670  
 Date of Issuance of the MEC: January 21, 2019  
 National Registry Identification Number: 3666512959  
 Phone Number: 425-656-5020  
 Date and Time Contacted: May 17, 2019 @ 12:21 pm  
 Person Contacted: Celeste  
 Results: MEC Check Confirmed

**Part 392 - Driving of Commercial Motor Vehicles:**

Waste Management of Washington, Inc. is operating in intrastate commerce and at the time of this investigation the carrier is current on annual regulatory fees.

Two violations of 392.82(a)(1) occurred when two drivers employed by the carrier were stopped and cited for operating a CMV while using a cell phone.

**Part 395 - Hours of Service:**

The carrier employed 585 drivers during the last 365 days. In accordance with eFOTM procedures, a sample size of 27 Records of Duty Status (RODS) based on the number of current drivers is required to be checked for a 30 day period. Waste Management of Washington, Inc. operated all service under the short-haul exemption of Part 395.1(e) within the last 365 days. On October 25, 2018, Waste Management did receive an exemption to the short-haul criteria that extended the hours of service from 12 hours to 14 hours. Docket No. FMCSA-2018-0181.

For this investigation a 30 day period was chosen from February 4 - March 5, 2019. This required that 810 RODS be checked. Drivers checked were Paul Blaine, Carlos Duran, Aaron Hutchison, Corey Scott, Tracy Appel, Darrin Lyon, Donald Phillips Jr., Jason Doty, James Canode, Robert Lester, Matthew Bayne, Stephen Krenzer, Jason Troupe, Richard White, Donald Rhoads, Justin Clute, James Warren, Theren Beedle, Jason Farrington, Earl Schols, Randy Beamer, Reese Penhollow, Harold Youngren, Mario Bachez, Jesse Eastmond, Jesse Leitch, and Joe Stringham.

The carrier utilizes an electronic biometric time card system where drivers enter an employee ID number, and get fingerprint scanned in order to clock-in and clock-out. RODs are monitored, checked, and certified by Route Managers and Site Managers.

No violations were discovered.

Driver time cards were compared with DVIRs, route maps, and dates.

**Part 393 & 396 - Maintenance and Inspection:**

**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Part C**

The carrier owns and operates 489 vehicles that are classified as commercial motor vehicles in intrastate commerce the last 365 days. The carrier stated that most vehicle maintenance is conducted primarily at each of the carrier's terminals. The carrier's facility located at 701 2nd St NW, Auburn, WA 98001 is the primary facility for conducting all major maintenance on vehicles within the region.

**Vehicle Maintenance Records:**

In accordance with eFOTM, a sample size of 68 vehicle maintenance files were reviewed.

The carrier conducts preventative maintenance inspections at different intervals depending upon the vehicle type. PM-C Inspections are the periodic DOT inspections that take place once a year. This is a Waste Management companywide preventative maintenance schedule. Both of these inspections are sufficient and meet the criteria for the periodic inspection.

No violations were discovered.

**Driver Vehicle Inspection Reports (DVIRs):**

The carrier completes DVIRs for each trip conducted. In accordance with eFOTM procedures, 30 days of DVIRs out of the last 90 days were reviewed for 17 vehicles. This required 510 DVIRs being reviewed. All DVIRs are accomplished electronically and the carrier keeps all DVIRs regardless of any defects. Only DVIRs with defects are printed and placed with the maintenance file with a corresponding work order. When a defect is identified, maintenance personnel will evaluate the condition of the vehicle and annotate the vehicle repair order number on the DVIR. All repair order numbers were cross referenced with maintenance files to ensure repairs were made.

Six violations of 396.11(a)(3)(ii) occurred when the carrier failed to ensure that repairs were either made or not necessary to be made for safe operating of the vehicle.

Three violations of 396.13(c) occurred when the carrier failed to ensure the next day's driver signed acknowledging the defects noted the previous day.

**Vehicle Inspections:**

In accordance with eFOTM, a sample size of 68 vehicles were inspected. One vehicle was inspected by Washington State Patrol during a level one roadside inspection on April 10, 2019 in the Spokane area and was used as part of this investigation. The remaining 67 vehicles were inspected at all 10 of the carrier's sites around the state. Four vehicles were placed out of service. ASPEN reports are attached.

**CLOSING INTERVIEW:**

The closing interview was conducted on June 11, 2019 at the carrier's terminal facility located in Seattle, Washington. Present at the closing interview was Investigator Gilbert, along with company representative Mr. Thomas Jacques from Waste Management of Washington, Inc. This investigation resulted in proposed "Satisfactory" rating. Mr. Ginter and Ms. Hunt were cooperative throughout the entire scope of this investigation and did express a desire to remain in compliance with the Federal Motor Carrier Safety Regulations. Technical assistance was also provided to the carrier during the process of this review.

**DOCUMENTS PROVIDED TO THE CARRIER:**

The carrier was provided with a hard copy and an electronic copy of "Your Guide to Achieving a Satisfactory Safety Record, Revised April 2015" and "Fitness Rating Explanation."

**FOLLOW-ON ACTION:**

Recommend continued compliance monitoring and closing this assignment.

<b>Upload Authorized:</b>	<b>Yes</b>	<b>No</b>	
<b>Authorized by:</b>			<b>Date:</b>
<b>Uploaded:</b>	<b>Yes</b>	<b>No</b>	<b>Failure Code:</b>
<b>Verified by:</b>			<b>Date:</b>



**WASTE MANAGEMENT OF WASHINGTON INC**

U.S. DOT #: 1967746

State #: G-000237

Review Date:

06/11/2019

**Safety Fitness Rating Explanation**

This report lists the facts which were used to determine the Safety Fitness Rating for the above motor carrier. Federal and State violations are combined for rating purposes. However, only the federal or federal equivalent section number is shown below. A check mark identifies the range within which the data fell when determining the Safety Fitness Rating. All information within a FACTOR block relates only to that FACTOR.

<b>FACTOR 1</b>	<b>General (CFR Parts 387, 390)</b>	0 Point = Satisfactory
<b>VIOLATIONS AFFECTING RATING</b>	<b>POINTS</b>	1 Point = Conditional
NONE	-----	>1 Point = Unsatisfactory
<b>TOTAL POINTS: 0 = SATISFACTORY</b>		

<b>FACTOR 2</b>	<b>Driver Qualification (CFR Parts 382, 383, 391)</b>	0 Point = Satisfactory
<b>VIOLATIONS AFFECTING RATING</b>	<b>POINTS</b>	1 Point = Conditional
S 383.37(a)	1 (A)	>1 Point = Unsatisfactory
<b>TOTAL POINTS: 1 = CONDITIONAL</b>		

<b>FACTOR 3</b>	<b>Operational/Driving (CFR Parts 392, 395)</b>	0 Point = Satisfactory
<b>VIOLATIONS AFFECTING RATING</b>	<b>POINTS</b>	1 Point = Conditional
NONE	-----	>1 Point = Unsatisfactory
<b>TOTAL POINTS: 0 = SATISFACTORY</b>		

<b>FACTOR 4</b>	<b>Vehicle/Maintenance (CFR Parts 393, 396, Performance Data (OOS%))</b>	Out-of-Service (OOS) Percentage: 5.9
<b>VIOLATIONS AFFECTING RATING</b>	<b>POINTS</b>	
NONE	-----	
<b>TOTAL POINTS: 0 &amp; 5.9% OOS = SATISFACTORY (see chart)</b>		

<b>Fewer than 3 Inspections</b>	<b>3 or more Inspections</b>	
Rate same as other Regulatory Factors 1, 2, and 3	OOS Less than 34%	OOS 34% or Higher
0 Point = Satisfactory	0 Satisfactory	0 Conditional
1 Point = Conditional	1 Conditional	1 Unsatisfactory
>1 Point = Unsatisfactory	If a pattern of Non-Compliance with a Critical or an Acute Violation	If a pattern of Non-Compliance with a Critical or an Acute Violation

<b>FACTOR 5</b>	<b>Hazardous Material (CFR Parts 397, 171, 172, 173, 177, 180)</b>
<b>Not Applicable - Not a carrier of Hazardous Material</b>	
NONE	

<b>FACTOR 6</b>	<b>Accident (Recordable Accident Rate)</b>
(( Recordable Accidents) X (1 million)) ÷ (Total Miles) = Rate	
(5 X 1,000,000) ÷ 13,010,926 = 0.38 = SATISFACTORY	
<b>URBAN CARRIER - All Driver operate within &lt;100 air miles</b>	
<b>ACCIDENT RATE</b>	<b>FACTOR RATING</b>
0.000 - 1.700	= Satisfactory
>1.700	= Unsatisfactory

<b>OVERALL SAFETY FITNESS RATING</b>		
Number of Factors (1-6) shown above as less than satisfactory		
Unsatisfactory	Conditional	
0	1	= SATISFACTORY





**Safety Fitness Rating Explanation**

**FORMULA TO CALCULATE THE OVERALL SAFETY FITNESS RATING**

Number of Factors

	Unsatisfactory	Conditional	OVERALL RATING
0	0	2 or fewer	Satisfactory
0	0	3 or more	Conditional
1	1	2 or fewer	Conditional
1	1	3 or more	Unsatisfactory
2	2	0 or more	Unsatisfactory

