In the Matter of the Investigation of: Consiglieri Relocation PLLC

Docket No. TV-240255 - Vol. I

May 30, 2024



1325 Fourth Avenue, Suite 1840, Seattle, Washington 98101 Bellingham | Everett | Tacoma | Olympia | Yakima | Spokane Seattle 206.287.9066 Tacoma 253.235.0111 Eastern Washington 509.624.3261

www.buellrealtime.com

email: audio@buellrealtime.com

DOCKEC NO. 1V-240233 - V	701. 1 - 3/30/2024
Page 1	
BEFORE THE WAS	HINGTON
UTILITIES AND TRANSPORT	'ATION COMMISSION
In the Matter of the)
Investigation of CONSIGLIERI) DOCKET TV-240255
RELOCATION PLLC)
)
For Compliance with WAC) PAGES 1 - 28
480-15-560 and WAC 480-15-555)
ADJUDICATIVE PROCEE	DING - VOL. I

Administrative Law Judge Connor Thompson Presiding

May 30, 2024

Transcribed by: Marjorie Jackson, CET

,	Page 2
1	APPEARANCES
2	
3	Representative:
4	Jeff Roberson
5	Assistant Attorney General
6	P.O. Box 40128
7	Olympia, Washington 98504-0128
8	
9	
10	Respondent:
11	Mario Consiglieri (not present)
12	Consiglieri Relocation PLLC
13	17921 10th Avenue NE
14	Shoreline, Washington 98012
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	

Page	3	
1	INDEX OF PROCEEDINGS	
2		
3		PAGE
4	May 30, 2024 hearing commenced	4
5	Testimony	6
6	May 30, 2024 hearing concluded	28
7		
8		
9	E X A M I N A T I O N I N D E X	
10		
11	WITNESS	PAGE
12	LEONARD McLAUGHLIN	
13	Direct Examination by Mr. Roberson	6
14	Examination by ALJ Thompson	16
15	JASON SHARP	
16	Direct Examination by Mr. Roberson	18
17	Examination by ALJ Thompson	25
18		
19	EXHIBIT INDEX	
20	NO. DESCRIPTION AD	MITTED
21	LM-1 Report	11
22		
23		
24		
25		

	Page 4
1	-000-
2	May 30, 2024
3	
4	ALJ THOMPSON: Thank you. We are now on the record.
5	Good morning. Today is Thursday, May 30, 2024, and the
6	time is 9:40 a.m. This is a hearing in Docket TV-240255.
7	This docket is captioned In the Matter of the
8	Investigation of Consiglieri Relocation PLLC for
9	compliance with WAC 480-15-560 and -555.
10	This matter was initiated following an investigation
11	by Commission Staff, which was completed on April 11,
12	2024. Commission Staff has filed a Notice of Intent to
13	Cancel. The Notice of Intent to Cancel and Complaint
14	were sent to the company on April 23, 2024, and an
15	evidentiary hearing in the matter was set for today,
16	May 30, 2024.
17	My name is Connor Thompson, and I am the
18	administrative law judge presiding over today's brief
19	adjudicative proceeding. Before we move forward, I'll go
20	ahead and quickly take an appearance from Staff.
21	MR. ROBERSON: Good morning, Judge Thompson. Jeff
22	Roberson, AAG, appearing on behalf of Commission Staff.
23	With me are Len McLaughlin and Jason Sharp who will be
24	testifying on behalf of Staff.
25	ALJ THOMPSON: Thank you. And at this time, would

Page 5 Staff like to move for default, or would Staff like to 1 2. proceed in a different matter? MR. ROBERSON: I think Staff would prefer to move 3 for default and then present its merits case so that 5 there's a basis for any order that you issue to resolve the substantive issues in the matter. 6 ALJ THOMPSON: Okay. Having moved for default, I 8 will take that under advisement, and then we can go ahead and proceed with the merits of the case. And I will take 9 all of that under consideration as we move forward. 10 Does that work for Staff? 11 12 MR. ROBERSON: Yeah, absolutely. 13 Okay. And just to be clear, since we ALJ THOMPSON: 14 don't have the company here, I did see that there was also a notice of penalties filed on April 25th. 15 16 company had 15 days to respond. I did not see any 17 response on the record. And so just to clarify, before 18 we get into the merits -- the merits of the case and the 19 case presented today is strictly limited to whether or 20 not the authority of the company should be canceled and 21 not any issues on penalties. 22 Is that correct? 23 MR. ROBERSON: I'm aware of no requests for 24 mitigation or a hearing, so Staff wouldn't be presenting 25 any recommendation with regard to those things. I think

Page 6 the penalty would just stand. 1 2. ALJ THOMPSON: Okay. Are there any other issues before we go ahead and proceed? 3 MR. ROBERSON: Not from Staff. 4 5 ALJ THOMPSON: Okay. At this time, if you would like to tender your first witness, we can hear from them. 6 MR. ROBERSON: Staff calls Leonard McLaughlin. 8 ALJ THOMPSON: Wonderful. Good morning, 9 Mr. McLaughlin. Would you please raise your right hand 10 and I'll swear you in. Do you swear or affirm that the 11 testimony you will give today will be the truth, the 12 whole truth, and nothing but the truth? 13 MR. McLAUGHLIN: Yes, Your Honor. 14 ALJ THOMPSON: Wonderful. Thank you. 15 witness herein, having been 16 LEONARD MCLAUGHLIN, 17 first duly sworn on oath, was examined and testified 18 19 as follows: 20 21 ALJ THOMPSON: The witness is yours for direct. 22 23 DIRECT EXAMINATION BY MR. ROBERSON: 24 25 Good morning. Would you please state your name and spell Q.

- 1 your last name for the record?
- 2 A. Leonard McLaughlin, M-C-L-A-U-G-H-L-I-N.
- 3 Q. Who employs you?
- 4 A. Washington State Utilities and Transportation Commission.
- 5 Q. In what capacity does the Commission employ you?
- 6 A. I'm an investigator 3.
- 7 Q. And what are your duties as an investigator 3?
- 8 A. As an investigator 3, I perform compliance reviews of
- 9 carriers required records. They include hours of
- service, vehicle maintenance, driver qualifications and
- 11 vehicle safety inspections.
- 12 Q. Have you received any training that would allow you to
- carry out your duties as an investigator 3?
- 14 A. Yes.
- 15 Q. Would you please describe what those are?
- 16 A. I've received federal training through the Federal Motor
- 17 Carrier Safety Administration and Commercial Vehicle
- 18 Safety Alliance's on how to perform commercial vehicle
- 19 safety inspections and safety investigations.
- 20 O. Are you generally familiar with the state and federal
- 21 regulations that govern the safe operation of household
- 22 goods carriers?
- 23 A. Yes.
- 24 Q. Are you familiar with a company called Consiglieri
- 25 Relocation, PLLC?

- 1 A. Yes, I am.
- Q. And how did you become familiar with Consiglieri?
- 3 A. On March 5, 2024, I was assigned to Consiglieri
- 4 Relocation to perform an investigation, safety
- 5 investigation.
- 6 Q. Now, when you perform a safety investigation, how do you
- 7 go about that? I believe you just mentioned that you
- 8 look at some records. Kind of, how do you go about all
- 9 of that?
- 10 A. We'll contact the carrier when we go do it and generally
- meet the carrier someplace, whether it be their place of
- business or a (inaudible) location where it's comfortable
- for everyone, where we have room to sit and look at the
- records. They'll bring in their records to us. We'll
- 15 look at their driver qualification files, service vehicle
- 16 maintenance files, insurance.
- 17 We'll check to see if their periodic inspections are
- 18 being performed as required. And with household good
- 19 carriers, we will also make sure their employee criminal
- 20 background checks are being performed.
- 21 Q. And do you physically inspect the carrier's vehicles at
- that time or...
- 23 A. Yes.
- 24 Q. Okay. Did you perform all of these steps when you
- 25 reviewed Consiglieri's operations?

- 1 A. Yes, I did.
- 2 Q. Okay. When you finish reviewing a carrier's operations,
- 3 do you produce a report of your findings?
- 4 A. Yes.
- 5 Q. And do you produce those reports in the ordinary course
- of business?
- 7 A. Yes, I do.
- 8 Q. And do you make those reports contemporaneously with the
- 9 end of your safety review?
- 10 A. Yes.
- 11 Q. And is it important that those reports accurately reflect
- 12 your findings?
- 13 A. Yes.
- 14 Q. Why is that?
- 15 A. They do the reports because it documents a carrier's
- operation. It documents any violations that we have,
- might have found during the investigation. And it also
- 18 provides recommendations to help a carrier correct their
- 19 violations.
- 20 Q. Did you produce a report of your findings at the
- 21 conclusion of your review of Consiglieri's operations?
- 22 A. Yes, I did.
- 23 Q. And did you make that report in the ordinary course of
- 24 business?
- 25 A. Yes, I did.

- 1 Q. Did you make it contemporaneously with the completion of
- 2 your review?
- 3 A. Yes.
- 4 Q. And did that report accurately reflect what you found?
- 5 A. Yes, it did.
- 6 Q. At this time, would you please turn to the exhibit marked
- 7 LM-1.
- 8 A. Okay. Yes.
- 9 Q. And for the record, can you explain where you got LM-1?
- 10 A. This is my investigation findings for the Consiglieri.
- 11 Q. And did you get it from anywhere this morning on the web?
- 12 A. Yes, I got it from the UTC point where we enter our stuff
- 13 in.
- 14 Q. You accessed it from the docket?
- 15 A. Yes.
- 16 Q. Okay. Would you please identify that document?
- 17 A. That's the investigation findings. That's actually the
- 18 report that we turn in -- I turn in.
- 19 Q. And is that a true and accurate copy of the report that
- 20 you produced after your review of Consiglieri's
- 21 operations?
- 22 A. Yes, it is.
- MR. ROBERSON: Judge Thompson, at this point, Staff
- 24 would move to admit LM-1.
- 25 ALJ THOMPSON: Okay. Because there's no party to

- object to the admission of the exhibit, and I find
- there's no reason for the exhibit not to be admitted,
- 3 Exhibit LM-1 is admitted to the record.
- 4 (Exhibit No. LM-1 admitted into evidence.)
- 5 O. Now, I'd like to talk to you a bit about the results of
- 6 your review. I'd like to begin with driver qualification
- files.
- 8 What is a driver qualification file?
- 9 A. The driver qualification file is a file which contains
- the application, background checks, medical examiner
- certificates, driving record checks to determine driver's
- 12 fitness for performing a safety-sensitive job such as
- driving commercial motor vehicles.
- 14 Q. And do you look for driver qualification files when you
- 15 perform a safety review?
- 16 A. Yes, yes.
- 17 O. How do you do that?
- 18 A. I'll take the file and I'll review the file to make sure
- 19 that all the requirement -- all the required documents
- are in there, all the things I mentioned above, the
- 21 medical certificate, application. So I'll take a look at
- that file. We'll look through it with the carrier there
- and see what documents are there.
- 24 Q. Did you look for driver qualification files when you
- 25 reviewed Consiglieri's operations?

- 1 A. Yes, I did.
- 2 Q. Did you find driver qualification files for all of its
- 3 drivers?
- 4 A. No, I didn't.
- 5 Q. And how many drivers did not have a driver qualification
- 6 file?
- 7 A. Two.
- 8 Q. Does the Federal Motor Carrier Safety Administration, the
- 9 FMCSA, classify some violations of its regulations as
- 10 critical violations?
- 11 A. Yes.
- 12 Q. And is the failure to maintain driver qualifications one
- 13 such violation?
- 14 A. Yes, it is.
- 15 O. What does it mean for a violation to be considered a
- 16 critical violation?
- 17 A. A critical violation are those that are identified as
- 18 such where a noncompliance of it is indicative of
- 19 breakdowns in the company's safety management controls.
- 20 And it's also demonstrated by a pattern of noncompliance.
- 21 Q. Okay. Moving on, I'd like to talk about criminal
- 22 background checks. You earlier testified that you looked
- for criminal background checks, but what is a criminal
- 24 background check?
- 25 A. It's a check to see if employees have any criminal

- 1 convictions nationally that would prohibit them from
- working in the household goods industry.
- 3 Q. And when you review a carrier's operations, do you look
- 4 for criminal background checks for all employees or all
- 5 drivers, or none of the above?
- 6 A. All employees.
- 7 Q. All employees. And how do you do that?
- 8 A. Basically they'll -- the carrier will go, they'll get the
- 9 background check done. And then -- it's actually on a
- 10 form and it's in their file, so I can actually look at
- the paperwork that's given to them. And they'll say,
- "Clear." It'll basically say what was checked and all
- that, it was done on a national basis, if they had any
- 14 violations.
- 15 Q. Did you look for criminal background checks for all of
- 16 Consiglieri's employees?
- 17 A. Yes, I did.
- 18 Q. And did it have criminal background checks for all of its
- 19 employees?
- 20 A. No.
- 21 Q. How many employees did it lack criminal background checks
- 22 for?
- 23 A. Three employees, they did not have them for.
- 24 Q. Is the failure to perform criminal background checks
- 25 treated as a critical violation?

- 1 A. Yes, it is.
- 2 Q. Moving on again, I'd like to talk about maintenance
- 3 records. When you review a carrier's files, I believe
- 4 you testified that you look at maintenance records. What
- 5 do you look for?
- 6 A. When we're looking in their maintenance files, again,
- 7 when the documents are done -- I mean, when the
- 8 inspections are done, what vehicle maintenance is
- 9 performed, what vehicles they have. There are certain
- things that are required to be on it. Tire size on the
- vehicles, things like that. The maintenance being done,
- things such as that. Make sure it's all being done
- 13 properly.
- 14 Q. And did you look to make sure that Consiglieri had these
- minimum maintenance records for all of its vehicles?
- 16 A. Yes.
- 17 O. And did it?
- 18 A. No.
- 19 Q. How many vehicles did it lack the minimum maintenance
- 20 records for?
- 21 A. Two vehicles.
- 22 O. Does FMCSA consider the failure to maintain minimum
- 23 maintenance records a critical violation?
- 24 A. Yes, it does.
- 25 Q. And next I'd like to talk about records of duty status.

- 1 What is a record of duty status?
- 2 A. It's a record of how many hours a driver has been on
- 3 duty, driving and rest periods.
- 4 Q. When you review a carrier's operational records, do you
- 5 look for records of duty status?
- 6 A. Yes, we do.
- 7 Q. And did you look for those records here when you reviewed
- 8 Consiglieri's operations?
- 9 A. Yes.
- 10 Q. And did you find them, or all that you expected to find?
- 11 A. No. Pardon me. Did we find -- we looked at them, and he
- was missing two. So we looked at them, but he was
- 13 missing two records.
- 14 Q. Okay. And does FMCSA consider the failure to maintain
- 15 records of duty status a critical violation?
- 16 A. Yeah, it's a critical type violation.
- 17 O. I believe the last topic I would like to cover with you
- 18 is periodic vehicle inspections. What is a periodic
- 19 vehicle inspection?
- 20 A. It's an annual safety inspection required by a certified
- 21 mechanic. So federal regulations say it needs to be
- inspected every year annually, and it has to be done by a
- 23 qualified mechanic to do that. And it checks all the
- safety issues, required equipment that's supposed to be
- in a commercial motor vehicle.

- 1 Q. And so when you review a carrier's operations, you're
- 2 looking for these records?
- 3 A. Yes, I am.
- 4 Q. And did you find records of periodic vehicle inspections
- 5 here?
- 6 A. He had one completed, and he was required to have two.
- 7 Q. So Consiglieri had not completed the periodic vehicle
- 8 inspections for one of those two vehicles, or one of its
- 9 two vehicles?
- 10 A. Correct.
- MR. ROBERSON: That is all I have for Investigator
- 12 McLaughlin, Judge Thompson.
- 13 ALJ THOMPSON: Okay. Thank you.
- Investigator McLaughlin, I have just a couple of
- follow up questions for you.

16

- 17 EXAMINATION
- 18 BY ALJ THOMPSON:
- 19 Q. Regarding the violations for periodic inspections and
- 20 maintenance, specifically referring to parts 396.17(a)
- and 396.3(b), do you have your report in front of you, a
- 22 copy of your report?
- 23 A. I'm grabbing it right now, Your Honor. I have it in
- 24 front of me, but I need to get down to that portion of
- 25 it.

- 1 Q. Okay, great. And I'm specifically looking at page 15 or
- 2 page 4 of 5, for part C of the report. I just want to
- 3 make sure I have this straight. So for failure to
- 4 maintain the minimum records of inspection and vehicle
- 5 maintenance, it looks like up above we have two
- 6 discovered violations. Do both of those pertain to the
- one truck? It sounds like one truck was not available
- 8 because it was broken down in New Mexico, and the other
- 9 was present.
- 10 A. That would be -- one of them didn't have the maintenance
- 11 records in there. And the other one, I'm going to scroll
- 12 back up, just make sure, but I believe that the other
- truck didn't have the required maintenance records
- 14 either. One didn't have them at all. There was no
- 15 paperwork. And the other one didn't have enough in there
- 16 that would have been considered a true maintenance
- 17 record.
- 18 Q. Okay. And so the violations were not just simply
- 19 violations due to retention because one truck was in New
- Mexico. In fact, there weren't records for either truck,
- 21 including the truck that was present at the location?
- 22 A. Right. They weren't sufficient records for the one that
- was at the location or the other one.
- 24 Q. Okay. Okay. Thank you for clarifying that for me.
- 25 ALJ THOMPSON: I don't think I have any further

Page 18 1 questions. 2. And, with that, Staff, I will give you an 3 opportunity for redirect in case that arose any questions for you. 4 MR. ROBERSON: It did not. 5 6 ALJ THOMPSON: Okay. Thank you. You may step down, Mr. McLaughlin. Thank you. 8 MR. McLAUGHLIN: Thank you, Your Honor. 9 MR. ROBERSON: And at this time, then, Staff will 10 call Jason Sharp. 11 ALJ THOMPSON: Good morning. If you would please 12 raise your right hand. Do you swear or affirm that the testimony you will give today will be the truth, the 13 whole truth and nothing but the truth? 14 15 MR. SHARP: Yes, I do. 16 Thank you. ALJ THOMPSON: 17 witness herein, having been 18 JASON SHARP, 19 first duly sworn on oath, was examined and testified 20 21 as follows: 22 23 DIRECT EXAMINATION 24 BY MR. ROBERSON: 25 Good morning. Would you please say your name and spell Q.

- 1 your last name for the record.
- 2 A. Yes. My name is Jason Sharp, S-H-A-R-P.
- 3 Q. Who employs you?
- 4 A. The Washington Utilities and Transportation Commission.
- 5 Q. And what position do you hold at the Commission?
- 6 A. My job title is the motor carrier safety supervisor.
- 7 Q. And how long have you held the title of Motor Carrier
- 8 Safety Supervisor?
- 9 A. Since 2017.
- 10 Q. What are your duties as the motor carrier safety
- 11 supervisor?
- 12 A. I assign the compliance investigations, such as the one
- in this case to Investigator McLaughlin. I review the
- investigation reports and provide recommendations to the
- 15 Commission in alignment with our enforcement policy,
- based on the findings of the investigator.
- 17 Q. Have you had any training to enable you to carry out your
- 18 duties?
- 19 A. Yes. Similar to Investigator McLaughlin, prior to being
- in my current role, I was a safety investigator at the
- 21 Commission, receiving training through the FMCSA and the
- 22 Commercial Vehicle Safety Alliance.
- 23 Q. Are you familiar with the state and federal regulations
- that govern the safe operation of household goods
- 25 carriers?

- 1 A. Yes, I am.
- 2 Q. Are you familiar with the company we've been discussing
- 3 this morning, Consiglieri Relocation, PLLC?
- 4 A. Yes, I am.
- 5 Q. And I believe you just testified that you assigned
- 6 Investigator McLaughlin to do the safety review?
- 7 A. Correct. And I reviewed his investigation report.
- 8 Q. So when an investigator completes a review and completes
- 9 his or her report, what does Staff do? What are the next
- steps in kind of the compliance review process?
- 11 A. Upon completion with the carrier, depending on what the
- 12 proposed rating of the carrier would be, Staff looks at
- what our enforcement policy would call for, what the
- 14 federal requirements call for. In this case, we have a
- 15 provisional household goods carrier that's required to
- achieve a satisfactory rating prior to receiving
- 17 permanent authority. The proposed rating in this
- 18 investigation resulted in a conditional safety rating,
- 19 which led Staff to issue the notice of intent to cancel
- 20 based on the lack of the company achieving that
- 21 satisfactory requirement.
- 22 Q. Okay. So to parse that out, the safety rating, could you
- 23 explain how that is calculated?
- 24 A. Yes. Looking at the Exhibit LM-1, starting on page 10 is
- a safety fitness rating explanation. But in general,

2.

companies receive negative points for violations that are discovered based on acute or critical violations. Also calculated in there is the out-of-service rate of the vehicle inspections and any accident or crash ratio that the company has in association with its similar-sized peer carriers.

In this particular case, these factors are broken into six different categories. The carrier received critical violations in three separate factors. So on page 10, factor 2 highlights 1 point, which equals a conditional for that factor for the company not maintaining driver qualification files for each driver employed.

The next factor, factor 3, also received 1 point calculating two conditional for that factor for failing to obtain criminal background checks for every employee that it intends to hire.

And then additionally, factor 4 received 1 point calculating two conditional for that factor for failing to maintain vehicle maintenance files.

What you don't see here is the critical type violations because they didn't demonstrate a pattern. So Investigator McLaughlin just testified to the company missing one periodic inspection because it wasn't more than one violation of that per the sample size that he

- looked at. That doesn't factor into the safety
- 2 calculation. So that's -- it would be a reason why you
- don't see that or the failing to maintain a record of
- 4 duty status in here because it didn't calculate to 10
- 5 percent of the sample or more than one, depending on
- 6 that. So hopefully that was explained clearly.
- 7 If you go to page 11, the next page it gives you the
- 8 breakdown. The company's performance was here. The
- 9 company had three factors that calculated two
- 10 conditional, and then zero, that reached the
- 11 unsatisfactory mark. And so the next table down shows
- that if a company has zero unsatisfactory factors and
- 13 three or more conditional -- or three or more
- 14 conditional, then the overall proposed rating would be
- 15 conditional. And so that's what the company calculated.
- And this is based off of our adoption of the Federal
- 17 Motor Carrier Safety Administration's safety rating
- 18 methodology.
- 19 Q. Okay. So just to confirm, I quess, the calculated safety
- 20 rating here was conditional?
- 21 A. Correct.
- 22 Q. As you testified. Okay. So can a carrier upgrade its
- 23 safety rating?
- 24 A. Yes. It would do that through the submittal and
- 25 acceptance of a safety management plan.

- 1 Q. What is a safety management plan?
- 2 A. A safety management plan is a response to violations by
- 3 the carrier which identify why each violation was allowed
- 4 to occur in the first place. It demonstrates what a
- 5 carrier has done to correct those violations or is in the
- 6 process of correcting in the event that it's, you know,
- 7 bringing on additional resources such as a safety
- 8 consultant or something to help out its program, while
- 9 also providing actual documentation of the corrections.
- And also identifying what measures have been put in place
- so that the company doesn't have recurring violations in
- the future.
- 13 And these safety plans are also requiring a carrier
- certification that they -- that their safety program
- meets the safety standards set in Title 49 CFR part 385.5
- and 385.7, and that they will continue to operate in
- accordance with those regulations.
- 18 Q. You mentioned the submission of a safety management plan.
- 19 Who does the carrier submit that to?
- 20 A. They submit that to me.
- 21 Q. And do you work with carriers to provide them with
- 22 feedback on their -
- 23 A. Yes.
- 24 O. -- drafts? So if a carrier submits a safety management
- 25 plan and it's not acceptable, you work with them to make

- it acceptable, I guess, to bring it up to meeting the
- 2 standards?
- 3 A. Yes. Each time a carrier submits a draft, I'll review it
- 4 and I'll respond to that carrier official who submitted
- 5 the plan and let them know whether it has been accepted
- or not accepted, and I identify areas where the plan is
- 7 deficient.
- 8 Q. Did Consiglieri submit a safety management plan?
- 9 A. The carrier did, and I responded twice to the carrier
- that their plan did not meet the requirements. I shared
- with them the requirements, as well as provided feedback
- on where their plan could use further work.
- 13 Q. So at this point, has Consiglieri submitted a safety
- management plan that addresses your concern and meets the
- 15 qualifications of 49 CFR part 385?
- 16 A. No.
- 17 O. So do you have a recommendation as to what the Commission
- 18 should do with Consiglieri's safety rating?
- 19 A. The recommendation would be that they -- that the safety
- 20 rating remained conditional.
- 21 Q. And the consequence for that, as you've mentioned, is
- 22 that under the Commission's rules, because this is a
- 23 provisional carrier, the Commission would cancel -- you
- 24 recommend the commission cancel the carrier's
- 25 certificate?

- 1 A. Yes.
- 2 MR. ROBERSON: I have no further questions for
- 3 Mr. Sharp.
- 4 ALJ THOMPSON: Thank you. Just one quick follow-up
- 5 question.

6

- 7 EXAMINATION
- 8 BY ALJ THOMPSON:
- 9 Q. Does the company still have time for submission of the
- 10 safety management plan?
- 11 A. Yes, they do. I believe the cancellation date is set for
- June 11th, which is a Tuesday. So the company would need
- to come into compliance by no later than June 10th, per
- our procedures to have a plan accepted.
- 15 Q. Okay. And I said one more question, but I've got a
- 16 couple more.
- 17 A. Sure.
- 18 Q. And if the company does submit a safety management plan
- 19 that satisfies the requirements, will you please notify
- 20 me before June 11th of that so we can proceed
- 21 accordingly?
- 22 A. Yes, I'd be happy to issue a valuation to the docket for
- 23 you.
- 24 O. Okay.
- 25 ALJ THOMPSON: I have no further questions for you

Page 26 at this time. So I think at this time, Mr. Sharp, you 1 2. can go ahead and step down. MR. SHARP: 3 Thank you. Staff has nothing further. 4 MR. ROBERSON: 5 ALJ THOMPSON: Okay. Any willingness or eagerness 6 to give a closing statement? I guess the former, yes; the latter, MR. ROBERSON: 8 no. If you want a closing statement, I'm happy to give it, but I don't need to. 9 10 ALJ THOMPSON: No. 11 MR. ROBERSON: If you're fine, I'm fine skipping the 12 closing statement. Yeah, we can go ahead and waive 13 ALJ THOMPSON: closing statements. I would ask that, because we do have 14 until June 11th until the cancellation date for the 15 16 company to submit a safety management plan, that if it is 17 okay with Staff, that we waive the requirement to issue 18 an order within ten days? 19 Absolutely. MR. ROBERSON: Okay. We will go ahead and proceed 20 ALJ THOMPSON: then. And we do have the motion for default on record, 21 22 as well as I think a well-established record of what occurred and an explanation of the violations that 23 24 occurred. And so I think that we can go ahead and 25 proceed at this time.

Page 27	
1	One last matter, the transcript from today's
2	proceeding, if it is needed, will come in after June
3	11th. And so if we don't see a safety management plan
4	from the company or if we do see a safety management
5	plan from the company that comes in that is satisfactory,
6	an order might take until after the 11th.
7	But with that notice, I don't think we have anything
8	further for today. And so thank you all for appearing
9	today and we can go ahead and go off the record.
10	(Conclusion of hearing)
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	

```
Page 28
 1
                        CERTIFICATE
 2
     STATE OF WASHINGTON
 3
 4
     COUNTY OF KING
                 I, the undersigned, do hereby certify under penalty
 6
     of perjury that the foregoing court proceedings or legal
     recordings were transcribed under my direction as a certified
 8
     transcriptionist; and that the transcript is true and accurate
     to the best of my knowledge and ability, including changes, if
     any, made by the trial judge reviewing the transcript; that I
10
11
     received the electronic recording in the proprietary court
12
     format; that I am not a relative or employee of any attorney or
     counsel employed by the parties hereto, nor financially
13
14
     interested in its outcome.
                 IN WITNESS WHEREOF, I have hereunto set my hand
15
16
     this 13th day of June, 2024.
17
18
19
20
21
22
     s/ Marjorie Jackson, CET
23
24
25
```