

EXHIBIT LIST
WUTC v. American Water Resources, Inc.
Docket Nos. UW-031284, UW-010961, UW-031596 (consolidated)

NUMBER	WITNESS	A/R	DATE	DESCRIPTION
1T	Denise Lahmann, DOH	A	4/26/04	(DL-1T) Testimony filed on April 1, 2004, Staff rebuttal
2		A	4/26/04	(DL-2) DOH Letter to AWR dated August 9, 2002
3		A	4/26/04	(DL-3) DOH Letter to AWR dated September 18, 2002
4		A	4/26/04	(DL-4) DOH letter to UTC dated June 30, 1998
5		A	4/26/04	(DL-5) DOH Letter to AWR dated June 30, 1998
6		A	4/26/04	(DL-6) Settlement Agreement, DOH-AWR, Docket No. 99-04-3-1046DW
7		A	4/26/04	(DL-7) AWR Water System Plan Capital Improvement Program dated May 18, 2001
8		A	4/26/04	(DL-8) DOH Letter to AWR dated March 15, 1999
9		A	4/26/04	(DL-9) DOH Letter to AWR dated April 1, 1999
10		A	4/26/04	(DL-10) DOH Letter to AWR dated October 19, 1999
11		A	4/26/04	(DL-11) Notice of Imposition of Penalties, DOH-AWR, Docket 99-034
12		A	4/26/04	(DL-12) DOH Compliance Order, Docket No. 99-007
13		A	4/26/04	(DL-13) Group B Project Report, DOH Publication 331-243
14		A	4/26/04	(DL-14) Initial Birchfield WFI
15		A	4/26/04	(DL-15) Birchfield WFI dated September 2002
16		A	4/26/04	(DL-16) WFI dated June 19, 2003, Birchfield

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17		A	4/26/04	(DL-17) Expansion Request Excerpt, Birchfield
18		A	4/26/04	(DL-18) DOH Letter to AWR dated November 25, 2002
19		A	4/26/04	(DL-19) DOH Letter to United Utilities dated September 19, 2002
20		A	4/26/04	(DL-20) AWR Letter to DOH dated May 27, 1998
21		A	4/26/04	(DL-21) AWR Letter to DOH dated August 6, 1998
22		A	4/26/04	(DL-22) Howard, Godat, Pantier & Associates letter to UTC dated February 22, 1999
23		A	4/26/04	(DL-23) AWR Letter to DOH dated December 3, 1999
24		A	4/26/04	(DL-24) DOH Letter to AWR dated September 3, 1999
25	AWR Cross Exhibit	A	4/26/04	Birchfield Water System Wells
26	AWR Cross Exhibit	A	4/26/04	April 8, 2004 letter from AWR to Denise Lahmann
27	AWR Cross Exhibit	A	4/26/04	Birchfield Comp Plan
28-29	<i>Unassigned</i>			
30T	Eugene K. Eckhardt, UTC	A	4/26/04	(EKE-1T) Testimony filed on April 1, 2004, Staff rebuttal
31		A	4/26/04	(EKE-2) AWR Response to Staff DR No. 24
32	AWR Cross Exhibit	A	4/26/04	AWR—WUTC Customer Complaints Filed by Year
33-39	<i>Unassigned</i>			
40T	James A. Ward, UTC	A	4/26/04	(JAW-1T) Direct Testimony filed on December 16, 2003. (Note: Errata to testimony filed February 4, 2004)
41T		A	4/26/04	(JAW-24T) Rebuttal Testimony filed April 1, 2004

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42		A	4/26/04	(JAW-2) Staff's Results of Operations
43		A	4/26/04	(JAW-3) AWR Response to Staff DR No. 2; Income Statement and Balance Sheet for Test period July 1, 2002 – July 30, 2003.
44		A	4/26/04	(JAW-4) AWR Compliance Filing Reducing Rates (Sept. 29, 2003)
45		A	4/26/04	(JAW-5) Order Approving Settlement Agreement (Docket No. UW-010961)
46		A	4/26/04	(JAW-6) Petition to Allow Use of Certain Funds (Docket Nos. UW-031284/UW-010961)
47		A	4/26/04	(JAW-7) Application for Mitigation of Penalties (Docket No. UW-031596)
48		A	4/26/04	(JAW-8) Payroll Allocation
49		A	4/26/04	(JAW-9) AWR's Account Quick Report – Accounting
50		A	4/26/04	(JAW-10) AWR's Account Quick Report – Legal
51		A	4/26/04	(JAW-11) Staff's BEOY Adjustment to Rate Base
52		A	4/26/04	(JAW-12) AWR Response to Staff DR No. 1, Asset Listing and Depreciation Schedule
53		A	4/26/04	(JAW-13) View Royal Sales Contract
54		A	4/26/04	(JAW-14) November 1, 2002, Letter from Julia Parker
55		A	4/26/04	(JAW-15) Gain on Sale Accounting of View Royal Water System
56		A	4/26/04	(JAW-16) Letters from Rick Finnigan and Julia Parker Regarding View Royal Proceeds
57		A	4/26/04	(JAW-17) Birchfield Sales Contract
58		A	4/26/04	(JAW-18) Gain on Sale Accounting of Birchfield Water System

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59		A	4/26/04	(JAW-19) AWR's Capital Structure with Docket 010961 Account Adjustment
60		A	4/26/04	(JAW-20) AWR's Quarterly Report for Docket 010961 Account (November 14, 2003)
61		A	4/26/04	(JAW-21) June 26, 2003, Letter from Julia Parker
62		A	4/26/04	(JAW-22) Docket UW-010961 Balance Sheet
63		A	4/26/04	(JAW-23) Rate Base Interest Calculation
64		A	4/26/04	(JAW-25) Updated Results of Operations
65		A	4/26/04	(JAW-26) AWR's Employee Expenses
66		A	4/26/04	(JAW-27) Letter & Interoffice Memo from Lewis County Public Health Dept.
67		A	4/26/04	(JAW-28) AWR Response to Staff DR No. 23
68		A	4/26/04	(JAW-29) AWR Response to Staff DR No. 26
69		A	4/26/04	(JAW-30) NRRI Survey
70		A	4/26/04	(JAW-31) AWR Response to Staff DR No. 16
71		A	4/26/04	(JAW-32) Gain on Sale – View Royal
72		A	4/26/04	(JAW-33) (JAW-28) AWR Response to Staff DR No. 21
73		A	4/26/04	(JAW-34) Excerpt from AWR's Water System Plan December 2000
74		A	4/26/04	(JAW-35) Excerpt from AWR's Current Tariff
75		A	4/26/04	(JAW-36) Group B Sampling Document
76		A	4/26/04	(JAW-37) AWR's Depreciation Schedule February 2000
77		A	4/26/04	(JAW-38) Declaration and Documents from WA State

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				Dept. of Ecology
78		A	4/26/04	(JAW-39) Gain on Sale – Birchfield
79		A	4/26/04	(JAW-40) AWR’s 2002 Tax Return
80		A	4/26/04	(JAW-41) AWR’s 1999 Tax Return
81		A	4/26/04	(JAW-42) AWR’s 2000 Tax Return
82		A	4/26/04	(JAW-43) Uniform Systems of Accounts Sections
83		A	4/26/04	(JAW-44) AWR’s Quarterly Report Dated December 31, 2003
84		A	4/26/04	(JAW-45) AWR’s Capital Structure
85	AWR Cross Exhibit	N/O		<i>Not offered, same as Ex. 72 and 142</i> AWR Response to Staff DR No. 21
86	AWR Cross Exhibit	A	4/26/04	AWR Response to Staff DR No. 22
87	AWR Cross Exhibit	N/O		<i>Not offered, duplicate of another exhibit</i> Birchfield Water System Components
88	AWR Cross Exhibit	A	4/26/04	Birchfield Original Cost
89	AWR Cross Exhibit	N/O		<i>Not offered, same as Ex. 67</i> AWR Response to Staff DR No. 23
90	AWR Cross Exhibit	N/O		<i>Not offered, same as Ex. 138</i> AWR Response to Staff DR No. 27
91	AWR Cross Exhibit	A	4/26/04	Declaration of Richard Finnigan dated April 21, 2004
92	AWR Cross Exhibit	A	4/26/04	Declaration of Julia Parker dated April 21, 2004
93	AWR Cross Exhibit	A	4/26/04	AWR Profit/Loss January through March 2004
94	AWR Cross Exhibit	A	4/26/04	Compensation comparison—AWR and other water companies
95	AWR Cross Exhibit	N/O		<i>Not offered, same as Ex. 52</i> AWR Response to Staff DR No.1
96	AWR Cross Exhibit	A	4/26/04	Invoice #1718 dated April 20, 2004 from V.R. Fox Co. to AWRI

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97-99	<i>Unassigned</i>			
100T	Julia M. Parker, AWR	A	4/26/04	(JMP-1T) Direct Testimony filed on February 19, 2004
101		A	4/26/04	(JMP-2) Pro forma Results of Operations & Restating Adjustments
102		A	4/26/04	(JMP-3) Profit & Loss and Balance Sheet Statements
103		A	4/26/04	(JMP-4) Calculation of Annual Effect of Revenue Reduction
104		A	4/26/04	(JMP-5) Employee Payroll, Payroll Taxes, and Fringe Benefits
105		A	4/26/04	(JMP-6) Beginning-End of Year Average Rate Base
106		A	4/26/04	(JMP-7) Amortization of Plant Expenditures from reserve account CIAC-Capital Improvements Account
107		A	4/26/04	(JMP-8) Monthly Income Statements for January through December 2002
108		A	4/26/04	(JMP-9) Capital Structure, Weighted Cost of Debt, Cost of Equity, and Rate of Return
109		A	4/26/04	(JMP-10) Pro Forma Officer/Manager's Salary
110		A	4/26/04	(JMP-11) Rate Case Cost
111		A	4/26/04	(JMP-12) Company Site Assessment of Group Public Water Supply Costs
112	Staff Cross Exhibit	A	4/26/04	AWR Response to Staff DR No. 28
113	Staff Cross Exhibit	A	4/27/04	AWR Response to Staff DR No. 14
114	Staff Cross Exhibit	N/O		<i>Not offered</i> AWR Response to Staff DR No. 15
115-119	<i>Unassigned</i>			

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120T	Virgil R. Fox, AWR	A	4/27/04	(VRF-1T) Direct Testimony filed February 19, 2004
121		A	4/27/04	(VRF-2) UTC Proposed Rates: Pro Forma Results of Operations for the 12 Months Ended June 30, 2003
122		A	4/27/04	(VRF-3) AWR Survival Budget: Pro Forma Results of Operations for the 12 Months Ended June 30, 2003
123		A	4/27/04	(VRF-4) Engineer's Proposal No. 1338
124		A	4/27/04	(VRF-5) Group B Permits
125		A	4/27/04	(VRF-6) County Review of Group B Systems
126		A	4/27/04	(VRF-7) Summary of Surcharge Bids
127		A	4/27/04	(VRF-8) Summary of Impound Funds
128		A	4/27/04	(VRF-9) Present Day Pictures of Systems in Need of Repair
129		A	4/27/04	(VRF-10) Present Day Pictures of New Systems
130		A	4/27/04	(VRF-11) DOH Birchfield Approval
131		A	4/27/04	(VRF-12) Group B Designations and Map of Systems
132		A	4/27/04	(VRF-13) DOH Designation of Group A Systems
133		A	4/27/04	(VRF-14) DOH Approval to 25 Connections
134		A	4/27/04	(VRF-15) DOH Approval to 37 Connections
135	Staff Cross Exhibit	N/O		<i>Not offered</i> Excerpt of Docket Nos. UW-980072, UW-980258, UW-980265 (consolidated), 5 th Supplemental Order (November 24, 1998)
136	Staff Cross Exhibit	N/O		<i>Not offered</i> Docket No. UW-010961, Staff Open Meeting Memo, October 24, 2001
137	Staff Cross Exhibit	N/O		<i>Not offered</i> Docket No. UW-991392, Order Approving Tariff Revisions (November 15, 1999)

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138	Staff Cross Exhibit	A	4/26/04	AWR Response to Staff DR No. 27
139	Staff Cross Exhibit	A	4/27/04	AWR Response to Staff DR No. 6
140	Staff Cross Exhibit	A	4/26/04	Letter from Julia Parker to Carole Washburn dated November 19, 2002
141	Staff Cross Exhibit	A	4/26/04	Letter from Richard Finnigan to Carole Washburn dated January 23, 2003
142	Staff Cross Exhibit	A	4/26/04	AWR Supplemental Response to Staff DR. No. 21
143	Staff Cross Exhibit	A	4/26/04	Staff Summary of AWR Supplemental Response to Staff DR No. 21
144	Staff Cross Exhibit	A	4/27/04	Staff Video of the WUTC Open Meeting, October 22, 2003
145-199	<i>Unassigned</i>			
200	Bench Request	A	4/27/04	Staff Responses to Bench Requests 1-8 dated 4/23/04
201	Bench Request	A	4/27/04	AWR Responses to Bench Requests 1-8 dated 4/23/04
202	Bench Exhibit	A	4/27/04	2003 Tax Rates
203	Bench Request	A	4/28/04	Staff Response to Bench Request 11
204	Bench Exhibit	A	4/28/04	Staff Responses to Bench Requests 9 and 10 dated April 28, 2004
205	Bench Request	A	4/29/04	Company Responses to Bench Requests 9 and 10 dated April 29, 2004
206	Bench Request	A	5/3/04	Staff's revised Responses to Bench Requests 1, 6, and 7, and updated response to Bench Request 9
300	Records Requisition No. 2	A	6/16/04	AWR response to Records Requisition No. 2, request for loan payment notices from loan identified in Exhibit No. 113

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301	AWR	A	8/6/04	Late filed Supplemental Declaration of Richard Finnigan dated July 9, 2004
302	AWR	A	8/6/04	Late-filed Supplemental Declaration of Julia Parker dated July 9, 2004