Exh. AMM-13	
BEFORE THE WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION	
BEFORE THE WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION	
DOCKET NO. UE-17	
DOCKET NO. UG-17	
EXH. AMM-13	
ADRIEN M. MCKENZIE	
REPRESENTING AVISTA CORPORATION	

REGULATORY MECHANISMS

Exh. AMM-13

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# **UTILITY GROUP**

	Company	<u>AMS</u>	<b>BDR</b>	<u>DSM</u>	<u>ECA</u>	<b>ESM</b>	<u>FCA</u>	FRP	FTY	<u>ICR</u>	NDT	<u>PCR</u>	<u>PGA</u>	<u>RDM</u>	SCR	TAX	<u>TCR</u>	WNA	Other
	ALLETE			<b>V</b>	√		√		√	√							√		
2	Ameren Corp.		<b>V</b>	<b>√</b>	~		√	√	<b>√</b>	√		<b>V</b>	√	√			√		
3	Avangrid, Inc.			<b>√</b>		<b>√</b>			<b>√</b>	√			√	√			√		
4	Black Hills Corp.		<b>√</b>	~	~		<b>√</b>		<b>√</b>	√			√			<b>√</b>	√	√	Vegetation mgmt. tracker
5	CMS Energy Corp.			~			<b>√</b>		<b>√</b>	√			√				√		
6	Dominion Resources		<b>√</b>	<b>√</b>	~		√	<b>√</b>		√							√		Nuclear decomm.
7	DTE Energy Co.			<b>V</b>			√		√		<b>√</b>		√				√		
8	Edison International			<b>V</b>	√		√	√	√	√	<b>√</b>	<b>V</b>		√		√	√		
9	El Paso Electric Co.			<b>V</b>			√		√	√									
10	Exelon Corp.	√	√	<b>V</b>	√			<b>√</b>	√	√			√	√			√	√	
11	Hawaiian Elec.			<b>V</b>			√		√	√		<b>V</b>		√					
12	IDACORP, Inc.			<b>V</b>			√		√	√		<b>V</b>		√					
13	NorthWestern Corp.			<b>V</b>			√						√			√			
14	Otter Tail Corp.	√		<b>V</b>			√			√		<b>V</b>			√				
15	PG&E Corp.			√	1		√	√	√	√	<b>V</b>	√		√		√	√		
16	Portland General Elec.			<b>√</b>			√		√	√				√	√				
17	Sempra Energy			<b>V</b>	√		√	√	√	√	<b>V</b>	<b>V</b>	√	√		√	√		

#### GLOSSARY OF TERMS

AMS--Advanced Metering System Recovery Rider

BDR -- Bad Debt Cost Recovery Rider

DSM -- Demand Side Management / Conservation / Energy Efficiency Adjustment Clause

ECA -- Environmental and/or Emissions Cost Adjustment Clause

ESM -- Earnings Sharing Mechanism

FCA -- Fuel and/or Power Cost Adjustment Clause

FRP--Formula Rate Plan

FTY - Jurisdiction allows for future test year

ICR -- Infrastructure Investment / Renewables Cost Recovery Mechanism

### Sources:

Company 10-K reports;

Regulatory Research Associates, Regulatory Focus, "Adjustment Clauses-A State-by-State Overview," Aug. 22, 2016; Edison Electric Institute, "Alternative Regulation for Emerging Utility Challenges: 2015 Update," Nov. 11, 2015.

NDT -- Nuclear Decomissioning Tracker

PCR -- Pension Cost Recovery Mechanism

PGA -- Gas Cost Adjustment Clause

RDM -- Revenue Decoupling Mechanism

SCR - Storm Cost Recovery Tracker

TAX--Property / Franchise Tax Recovery Mechanism

TCR -- Transmission Cost Recovery Tracker

WNA -- Weather Normalization Adjustment or other mitigants

# REGULATORY MECHANISMS

# **UTILITY GROUP**

UTILITY GROUP								Тур	e of Adjust	ment Clau	se (a)		
					Deco	upling				Capital			
			Elec. Fuel/	Conserv.		1 0	Renew-	Environ-	Gener-	Generic	Trans-		Future
Holding Company/	Type of		Gas/	Program			ables	mental	ation	Infra-	mission		Test Year
Operating Company	Svc	State	Purch. Pwr	Expense	Full	Partial	Expense	Compliance	Capacity	structure	Expense	Other	(b)
ALLETE								<del>-</del>					
Minnesota Power	Elec.	MN	$\checkmark$	$\checkmark$			$\checkmark$	$\checkmark$			$\checkmark$		С
AMEREN													
Ameren Illinois	Elec.	IL	D	$\checkmark$			$\checkmark$	$\checkmark$			$\checkmark$	Bad debts, taxes, franchise fees	O
Ameren Illinois	Gas	IL	$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$		$\checkmark$	$\checkmark$	Bad debts, taxes, franchise fees	
Union Electric	Elec.	MO	$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$	Taxes, fees	P
Union Electric	Gas	MO	$\checkmark$							$\checkmark$		Taxes, fees	
AVANGRID													
Central Maine Pwr	Elec.	ME	D		$\checkmark$							Storm cost rider	C
New York State E&G	Elec.	NY	D		$\checkmark$		$\checkmark$						C
New York State E&G	Gas	NY	$\checkmark$		$\checkmark$								
Rochester G&E	Elec.	NY	D		$\checkmark$		$\checkmark$						C
Rochester G&E	Gas	NY	$\checkmark$		$\checkmark$								
United Illuminating	Elec.	CT	D	$\checkmark$	$\checkmark$						$\checkmark$		C
BLACK HILLS CORP.													
Black Hills Power	Elec.	SD	$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$			$\checkmark$		
Cheyenne Light Fuel & Power	Elec.	WY	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$						O
Cheyenne Light Fuel & Power	Gas	WY	$\checkmark$	$\checkmark$		$\checkmark$							
Black Hills/Colorado Electric	Elec.	CO	$\checkmark$	$\checkmark$			$\checkmark$		$\checkmark$	$\checkmark$		CWIP in rate base rider	
SourceGas Arkansas	Gas	AR	$\checkmark$	$\checkmark$	$\checkmark$					$\checkmark$		Taxes, franchise fees	
Black Hills Gas Distribution	Gas	CO	$\checkmark$	$\checkmark$									
Black Hills Gas Distribution	Gas	NE	$\checkmark$							$\checkmark$		Franchise fees	
Black Hills Gas Distribution	Gas	WY	$\checkmark$			$\checkmark$							
Black Hills Iowa Gas Utility	Gas	IA	$\checkmark$	$\checkmark$						$\checkmark$		Taxes, franchise fees	
Black Hills/Kansas Gas Utility	Gas	KS	$\checkmark$			$\checkmark$				$\checkmark$		Bad debts, taxes, franchise fees	
Black Hills Nebraska Gas Utility	Gas	NE	$\checkmark$							$\checkmark$		Franchise fees	
CMS ENERGY													
Consumers Energy	Elec.	MI	$\checkmark$	$\checkmark$			$\checkmark$				$\checkmark$		C
Consumers Energy	Gas	MI	$\checkmark$	$\checkmark$									
DOMINION RESOURCES													
Virginia Electric & Pwr	Elec	VA	$\checkmark$	$\checkmark$				$\checkmark$	$\checkmark$		$\checkmark$	Taxes, franchise fees	
DTE ENERGY													
DTE Electric	Elec.	MI	$\checkmark$	$\checkmark$			$\checkmark$				$\checkmark$		C
DTE Gas	Gas	MI	$\checkmark$	$\checkmark$									
EDISON INTERNATIONAL													
Southern California Edison	Elec.	CA	$\checkmark$		$\checkmark$								C
Southern California Gas	Gas	CA	$\checkmark$		$\checkmark$								

## REGULATORY MECHANISMS

# **UTILITY GROUP**

	Type of Adjustment Clause (a)												
					Deco	upling			New (	Capital			
			Elec. Fuel/	Conserv.			Renew-	Environ-	Gener-	Generic			Future
Holding Company/	Type of		Gas/	Program			ables	mental	ation	Infra-	mission		Test Year
Operating Company	Svc	State	Purch. Pwr	Expense	Full	Partial	Expense	Compliance	Capacity	structure	Expense	Other	(b)
EL PASO ELECTRIC													
El Paso Electric	Elec.	NM	$\checkmark$	$\checkmark$								Taxes, franchise fees	O
El Paso Electric	Elec.	TX	$\checkmark$	$\checkmark$						$\checkmark$		Recovery of military base discounts	
EXELON CORP.													
Delmarva Power and Light	Elec.	DE	D								$\checkmark$		P
Delmarva Power and Light	Gas	DE	$\checkmark$					$\checkmark$					
Delmarva Power and Light	Elec.	MD	D	$\checkmark$	$\checkmark$					$\checkmark$		Taxes and fees	P
Baltimore Gas & Electric Co.	Elec.	MD	D	$\checkmark$	$\checkmark$					$\checkmark$		Taxes and fees	P
Baltimore Gas & Electric Co.	Gas	MD	$\checkmark$	$\checkmark$	$\checkmark$					$\checkmark$		Taxes and fees	
Commonweath Edison Co.	Elec.	IL	D	$\checkmark$			$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$	Bad debts, taxes, franchise fees	О
PECO Energy Co.	Elec.	PA	D	$\checkmark$						$\checkmark$		Taxes, franchise fees, nuclear decomm, bad debts	O
PECO Energy Co.	Gas	PA	$\checkmark$	$\checkmark$						$\checkmark$		Taxes, franchise fees	
Potomac Electric Power Co.	Elec.	DC	D			$\checkmark$	$\checkmark$			$\checkmark$		Taxes and fees	P
Potomac Electric Power Co.	Elec.	MD	D	$\checkmark$	$\checkmark$					$\checkmark$		Taxes and fees	P
Atlantic City Electric Co.	Elec.	NJ	D	$\checkmark$			$\checkmark$	$\sqrt{}$				Taxes and fees	P
HAWAIIAN ELECT. INDUSTRIES													
Hawaiian Electric Co.	Elec.	HE	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$		Recovery of integrated resource plan costs	C
Hawaii Electric Light	Elec.	HE	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$		Recovery of integrated resource plan costs	C
Maui Electric	Elec.	HE	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$		Recovery of integrated resource plan costs	C
IDACORP													
Idaho Power	Elec.	ID	$\checkmark$	$\checkmark$	$\checkmark$								P
Idaho Power	Elec.	OR	$\checkmark$				$\checkmark$						С
NORTHWESTERN CORP.													
NorthWestern Corp.	Elec.	MT	$\checkmark$	$\checkmark$								Recovery of out-of-market purch pwr costs	
NorthWestern Corp.	Gas	MT	$\checkmark$	$\checkmark$								Recovery of out-of-market purch pwr costs	
NorthWestern Corp.	Elec.	SD	$\checkmark$	$\checkmark$									
Northwestern Energy	Gas	NE	$\checkmark$									Franchise fees	
OTTER TAIL CORP.													
Otter Tail Power Co.	MN	Elec.	$\checkmark$	$\checkmark$			$\checkmark$	$\checkmark$			$\checkmark$		С
Otter Tail Power Co.	ND	Elec.	$\sqrt{}$				$\checkmark$	$\checkmark$		$\checkmark$			О
PG&E CORP.													
Pacific G&E	Elec.	CA	$\sqrt{}$										С
Pacific G&E	Gas	CA	V										
PORTLAND GENERAL ELEC.													
Portland General Electric	Elec.	OR	$\checkmark$			√	$\checkmark$						С

# **UTILITY GROUP**

			Type of Adjustment Clause (a)										
				Decoup				New	Capital				
			Elec. Fuel/	Conserv.		Renev	- Environ-	Gener-	Generic	Trans-	Fu	iture	
Holding Company/	Type of		Gas/	Program		ables	mental	ation	Infra-	mission	Test	t Year	
Operating Company	Svc	State	Purch. Pwr	Expense	Full	Partial Expens	se Compliance	Capacity	structure	Expense Other	(*	(b)	
SEMPRA ENERGY													
San Diego G&E	Elec.	CA	$\checkmark$		$\checkmark$							C	
San Diego G&E	Gas	CA	$\checkmark$										

#### Notes:

- D Delivery-only utility.
- C Fully-forecasted test years commonly used in the state listed for this operating company.
- O Fully-forecasted test years occasionally used in the state listed for this operating company.
- P Partially-forecasted test years commonly or occasionally used in the state listed for this operating company.
- LIR Limited issue reopeners.

## Sources:

- (a) Regulatory Research Associates, Regulatory Focus, "Adjustment Clauses-A State-by-State Overview," Aug. 22, 2016.
- (b) Edison Electric Institute, "Alternative Regulation for Emerging Utility Challenges: 2015 Update," Nov. 11, 2015.