



UTILITIES AND TRANSPORTATION COMMISSION

Assignment Report Motor Carrier Safety

Upload? X Yes [] No - Reason For Not Uploading: _____

1. Investigator(s): Alan Dickson _____

2. Assignment No.: 111292

3. Current Date: 12-16-11 _____

4. Date of Activity: 12/14-15/11 _____

5. Carrier Name: Elmer's Express Delivery _____

6. Permit: THG-63928 _____

7. New Entrant date of authority: 5-20-10 _____

8. MOTCAR No.: 12 5985 _____

9. Carrier is: X Intrastate Only [] Interstate Only [] Intra and Interstate

10. Industry Code: 207 _____

11. USDOT No.: 2025911 _____

12. MC No.: _____

13. [] Destination Check

[] Copy of the Destination Check Safety Plan is attached.

- Number of Buses/Motor Coaches inspected: 7-15 passenger _____ 16+ passenger _____
Number of vehicle inspections: Level 1 _____ Level 2 _____ Level 3 _____ Level 5 _____
Any special emphasis placed on the destination check [] Yes [] No
Describe Special Emphasis _____
What might we do differently to increase our success at the next destination check: _____

14. [] Safety Complaint

[] Attach a copy of the Individual Safety Complaint Plan.

- What activity did staff complete for this safety complaint:
[] Compliance review
[] Technical assistance
[] Number of vehicle inspections: Level 1 _____ Level 2 _____ Level 5 _____
[] Unannounced terminal visit
[] Other (please explain): _____

15. [] New Entrant - Charter, Auto Transportation

- Is this carrier referred by FMCSA, operating intra and interstate: [] Yes [] No
Is this carrier based in another state, requesting intrastate authority: [] Yes [] No
Is this carrier based in Washington, requesting intrastate authority: [] Yes [] No
Did staff complete the following:
- Inspect all vehicles between three and nine months? [] Yes [] No
- Number of vehicle inspections: Level 1 _____ Level 2 _____ Level 5 _____
- Conduct a SI/SA between three and nine months? [] Yes [] No [] SI [] SA

Handwritten signature and number 1

16. **X** **New Entrant- HHG**

- Is this carrier referred by FMCSA, operating intra and interstate: Yes No
- Is this carrier based in another state, requesting intrastate authority: Yes No
- Is this carrier based in Washington, requesting intrastate authority: Yes No
- Did staff complete the following:
 - ◆ Inspect all vehicles between three and eighteen months? Yes No
 - Number of vehicle inspections: Level 1 _____ Level 2 _____ Level 5 2
 - ◆ Conduct a SI/SA between three and eighteen months? Yes No SI SA
 - ◆ Conduct technical assistance within three months? Yes No

17. **CSA Investigation**

- Full Investigation
- Focused Investigation
- Basic is for:** Passenger Carrier HHG Carrier Solid Waste Carrier
- Basic Threshold Percentile is;**
 - Unsafe Driving _____%
 - Fatigued Driving (HOS) _____%
 - Crash _____%
 - Driver Fitness _____%
 - Drug/Alcohol _____%
 - Vehicle Maintenance _____%

18. **Individual Safety Plan Only:**

- What activity did staff complete for this safety complaint?
- Attach a copy of the Individual Carrier Safety Plan.
 - Safety Investigation
 - Technical assistance
 - Number of vehicle inspections: Level 1 _____ Level 2 _____ Level 5 _____
 - Unannounced terminal visit
 - Other (please explain): _____

19. **X** **Safety Investigation:**

Safety Audit:

- SI Rating: Satisfactory Unsatisfactory Conditional
- SA Rating: Pass Fail
- Number of vehicles operated: 2
- Number of drivers operated: 1
- Total miles for prior year: 400
- Recordable accidents for prior year: 0
- Accident Ratio: 0%

20. **Part B Violations:**

Part	Violations	Part	Violations	Part	Violations
382/40		383		387	
390		391		392	
395		396		397	

21. **Vehicle Inspection Data:**

	MC	MB 1-15	MB 16+	SB 1-8	SB 9-15	SB 16+	VAN 1-8	VAN 9-15	TRK	TT	TRA
Inspections											
Defective Vehicles											
OOS Vehicles											
Level											

22. **Vehicle Inspection Violations:**

	MC	MB 1-15	MB 16+	SB 1-8	SB 9-15	SB 16+	VAN 1-8	VAN 9-15	TRK	TT	TRA
Brakes											
Steering											
Lights											
Tires, wheels, rims											
Horn											
Windshield and Wipers											
Mirrors											
Emergency Equip, Exits											
Coupling Devices											
Frame											
Suspension											
Exhaust											
Other											
Comment:											

23. **Driver Inspection Violations:**

Medical Card	Medical Waiver	Hours of Service	Drivers License
Comment:			

24. Relevant Carrier History:

This carrier is a provisional household goods company from the work plan. I conducted an educational and technical assistance during March 2011. The company had set up during that meeting, and continues to maintain proper records and files for compliance with the safety regulations. The owners, Elmer and Adela Rodriguez have attended the commission's training classes for new entrant moving companies during 2010. They are knowledgeable of the household goods carrier rules and regulations.

25. Findings:

I conducted a full investigation compliance review and determined the company has in place adequate management controls for compliance with the safety regulations. No violations were noted during this check. At the end of this review the carrier received a satisfactory safety rating. I would recommend this company be considered for issuance of the permanent household goods permit authority.

26. Recommended Action:

- No further action.
- Notify the company in writing of the findings by providing a copy of the safety investigation, vehicle inspection report, safety audit or other similar document.
- Require the company to submit a compliance plan in response to the 15-day letter requirement.
- Recheck – Safety Investigation (Date: _____)
- Revisit to recheck a specific issue (Date: _____)
- Send the company a compliance letter. Require a response; Yes No
- Issue administrative penalties in the amount of \$
- Issue a complaint.
- Stop company operations.

27. Is this carrier considered a high risk carrier as a result of this activity?

- Carrier accident ratio is higher than aggregate ratio.
- Carrier had an out-of-service ratio 25% or higher at the last vehicle inspection.
- Carrier had a defect ratio 75% or higher at the last vehicle inspection.
- Carrier received more than one conditional or unsatisfactory safety investigation rating in more than one of the last four safety investigations (or less than four if four are not completed).
- Other (please explain): _____

28. Additional Comments: Refer to licensing services for permit processing.

Initial Review - ~~Pratt~~ 12-22-11
I agree with recommendation to issue permanent Authority - class 5:1a

Final Review By: Pratt Date: 12-23-11

Reviewer's Recommendation:

Agree with recommendations.
-OK to issue perm authority.

OFFICE USE ONLY

Date Closed: 12/23/11 By: CAC

Company Name: Elmer's Express Delivery

Assignment #: 111 292

Staff Assigned: Alan Dickson
Licensing



ELMERS EXPRESS DELIVERY (ELMER MAIDO RODRIGUEZ dba)

U.S. DOT #: 2025911

State #: THG-63928

Review Date:

12/14/2011

Part C

INSURANCE COVERAGE:

The carrier has valid insurance through the United Financial Casualty Co. on policy no. CA05469144.

FOLLOW-ON ACTION:

This company is in compliance with the safety regulations maintaining proper records, files and equipment. No violations were noted.

DOCUMENTS PROVIDED TO CARRIER:

The company had on hand the state motor carrier safety manual and I provided an updated version to printing date 2010.

At the conclusion of this review the carrier received a satisfactory safety rating.

Upload Authorized:	<input checked="" type="radio"/> Yes	<input type="radio"/> No
Authorized by:	<i>Alan Drexler</i>	Date: 12-19-11
Uploaded:	<input checked="" type="radio"/> Yes	<input type="radio"/> No
Verified by:		Failure Code:
		Date:





ELMERS EXPRESS DELIVERY (ELMER MAIDO RODRIGUEZ dba)
 U.S. DOT #: 2025911

State #: THG-63928

Review Date:
 12/14/2011

Part C

Reason for Review: Compliance Review
Planned Action: Compliance Monitoring

Parts Reviewed Certification:

325	382	383	387	390	391	392	393	395	396	397	398	399	171	172	173	177	178	180
			✓	✓	✓	✓	✓	✓	✓									

Prior Reviews

Prior Prosecutions

Unsat/Unfit Information

Is the motor carrier of passengers subject to the safety fitness procedures contained in 49 CFR part 385 subpart A, AND does it transport passengers in a commercial motor vehicle?

Does carrier transport placardable quantities of hazardous materials?

Unsat/Unfit rule:

Not Applicable

Corporate Contact: Elmer M Rodriguez
Corporate Contact Title: Owner

Special Study Information:

Remarks:

PART C Template:
 REMARKS:

INVESTIGATIVE REPORT RECEIVED BY:

Name: Elmer Rodriguez
 Title: Owner
 Carrier Name: Elmer's Express Delivery
 Date: 12-14-11

REASON FOR INVESTIGATION:

This review was conducted as part of the provisional household goods carrier work plan.

SCOPE OF INVESTIGATION:

A full compliance review was conducted due to the above requirements.

CARRIER OPERATION DESCRIPTION: The company's base of operations is that of local intrastate commerce. The carrier owns and operates two non-CDL straight trucks transporting freight and household goods. Less than 10% of the total hauling is household goods.

PRE-INVESTIGATION:

The company was provided 48 hours to present records and did make available all current and existing records for inspection. The company is knowledgeable of the safety regulations and was cooperative with this investigation. This company was provided technical and educational assistance as a new provisional carrier during March 2011. The company had at that time set up proper accounts and records and has continue to maintain those records for compliance with the safety regulations.

CDLIS (DRIVER LICENSE) CHECK:

I checked the driver's license with State of Washington Dept. of Licensing and no discrepancies were noted.

DRIVERS WITH RED FLAG VIOLATIONS:

There were no Drivers with Red Flag Violations identified as needing an investigation.
 No further action required.





ELMERS EXPRESS DELIVERY (ELMER MAIDO RODRIGUEZ dba)
U.S. DOT #: 2025911

State #: THG-63928

Review Date:
12/14/2011

Part B Requirements and/or Recommendations

1. This review will result in a Safety Rating.





ELMERS EXPRESS DELIVERY (ELMER MAIDO RODRIGUEZ dba)

U.S. DOT #: 2025911

State #: THG-63928

Review Date:

12/14/2011

Part B Violations

Safety Fitness Rating Information:

Total Miles Operated	400
Recordable Accidents	0
Recordable Accidents/Million Miles	0.00

OOS Vehicle (CR): 0

Number of Vehicle Inspected (CR): 0

OOS Vehicle (MCMIS): 0

Number of Vehicles Inspected (MCMIS): 2

Your proposed safety rating is :

SATISFACTORY

Rating Factors

	Acute	Critical
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Factor 1:	S	0	0
Factor 2:	S	0	0
Factor 3:	S	0	0
Factor 4:	S	0	0
Factor 5:	N	0	0
Factor 6:	S	-	-





ELMERS EXPRESS DELIVERY (ELMER MAIDO RODRIGUEZ dba)
U.S. DOT #: 2025911

State #: THG-63928

Review Date:
12/14/2011

Part A

QUESTIONS regarding this report or the Federal Motor Carrier Safety regulations may be addressed to:

WUTC
1720 Ellis St. #200
Bellingham, WA 98225

This report will be used to assess your safety compliance.

Person(s) Interviewed

Name: Elmer M Rodriguez

Title: Owner

Name: Adela Rodriguez

Title: Owner



WASHINGTON UTILITIES & TRANSPORTATION COMMISSION

	US DOT # 2025911	Legal: ELMER MAIDO RODRIGUEZ		
		Operating (DBA): ELMERS EXPRESS DELIVERY		
MC/MX #:	State #: THG-63928	Federal Tax ID: 26-4721230 (EIN)		
Review Type: Compliance Review (CR)				
Scope:	Principal Office	Location of Review/Audit: Company facility in the U. S.		Territory:
Operation Types	Interstate	Intrastate		
Carrier:	N/A	Non-HM	Business: Individual	
Shipper:	N/A	N/A	Gross Revenue: \$11,200.00	
Cargo Tank:	N/A		for year ending: 12/31/2010	
Company Physical Address:				
516 Date Ave #A Sultan, WA 98294				
Contact Name: Elmer M Rodriguez				
Phone numbers: (1) 360 863-2528 (2) 425 791-6151 Fax 360 863-2499				
E-Mail Address:				
Company Mailing Address:				
516 Date Ave #A Sultan, WA 98294				
Carrier Classification				
Other: THG-63928				
Cargo Classification				
General Freight		Household Goods		
Does carrier transport placardable quantities of HM? No				
Is an HM Permit required? N/A				
Driver Information				
	Inter	Intra	Average trip leased drivers/month: 0	
< 100 Miles:			Total Drivers: 1	
>= 100 Miles:		1	CDL Drivers: 0	
Equipment				
	Owned	Term Leased	Trip Leased	Owned Term Leased Trip Leased
Truck	2	0	0	
Power units used in the U.S.: 2				
Percentage of time used in the U.S.: 100				



WASHINGTON UTILITIES & TRANSPORTATION COMMISSION



US DOT #
2025911

Legal: ELMER MAIDO RODRIGUEZ
Operating (DBA): ELMERS EXPRESS DELIVERY

MC/MX #: **State #:** THG-63928 **Federal Tax ID:** 26-472123C (EIN)

Review Type: Compliance Review (CR) - Receipt

Scope: Principal Office **Location of Review/Audit:** Company facility in the U. S. **Territory:**

Operation Types **Interstate** **Intrastate**

Carrier: N/A Non-HM
Shipper: N/A N/A
Cargo Tank: N/A

Business: Individual
Gross Revenue: \$11,200.00 **for year ending:** 12/31/2010

Company Physical Address:

516 Date Ave #A
Sultan, WA 98294

Contact Name: Elmer M Rodriguez
Phone numbers: (1) 360 863-2528 (2) 425 791-6151 **Fax** 360 863-2499
E-Mail Address:

Company Mailing Address:

516 Date Ave #A
Sultan, WA 98294

Report Summary

Report	# of Pages
Part A - General	2
Part B - Violations	1
Part B - Recommendations	1
Review/Audit Receipt Page	1
Total Pages	5

Disclaimer: By signing below, I acknowledge that I have received a copy of this review/audit and agree with the total number of pages indicated (above) for each document. My signature does not imply agreement with the findings of the review/audit, however they have been discussed in detail with me.

QUESTIONS regarding this report or the Federal Motor Carrier Safety regulations may be addressed to:

WUTC
1720 Ellis St. #200
Bellingham, WA 98225

This report will be used to assess your safety compliance.

Person(s) Interviewed

Name: Elmer M Rodriguez **Title:** Owner
Name: Adela Rodriguez **Title:** Owner

Reported By: *Allen Dickson* **Title:** *Motor Carrier Safety* **Code:** WA0553 **Date:** 12/14/2011

Received By: *Elmer M. Rodriguez* **Title:** *Owner*

