



STATE OF WASHINGTON
UTILITIES AND TRANSPORTATION COMMISSION
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February 28, 2017

NOTICE WITHDRAWING PENALTY

RE: John Felix, Commission Staff's Request to Rescind Penalty Assessment
Docket TE-160698

TO ALL PARTIES:

On June 21, 2016, the Washington Utilities and Transportation Commission (Commission) issued and served a Penalty Assessment against John Felix in the amount of \$1,000, alleging violations of WAC 480-30-071 and WAC 480-30-076, which require charter and excursion carrier companies to file annual reports and pay regulatory fees by May 1 each year, or on the first business day thereafter.

On February 22, 2017, Commission staff (Staff) filed with the Commission a Request to Rescind the Penalty Assessment. Staff explained that John Felix's charter certificate was cancelled on March 23, 2016, for failure to maintain required insurance. In addition, the Company filed its annual report and paid its regulatory fee on May 19, 2016, and recently notified Staff that it does not intend to resume operations.

The Commission's primary objective in any enforcement action is to ensure compliance with a company's legal obligations; penalties both punish noncompliance and provide an incentive to comply in the future. The assessed penalty would further neither of these goals if the company is no longer operating in Washington. The Commission therefore withdraws the penalty assessed against John Felix.

THE COMMISSION GIVES NOTICE that the \$1,000 penalty assessed against John Felix is withdrawn and Docket TE-160698 is closed.

GREGORY J. KOPTA
Director, Administrative Law Division