**BEFORE THE WASHINGTON**

**UTILITIES AND TRANSPORTATION COMMISSION**

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| In the Matter of a Penalty Assessment Against  NORTHWEST MOVERS, LLC  in the amount of $800  . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | )  )  )  )  )  )  ) | DOCKET TV-150929  ORDER 01  ORDER GRANTING MITIGATION TO $200 |

**BACKGROUND**

1. Washington law requires household goods carriers to file annual reports and pay regulatory fees by May 1 of each year. WAC 480-15-480. On February 27, 2015, the Washington Utilities and Transportation Commission (Commission) mailed annual report and regulatory fee packets to all household goods carriers. The forms included a reminder that companies must file their annual reports and pay their regulatory fees by May 1, 2015, or face penalties of $100 for each violation of Commission rules. In the case of continuing violations, each day’s continuance is a separate violation. RCW 80.04.405.
2. Northwest Movers, LLC (Northwest Movers or Company) filed its annual report late on May 13, 2015. On June 1, the Commission assessed a penalty of $800 against Northwest Movers, calculated as $100 per business day from May 1 to May 13.
3. On June 11, 2015, Northwest Movers responded to the Commission’s penalty assessment, admitting the violations and requesting mitigation based on the written information provided. The Company explained that it mistakenly believed its annual report was due on May 31.
4. On June 24, 2015, Commission staff (Staff) filed a response recommending a penalty reduction to $25 per day, or $200, because the Company has no prior violations of WAC 480-15-480.

**DISCUSSION**

1. WAC 480-15-480 requires permitted household goods carriers to file annual reports and pay regulatory fees by May 1 of each year. Companies are responsible for complying with their legal obligations, and the Company should have ensured its annual report was timely filed.
2. The Commission nevertheless agrees with Staff’s recommendation. The Commission may consider a number of factors when entertaining a request for mitigation, including a company’s history of compliance, whether the violation was promptly corrected, and the likelihood the violation will recur.[[1]](#footnote-1) Here, Northwest Movers corrected the violation by promptly filing its annual report when it realized its error. In addition, this is the Company’s first violation of WAC 480-15-480 since it became regulated in 2013. Although the Company has a relatively brief history of compliance, we have routinely granted mitigation for first time violations. In light of these factors, the Commission will exercise its discretion to reduce the penalty to $200.

**ORDER**

THE COMMISSION ORDERS:

1. (1) Northwest Movers, LLC’s request for mitigation of the $800 penalty is GRANTED in part, and the penalty is reduced to $200.
2. (2) The $200 penalty is due and payable no later than August 13, 2015.
3. The Secretary has been delegated authority to enter this order on behalf of the Commissioners under WAC 480-07-904(1)(h).

DATED at Olympia, Washington, and effective July 30, 2015.

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

STEVEN V. KING

Executive Director and Secretary

**NOTICE TO PARTIES: This is an order delegated to the Executive Secretary for decision. As authorized in WAC 480-07-904(3), you must file any request for Commission review of this order no later than 14 days after the date the decision is posted on the Commission’s website.**

1. Docket A-120061, Enforcement Policy for the Washington Utilities and Transportation Commission (January 7, 2013). [↑](#footnote-ref-1)