

Requirement Compliance Checklist

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	Decoupling
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	RCW 19.27A Energy Related Building Standards
	2010 settlement agreement

PSE 2020-2021 ENERGY EFFICIENCY DELIVERABLES---

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<b>2010 Settlement Agreement Sections Still in Effect</b>						
1	UE-100177 A(1)	The following parties reached agreement on the terms for approval of Puget Sound Energy Inc's Ten-Year Achievable Conservation Potential and Biennial Conservation Target, which Puget Sound Energy filed in Docket UE-100177 on June 18, 2010: Puget Sound Energy, Inc. ("PSE" or the "Company"); the Staff of the Washington Utilities and Transportation Commission; the Public Counsel Section of the Attorney General's Office; Intervenor (sp) Industrial Customers of Northwest Utilities; and Intervenor NW Energy Coalition ("NWECC") (hereinafter referred to collectively as "Executing Parties"). This Settlement Agreement ("Agreement") is the agreement reached by the Executing Parties.		Not Applicable	Not Applicable	Not applicable - No deliverable
2	UE-100177 A(2)	The Executing Parties intend that this Agreement shall supersede and replace the Settlement Terms for Conservation, Exhibit F to the Settlement Stipulation in Docket UE-011570 for electric conservation. This Agreement addresses conservation of electricity only. It does not address conservation of natural gas. The Northwest Industrial Gas Users and The Energy Project, signatories to the Settlement Terms for Conservation, Exhibit F to the Settlement Stipulation in Docket UG-011571 but not parties in Docket UE-100177, participated in discussions about the preparation of this Agreement. <b>Nothing in this settlement shall affect the natural gas Settlement Terms for Conservation, Exhibit F to the Settlement Stipulation in Docket UG-011571 with respect to natural gas conservation, which remains in full force and effect with respect to natural gas conservation issues.</b>  (Emphasis added.)		Not Applicable	Not Applicable	Not applicable - No deliverable
3	UE-100177 A(3)	The approval of Initiative 937 in 2006, codified in Chapter 19.285 of the Revised Code of Washington as the Energy Independence Act, and PSE's subsequent filing in Docket UE-100177, resulted in the need to update and amend the electric conservation provisions of the Settlement Terms for Conservation, Exhibit F to the Settlement Stipulation in Docket UE-011570. Those changes are included in this Agreement. RCW 19.285.040(1) and WAC 480-109-010 require utilities to identify achievable cost-effective conservation potential using methodologies consistent with those used by the Northwest Power and Conservation Council ("Council").		Not Applicable	Not Applicable	Not applicable - No deliverable
4	UE-100177 B(4)	This Agreement establishes a conservation program with no sunset date. Any party may petition the Commission for modifications to the program, including in a general rate case proceeding. Nothing herein prevents any party from commenting on any filings under this or any other docket before the Commission.		Not Applicable	Not Applicable	Not applicable - No deliverable
5	UE-100177 B(4)(a)	Except where expressly stated, the conditions in Section K and all other provisions of this Agreement are intended to remain in effect notwithstanding the biennial review conducted under the Energy Independence Act. Any party may petition to, or the Commission may on its own motion and notice to parties, modify the conservation program if required by the results of the review.		Not Applicable	Not Applicable	Not applicable - No deliverable
6	UE-100177 B(4)(b)	In the event that PSE is not required to set or achieve specific conservation savings targets by the Energy Independence Act or other state law, PSE agrees to continue a conservation program that is consistent with the provisions of the 2002 Settlement, such that the programs funded through PSE's tariff rider will be designed to achieve all savings that are not independently captured by consumer acquisition, that are cost-effective to the Company, and economically feasible for consumers, taking into account incentives provided by PSE.		Not Applicable	Not Applicable	Not applicable - No deliverable
7	UE-100177 C(5)	PSE shall set the ten-year conservation potential and the biennial conservation targets as required by the Energy Independence Act (RCW 19.285) and WAC 480-109 and consistent with this Agreement.		Not Applicable	Not Applicable	Not applicable - No deliverable
8	UE-100177 C(6)	In general each individual energy efficiency program shall be designed to be cost-effective.		Not Applicable	Not Applicable	Not applicable - No deliverable
9	UE-100177 D(7)	PSE shall establish an external Advisory Committee. The Advisory Committee shall address, but not be limited to the issues identified in Section K.3 of this Agreement.		Not Applicable	Not Applicable	Not applicable - No deliverable

Exhibit 9

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10	UE-100177 D(8)	Advisory Committee membership shall be established as follows. The Company shall extend an invitation to serve as an Advisory Committee member to a representative from at least each of the following organizations: WUTC staff, Attorney General Office of Public Counsel, NW Energy Coalition, Energy Project, Natural Resources Defense Council, Pacific Northwest Electric Power and Conservation Council, Industrial Customers of Northwest Utilities, Northwest Industrial Gas Users, Washington State Department of Commerce, Northwest Energy Efficiency Council, and the Department Of Energy Weatherization Assistance Program provider network. Additionally, the Company shall seek customer representatives from the residential, commercial, industrial, and institutional sectors to serve on the Advisory Committee. Other interested parties may attend Advisory Committee meetings as well, but will not be considered Advisory Committee members. This ongoing committee is now called the Conservation Resources Advisory Group (Advisory Group).		Not Applicable	Not Applicable	Not applicable - No deliverable
11	UE-100177 E(9)	To determine which energy efficiency programs and measures are cost-effective, PSE shall rely on a calculation of avoided cost consistent with the Council methodology and with the Energy Independence Act.		Not Applicable	Not Applicable	Not applicable - No deliverable
12	UG-011571 (2002 Rate Case Stipulation Agreement) F.16	PSE shall develop, in conjunction with its August 2002 filing, avoided costs for natural gas efficiency programs, with review from the Advisory Committee, by analyzing similar components of system costs.	PSE presents its Annual or Biennial Conservation Plan, that includes gas program avoided costs in Exhibit 2.	biennially	2021	Completed
13	UE-100177 E(10)	PSE may modify, after consultation with the Advisory Group, the Company's calculation of avoided cost based upon the following: modification to one or more component values of the calculation, use of a forecasting tool or production cost model other than Aurora, establishment of load factors that are more specific to PSE's service territory, or other information relevant to the calculation of avoided cost.	PSE conveys the date that the CRAG was consulted.	biennially		Completed
14	UE-100177 F(11)	The annual budget of the program will be built up from the bottom through the development of a mix of programs that deliver cost-effective savings in PSE's service territory. PSE's conservation targets developed under RCW 19.285.040(1) will direct development of the mix of cost effective programs that will establish the budgets for efficiency programs.	PSE provides to the CRAG its annual budgets and savings goals each September.	Annually		Completed
15	UG-011571 (2002 Rate Case Stipulation) H.25	Tariff-rider funds shall only be used on programs and their associated administrative costs that result in energy savings through energy efficiency investments or fuel switching. <b>This may include reasonable administration costs for PSE's net metering program. (Emphasis added.)</b>	PSE provides its annual budgets in its Conservation Plans and reports expenditures in its Annual Reports. These transparently enumerate applicable and approved expenses.	Annually		Completed
16	UE-100177 F(12)	Schedule 449 customers are eligible for self-direction under existing Schedule 258 and participation in efficiency programs offered by PSE, except as stated in paragraph 13. Schedule 258 customers who are not on Schedule 449 will be eligible to participate in other programs offered directly by PSE. Non-449 Schedule 258 customers will share in paying NEEA/market transformation and administration costs consistent with all other non-449 customers.	PSE provides Schedule 120 and/or Schedule 258 work papers.	Ongoing		Completed
17	UE-100177 F(13)	Each Schedule 449 customer can self-direct and/or participate in programs offered directly by PSE up to a total dollar cap equal to the annual efficiency funding level for that 449 customer minus 17.5% of that amount. The 17.5% represents payments for market transformation (10%) and for administration (7.5%).	PSE provides Schedule 120 and/or Schedule 258 work papers.	Ongoing		Completed

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18	UE-100177 G(14)	PSE will continue to honor Commitments 22 and 23 from U-072375 with regard to future funding levels for low-income energy conservation programs based on the 2010-2011 planning levels. PSE will continue to work with agencies to provide additional funding above that established by Commitment 22 if additional production through the existing or newly developed cost-effective programs warrants it. In addition, PSE will continue to contribute a total of \$300,000 of shareholder funds annually for low-income weatherization regardless of fuel type.	PSE provides its budget drafts to the CRAG on September 1 each year.	Ongoing		Completed
19	UE-100177 H(15)	The Company shall retain the existing rider mechanism going forward, subject to the Commission's Order in Docket No. UE-970686.	PSE files its Schedule 120 each March 1. Gas Tracker converted to Rider mechanism, Docket No UG-120812.	Ongoing	4	Completed
20	UE-100177 H(16)	The Company shall continue to use the peak credit method of assigning the costs of its electric conservation programs to each rate schedule with one exception, the Schedule 449 customers. (The Advisory Group will review cost allocation methodology per Section K, Paragraph (11)(c)). Schedule 449 customers currently pay 0.0944 cents per kWh toward the cost of the current Schedule 258 four-year conservation program (4/1/10 – 4/1/14). The current practice is to hold the payment amount constant over the Schedule 258 period. This amount is based on a \$164 million biennial electric conservation-only budget for 2010-11, and is scalable in the next Schedule 258 budget cycle depending on whether the overall conservation budget increases or decreases. In 2002, the Schedule 449 customers paid 0.045 cents per kWh toward the cost of the conservation program. This amount was based on a \$20 million annual budget.	A final revised Schedule 258 was filed and approved in August, 2011.	Ongoing		Completed
21	UG-011571 (2002 Rate Case Stipulation) L.38	Gas conservation program costs will be allocated in a manner consistent with the gas program in effect in May 2002. No gas conservation program costs shall be allocated for recovery from natural gas transportation customers. Natural gas program cost recovery allocations made to natural gas sales customers shall be made according to the peak credit (i.e., bridge) methodology that underlies Puget's recovery for surcharges for its current conservation programs as approved in March 2002 in Docket No. UG-020264.	Exhibit 1 is provided as part of each year's conservation plan. The task is completed when PSE provides the second-year budget.	Annually		Completed
22	UE-100177 I(17)	Achievement of the biennial targets for savings from cost-effective electricity conservation programs shall be subject to the penalty/incentive provisions of the Energy Independence Act. In the event that statutory penalties/incentives no longer apply under the Energy Independence Act or other state law or Commission order, PSE agrees to develop and propose a replacement penalty mechanism in consultation with the Advisory Group. At the same time, PSE may propose an incentive mechanism in consultation with the Advisory Group.	Subsequent to the submittal and approval of its electric conservation achievements, PSE pays any agreed-to fines due.	Ongoing		Not applicable - No deliverable
23	UG-011571 (2002 Rate Case Stipulation Agreement) M.43	The financial penalties for failure to achieve the annual conservation savings targets are as follows. <ul style="list-style-type: none"> <li>Achieve savings that are 90 to 99% of the goal: \$200,000 penalty applies</li> <li>Achieve savings that are 75% to 89% of the goal: \$500,000 penalty applies</li> <li>Achieve savings that are less than 75% of the goal: \$750,000 penalty applies</li> </ul>	PSE documents the presentation of the penalty calculation figures and the resultant indication of penalty/no penalty status.	2020		Not applicable - No deliverable

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24	UG-011571 (2002 Rate Case Stipulation Agreement) M.44	The Company shall provide biennial notification in a Conservation Report Card to its customers regarding the Company's performance related to its annual savings targets. The report shall:  (All agree with I(18)(a), I(18)(b). M.44.c, though, indicates:  Be distributed no later than 90 days after the filing of the Annual Conservation report (currently due February 15), beginning in 2006 and every two years thereafter.	PSE provides documentation of the notification "proof" and the first delivery date.	2020		Completed
25	UE-100177 I(18)	The Company shall provide biennial notification in a Conservation Report Card to its customers regarding the Company's performance related to its biennial savings targets under the Energy Independence Act. [The following is after I(18)(d)(3)] The report also may contain reference to PSE's ongoing energy efficiency programs, including encouragement for customers to participate in those programs. The report shall:	Please see I(18)c	2020		Completed
26	UE-100177 I(18)(a)	Be distributed as a conspicuous stand-alone document accompanying a customer's bill or in a separate mailing and also posted to PSE's website.	Please see I(18)c	Not Applicable	Not Applicable	Only the condition section heading will be checked
27	UE-100177 I(18)(b)	Be distributed to customers only after adequate consultation with Staff and the Advisory Group.	The CRAG received the gas report card at the March 22 CRAG meeting. The electric report card will commence delivery 90 days after the Commission acknowledges PSE 2010-2011 electric conservation achievement.	Not Applicable	Not Applicable	Only the condition section heading will be checked
28	UE-100177 I(18)(c)	Be distributed no later than 90 days after the Commission determination on the two-year report on conservation program achievement required by the Energy Independence Act and Commission rules.	The report card mailing to customers commences 90 days after the biennial report is filed with the UTC and Department of Commerce and after thirty days for written or oral comments	Not Applicable	Not Applicable	Only the condition section heading will be checked
29	UE-100177 I(18)(d)	Contain the following information, at a minimum: 1) A brief description of the purpose of the report. 2) A brief description of the benchmarks and an indication of whether the Company met the benchmarks in each biennial period. 3) The total amount of penalties imposed (or incentive earned) for the current reporting period.	Please see I(18)c	Not Applicable	Not Applicable	Only the condition section heading will be checked
30	UE-100177 J(19)	PSE may adopt line extension policies that are designed to encourage (and particularly not discourage) builders, developers, and end-use customers to select a heating fuel that is most resource efficient and adopt construction practices that exceed current energy codes.	Policies are documented and are publicly accessible at PSE.com: electric Schedule 85 and gas Schedules 7, 107 and 307.	2010	4	Completed

Effective January, 2018: Attachment A of Order 01, Docket No. UE-171087 are the conditions in force.

Target Setting

31	WAC 480-109-100 (2) Ten-year conservation potential	By January 1, 2010, and every two years thereafter, a utility must project its cumulative ten-year conservation potential.	PSE files it 2022-2023 BCP with the Commission.	2021	4	Completed
32	WAC 480-109-100 (2) Ten-year conservation potential	(a) This projection must consider all available conservation resources that are cost-effective, reliable, and feasible.		Not applicable	Not Applicable	Only the condition section heading will be checked
33	WAC 480-109-100 (2) Ten-year conservation potential	(b) This projection must be derived from the utility's most recent IRP, including any information learned in its subsequent resource acquisition process, or the utility must document the reasons for any differences. When developing this projection, utilities must use methodologies that are consistent with those used in the Northwest Conservation and Electric Power Plan.		Not applicable	Not Applicable	Only the condition section heading will be checked

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34	WAC 480-109-100 (2) Ten-year conservation potential	(c) The projection must include a list of each measure used in the potential, its unit energy savings value, and the source of that value.		Not applicable	Not Applicable	Only the condition section heading will be checked
35	WAC 480-109-100 (3) Biennial conservation target	(3) Biennial conservation target. Beginning January 2010, and every two years thereafter, a utility must establish a biennial conservation target. (a) The biennial conservation target must identify, and quantify in megawatt-hours, all available conservation that is cost-effective, reliable, and feasible. (b) The biennial conservation target must be no lower than a pro rata share of the utility's ten-year conservation potential.	PSE files its 2022-2023 BCP	2021	4	Completed
36	WAC 480-109-100(3)(c)	<b>Excess conservation.</b> No more than twenty-five percent of any biennial target may be met with excess conservation savings allowed by this subsection. Excess conservation may only be used to mitigate shortfalls in the immediately subsequent two biennia and may not be used to adjust a utility's ten-year conservation potential or biennial target. The presence of excess conservation does not relieve a utility of its obligation to pursue the level of conservation in its biennial target.		Not applicable	Not Applicable	Completed
37	WAC 480-109-100(3)(c)	(f) Cost-effective conservation achieved in excess of a biennial conservation target may be used to meet up to twenty percent of each of the immediately subsequent two biennial targets.		Not applicable	Not Applicable	Completed
38	WAC 480-109-100(3)(c)	(ii) A utility may use single large facility conservation savings achieved in excess of its biennial target to meet up to five percent of each of the immediately subsequent two biennial conservation targets.		Not applicable	Not Applicable	Completed
39	WAC 480-109-100(3)(c)	(iii) Until December 31, 2017, a utility with an industrial facility located in a county with a population between ninety-five thousand and one hundred fifteen thousand that is directly interconnected with electricity facilities that are capable of carrying electricity at transmission voltage, may use cost-effective excess conservation savings from that industrial facility to meet the subsequent two biennial conservation targets. For purposes of this subsection, transmission voltage is 100,000 volts or higher.		Not applicable	Not Applicable	Completed
40	RCW 19.405.040(1)(a) Greenhouse Gas Neutrality	(a) For the four-year compliance period beginning January 1, 2030, and for each multiyear compliance period thereafter through December 31, 2044, an electric utility must demonstrate its compliance with this standard using a combination of nonemitting electric generation and electricity from renewable resources, or alternative compliance options, as provided in this section. To achieve compliance with this standard, an electric utility must: (i) Pursue all cost-effective, reliable, and feasible conservation and efficiency resources to reduce or manage retail electric load, using the methodology established in RCW 19.285.040, if applicable	Following submission to Department of Commerce	2021	2	Completed
41	UE-190905 (1)(a)	The following conservation targets, are accepted for the Puget Sound Energy, with conditions pursuant to RCW 19.285.040(1)(e) and WAC 480-109-120(1). This acceptance is subject to the Conditions described in Paragraphs (2) through (12) below. i. Ten-Year Potential: 1,799,149 megawatt-hours. ii. Biennial EIA Target: 359,861 megawatt-hours. iii. EIA Penalty Threshold: 336,297 megawatt-hours. iv. Decoupling Penalty Threshold: 17,993 megawatt-hours, pursuant to Order 7 in Docket UE-121697. v. Total Utility Conservation Goal: 476,468 megawatt-hours.	These figures and targets have been superseded by Order 02 in Docket UE-190905 Granting Petition; Granting Exemption	Not Applicable	Not Applicable	Completed
42	UE-190905	As part of Puget Sound Energy's biennial conservation acquisition efforts, Puget Sound Energy must continue to invest in regional studies and market transformation, in collaboration with funding from other parties and with other strategic market partners in this biennium that complements Puget Sound Energy's energy efficiency programs, planning, services, and measures.	PSE provides NEEA plans and results	Not Applicable	Not Applicable	Completed
43	U-180680	PSE shall continue to support market transformation through participating in the Northwest Energy Efficiency Alliance (NEEA) at funding levels approved by the Board of Directors of NEEA, including funding and participation in all "optional" programs.	PSE will indicate its participation level and affirm that it participated in all "optional" NEEA programs in its Annual Report Exhibit 10.	2021	4	Completed
44	UE-121697 UG-121705 (Decoupling)	Section D.2.c, ¶ 108: [...] PSE does, however, agree to participate in the Northwest Energy Efficiency Alliance study on gas conservation. [...]	PSE will provide periodic updates and Annual Reports on natural gas market transformation.	ongoing	Mark as complete at end of biennium	Completed

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45	UE-121697 UG-121705 (Decoupling)	Amended Accounting Petition, Section G.31 Specifically, while the electric decoupling mechanism is in place, PSE will agree to achieve electric conservation five percent above the biennial targets set by the Commission, and PSE will agree to voluntarily submit to financial penalties for failing to meet this higher level of conservation achievement.	Savings will be confirmed when PSE files its 2020-2021 Biennial Electric Achievement Report	2022	2	Completed
<b>Advisory Group</b>						
46	UE-190905 (3)(a)	To meet the requirements of WAC 480-109-110, Puget Sound Energy must continue to use its Advisory Group, initially created under Dockets UE-941377 and UG-941378 and its Integrated Resource Planning Advisory Group created under WAC 480-100-238.		ongoing	Mark as complete at end of biennium	Completed
47	UE-190905 (3)(b)	Puget Sound Energy must notify Advisory Group members of all public meetings scheduled to address Puget Sound Energy's integrated resource plan. Puget Sound Energy must also coordinate a meeting with Advisory Group members and the entity conducting the conservation potential assessment (CPA) addressing the scope and design of the CPA. Such a meeting must address the assumptions and relevant information utilized in the development of Puget Sound Energy's integrated resource plan as they apply to development and/or modification of the ten-year conservation potential. This meeting must be held early enough in the integrated resource plan public process to incorporate the group's advice. Puget Sound Energy must notify Advisory Group members of IRP advisory group meetings that present the Company's natural gas and energy price forecasts and generation resource cost assumptions used in the development of the Company's integrated resource plan, as these assumptions will inform the ten-year conservation potential.		ongoing	Mark as complete at end of biennium	Completed
48	UE-190905 (3)(c)	Puget Sound Energy must consult with the Advisory Groups starting no later than July 1, 2021, to begin to identify achievable conservation potential for 2022-2031 and to begin to set annual and biennial targets for the 2022-2023 biennium, including necessary revisions to program details. See RCW 19.285.040(1)(b); WAC 480-109-120.	PSE documents CRAG participation in CRAG meeting summary notes.	July, 2021	Completed after second CRAG meeting of 2021	Completed
49	UE-190905 (3)(d)	Puget Sound Energy must inform the Advisory Group members when its projected expenditures indicate that Puget Sound Energy will spend more than 120 percent or less than 80 percent of its annual conservation budget.	If portfolio-level spending is forecast to be >120% or <80%, PSE provides driver explanations.	ongoing	Mark as complete at end of biennium	Completed
50	UE-190905 (3)(e)	Prior to filing the Biennial Conservation Plan, Puget Sound Energy must provide the following information to the Advisory Group: draft ten-year conservation potential and two-year target by August 2, 2021; draft program details, including budgets, by September 1, 2021; and draft program tariffs by October 1, 2021.	PSE indicates the dates on which the deliverables were provided to the CRAG.	November, 2021	When BCP is provided to CRAG	Completed
<b>WAC 480-109-110 Conservation Advisory Group</b> A utility must maintain and use an external conservation advisory group of stakeholders to advise the utility on conservation issues, including, but not limited to:						
51	WAC 480-109-110(1) Conservation Advisory Group	(a) Conservation programs and measures.	PSE documents program notification dates.	ongoing	Mark as complete at end of biennium	Completed
52	WAC 480-109-110(1) Conservation Advisory Group	(b) Updates to the utility's evaluation, measurement, and verification framework.	The EM&V Framework is filed on November 1, 2021	ongoing	Mark as complete at end of biennium	Completed
53	WAC 480-109-110(1) Conservation Advisory Group	(c) Modification of existing, or development of new evaluation, measurement, and verification methods.	PSE reviews evaluation status at CRAG meetings, emails and newsletters	ongoing	Mark as complete at end of biennium	Completed

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54	WAC 480-109-110(1) Conservation Advisory Group	(d) Independent third-party evaluation of portfolio-level biennial conservation achievement.	PSE provides the final BECAR in its Biennial Conservation Report.	2022	Mark as complete in June following end of biennium	Completed
55	WAC 480-109-110(1) Conservation Advisory Group	(e) Development of conservation potential assessments, as required by RCW 19.285.040 (1)(a) and WAC 480-109-100(2).	PSE final IRP and CPA efficiency guidance	2021	Mark as complete at end of biennium	Completed
56	WAC 480-109-110(1) Conservation Advisory Group	(f) The methodology, inputs, and calculations for cost-effectiveness.	When a simple majority of CRAG members express agreement or consensus on the cost and benefit inputs definitions an sources PSE will use for the TRC & UC.	2021	Mark as complete at end of biennium	Completed
57	WAC 480-109-110(1) Conservation Advisory Group	(g) The data sources and values used to develop and update supply curves.	PSE provides the CRAG with IRP and CPA input background.	2021	Mark as complete at end of biennium	Completed
58	WAC 480-109-110(1) Conservation Advisory Group	(h) The need for tariff modifications or mid-biennium program corrections.	PSE documents the date of the first CRAG notification of a possible mid-course program correction or need of tariff modification.	2021	4	Completed
59	WAC 480-109-110(1) Conservation Advisory Group	(i) The appropriate level of and planning for: (1) Marketing conservation programs; (2) Incentives to customers for measures and services; and (3) Impact, Market, and process evaluations.	PSE documents the date of the review. Exhibit 3 revisions are presented to the CRAG on an as-needed basis. Measure detail is provided in the BCP. PSE provides Exhibit 6: Evaluation Plan.	2021	4	Completed
60	WAC 480-109-110(1) Conservation Advisory Group	(j) Programs for low-income residential customers.	ACP/BCP is acknowledged by the Commission.	2021	4	Completed
61	WAC 480-109-110(1) Conservation Advisory Group	(k) Establishment of the biennial conservation target and program achievement results compared to the target.	PSE provides the draft target to the CRAG in the BCP development process	2021	Mark as complete at end of biennium	Completed
62	WAC 480-109-110(1) Conservation Advisory Group	(l) Conservation program budgets and actual expenditures compared to budgets.	The electronic version of the Annual Report is provided to the CRAG.	2021	Mark as complete at end of biennium	Completed
63	WAC 480-109-110(1) Conservation Advisory Group	(m) Development and implementation of new and pilot programs.	PSE provides program updates in the Annual Report, CRAG meetings and annual plans.	2021	Mark as complete at end of biennium	Completed
64	WAC 480-109-110 Conservation Advisory Group	(2) <b>Advisory group meetings.</b> A utility must meet with its conservation advisory group at least four times per year. Conservation advisory group members may request additional meetings. A utility must provide reasonable advance notice of all conservation advisory group meetings.	The Annual Report provides summaries of CRAG meetings.	2021	Mark as complete at end of biennium	Completed
65	WAC 480-109-110 Conservation Advisory Group	(3) <b>Advance notification of filings.</b> Except for the conservation cost recovery adjustment filing required in WAC 480-109-130, a utility must provide its conservation advisory group an electronic copy of all conservation filings that the utility intends to submit to the commission at least thirty days in advance of the filing. The filing cover letter must document the amount of advance notice provided to the conservation advisory group.	PSE documents the date of providing the CRAG with the draft tariff filing.	2021	Mark as complete at end of biennium	Completed

Exhibit 9

Last Updated: 3/31/2022

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66	WAC 480-109-110(1) Conservation Advisory Group	(4) Advance notification of meetings. A utility must notify its conservation advisory group of company and commission public meetings scheduled to address its conservation programs, its conservation tariffs, or the development of its conservation potential assessment.	PSE notifies the CRAG of applicable meetings.	2021	Mark as complete at end of biennium	Completed
<b>Annual Budgets</b>						
67	UE-190905 (4)	Annual Budgets and Energy Savings. Puget Sound Energy must provide its proposed budget in a detailed format with a summary page indicating the proposed budget and savings levels for each conservation program, and subsequent supporting spreadsheets providing further detail for each program and line item shown in the summary sheet. Puget Sound Energy must allocate a reasonable amount of its program budget towards pilot programs, research, and data collection.	PSE provides annual plan Exhibit 1 to the CRAG	2021	As part of conservation plans	Completed
68	UG-011571 (2001 Rate Case Stipulation) E.14	Electric and gas conservation annual savings targets and budgets will be periodically audited as presented below in Tables A-1 and A-2.	PSE provides Annual or Biennial Conservation Plans.	2021	As part of conservation plans	Completed
69	U-072375 (Merger) Appendix A 22	PSE and Puget Holding commit to maintain existing low-income programs or as such program may be modified in any future proceeding. In addition, the Joint Applicants commit to increase the budgeted funding of low-income energy efficiency programs in future years at a level commensurate with increased in funding for energy efficiency programs for other residential customers through the Advisory Group process.	Annual Biennial Conservation programs are filed.	Ongoing	Provided in conservation plans	Completed
70	UE-161123	\$0.000307 per kWh delivered by PSE to a Location. Additional Low Income Program Payments made by Microsoft under this Special Contract are intended to expand energy efficiency services and renewable energy technology to directly benefit eligible low-income customers in PSE's service territory. Microsoft shall make such Additional Low Income Program Payments into a separate PSE account to be managed and disbursed by PSE's Low-Income Weatherization Manager.	PSE provides the accounting for the low-income payments from Microsoft in its Plans or Reports.	Annually	4	Completed
71	UE-170033 UG-170034	(108) PSE shall provide up to \$2 million through June 30, 2019, for the purpose of covering expenses related to the delivery of the Low-Income Weatherization Program to eligible PSE customers as a one-time contribution in addition to current funding. This will be recovered through Schedule 120. Eligible expenses include the installation of Department of Commerce Weatherization Manual approved cost effective energy efficiency measures, project coordination, health and safety measures, and repairs necessary for the installation of energy efficiency measures.	PSE provides the accounting for the funds disbursed in its Annual Reports.	2019	2	Completed
72	UE-170033 UG-170034	109. As part of the original decoupling mechanism, PSE agreed to increase its funding for low-income weatherization by \$500,000 per year. The Settling Parties agree to PSE's proposal to continue this higher funding level for the proposed mechanism in this proceeding.	Although it is not possible to point directly to the increased funding, PSE incorporates it into the LIW budgets.	Annually	4	Completed
73	UE-170033 UG-170034	110. PSE shall continue annual \$100,000 shareholder contributions to low-income weatherization, until the next general rate case, consistent with the commitment that PSE made in the multi-year rate plan approved in Docket UE-121697. This term does not modify any other pre-existing obligation for shareholder funding.	PSE includes the additional amounts in the below-the-line notations in Exhibit 1.	Annually	4	Completed
74	UE-170033 UG-170034	GRC Pillaris testimony: Is the Company making any further commitments regarding natural gas conservation achievement as part of its current decoupling proposal? PSE will commit to achieving five percent more natural gas conservation than required to meet guidance from the PSE Integrated Resource Plan over the same two-year reporting biennium as is used to determine compliance with the electrical conservation requirements in RCW 19.285.	PSE adds a gas decoupling amount in Exhibit 1.	2021	4	Completed



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75	UE-170033 UG-170034	GRC Pillaris testimony: What would happen if PSE does not meet these decoupling-related gas conservation commitments? PSE proposes to pay \$20,000 for meeting between 4.5 percent and 5.0 percent of its incremental gas conservation commitment, \$50,000 for meeting between 3.75 percent and 4.5 percent of its incremental commitment, and \$75,000 for less than 3.75 percent of its incremental commitment. These penalties are structured after the existing penalties for gas conservation performance, as outlined in the 2001 Stipulation Agreement in Docket UG-011571, but with penalty amounts that are 10 percent of the corresponding levels that apply when it fails to meet its minimum requirements.	A determination of potential penalty will be made when PSE files its 2019 Annual Report, and adds the gas savings to the 2018 amount.	2021	4	Completed
76	U-180680	PSE and Puget Holdings commit to maintain existing low-income programs or as such programs may be modified in any future proceeding. In addition, PSE and Puget Holdings commit to increase the budgeted funding of low-income energy efficiency programs in future years at a level commensurate with increases in funding for energy efficiency programs for other residential customers through the CRAG process.	PSE provides its updated Exhibit 1 in its annual conservation plan packages.	2021	4	Completed
77	U-180680	PSE and Puget Holdings commit to continue to work with low-income agencies to address issues of low-income customers.	PSE discusses its low-income engagement efforts in Annual Reports.	2021	4	Completed
78	U-180680	PSE agrees to continue to fund low-income weatherization programs that the low-income agencies inform PSE they can feasibly achieve with an annual base funding level of no less than \$4.43 million for low-income weatherization programs through December 31, 2022, which amount includes the following:	PSE provides its actual spends in comparison with budgets in the Annual Report Exhibit 1.	not applicable	not applicable	
79	U-180680	(a) continued annual contributions of \$400,000 from shareholder funds for the Low-Income Weatherization Program; and	PSE provides the accounting of shareholder spending in the Annual Report Exhibit 1.	2021	2	Completed
80	U-180680	(b) continued annual contributions of \$500,000 to the Low-Income Weatherization Program for so long as decoupling adopted in Dockets UE-121697 and UG-121705 continues.	PSE provides the accounting of LIE conservation spending in the Annual Report Exhibit 1.	2021	4	Completed
81	U-180680	PSE shall contribute financial and staff resources to assist in conducting a low-income needs assessment study, which study is intended to provide better understanding of the needs related to energy affordability of low-income households in PSE's service territory, including data related to energy efficiency/weatherization needs and opportunities.	A low-income study is included as Exhibit 6, Supplement 1. Study will be completed in the first half of 2020.	2020	2	Completed
82	U-180680	PSE shall maintain a project cost allowance of thirty percent (30%) for Administrative/Indirect Rate associated with the delivery of the Low-Income Weatherization Program. The appropriateness of the project cost allowance of thirty percent (30%) will be evaluated regularly through the low-income weatherization advisory committee.	PSE provides a funding breakdown when requested.	2021	4	Completed
83	U-180680	Puget Holdings shall make a one-time contribution from shareholder funds in the amount of \$2 million to the Low-Income Weatherization Program to be disbursed over a five-year period.	PSE provides the accounting for the disbursements.	2020		Completed
84	U-180680	PSE shall take reasonable steps to include equitable participation of low-income households in renewable energy programs available to residential customers.	PSE provides evidence, such as collateral, website pages, advertisements etc.	2021	4	Completed
85	UE-121697 UG-121705 (Decoupling)	Section F, ¶ 178: [...] The settlement included an additional \$500,000 for low-income energy efficiency. [...]	PSE provides the annual plans to the CRAG	2021	Provided in conservation plans	Completed
86	UE-121697 UG-121705 (Decoupling)	Section F, ¶ 178: [...] In addition, PSE's investors offered to provide \$100,000 per year for low-income energy efficiency funding. [...]	PSE provides the annual plans to the CRAG	2021	Provided in conservation plans	Completed

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87	WAC 480-109-100(4)	<b>Prudence.</b> A utility retains the responsibility to demonstrate the prudence of all conservation expenditures, consistent with RCW 19.285.050(2).	PSE provides its Annual Reports in the year following the completed conservation year.	2021	Mark as complete at end of biennium	Completed
<b>Program Details</b>						
88	UE-190905 (5)	Puget Sound Energy must maintain its conservation tariffs, with program descriptions, on file with the Commission. Program details about specific measures, incentives, and eligibility requirements must be filed and updated in the Annual Conservation Plan in this Docket.	PSE provides to the CRAG the date(s) on which the Exhibits were updated.	2021	Mark as complete at end of biennium	Completed
<b>Strategies for Selecting &amp; Evaluating</b>						
89	UE-190905 (6)(a)	Puget Sound Energy has identified a number of potential conservation measures described in the BCP. The Commission is not obligated to accept savings identified in the BCP for purposes of compliance with RCW 19.285.	The data is filed as an exhibit to the Annual Report.	2021		Completed
90	UE-190905 (6)(b)	When Puget Sound Energy proposes a new tariff schedule, it must present it to the Advisory Group for comment with program details fully defined. After consultation with the Advisory Group in accordance with WAC 480-109-110(1)(h), Puget Sound Energy must file a revision to its currently-filed Conservation Plan in this Docket.	The list of prescriptive measures, indicating the savings type, is provided as an appendix to the Annual Report.	2021	Mark as complete at end of biennium	Completed
91	UE-190905 (6)(c)	Puget Sound Energy must spend a reasonable amount of its conservation budget on evaluation, measurement and verification (EM&V), including a reasonable proportion on independent, third-party EM&V. Puget Sound Energy must perform EM&V annually on a maximum four-year schedule of selected programs such that, over the EM&V cycle, all major programs are covered. The EM&V function includes impact, process, market and cost test analyses. The results must verify the level at which claimed energy savings have occurred, evaluate the existing internal review processes, and suggest improvements to the program and ongoing EM&V processes.	PSE presents Exhibit 4 of its Annual Report.	2021	Mark as complete at end of biennium	Completed
92	UE-190905 (6)(d)	An independent third-party must review portfolio-level electric energy savings reported by Puget Sound Energy for the 2020-2021 biennial period, from existing conservation programs operated during that period, per WAC 480-109-120(4)(b)(v). The independent third-party reviewer must be selected through an RFP process and is intended to: i. Verify the calculation of total portfolio MWh savings; and ii. Provide a review of EM&V activities and application for best practices and reasonable findings, which includes the following: (1) Validate the adequacy of Puget Sound Energy's savings verification process, controls and procedures; (2) Validate savings tracking and reporting processes and practices; (3) Review program process and impact evaluations completed during the biennium for appropriateness of evaluation approach/methodologies (program specific) and program cost-effectiveness calculations.	PSE provides the first-year interim report and the final report, attached as an Exhibit, to the Biennial Conservation Report	2022	Included as part of 2020-2021 BCR	In progress
93	UE-190905 (6)(e)	A final report for the entire 2020-2021 biennium may be implemented in phases and delivered as a final product at an earlier date, as needed by Puget Sound Energy.	PSE provides the first-year interim report and the final report, attached as an Exhibit, to the Biennial Conservation Report	2020	Included as part of 2020-2021 BCR	Completed
94	WAC 480-109-100(10) Low Income Weatherization	(a) A utility may fully fund low-income conservation measures that are determined by the implementing agency to be cost-effective consistent with the <i>Weatherization Manual</i> maintained by the department. Measures identified through the priority list in the <i>Weatherization Manual</i> are considered cost-effective. In addition, a utility may fully fund repairs, administrative costs, and health and safety improvements associated with cost-effective low-income conservation measures.	Agencies that wish to participate have a clear understanding of the application process	2021	Mark as complete at end of biennium	Completed
95	WAC 480-109-100(10) Low Income Weatherization	(c) A utility must count savings from low-income conservation toward meeting its biennial conservation target. Savings may be those calculated consistent with the procedures in the <i>Weatherization Manual</i> .	PSE provides updates and Annual Report that include LIW savings.	2021	Reflected in Exhibit 1 of Annual Reports	Completed
96	¶ 48, Commission Policy Statement, UG 121207	We also ask (utilities) to standardize the unit energy savings (UES) values for natural gas measures, as the Council's Regional Technical Forum does for the electric sector.	All prescriptive measure UES values' sources of savings are documented	2021	Included in Exhibit 4 of Annual Reports	Completed

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97	149, Commission Policy Statement, UG 121207	A reasonable first step towards this standardization is for each natural gas utility to file an annual or biennial conservation plan, and for each natural gas utility to publish its technical workbooks supporting the calculation of UES values on the Northwest Energy Efficiency Association's ConduitNW.org website.	Annual gas conservation plans are filed and gas UES values are posted on Conduit	2014	One-time request	Completed
98	RCW 19.405.1 20 (3)(a) Energy assistance for low-income households	(3) Beginning July 31, 2020, the department must collect and aggregate data estimating the energy burden and energy assistance need and reported energy assistance for each electric utility, in order to improve agency and utility efforts to serve low-income households with energy assistance. The department must update the aggregated data on a biennial basis, make it publicly accessible on its internet web site and, to the extent practicable, include geographic attributes. (a) The aggregated data published by the department must include, but is not limited to: (i) The estimated number and demographic characteristics of households served by energy assistance for each utility and the dollar value of the assistance; (ii) The estimated level of energy burden and energy assistance need among customers served, accounting for household income and other drivers of energy burden; (iii) Housing characteristics including housing type, home vintage, and fuel types; and (iv) Energy efficiency potential.	Report submitted to Department of Commerce	2020	Q2 Even Years	Completed
99	RCW 19.405.1 20 (3)(b) Energy assistance for low-income households	(b) Each utility must disclose information to the department for use under this subsection, including: (i) The amount and type of energy assistance and the number and type of households, if applicable, served for programs administered by the utility; (ii) The amount of money passed through to third parties that administer energy assistance programs; and (iii) Subject to availability, any other information related to the utility's low-income assistance programs that is requested by the department. (c) The information required by (b) of this subsection must be from the electric utility's most recent completed budget period and in a form, timeline, and manner as prescribed by the department.	Report submitted to Department of Commerce	2020	Q2 Even Years	Completed
100	RCW 19.405.1 20 (4) Energy assistance for low-income households	(4)(a) In addition to the requirements under subsection (3) of this section, each electric utility must submit biennially to the department an assessment of: (i) The programs and mechanisms used by the utility to reduce energy burden and the effectiveness of those programs and mechanisms in both short-term and sustained energy burden reductions; (ii) The outreach strategies used to encourage participation of eligible households, including consultation with community-based organizations and Indian tribes as appropriate, and comprehensive enrollment campaigns that are linguistically and culturally appropriate to the customers they serve in vulnerable populations; and (iii) A cumulative assessment of previous funding levels for energy assistance compared to the funding levels needed to meet: (A) Sixty percent of the current energy assistance need, or increasing energy assistance by fifteen percent over the amount provided in 2018, whichever is greater, by 2030; and (B) ninety percent of the current energy assistance need by 2050.(b) The assessment required in (a) of this subsection must include a plan to improve the effectiveness of the assessed mechanisms and strategies toward meeting the energy assistance need.	Report submitted to Department of Commerce	2021	4	Completed
<b>Program Design &amp; Implementation</b>						
101	WAC 480-109-100(1)	(a) Process. A utility's obligation to pursue all available conservation that is cost-effective, reliable, and feasible includes the following process:		Not Applicable	Not Applicable	
102	WAC 480-109-100(1)(a) Process for pursuing all conservation	(i) Identify potential. Identify the cost-effective, reliable, and feasible potential of possible technologies and conservation measures in the utility's service territory.	When PSE provides the CRAG with the "building the target" tables as part of the BCP development.	2021	4	Completed
103	WAC 480-109-100(1)(a) Process for pursuing all conservation	(ii) Develop portfolio. Develop a conservation portfolio that includes all available, cost-effective, reliable, and feasible conservation. A utility must develop programs to acquire available conservation from all of the types of conservation identified in subsection (b) of this section. The portfolio must include all conservation programs and mechanisms identified pursuant to RCW 19.405.120, which pertain to energy assistance and progress toward meeting energy assistance need, including the low-income conservation programs and mechanisms in sub-section (10)(b) of this section. If no cost-effective, reliable and feasible conservation is available from one of the types of conservation, a utility is not obligated to acquire such a resource.	When PSE provides the CRAG with program overviews in BCP development CRAG meetings and Exhibit 3.	2021	4	Completed
104	WAC 480-109-100(1)(a) Process for pursuing all conservation	(iii) Implement programs. Implement conservation programs identified in the portfolio to the extent the portfolio remains cost-effective, reliable, and feasible. Implementation methods shall not unnecessarily limit the acquisition of all available conservation that is cost-effective, reliable and feasible.	PSE provides program updates through various CRAG communications, annual plans and Annual Report reviews.	2021	4	Completed
105	U-072375 (Merger Agreement) Appendix A 23	PSE and Puget Holdings commit to continue to work with low-income agencies to address issues of low-income customers.	PSE files its Annual Conservation Reports	2021	Mark as completed at end of biennium	Completed

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106	UE-190905 (7)(a)	Modifications to the programs must be filed with the Commission as revisions to tariffs or as revisions to Puget Sound Energy's current Conservation Plan, as determined in consultation with the Advisory Group.	PSE provides to the CRAG the date(s) on which the Exhibits were updated on a quarterly basis.	2021	Mark as completed at end of biennium	Completed
107	UE-190905 (7)(b)	Incentives and Conservation Program Implementation — Programs, program services, and incentives may be directed to consumers, retailers, manufacturers, trade allies or other relevant market actors as appropriate for measures or activities that lead to electric energy savings. Puget Sound Energy must work with the Advisory Group to establish a balanced portfolio of measures that provides savings from a variety of savings types and meets the needs of a broad spectrum of Puget Sound Energy customers.	The outreach strategy and budgets have been shared and reviewed with the CRAG in a face-to-face CRAG meeting.	2021	Mark as completed at end of biennium	Completed
108	UE-190905 (7)(c)	Conservation Efforts without Approved EM&V Protocol — Puget Sound Energy may spend up to ten (10) percent of its conservation budget on programs whose savings impact has not yet been measured, as long as the overall portfolio of conservation passes the primary cost-effectiveness test used by the Commission. These programs may include i. Information-only services refers to those information services that are not associated with an active incentive program or that include no onsite technical assistance or onsite delivery of school education programs. Information-only services and behavior change services must be assigned no quantifiable energy savings value without full support of the Advisory Group. ii. If quantifiable energy savings have been identified and Commission-approved for any aspect of such programs, the budget associated with that aspect of the program will no longer be subject to this ten percent spending restriction.	PSE files applicable program Exhibits, including but not limited to Exhibit 3 (Program Details) and Exhibit 4 (Measures, Incentives & Eligibility)	2021	Mark as completed at end of biennium	Completed
109	UE-190905 (7)(c)(i)	Information-only services refers to those information services that are not associated with an active incentive program or that include no on-site technical assistance or on-site delivery of school education programs. Information-only services and behavior change services shall be assigned no quantifiable energy savings value without full support of the Advisory Group.	PSE will record CRAG voting on specific program requests.	2021	Mark as completed at end of biennium	Completed
110	UE-190905 (7)(c)(ii)	If quantifiable energy savings have been identified and Commission-approved for any aspect of such programs, the budget associated with that aspect of the program will no longer be subject to this ten percent spending restriction.	PSE provides the date on which it provides its updated calculation of spending on information-oriented programs to the CRAG.	Not Applicable	Not Applicable	Not applicable - No deliverable
111	U-180680	PSE shall work with NEEC and the CRAG to adaptively manage and modify PSE's "Pay for Performance" pilot to attract more participants with the goal of having a successful whole-building pilot that significantly reduces energy use intensity by 40 percent in at least five (5) large commercial or industrial buildings (over 5,000 sq ft).	PSE provides its Pay for Performance plans in the BCP.	2021	4	Completed
112	WAC 480-109-100(1)(a) Process for pursuing all conservation	(iv) Adaptively manage. Continuously review and update as appropriate the conservation portfolio to adapt to changing market conditions and developing technologies. A utility must research emerging conservation technologies, and assess the potential of such technologies for implementation in its service territory.	When the annual plans and Annual Reports are filed.	2021	Indicated in annual reports	Completed
113	WAC 480-109-100(1) Process for pursuing all conservation	(b) Types. Types of conservation include, but are not limited to: (i) End-use efficiency; (ii) Behavioral programs; (iii) High-efficiency cogeneration; (iv) Production efficiency; (v) Distribution efficiency; and (vi) Market transformation.	When annual plans are completed and PSE provides periodic updates to the CRAG.	2021	Indicated in annual reports	Completed
114	WAC 480-109-100(1) Process for pursuing all conservation	(c) Pilots. A utility must implement pilot projects when appropriate and expected to produce cost-effective savings within the current or immediately subsequent biennium, as long as the overall portfolio remains cost-effective.	When PSE provides the CRAG with reports on pilot activities.	Annually	Indicated in annual reports	Completed

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115	WAC 480-109-100(7)	Applicable sectors. A utility must offer a mix of conservation programs to ensure it is serving each customer sector, including programs targeted to the low-income subset of residential customers.	When PSE develops its Conservation Plans and Annual Reports	Ongoing	Mark as completed at end of biennium	Completed
116	WAC 480-107-065(1)(2)	(1) A conservation and efficiency resource supplier may participate in the bidding process for any resource need. A utility or its subsidiary or affiliate may participate as a conservation resource supplier subject to the conditions described in WAC 480-107-024. (2) All conservation and efficiency measures within a bid must produce savings that can be reliably measured or estimated with accepted engineering, statistical, or meter-based methods.	Acquisition of conservation and efficiency resources.	Biennially	When BCP is filed	Completed
117	WAC 480-107-065(3)	(3) A utility must acquire conservation and efficiency resources through a competitive procurement process as described in this rule unless the utility is implementing a competitive procurement framework for conservation and efficiency resources as approved by the commission. (a) As part of that process, a utility may develop, and update each biennium, a competitive procurement framework for conservation and efficiency resources in consultation with its conservation advisory group, as described in WAC 480-109-110. The utility may file its first competitive procurement framework for conservation and efficiency resources with the utility's 2022-2023 biennial conservation plan. (b) The competitive procurement framework for conservation and efficiency resources must: (i) Define the specific criteria that the utility will use to determine the frequency of competitive bidding for conservation and efficiency resource programs, in whole or part; (ii) Address appropriate public participation, outreach, and communication of evaluation and selection criteria; (iii) Enhance or, at minimum, not interfere with the adaptive management of programs; (iv) Include documentation of support by the advisory group; and (v) Be filed as an appendix to the utility's biennial conservation plan, as described in WAC 480-109-120. (c) The competitive procurement framework for conservation and efficiency resources may: (i) Exempt particular programs from competitive procurement, such as low-income, market transformation, or self-directed programs; and (ii) Consider if and when to use an independent evaluator.	Acquisition of conservation and efficiency resources.	Biennially	When BCP is filed	Completed
<b>Reporting &amp; Filing</b>						
118	WAC 480-109-120 (1) Biennial Conservation Plan	(a) On or before November 1st of every odd-numbered year, a utility must file with the commission a biennial conservation plan.	PSE notifies the CRAG that the BCP is filed.	2021	BCP is filed	Completed
119	WAC 480-109-120(1)	(b) The plan must include, but is not limited to: (i) A request that the commission approve its ten-year conservation potential and biennial conservation target. (ii) The extent of public participation in the development of the ten-year conservation potential and the biennial conservation target. (iii) The ten-year conservation potential, the biennial conservation target, biennial program details, biennial program budgets, and cost-effectiveness calculations. (iv) A description of the technologies, data collection, processes, procedures and assumptions the utility used to develop the figures in (b)(iii) of this subsection. (v) A description of and support for any changes from the assumptions or methodologies used in the utility's most recent conservation potential assessment. (vi) An evaluation, measurement, and verification plan for the biennium including, but not limited to: (A) The evaluation, measurement, and verification framework; (B) The evaluation, measurement, and verification budget; and (C) Identification of programs that will be evaluated during the biennium.	PSE indicates within the BCP the required contents and their locations	2021	BCP is filed	Completed
120	WAC 480-109-120(1)	(c) For the purposes of this section, ten-year conservation potential is derived pursuant to WAC 480-109-100(2).		Not applicable	Not applicable	
121	WAC 480-109-120 (2) Annual Conservation Plan	On or before November 15th of each even-numbered year, a utility must file with the commission, in the same docket as its current biennial conservation plan, an annual conservation plan containing any changes to program details and annual budget.	The ACP is filed with UTC and PSE provides electronic versions to CRAG members.	2020	4	Completed

Exhibit 9

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122	WAC 480-109-120 (3) Annual Conservation Report	(a) On or before June 1st of each year, a utility must file with the commission, in the same docket as its current biennial conservation plan, an annual conservation report regarding its progress in meeting its conservation target during the preceding year.	The Annual Report is filed and the electronic version is provided to the CRAG.	Annually	1	Completed
123	WAC 480-109-120 (3)	(b) The annual conservation report must include, but is not limited to: (i) The biennial conservation target. (ii) Planned and claimed electricity savings from conservation, including a description of the key sources of variance between the planned and actual savings. (iii) Budgeted and actual expenditures made to acquire conservation through the conservation recovery adjustment clause described in WAC 480-109-130. (iv) The portfolio- and program-level cost-effectiveness of the actual electricity savings from conservation. (v) All program evaluations completed in the preceding year. (vi) A discussion of the steps taken to adaptively manage conservation programs throughout the preceding year.	PSE provides the CRAG with their electronic copy of the filed report.	2019	1	Completed
124	WAC 480-109-120 (3)	(c) A utility must submit to the department a conservation report as described in WAC 194-37-060, and file a copy of that report with the commission in the same docket as its current biennial conservation plan.	PSE provides notification to the CRAG that the report has been provided/ filed.	2021	EIA report is provided	Completed
125	§ 49, Commission Policy Statement UG-121207	We ask that Commission staff, utilities and stakeholders standardize the format of biennial and annual conservation plans, and the schedule of when the utilities file these plans with the Commission	Regulatory Stakeholders acknowledge the value of PSE's reporting consistency	2014	All Annual Reports and plans are consistently formatted	Completed
126	WAC 480-109-120 (4) Biennial Conservation Report	(a) On or before June 1st of each even-numbered year, a utility must file with the commission, in the same docket as its current biennial conservation plan, a biennial conservation report regarding its progress in meeting its conservation target during the preceding two years.	PSE files the Biennial Report and provides the CRAG with an electronic copy.	2022	2	In progress
127	WAC 480-109-120(4)	(b) The biennial conservation report must include: (i) The biennial conservation target; (ii) Planned and claimed electricity savings from conservation; (iii) Budgeted and actual expenditures made to acquire conservation; (iv) The portfolio-level cost-effectiveness of the actual electricity savings from conservation; (v) An independent third-party evaluation of portfolio-level biennial conservation savings achievement; (vi) A summary of the steps taken to adaptively manage conservation programs throughout the preceding two years; and (vii) Any other information needed to justify the conservation savings achievement.	PSE provides the CRAG with their electronic copy of the filed report.	2022	2	In progress
128	WAC 480-109-120(4)	(c) A utility must provide a summary of the biennial conservation report to its customers by bill insert or other suitable method within ninety days of the commission's final action on the report.	PSE begins delivery of the newsletter	2022	3	In progress
129	WAC 480-109-120(4)	(d) A utility may file the annual conservation report and the biennial conservation report together as one report, provided that the report includes all of the information required in subsections (3) and (4) of this section and states that it serves as both the annual conservation report and the biennial conservation report.'	PSE will, in most cases, not use this approach.	Not applicable	Not applicable	Not applicable - No deliverable
130	WAC 480-109-120 (5) Plan and report review	(a) Interested persons may file written comments regarding the biennial conservation plan and biennial conservation report within thirty days of the utility's filing.		Not applicable	Not applicable	Not applicable - No deliverable

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131	WAC 480-109-120 (5)	(b) Upon conclusion of the commission review of the utility's biennial report or plan, the commission will issue a decision accepting or rejecting the calculation of the utility's conservation target; or determining whether the utility has acquired enough conservation resources to comply with its conservation target. If the utility does not meet its biennial conservation target described in WAC 480-109-100, the commission will determine the amount in megawatt-hours by which the utility was deficient.		Not applicable	Not applicable	Not applicable - No deliverable
132	WAC 480-109-120 (5)	(c) If a utility revises its annual or biennial conservation report as a result of the commission review, the utility must submit a revised copy of the report required in WAC 480-109-120(3)(c) to the department.	PSE provides an electronic copy of the revised report to the CRAG.	2022	3	In progress
133	WAC 480-109-120 (5)	(d) Annual plans and reports may be reviewed through the commission's open meeting process, as described in chapter 480-07 WAC.		Not applicable	Not applicable	Not applicable - No deliverable
134	WAC 480-109-120 (6) Publication of Reports	All conservation plans and reports required by RCW 19.285 and this section since January 1, 2010, as well as a summary of planned and actual savings and expenditures reflected in the plans and reports, must be posted and maintained on the utility's website. Plans and reports must be posted on the utility's website within 30 days of commission acknowledgement of the plan or order approving the report. A copy of any such plan, report, or summary must be provided to any person upon request.	All conservation reports and plans since 2010 are posted on PSE.com.	2021	Mark as completed with each filing	Completed
135	WAC 480-100-620 (12)	(12) Clean energy action plan (CEAP). The utility must develop a ten-year clean energy action plan for implementing RCW 19.405.030 through 19.405.050. The CEAP must: (a) Be at the lowest reasonable cost; (b) Identify and be informed by the utility's ten-year cost-effective conservation potential assessment as determined under RCW 19.285.040;	CEAP every 10 years	2021	Mark as completed with each filing	Completed
136	WAC 480-100-625 (4)	4) Two-year progress report. At least every two years after the utility files its IRP, beginning January 1, 2023, the utility must file a two-year progress report. (a) In this report, the utility must update its: (i) Load forecast; (ii) Demand-side resource assessment including a new conservation potential assessment; (iii) Resource costs; and (iv) The portfolio analysis and preferred portfolio.	CEIP updated with second EE biennium	2023	Mark as completed with each filing	In progress
137	WAC 480-100-640 (3)	(3) Specific targets. (a) Each utility must propose specific targets for energy efficiency, demand response, and renewable energy. (i) The energy efficiency target must encompass all other energy efficiency and conservation targets and goals the commission requires the utility to meet. The specific energy efficiency target must be described in the utility's biennial conservation plan required in chapter 480-109 WAC. The utility must provide forecasted distribution of energy and nonenergy costs and benefits. (ii) The utility must provide proposed program details, program budgets, measurement and verification protocols, target calculations, and forecasted distribution of energy and	Filing of BCP	2021	4	Completed
<b>Cost-Effectiveness Tests</b>						
138	WAC 480-109-100(5)	Energy savings. A utility must use unit energy savings values and standard protocols approved by the regional technical forum, unless a unit energy savings value or standard protocol is:	PSE can provide measure business cases upon request	2021	Mark as completed at end of biennium	Completed
139	WAC 480-109-100(5)	(a) Based on generally accepted methods, impact evaluation data, or other reliable and relevant data that includes verified savings levels; and	PSE can provide measure business cases upon request	2021	Mark as completed at end of biennium	Completed
140	WAC 480-109-100(5)	(b) Presented to its advisory group for review. The commission retains discretion to determine an appropriate value or protocol.	PSE provides the CRAG the electronic filing of Exhibit 5	2021	Mark as completed at end of biennium	Completed

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141	WAC 480-109-100(8)	Cost-effectiveness. A utility's conservation portfolio must pass a cost-effectiveness test consistent with that used in the Northwest Conservation and Electric Power Plan. A utility must evaluate conservation using cost-effectiveness tests consistent with those used by the council, and as required by the commission, except as provided by subsection (10) of this section.	PSE provides the CRAG with the electronic version of Exhibit 2, showing cost-effectiveness calculation steps.	2021	Mark as completed at end of biennium	Completed
142	WAC 480-109-100(10) Low Income Weatherization	(a) A utility must fully fund low-income conservation measures that are determined by the implementing agency to be cost-effective consistent with either the Weatherization Manual maintained by the department or when it is cost-effective to do so using utility-specific avoided costs. For purposes of this subsection, "fully fund" does not prohibit the agency leveraging other funding sources, in combination with utility funds, to fund low-income conservation projects. Measures identified through the priority list in the Weatherization Manual are considered cost-effective. In addition, a utility may fully fund repairs, administrative costs, and health and safety improvements associated with cost-effective low-income conservation measures.	Ongoing activity	2021	Mark as completed at end of biennium	Completed
143	WAC 480-109-100(10) Low Income Weatherization	(b) The utility's biennial conservation plan must include low-income conservation programs and mechanisms identified pursuant to RCW 19.405.120. To the extent practicable, a utility must prioritize energy assistance to low-income households with a higher energy burden.	Approval of Biennial Conservation Plan	2021	4	Completed
144	WAC 480-109-100(10) Low Income Weatherization	(c) A utility must exclude low-income conservation from portfolio-level cost-effectiveness calculations. A utility must account for the costs and benefits, including nonenergy impacts, which accrue over the life of each conservation measure.	Approval of Biennial Conservation Plan	2021	4	Completed
145	WAC 480-109-100(10) Low Income Weatherization	(d) A utility must count savings from low-income conservation toward meeting its biennial conservation target. Savings may be those calculated consistent with the procedures in the Weatherization Manual.	Biennial Conservation Report Approval	2021	4	Completed
146	UE-190905 (8)(a)	The Commission uses the Total Resource Cost Test (TRC), as modified by the Council, as its primary cost-effectiveness test. The Council-modified TRC test includes quantifiable non-energy benefits, a risk adder, and a 10 percent conservation benefit adder. Puget Sound Energy's portfolio must pass the TRC test. All cost-effectiveness calculations will assume a Net-to-Gross ratio of 1.0, consistent with the Council's methodology.	The Annual Report and plans, including Exhibit 2, are filed	2021	Included in annual filings	Completed
147	UE-190905 (8)(b)	Puget Sound Energy must also provide calculations of the Program Administrator Cost Test (also called the Utility Cost Test) as described in the National Action Plan for Energy Efficiency's study "Understanding Cost-Effectiveness of Energy Efficiency Programs."	The Annual Report and plans, including Exhibit 2, are filed	2021	Included in annual filings	Completed
148	UE-190905 (8)(c)	Conservation-related administrative costs must be included in portfolio level analysis.	The Annual Report and plans, including Exhibit 2, are filed	2021	Mark as completed at end of biennium	Completed
<b>Recovery through Conservation Rider</b>						
149	UE-190905 (11)(a)	Scope of Expenditures — Funds collected through the Electric Conservation Service Rider must be used on approved conservation programs and their administrative costs. Additionally, Rider funds may be used for other purposes when they have a benefit to PSE customers, and is approved by the Commission.		2021	Mark as completed at end of biennium	Completed
150	UE-190905 (11)(b)	Recovery for Each Customer Class —Puget Sound Energy shall retain existing Rider mechanisms, subject to the Commission's Order in Docket UE-970686.1		Not Applicable	Not Applicable	Not applicable - No deliverable



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151	UE-190905 (11)(c)	Recovery of costs associated with distribution and production efficiency initiatives are not funded through the Electric Conservation Tariff Rider because these programs are not customer conservation initiatives. These are company conservation programs. As such, these costs are recovered in the general rate making process over time and may be requested through a general rate case, a deferred accounting petition or other allowed mechanism. The method of cost recovery in no way diminishes its obligation as required in RCW 19.285 and WAC 480-109.		Not Applicable	Not Applicable	Not applicable - No deliverable
152	UE-190905 (11)(d)	Puget Sound Energy must file revisions to its cost recovery tariff (Schedule 120) by March 1 each year, with requested effective date of May 1 of that same year.	1) PSE provides the CRAG its cost allocation methodology 2) Issues relative to Schedule 258 apportionments have been identified for resolution.	2021	Schedule 120 filing	Completed
153	WAC 480-109- 130 Conservation Cost Recovery	(1) Utilities must file with the commission for recovery of all expected conservation cost changes and amortization of deferred balances. A utility must include its conservation cost recovery procedures in its tariff.	PSE notifies the CRAG of its Schedule 120 filing.	2021	Schedule 120 filing	Completed
154	WAC 480-109- 130 Conservation Cost Recovery	(2) A utility must make a conservation cost recovery filing no later than June 1st of each year, with a requested effective date at least sixty days after the filing. If the utility believes that a filing is unnecessary, then it must file a request for exception and supporting documents no later than May 1st of each year demonstrating why a rate change is not necessary.	Please see (9)(d)	2021	Schedule 120 filing	Completed
155	WAC 480-109- 130 Conservation Cost Recovery	(3) A utility may not accrue interest or incur carrying charges on deferred conservation cost balances. Utilities must base conservation recovery rates on forward-looking budgeted conservation program costs for the future year with revisions to recover only actual program costs of the prior year. Utilities must also include the effects of variations in actual sales on the recovery of conservation costs in the prior year.		Not Applicable	Not Applicable	
<b>Additional Commitments</b>						
156	UE-190905 (9)(a)	Puget Sound Energy must develop a plan and conduct the research necessary to achieve sustained energy burden reductions for low-income households, with advice and review provided by the Advisory Group. The low-income savings potential must be included in the 2022-2023 Biennial Conservation Plan along with a description of how the plan prioritizes energy assistance to low-income households with the highest energy burden and future actions under consideration to improve this prioritization.		2021	Mark as completed at end of biennium	Completed
157	UE-190905 (9)(b)	Puget Sound Energy must design and implement pilot programs that serve some highly impacted communities and vulnerable populations. These pilots will be instrumental in identifying data gaps and other barriers to ensure an equitable distribution of energy and nonenergy impacts.		2021	Mark as completed at end of biennium	Completed
158	UE-190905 (9)(c)	Puget Sound Energy must evaluate opportunities for location-targeted programs that provide non-wires alternatives to eliminate or delay the need for distribution system investments.		2021	Mark as completed at end of biennium	Completed
159	UE-190905 (10)(a)	During this biennium, Puget Sound Energy must demonstrate progress towards identifying, researching, and developing a plan to properly value nonenergy impacts that have not previously been quantified. The nonenergy impacts considered must include the costs and risks of long-term and short-term public health benefits, environmental benefits, energy security, and other applicable nonenergy impacts. These impacts and risks must be included in the 2022-2023 Biennial Conservation Plan.		2021	Mark as completed at end of biennium	Completed

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160	UE-190905 (10)(b)	Puget Sound Energy must identify the discrete nonenergy impacts and the monetized value used in cost-effectiveness testing for each electric conservation program. This must be provided in a detailed format with a summary page and subsequent supporting spreadsheets, in native format with formulas intact, providing further detail for each program and line item shown in the summary sheet in annual plans and reports.		2021	Mark as completed at end of biennium	Completed
161	UE-190905 (10)(c)	To the extent practicable, Puget Sound Energy must begin to identify the distribution of energy and nonenergy benefits in annual plans and reports. This reporting must use currently quantified nonenergy impacts as well as values and estimates of additional impacts as they become available.		2021	Mark as completed at end of biennium	Completed
162	UE-190905 (12)(a)	Puget Sound Energy must continue to review the feasibility of pursuing cost-effective conservation in the form of reduction in electric power consumption resulting from increases in the efficiency of energy use at electric power production facilities it owns in whole or in part. Puget Sound Energy's Annual Report must include updates regarding production efficiency activities in power production facilities operated by Puget Sound Energy and, to the extent practicable, facilities wholly or partially owned by Puget Sound Energy that are not operated by the Company.		2021	Mark as completed at end of biennium	Completed
163	U-072375 (Merger) Appendix A 47	Each of the Joint Applicants agrees that PSE will undertake a study, in collaboration with stakeholders, to identify potential energy efficiency improvements in its distribution, transmission and generation assets (consistent with any analysis required as part of the Integrated Resource Plan ("IRP") process) and present the results of such study to the Advisory Group for its consideration and potential inclusion as part of PSE's energy efficiency portfolio. These potential improvements in PSE's energy efficiency improvements in its distributio, transmission and generation assets are in addition to end-use energy efficiency improvements.		2010	4	Completed
164	U-180680	PSE shall accelerate its business case review of an on-bill repayment program for customer investments in energy efficiency and will work collaboratively with the CRAG to determine if such a program is cost-effective and serves the best interests of PSE customers.		2019		Completed
<b>Sections Still in Effect from 2010 Settlement Agreement, UE-100177</b>						
165	L(22)	The Executing Parties agree to support the terms and conditions of this Agreement, as described above. The Executing Parties understand that this Agreement is subject to Commission approval.		Not Applicable	Not Applicable	Not applicable - No deliverable
166	L(23)	The Executing Parties have negotiated this Agreement as an integrated document. Accordingly, the Executing Parties agree to recommend that the Commission adopt this Agreement in its entirety.		Not Applicable	Not Applicable	Not applicable - No deliverable
167	L(24)	This Agreement represents a fully negotiated agreement. Each Executing Party has been afforded the opportunity, which it has exercised, to review the terms of the Agreement. Each Party has been afforded the opportunity, which it has exercised, to consult with legal counsel of its choice concerning such terms and their implications. The Agreement shall not be construed for or against any Executing Party based on the principle that ambiguities are construed against the drafter.		Not Applicable	Not Applicable	Not applicable - No deliverable
168	L(25)	This Agreement may be executed by the Executing Parties in several counterparts, through original and/or facsimile signature, and as executed shall constitute one agreement.		Not Applicable	Not Applicable	Not applicable - No deliverable
<b>Requirements not outlined in Orders, RCW or WAC</b>						
169	???	Issue conservation RFP by June 30, 2021 for 2022-2023 programs	RFP is issued	2021	2	Completed

**Exhibit 9**

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