

MEMO FROM-

DATED: November 17, 2015

**Stephen S. Schwan, President
Help-U-Move, Inc.
3412 N. Swallow Ave
Pasco, WA 99301
PHONE/ 509-547-2122
EMAIL info@helpumove.com
CELL 509-438-5056**

MEMO TO –

**Washington Utilities and Transportation Commission
PO Box 47250
Olympia, WA 98504-7250
Attention: Tina Leipski**

**SUBJECT - Revision of Application TV -151976 for transfer under
exception Household Goods Moving Company Ownership Transfer
Permit Application**

Tina, per your letter of 11-12-15 we agree with your analysis that our application should be considered under Exceptions.

Attached are revised -

Household Goods Moving Company Permit Application revised for "Permanent authority to transfer under the exceptions in WAC 480-15-187.

Attachment B

Attachment C with item 2 a checked and attachment of 2 letters required. If we need anything else to revise our application please call or email me per the above references.

Best Regards,



Stephen S. Schwan

RECEIVED
 NOV 23 2015
 WASH. UT. & TP. COMM

- Copy -
 1300 S. Evergreen Park Drive SW
 P.O. Box 47250
 Olympia, WA 98504-7250
 Phone: 360-664-1222
 Fax: 360-586-1181
 TTY: 360-586-8203
 or
 1-800-416-5289
 email: transportation@utc.wa.gov

**HOUSEHOLD GOODS MOVING COMPANY
 PERMIT APPLICATION**

FOR OFFICIAL USE ONLY			
Date Filed: 10/13/15	DOL/SOS:	ID: 17288	Docket # TV151976
Staff Assigned	Insurance	Inspection 10260	Permit Issued THG-
Reception #	111-0268-207-02	111-0268-013-20	

Type of Household Goods Authority Requested – check one **Fee Required**

- Provisional and permanent authority. The fee for provisional, and then permanent authority is a one-time fee. Complete pages 3-8 and Attachment A. \$ 550
- Permanent authority to transfer resulting in a change in ownership or controlling interest (at least six months must be served on a temporary provisional basis). Complete pages 3-8, Attachment B as well as a closing annual report \$ 550
- Permanent authority to transfer under the exceptions in WAC 480-15-187. Complete pages 3-8 and Attachments B & C. \$ 250
- **A closing annual report will need to be submitted by the current company before the transfer will be finalized.** \$ 250
- Reinstatement of permit (must be filed within 30 days of cancellation, depending on criteria set forth in WAC 480-15-450). Complete pages 3-5 and include a statement justifying the reinstatement. \$ 35
- Name Change – Complete pages 3-5 and Attachment D. \$ 35

BUSINESS INFORMATION

Legal Name: Help-U-Move, Inc
(must be individual, partners of a partnership or corporation)

Trade Name, if applicable HELP-U-MOVE, INC

Physical Address 3412 N. SWALLOW AVE, PASCO, WA 99301

Mailing Address SAME

Telephone Number (809) 531 7712 Fax Number () _____

ATTACHMENT B

**Transfer of Household Goods Authority
Per WAC 480-15-187**

Current Name on Permit (Seller): RUSSELL POGUE
Current Trade Name on Permit (Seller): HELP-U-MOVE, INC
Address (Seller): 3412 N. SWALLOW AVE, PASCO, WA 99301
HG Permit Number: HGO 11855 Phone Number (Seller): 509 531 7712

Does the transfer of this permit fall under the provisions of WAC-480-15-187(2) or (3)?
 No Yes If yes, please complete Attachment C.

Have all fines or penalties owed to the commission been paid? No Yes

A closing annual report must be filed with the commission by the current company.

A customer may file a loss or damage claim for up to nine months following a move and may file a loss or damage lawsuit for up to two years following a move. Who will be responsible for handling claims filed by customers for loss or damage that occurred on moves taking place prior to the sale and transfer? RUSSELL POGUE

RELEASE OF AUTHORITY

I, the seller, have sold or otherwise released interest in my household goods permit number HG-11855 to the following:

Name of Buyer: BRUCE G SCHWAN AND STEPHEN S. SCHWAN
Trade Name of Buyer: HELP-U-MOVE

We, as applicants, hereby jointly declare and affirm that all information is true to the best of our knowledge.

<u>Russ Pogue</u> Seller's Signature	<u>Oct 5, 2015</u> <u>PASCO WA</u> Date and Location
<u>Ben Sch</u> Buyer's Signature	<u>10/5/15</u> <u>10/5/15</u> Date and Location

ATTACHMENT C

**TRANSFER OF PERMANENT HOUSEHOLD GOODS AUTHORITY
UNDER EXCEPTIONS IN WAC 480-15-187(2) or (3)**

1. The commission will grant an application to transfer existing permanent authority, without requiring a provisional permit, public notice or comment, if the applicant is fit, willing and able to provide service and the application is filed to transfer or acquire control of permanent authority for any one of the following reasons (check one, if applicable):
- A partnership has dissolved due to the death, bankruptcy, or withdrawal of a partner, and that partner's interest is being transferred to a spouse or to one or more remaining partners;
 - A shareholder in a corporation has died and that shareholder's interest is being transferred to a surviving spouse or one or more surviving shareholders;
 - A sole proprietor has died, the sole proprietor devised or bequeathed the company by will, and the applicant is seeking transfer of the permit in accordance with the bequest or devise set forth in the will.
 - An individual has incorporated and the same individual remains the majority shareholder;
 - An individual has added a partner but the same individual remains the majority partner;
 - A corporation has dissolved and the interest is being transferred to the majority shareholder;
 - A partnership has dissolved and the interest is being transferred to the majority partner;
 - A partnership has incorporated and the partners are the majority shareholders; or
 - Ownership is being transferred from one corporation to another corporation when both are wholly owned by the same shareholders.

Documentation supporting the checked box above must be included with your application. You may submit a corporate resolution, partnership agreement, court order, death certificate, will or other proof of right to inherit, estate executor's statement, community property agreement or other such documentation that may support your request.

 The Commission will grant an application for permanent authority without requiring a provisional permit after the application has been published on the application docket subject to comment for thirty days if the applicant is fit, willing, and able to provide service, the applicant has filed to transfer control of permanent authority, and all the following conditions exist:

- Ownership of a permit is being transferred to any shareholder, partner, family member, employee, or other person familiar with the company's operations and the household goods moving services provided. If you check this option, please complete the following:
 - a. Has the permit been actively used by the current owner to provide household goods moving services during the twelve-month period prior to the application? No Yes
 - b. Provide a certified statement from the applicant and the current owner explaining why the transfer is necessary to ensure the company's economic viability: *SEE 8 ATTACHED*
 - c. Provide a certified statement from the applicant and the current owner describing the steps taken by the parties to ensure that safe operations and continuity of service to customers is maintained. *SEE 9 ATTACHED*

Utilities and Transportation Commission
1300 S. Evergreen Park Drive DW
PO Box 47250
Olympia, WA 98504-7250

RE: Household Goods Moving Company Transfer Application- Certified Statement of Economic Viability

To Whom It May Concern:

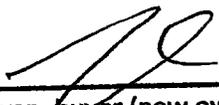
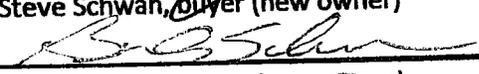
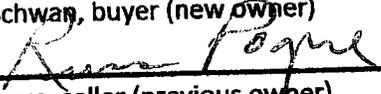
As of October 1, 2015, Help U Move, Inc. in Pasco, Washington, will assume a stock ownership change. The Seller is current owner, Russ Pogue. The Buyers are Bruce and Steve Schwan.

Per the UTC application for an ownership transfer, this is the certified statement explaining why the transfer of ownership is necessary to ensure the company's economic viability.

After over 15 years of operation, the current owner has decided the timing to leave the business is right. As the financial records indicate, Mr. Pogue has created a successful company. To ensure the economic viability of the company, Mr. Pogue identified potential buyers that would be able to continue the business and potentially grow it. After a thorough vetting, it was determined that the buyers (Bruce and Steve Schwan) represented an excellent opportunity for a smooth transition. The buyers come with over 50 years of combined business experience in this community- as well as an extensive list of community involvement.

Given the where Mr. Pogue is in his business career, and the scope of the business he has built, the transfer is necessary to ensure the company economic viability to keep the 8 employees employed and to fill a large part of the moving market in Tri-Cities.

Sincerely,

	10-1-15
Steve Schwan, buyer (new owner)	date
	10/1/15
Bruce Schwan, buyer (new owner)	date
	10-1-15
Russ Pogue, seller (previous owner)	date

Utilities and Transportation Commission
1300 S. Evergreen Park Drive DW
PO Box 47250
Olympia, WA 98504-7250

RE: Household Goods Moving Company Transfer Application- Certified Statement of Safe Operations

To Whom It May Concern:

As of October 1, 2015, Help U Move, Inc. in Pasco, Washington, will assume a stock ownership change. The Seller is current owner, Russ Pogue. The Buyers are Bruce and Steve Schwan.

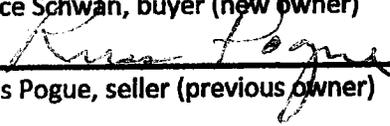
Per the UTC application for an ownership transfer, this is the certified statement explaining what steps are being taken to ensure safe operations and continuity of services to customers.

To start, all of the previous owner's successful safety and training protocols will be maintained. Drug testing, daily safety meetings, and best practices above and beyond all legal requirements will be continued. We understand that safety to the public, customers, and employees is paramount. In addition, new owners will complete a full review of safety procedures to see what needs to be addressed and improved.

To ensure continuation of customer service, the transition is structured in phases so that the new owners can continue operations without disruption of services. The previous owner is staying on as a consultant for 6 months to properly train and assist in the takeover. The previous owner has also informed employees and prepped them for the change of ownership. New owners have also been studying operations and communicating with current owner to ensure a smooth transition. More so, new owners will consult with current employees on best practices in regard to this matter.

Again, both safety practices and continuity of services will be further enhanced by Mr. Pogue's (current owner and seller) willingness to stay on and train the new owners for 6 months. His best practices have created a safe work environment and will ensure the quality of service provided to customers does not change.

Sincerely,

	10-1-15
_____ Steve Schwan, buyer (new owner)	date
	10/1/15
_____ Bruce Schwan, buyer (new owner)	date
	10-1-15
_____ Russ Pogue, seller (previous owner)	date