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August 29, 2012

Dennis Moss, Administrative Law Judge Washington Utilities and Transportation Commission 1300 S. Evergreen Park Dr. SW P.O. Box 47250 Olympia, Washington 98504-7250

RE: In the Matter of the Penalty Assessment Against Parkland Water System, Inc. in the Amount of \$2,100

Docket UW-120867

Dear Judge Moss:

In accordance with the Notice of Brief Adjudicative Proceeding issued in this docket on August 15, 2012, Commission Staff submits the following list of documents it wishes the Commission to consider at the brief adjudicative proceeding:

- 1. Declaration of Mathew D. Perkinson, Compliance Investigator, Staff of the Washington Utilities and Transportation Commission. Mr. Perkinson describes his investigation into the circumstances underlying Penalty Assessment UW-120867, issued against Parkland Water Systems for failure to file its 2011 Annual Report, in violation of WAC 480-110-505. He also provides supporting documentation, listed below in items 2-7, consisting of written communications between the Commission and Parkland Water System, Inc., in connection with Penalty Assessment UW-120867. Finally, Mr. Perkinson presents Staff's recommendation regarding mitigation or suspension of Penalty Assessment UW-120867.
- 2. Letter, dated February 29, 2012, from David W. Danner, Executive Director and Secretary, to Parkland Water System, Inc. The letter included 2011 Annual Report forms, with instructions for filing the Annual Report by May 1, 2012 and a description of the consequences for not filing a timely report.
- 3. Notice of Enforcement Action, dated May 14, 2012, from David W. Danner, Executive Director and Secretary, to Parkland Water System, Inc., advising the company its 2011 Annual Report was past due and that financial penalties had begun accruing on May 2, 2012.

- 4. Letter, dated May 25, 2012, from Amy Andrews, Fiscal Analyst, to Parkland Water System, Inc., advising the company that a 2011 Annual Report received from Parkland Water Systems on May 25, 2012 was incomplete and remained overdue.
- 5. Penalty Assessment UW-120867, dated July 13, 2012, from Gregory Kopta, Administrative Law Judge, to Parkland Water Systems, Inc., in the amount of \$2100 for 21 violations of WAC 480-110-505.
- 6. Letter, dated July 20, 2012, from Dennis Burke, Parkland Water System, in response to Penalty Assessment UW-120867 and requesting a hearing.
- 7. Penalty Assessment UW-060985, dated June 30, 2006, from Dennis Moss, Administrative Law Judge, to Parkland Water Systems, Inc., in the amount of \$100 for violation of WAC 480-110-505, and Penalty Assessment UW-081083, dated June 25, 2008, from Ann E. Rendahl, Administrative Law Judge, to Parkland Water Systems, Inc., in the amount of \$100 for violation of WAC 480-110-505.
- 8. Declaration of Lisa Wyse, Records Manager and Public Records Officer, authenticating the documents attached to the Declaration of Mathew Perkinson, as listed in items 2-7 above.

Staff is prepared to file and serve these documents in advance of the brief adjudicative proceeding on September 5, 2012. Please advise if you wish Staff to do so.

Sincerely,

ROBERT D. CEDARBAUM Assistant Attorney General

RDC:klg Enclosures

cc: Parkland Water System, Inc.