

Rocket Transportation LLC's exhibit list:

- 1) Penalty Assessment TC-171022.
- 2) Documents showing the implementation as described in the Safety Management Plan just to support that we are taking this plan seriously.

Documents to show that the number of violations listed in the Penalty Assessment is high for two reasons:

Of the 69 violations of Title 49 CFR Par 391.45(a) – Using a driver not medically examined and certified:

- 3) 19 of the violations occurred in a minivan that is outside UTC oversight – driver manifest or dispatch screen print for each violation showing vehicle assigned which can be compared to our vehicle list.
- 4) 17 of the listed violations occurred in 2017 not 2016 as stated – Driver's Medical Certifications showing the time period listed in the violations is clearly covered by a valid Medical Examiner's Certification.

Of the 2 violations of Title 49 CFR Par 391.45(b)(7) – Failing to maintain medical examiner's certificate in driver's qualification file:

- 5) William Wagoner's medical certificates (2) from the date of hire (August 2014) to current.
- 6) Pauling Cheng's current and one previous medical certificates dating back to 11-25-15.

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