



STATE OF WASHINGTON
UTILITIES AND TRANSPORTATION COMMISSION
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July 6, 2015

NOTICE WITHDRAWING PENALTY

RE: Thomas J. Cook and Linda K. Cook, Commission Staff's Request to Withdraw Penalty Assessment, Docket TE-151015

TO ALL PARTIES:

On June 9, 2015, the Washington Utilities and Transportation Commission (Commission) issued and served a Penalty Assessment against Thomas J. Cook and Linda K. Cook in the amount of \$1,000, alleging violations of WAC 480-30-071, which requires charter and excursion carriers to file annual reports by May 1 each year.

On June 22, 2015, Thomas Cook responded to the penalty assessment and requested mitigation of the penalty based on the written information provided. Mr. Cook explained that the company ceased doing business on November 3, 2014.

On June 30, 2015, Staff filed a response recommending the Commission withdraw the \$1,000 penalty because Thomas J. Cook and Linda K. Cook's charter and excursion certificate was cancelled on December 8, 2014, in Docket TE-143795 for failure to maintain insurance as required.

The Commission's primary objective in any enforcement action is to ensure compliance with a company's legal obligations; penalties both punish noncompliance and provide an incentive to comply in the future. The assessed penalty would further neither of these goals if the company is no longer providing charter and excursion carrier services in Washington. The Commission therefore withdraws the penalty assessed against Thomas J. Cook and Linda K. Cook.

THE COMMISSION GIVES NOTICE that the penalty of \$1,000 assessed against Thomas J. Cook and Linda K. Cook is withdrawn and Docket TE-151015 is closed.

GREGORY J. KOPTA
Director, Administrative Law Division