



STATE OF WASHINGTON

UTILITIES AND TRANSPORTATION COMMISSION

1300 S. Evergreen Park Dr. S.W., P.O. Box 47250 • Olympia, Washington 98504-7250

(360) 664-1160 • TTY (360) 586-8203

June 10, 2015

Steven V. King, Executive Director and Secretary
Utilities and Transportation Commission
1300 S. Evergreen Park Dr. SW
P.O. Box 47250
Olympia, WA 98504-7250

RE: *Washington Utilities and Transportation Commission v. Copalis Rocks Mutual Benefit Association*

Commission Staff's Response to Application for Mitigation of Penalties UW-150784

Dear Mr. King:

On May 20, 2015, the Utilities and Transportation Commission issued a \$1,000 Penalty Assessment in Docket UW-150784 against Copalis Rocks Mutual Benefit Association for 10 violations of Washington Administrative Code (WAC 480-110-505), which requires water companies to furnish annual reports to the commission no later than May 1 each year.

On June 1, 2015, Copalis Rocks Mutual Benefit Association wrote the commission requesting mitigation of penalties. In its mitigation request, Copalis Rocks Mutual Benefit Association does not dispute the violation occurred. The company states, "I handle all of the reporting and take it seriously. It was my oversight, and the report has since been submitted. I have not been late on any past reporting". The company requests the penalty be waived due to the size of the water system.

It is the company's responsibility to ensure that the regulatory fee is paid and the annual report is filed by the May 1 deadline. On February 27, 2015, Annual Report packets were mailed to all regulated water companies. The instructions for annual report completion page of the annual report informed the regulated company that it must complete the annual report form, pay the regulatory fees, and return the materials by May 1, 2015, to avoid enforcement action.

On May 22, 2015, Copalis Rocks Mutual Benefit Association filed the 2014 annual report and paid the required regulatory fees and late payment penalty. The company has been active since 2010. No prior violations of WAC 480-110-505 are on commission record. Staff does not

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support the company's request to waive the penalty, however, staff does support the company's request for mitigation as this is the company's first delinquent filing. Staff recommends a reduced penalty of \$25 per day resulting in a total penalty assessment of \$250.

If you have any questions regarding this recommendation, please contact Amy Andrews, Regulatory Analyst, at (360) 664-1157, or aandrews@utc.wa.gov.

Sincerely,

A handwritten signature in black ink that reads "Sondra Walsh (for Sondra Walsh)". The signature is written in a cursive style.

Sondra Walsh, Director
Administrative Services